

FILE
(NC)

Ohio Public Utilities Commission

18-200-EL-AGG

PUCO USE ONLY		
Date Received	Case Number	Version
	18-200-EL-AGG	May 2016

34

INITIAL CERTIFICATION APPLICATION FOR ELECTRIC AGGREGATORS/ POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-12 Company History). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. APPLICANT INFORMATION

A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☐ Aggregator

A-2 Applicant's legal name, address, telephone number and web site address

Legal Name CSD Energy Advisors, LLC
Address 2407 Oaks Forks Dr., Kingwood, TX 77339
Telephone # (281) 415-0071 Web site address (if any) csdeneryadvisors.com

A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name CSD Energy Advisors
Address 2407 Oaks Forks Dr., Kingwood, TX 77339
Telephone # (281) 415-0071 Web site address (if any) csdeneryadvisors.com

A-4 List all names under which the applicant does business in North America

CSD Energy Advisors, LLC
CSD Energy Advisors
CSD Energy

A-5 Contact person for regulatory or emergency matters

Name JB Sowyrda
Title Managing Partner

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician je Date Processed 2/1/18

RECEIVED-DOCKETING DIV
2018 FEB - 1 AM 10:41

PUCO

Business address 2407 Oaks Forks Dr.
Telephone # (281) 415-0071 Fax #
E-mail address jbs@csdenenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints

Name JB Sowyrda
Title Managing Partner
Business address 2407 Oaks Forks Dr., Kingwood, TX 77339
Telephone # (281) 415-0071 Fax #
E-mail address jbs@csdenenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address 2407 Oaks Forks Dr., Kingwood, TX 77339
Toll-free Telephone # (281) 415-0071 Fax #
E-mail address JBS@CSDEnergy.com

A-8 Applicant's federal employer identification number # 464796262

A-9 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-10 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|---|--------------------------------------|--|--|--|
| <input checked="" type="checkbox"/> First Energy | | | | |
| <input checked="" type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Duke Energy | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> American Electric Power | | | | |
| <input checked="" type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |

- A-11** Provide the approximate start date that the applicant proposes to begin delivering services
February 15, 2018

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-12** **Exhibit A-12 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports)

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.


First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

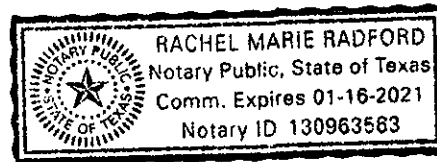
1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

 *Managing Partner*
Signature of Applicant & Title



Sworn and subscribed before me this 30th day of January, 2018


Signature of official administering oath

Month January Year 2018
Rachel Radford, Executive Assistant
Print Name and Title

My commission expires on 1/16/2021

AFFIDAVIT

State of TX :

Harris ss.
(Town)

County of Harris :

"Jonathan Sowyrda, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Managing Director (Office of Affiant) of CSO Energy Advisors LLC (Name of Applicant);

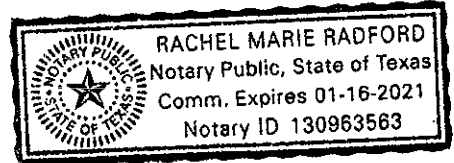
That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

AM Managing Director 1/30/18
Signature of Affiant & Title



Sworn and subscribed before me this 30th day of January, 2018
Month Year

Rachel Marie Radford
Signature of official administering oath

Rachel Radford; Executive
Print Name and Title
Assistant

My commission expires on 1/16/2021

Exhibit A-12 "Principal Officers, Directors & Partners"

The Managing Director of CSD Energy Advisors, LLC (the "Company") is Jonathon Brent Sowyrda. Mr. Sowyrda is also serving as the joint officer and President. Andrew Barth is also serving as joint officer, Director and Partner.

Jonathon Brent Sowyrda, Managing Director, 281-415-0071, 2407 Oaks Forks Dr., Kingwood, TX, 77339

Andrew Barth, Director, 832-754-4883, 3333 Allen Parkway #1908, Houston, TX, 77019

Exhibit A-13 "Company History"

CSD Energy Advisors, LLC was formed in April, 2014 by Robert W. James ("Rob"). In June 2015, Rob sold his 100% equity stake to Jonathon Sowyrda. In August 2015 Jonathon Sowyrda sold a 50% stake to Andrew Barth.

Throughout 2014 and 2015 CSD Energy Advisors operated in both Ohio and Texas markets brokering electricity to commercial and industrial customers. By the end of Q4 2015, CSD Energy Advisors ceased operations in Ohio working with another company, Incite Energy, acting as officers for three years. Starting in January 2018, Jonathon Brent Sowyrda and Andrew Barth separated from Incite Energy and have begun ramping up business operations within CSD Energy Advisors exclusively.

CSD Energy Advisors intends to broker power to commercial and industrial clients across the state of Ohio.

Exhibit A-14 "Articles of Incorporation and Bylaws"

Attached hereto is a copy of the Certificate of Formation for CSD Energy Advisors, LLC in the state of Texas and the Limited Liability Agreement for the Company.

Corporations Section
P.O.Box 13697
Austin, Texas 78711-3697



Nandita Berry
Secretary of State

Office of the Secretary of State

February 12, 2014

Capitol Services Inc
P O Box 1831
Austin, TX 78767 USA

RE: CSD Energy Advisors, LLC
File Number: 801931888

It has been our pleasure to file the certificate of formation and issue the enclosed certificate of filing evidencing the existence of the newly created domestic limited liability company (llc).

Unless exempted, the entity formed is subject to state tax laws, including franchise tax laws. Shortly, the Comptroller of Public Accounts will be contacting the entity at its registered office for information that will assist the Comptroller in setting up the franchise tax account for the entity. Information about franchise tax, and contact information for the Comptroller's office, is available on their web site at <http://window.state.tx.us/taxinfo/franchise/index.html>.

The entity formed does not file annual reports with the Secretary of State. Documents will be filed with the Secretary of State if the entity needs to amend one of the provisions in its certificate of formation. It is important for the entity to continuously maintain a registered agent and office in Texas. Failure to maintain an agent or office or file a change to the information in Texas may result in the involuntary termination of the entity.

If we can be of further service at any time, please let us know.

Sincerely,

Corporations Section
Business & Public Filings Division
(512) 463-5555

Enclosure

Come visit us on the internet at <http://www.sos.state.tx.us/>

Phone: (512) 463-5555
Prepared by: Rosa Arrellano

Fax: (512) 463-5709
TID: 10285

Dial: 7-1-1 for Relay Services
Document: 528962130002



Office of the Secretary of State

CERTIFICATE OF FILING OF

CSD Energy Advisors, LLC
File Number: 801931888

The undersigned, as Secretary of State of Texas, hereby certifies that a Certificate of Formation for the above named Domestic Limited Liability Company (LLC) has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 02/11/2014

Effective: 02/11/2014



NANDITA BERRY

Nandita Berry
Secretary of State

FILED
In the Office of the
Secretary of State of Texas

FEB 11 2014

Corporations Section

CERTIFICATE OF FORMATION
OF
CSD ENERGY ADVISORS, LLC

The undersigned, acting as the sole organizer of a limited liability company under the Texas Business Organization Code (the "code"), does hereby adopt the following Certificate of Formation for CSD ENERGY ADVISORS, LLC (the "company"):

ARTICLE I

The name of the Company is CSD Energy Advisors, LLC.

ARTICLE II

The purpose for which the Company is formed is for the transaction of any or all lawful business for which limited liability companies may be organized under the Code.

ARTICLE III

The name of the initial registered agent of the Company in the State of Texas is Robert W. James, and the address of the registered office of such initial registered agent is 406 Kari Court, Houston, Texas 77024.

ARTICLE IV

The Company is to be managed by one or more managers. The initial number of managers shall be one (1). The number of managers constituting managers of the Company shall be set forth in the operating agreement of the Company. The name and address of the person who is to serve as the initial manager until the first meeting of the members, or until his successor is elected and qualified, is as follows:

NAME

ADDRESS

Robert W. James

406 Kari Court
Houston, TX 77024

ARTICLE V

No member shall have a preemptive right to acquire any membership interests or securities of any class that may at any time be issued, sold, or offered for sale by the Company.

ARTICLE VI

The right of members to cumulative voting in the election of managers is expressly prohibited.

ARTICLE VII

The company shall indemnify members, managers and officers of the Company for whom indemnification is permitted by the Code or to the fullest extent permitted by law. The Company may indemnify employees, agents or other persons for whom indemnification is permitted by the Code to the fullest extent permitted by law.

ARTICLE VIII

To the fullest extent permitted by law, managers or officers and former managers or officers of the Company shall not be liable to the Company or its members for monetary damages for an act or omission in the manager's or officer's capacity as a manager or officer, respectively. No amendment of this Article VIII shall adversely affect any right or protection of a manager or officer that exists at the time of such amendment, modification or repeal.

ARTICLE IX

Any action which may be taken at any meeting of members, or which is required by law or by the Certificate of Formation or regulations of the Company to be taken at any meeting of members, may be taken without a meeting, without prior notice and without a vote, if a consent or consents in writing, setting forth the action so taken, shall be signed by the holder or holders of membership interests having not less than the minimum number of votes that would be necessary to take such action at a meeting at which the holders of all membership interests entitled to vote on the action were present and voted. Prompt notice of the taking of any action by the members without a meeting by less than unanimous written consent shall be given to those members who did not consent in writing to the action.

ARTICLE X

The name and address of the organizer is as follows:

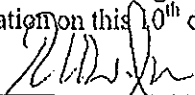
NAME

Robert W. James

ADDRESS

406 Kari Court
Houston, Texas 77024

The undersigned, the organizer of this Company, has signed this Certificate of Formation on this 10th day of February, 2013.



Robert W. James, Organizer

CSD ENERGY CAPITAL, LLC
406 Kari Court
Houston, Texas 77024

February 11, 2014

To Whom It May Concern:

The undersigned, the sole manager of CSD Energy Capital, LLC, hereby agrees and consents to the use of the name, CSD Energy Advisors, LLC, for an entity to be formed by the same sole manager.

If there are any questions, please feel free to contact me.

Sincerely,

A handwritten signature in black ink, appearing to read 'RW James', written over a horizontal line.

Robert W. James
Managing Member
CSD Energy Capital, LLC
(713) 203-1641 (c)

Exhibit A-15 "Secretary of State"

Attached hereto is the Company's registration with the Ohio Secretary of State.

From: Maycock, Thomas <thomas.maycock@wolterskluwer.com>
Sent: Tuesday, January 30, 2018 12:14 PM
To: jbs@csdenenergyadvisors.com
Subject: CSD Energy Advisors LLC

Good Morning

https://www5.sos.state.oh.us/ords/f?p=100:7:::NO:7:P7_CHARTER_NUM:2271133

Corporation Details		
Entity Number	2271133	
Business Name	CSD ENERGY ADVISORS, LLC	
Filing Type	FOREIGN LIMITED LIABILITY COMPANY	
Status	Active	
Original Filing Date	02/24/2014	
Expiry Date		
Location:	County: State: TEXAS	
Agent / Registrant Information		
CT CORPORATION SYSTEM 4400 EASTON COMMONS WAY SUITE 125 COLUMBUS, OH 43219 Effective Date: 04/17/2017 Contact Status: Active		
Filings		
Filing Type	Date of Filing	Document Number
REG. OF FOR. PROFIT LIM. LIAB. CO.	02/24/2014	201405600463
AGENT ADDRESS CHANGE/LIMITED/LIABILITY/PARTNERS	03/31/2017	201710302962
AGENT ADDRESS CHANGE/LIMITED/LIABILITY/PARTNERS	04/17/2017	201710702872

Thomas Maycock
Business Solutions Specialist
CT Corporation

Office
855-974-9883
Direct 518-451-8053
Fax 800-938-8343
Thomas.Maycock@wolterskluwer.com

Exhibit B-1 "Jurisdictions of Operation"

CSD Energy Advisors, LLC is domiciled in the state of Texas and accordingly is registered to conduct business operations in the state of Texas. In addition, the Company is in the process of applying for registration or certification, if necessary, in those states in which the electricity markets are deregulated and within which power brokers are authorized to conduct broker activities.

Exhibit B-2 "Experience and Plans"

As a power broker, the brokers are responsible for the education of the customer as to their choices with respect to the electricity markets and facilitate the procurement of power. Power contracts will be bid out to suppliers with whom CSD Energy Advisors is authorized to do business and is licensed and operated in the state of Ohio. As a result, the power broker is not responsible for providing billing statements, which will be provided by their electricity provider and utility. Since we do serve as a trusted advisor to the customers, we regularly assist them with any customer inquiries and/or complaints and help them to contact the appropriate company (for instance, their electricity provider, the local utility, or the appropriate agency within the state PUC).

See Biographies attached hereto.

JB Sowyrda

JB Sowyrda is Managing Director of CSD Energy Advisors with over thirteen years of energy experience on both the Supplier and Consulting sides of the industry. He co-founded CSD Energy Advisors as an energy consulting and management firm to utilize market knowledge as well as leverage buying power to implement procurement strategies that merge price, product and renewable solutions while maintaining the utmost integrity on behalf of CSD's customers.

Mr. Sowyrda was previously Executive Vice President of Operations at Incite Energy, a Texas based consulting firm that specializes in procurement, integrated technologies, renewable solutions, and demand response. At Incite, Mr. Sowyrda was responsible for the day-to-day operations, while also sourcing and maintaining suppliers and vendor relationships, conceptualizing renewable product solutions, contract negotiations, and structuring products and services for many of the largest Commercial and Industrial customers in the United States, Canada and Mexico.

Prior to Incite, Mr. Sowyrda spent eight years at Reliant Energy, an NRG Company, where he served as Account Executive responsible for developing and managing broker relationships by generating revenue through sales in ERCOT and PJM. In this role, Mr. Sowyrda worked jointly with Legal, Risk, Supply, Commercial Operations and Billing teams to facilitate sales. Mr. Sowyrda also held roles within Reliant's Risk Group where he was responsible for structuring financial products, supporting commercial activity for both the wholesale and retail businesses, product development as well as developing costing and pricing strategies in ERCOT and PJM.

Mr. Sowyrda holds a BS in Business Administration with a dual concentration in Finance and Marketing from Trinity University.

Andrew Barth

Andrew Barth is Director of CSD Energy Advisors, LLC, a national energy management firm that assists clients with the development and implementation of integrated energy solutions. Based in Houston, TX, Mr. Barth combines fifteen years of industry experience, customer service, and technology to provide client based solutions. His areas of expertise are in the planning and sourcing of commodity procurement strategies, risk management, and the development of efficiency and sustainability initiatives.

Mr. Barth is also serving in his second year as President of the National Board of The Energy Professionals Association (TEPA). With chapters in Texas and the Northeast, TEPA was founded to promote a standardized code of conduct among members, educate the community on energy initiatives and advocate legislative plans in deregulated energy markets. The organization is composed of Aggregators, Brokers, Consultants (A/B/C's), Retail Energy Providers (REP's) and affiliate members. TEPA specializes in providing market knowledge to help consumers make the best energy procurement choices and to uphold the integrity of deregulated retail energy markets across the country.

Mr. Barth was previously Executive Vice President at Incite Energy, a Texas based consulting firm that specializes in procurement, integrated technologies, renewable solutions, and demand response. Additionally, he served as Vice President of Business Development for World Energy Solutions, a publicly traded company that assists clients in executing strategies to control and reduce energy costs through the application of consulting and brokerage services. He has also held Regional and National Sales Director positions in both Dallas and Houston, TX for World Energy Solutions and GSE Consulting, LP (formerly Gulf States Energy) where he recruited, developed, and managed sales teams across the country.

Exhibit B-3 "Summary of Experiences"

See company biographies attached hereto

Exhibit B-4 "Disclosure of Liabilities and Investigations"

Not Applicable - Neither the Company nor the principal have any existing liabilities or investigations.

Exhibit C-1 "Annual Reports"

CSD Energy Advisors, LLC is a privately held entity. It does not have an Annual Report to file.

Exhibit C-2 "SEC Filing"

CSD Energy Advisors, LLC is a privately held company that is not required to file with the SEC.

Exhibit C-3 "Financial Statements"

CONFIDENTIAL

Exhibit C-4 “Financial Arrangements”

N/A

Exhibit C-5 "Forecasted Financial Statements"

See attached budget and forecast for 2018.

CONFIDENTIAL

RECEIVED-DOCKETING DIV

2018 FEB - 1 AM 10:58

PUCO

Exhibit C-6 "Credit Rating"

N/A

Exhibit C-7 "Credit Report"

CSD Energy Advisors, LLC is closely held by the principal, Jonathon Sowyrda. As such, the Company does not have a credit report at this time.

Exhibit C-8 "Bankruptcy Information"

Neither CSD Energy Advisors, LLC nor its principals, Jonathon Sowyrda and Andrew Barth, have ever engaged in any reorganization, bankruptcy filing or other action to seek protection from creditors.

Exhibit C-9 "Merger Information"

No merger or acquisition in the two most recent years.

Exhibit C-10 "Corporate Structure"

CSD Energy Advisors, LLC is a stand-alone entity with no affiliate or subsidiary companies.