

FILE

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2018 MAR -6 PM 1:00

PUCO

March 5, 2018

Public Utilities Commission of Ohio
Docketing Division
180 East Broad Street
Columbus, Ohio 43215-3793



Resource Energy Systems, LLC
4 High Ridge Park, Ste. 202
Stamford, CT 06905
Phone: 203-517-4379
Fax: 203-724-4275
www.resglobal.com

Re: Amendment for Case Number 12-0618-EL-AGG

Dear PUCO Staff,

In regards to case number 12-0618-EL-AGG, enclosed credit report is as an amendment to Exhibit C-7 of our initial application. Please process accordingly. Thank you very much.

Regards,

Lisa Miri
Controller
203-517-4379

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician MM Date Processed 03/06/18

RESOURCE ENERGY SYSTEMS, LLC

DUNS: 95-667-5677

Business Information Report

Company Information

4 High Ridge Park
Stamford, CT 06905

This is a **single location** location.

Telephone

(203) 569-6257

Stock Symbol:

NA

Year Started

2007

Employees

50

Financial Statement

Sales

NA

Net Worth

NA

History:

NA

Financial Condition:

NA

Financing:

NA

SIC:

8741

Line of Business:

Energy management svcs

Corporate Family:

This business is a single location of the corporate family.

Scores

PAYDEX®

78 ▼

3 days beyond terms

78

100

120 Days Slow

30 Days Slow

Prompt

Based on up to 24 months of trade.

D&B PAYDEX® Key

High risk of late payment
(average 30 to 120 days beyond terms)

Medium risk of late payment
(average 30 days or less beyond terms)

Low risk of late payment
(average prompt to 30+ days sooner)

Credit Limit Recommendation

Risk Category

Low

Conservative Credit Limit

\$40k

Aggressive Credit Limit

\$90k

High

Moderate

Low

Rating
1R3

Number of employees: **1R indicates 10 or more employees**
Composite Credit Appraisal: **3 is fair**

The credit rating was assigned based on D&B's assessment of the company's financial ratios and its cash flow. For more information, see the D&B Rating Key.

Below is an overview of the company's rating history since 08/31/2010

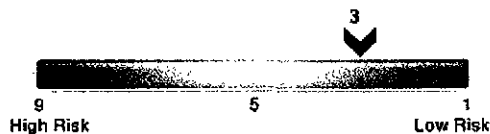
D&B Rating	Date Applied
1R3	2014-07-10
2R3	2011-11-22
—	2010-08-31

The Summary Analysis section reflects information in D&B's file as of February 27, 2018

D&B Viability Rating

3

Viability Score

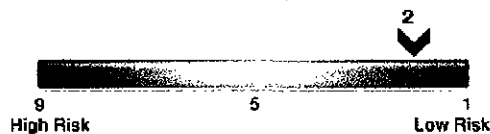


Compared to ALL US Businesses within the D&B Database:

- Level of Risk: **Low Risk**
- Businesses ranked 3 have a probability of becoming no longer viable: **3%**
- Percentage of businesses ranked 3: **15%**
- Across all US businesses, the average probability of becoming no longer viable: **14%**

2

Portfolio Comparison



Compared to ALL US Businesses within the D&B Database:

- Model Segment: **Established Trade Payments**
- Level of Risk: **Low Risk**
- Businesses ranked 2 within this model segment have a probability of becoming no longer viable: **3%**
- Percentage of businesses ranked 2 within this model segment: **16%**
- Within this model segment, the average probability of becoming no longer viable: **5%**

Data Depth Indicator

G
Descriptive

A
Predictive

Data Depth Indicator:

Rich Firmographics
Extensive Commercial Trading Activity
No Financial Attributes

G

Company Profile

Financial
Data

Not
Available

Trade
Payments

Available
(3+Trade)

Company
Size

Large

Years in
Business

Established

Compared to ALL US Businesses within the D&B Database:

- Financial Data: **Not Available**
- Trade Payments: **Available: 3+Trade**
- Company Size: **Large: Employees:50+ or Sales: \$500K+**
- Years in Business: **Established: 5+**

History & Operations

History

The following information was reported: 12/30/2017

Officer(s):

RICHARD PLUTZER, MNG MBR

The Delaware Secretary of State's business registrations file showed that Resource Energy Systems, LLC was registered as a limited liability company on February 26, 2007.

Ownership information provided verbally by RICHARD PLUTZER, Mng Member, on Jul 02 2014.

Business started 2007 by Richard Plutzer.

RICHARD PLUTZER. 2007-present active here. Further antecedents were not available.

Business address has changed from 350 W Passaic St, Rochelle Park, NJ, 07662 to 1177 High Ridge Rd, Stamford, CT, 06905.

Business address has changed from 1177 High Ridge Rd, Stamford, CT, 06905 to 4 High Ridge Park, Stamford, CT, 06905.

Operations

12/30/2017

Description:

Operates as a company providing management services, specializing in energy management (100%).

Has 12 account(s). Terms are check & commission basis. Sells to retailers & commercial real estate agencies.

Territory : United States.

Employees: 50 which includes partners.

Facilities: Leases 2,000 sq. ft. on 2nd floor of a 2 story concrete block building.

SIC & NAICS

SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs

enables us to be more specific to a company's operations that if we use the standard 4-digit code. The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

8741 0000 Management services

NAICS:

561110 Office Administrative Services

Payments

PAYDEX®

Score Not Available

You must have three reported payment experiences, from at least two different vendors, to establish a PAYDEX® score. To ensure all of your payments are reflected in your credit file, add trade references to your report. Visit the Action Center to learn more.

Payments Summary

Total (Last 24 Months): 13

	Total Received	Total Dollar Amount	Largest High Credit Payment summary	Within Terms	31	Days Slow			
						30-80	81-90	90	
Top Industries									
Nonclassified	2	\$30,000.00	\$20,000.00	100%	0	0	0	0	
Data processing svcs	1	\$2,500.00	\$2,500.00	50%	0	50	0	0	
Misc business service	1	\$2,500.00	\$2,500.00	100%	0	0	0	0	
Telephone communicns	1	\$250.00	\$250.00	100%	0	0	0	0	
Reg misc coml sector	1	\$100.00	\$100.00	100%	0	0	0	0	
Other Categories									
Cash experiences	7	\$2,000	\$1,000	--	--	--	--	--	
Unknown	0	\$0	\$0	--	--	--	--	--	
Unfavorable comments	0	\$0	\$0	--	--	--	--	--	
Placed for collections with D&B:	0	\$0	\$0	--	--	--	--	--	
Other	0	N/A	\$0	--	--	--	--	--	
Total in D&B's file	13	\$37,350	\$20,000	--	--	--	--	--	

The highest Now Owes on file is \$20,000


The highest Past Due on file is \$0

There are 13 payment experience(s) in D&B's file for the most recent 24 months, with 2 experience(s) reported during the last three month period.

Payments Details

Total (Last 24 Months): 13

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo.)
01/2018	Ppt	\$20,000	\$20,000	\$0	--	1 mo
01/2018	Ppt	\$250	\$0	\$0	--	1 mo
10/2017	Ppt	\$10,000	\$0	\$0	--	6-12 mos
10/2017	(004)	\$1,000	\$0	\$0	Cash account	1 mo
08/2017	(005)	\$500	--	--	Cash account	1 mo
08/2017	(006)	\$50	--	--	Cash account	6-12 mos
07/2017	(007)	\$250	--	--	Cash account	1 mo
04/2017	(008)	\$50	--	--	Cash account	2-3 mos
03/2017	(009)	\$50	--	--	Cash account	1 mo
10/2016	(010)	\$100	--	--	--	1 mo
09/2016	(011)	\$100	--	--	Cash account	6-12 mos
05/2016	Ppt-Slow 60	\$2,500	\$0	\$0	--	2-3 mos
03/2016	Ppt	\$2,500	\$0	\$0	--	2-3 mos

Payments Detail Key:  30 or more days beyond terms

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Banking and Finance

Statement Update

Key Business Ratios from D&B

We currently do not have enough information to generate the graphs for the selected Key Business Ratio.

- This Company

Key Financial Comparisons

(\$)

(\$)

(\$)

This Company's Operating Results Year Over Year

Net Sales	NA	NA	NA
Gross Profit	NA	NA	NA
Net Profit	NA	NA	NA
Dividends / Withdrawals	NA	NA	NA
Working Capital	NA	NA	NA

This Company's Assets Year Over Year

Cash	NA	NA	NA
Accounts Receivable	NA	NA	NA
Notes Receivable	NA	NA	NA
Inventories	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets	NA	NA	NA
Other Non Current	NA	NA	NA
Total Assets	NA	NA	NA

This Company's Liabilities Year Over Year

Accounts Payable	NA	NA	NA
Bank Loan	NA	NA	NA
Notes Payable	NA	NA	NA
Other Current Liabilities	NA	NA	NA
Total Current Liabilities	NA	NA	NA
Other Long Term and Short Term Liabilities	NA	NA	NA
Deferred Credit	NA	NA	NA
Net Worth	NA	NA	NA
Total Liabilities and Net Worth	NA	NA	NA



We currently do not have any recent financial statements on file for your business. Submitting financial statements can help improve your D&B scores. To submit a financial statement, please call customer service at 800-333-0505.

Key Business Ratios

	This Company	Industry Median	Industry Quartile
Solvency			
Quick Ratio	NA	NA	NA
Current Ratio	NA	NA	NA
Current Liabilities to Net Worth	NA	NA	NA
Current Liabilities to Inventory	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets to Net Worth	NA	NA	NA
Efficiency			
Collection Period	NA	NA	NA
Inventory Turn Over	NA	NA	NA
Sales to NWC	NA	NA	NA
Acct Pay to Sales	NA	NA	NA
Profitability			
Return on Sales	NA	NA	NA
Return on Assets	NA	NA	NA
Return on NetWorth	NA	NA	NA

Public Filings

Summary

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date
Bankruptcy Proceedings	0	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	0	-

The following Public Filing data is for information purposes only and is not the official record.
 Certified copies can only be obtained from the official source.

Judgments

We currently don't have enough data to display this section

Liens

We currently don't have enough data to display this section

Suits

We currently don't have enough data to display this section

UCC Filings

We currently don't have enough data to display this section

Government Activity

Summary

Borrower (Dir/Guar): NO

Administrative Debt: NO

Contractor: NO

Grantee: NO

**Party excluded
from federal program(s):** NO

Possible Candidate:

Labor Surplus Area: N/A

Small Business: YES (2018)

8(A) Firm: N/A

The public record items contained herein may have been paid, terminated, vacated, or released prior to today's date

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

Special Events

07/10/2014

Business address has changed from 1177 High Ridge Rd, Stamford, CT, 06905 to 4 High Ridge Park, Stamford, CT, 06905.

Corporate Linkage

Parent

Company Name	DUNS #	City, State
RESOURCE ENERGY SYSTEMS, LLC	95-667-5677	STAMFORD, CONNECTICUT