lol

FILE

#### Blue Line Marketing, LLC, d/b/a Blueline Energy

700 Commerce Drive Suite 500 Oak Brook, IL. 60523 727-203-8902

2017 OCT -2 PM 3: 16

PUCO

September 27, 2017

Public Utilities Commission of Ohio Docketing Division 180 East Broad Street Columbus, OH 43215-3793

17-2079-EL-AGG

Re: Blue Line Marketing, LLC, d/b/a Blueline Energy

Dear Sir or Madam:

Enclosed for filing with the Commission on behalf of Blue Line Marketing, LLC, d/b/a Blueline Energy, is an Initial Certification Application for Electric Aggregators/Power Brokers, along with all required exhibits. Please note that any financial exhibits are being filed under seal, and thus, should be subject to the automatic 6 years protection from disclosure.

If you require anything further, please contact the undersigned.

Sincerely,

Michael S. Wood, President

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

Technician Pate Processed UCT - 2 2017



P	UCO USE ONLY	
Date:Received	Case Number	Version
	2 <i>0</i> 79 -EL-AGG	May 2016

#### INITIAL CERTIFICATION APPLICATION FOR ELECTRIC AGGREGATORS/ POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-12 Company History). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.

You may also down	nload the form, by saving it to your local disk, for later use.	
APPLICANT INF	ORMATION	1007
Applicant intends to	be certified as: (check all that apply)	· ;
Power Broker	Aggregator	2017 OCT -2 PH 3:
Applicant's legal nat	me, address, telephone number and web site address	بب رب آ
Legal Name Blue Line M	larketing, LLC, d/b/a Blueline Energy	
Address 700 Commerce Dr.	, Suite 500, Oak Brook, IL 60523	
Telephone # (727) 203-8902	Web site address (if any) none	
will do business in O		ant
will do business in O  Legal Name Blue Line Mar		ant
will do business in O  Legal Name Blue Line Mar Address same as above	Dhio	ant
will do business in O  Legal Name Blue Line Mar Address same as above Telephone #  List all names under	Phio keting, LLC, d/b/a Blueline Energy	ant
will do business in O  Legal Name Blue Line Mar Address same as above Telephone #  List all names under Blue Line Marketing, LLC	Web site address (if any) none	ant
Will do business in O  Legal Name Blue Line Mar Address same as above Telephone #  List all names under	Web site address (if any) none	ant
will do business in O Legal Name Blue Line Mar Address same as above Telephone #  List all names under Blue Line Marketing, LLC Blueline Energy  Contact person for r	Web site address (if any) none	ant
Will do business in O  Legal Name Blue Line Mar Address same as above Telephone #  List all names under Blue Line Marketling, LLC Blueline Energy	which the applicant does business in North America	ant

	Business address 4219	JS Hwy 19, Suite	A, New Port Rich	ey, FL 34652		
	Telephone # (727) 203-8	902	Fax #	(888) 321-8659		<del></del>
	E-mail address	Michelie@bluetine	marketing.blz		<del>-</del>	
A-6	Contact person for	Commissi	on Staff use	e in investigati	ng customer (	complaints
	Name same as in A-5					
	Title	_				
	Business address Telephone #			<del></del>		
	Telephone #		Fax #			<del></del>
	E-mail address		<del>.</del>			
<b>A-</b> 7	Applicant's address	and toll-f	ree numbei	for customer	service and c	omplaints
	Customer Service addi	ess 4219 US H	vy 19, Sulte A, Nev	v Port Richey, FL 34652		
	Customer Service addi Toll-free Telephone #	(888) 339-6178		Fax # (888) 321-8	1659	<del></del>
	E-mail address		elinemarketing.biz		<del></del> _	
A-9	Applicant's form of  Sole Proprietorship  Limited Liability Par  Corporation	·	□ Parti LP)	nership		
A-10	(Check all that a which the applicant class that the applicamercantile commerce 4928.01 of the Revised C part of a national account	intends to pant intendial, and incode, is a cor	provide serve, s to serve, lustrial. (A u nmercial custo	rice, including for example, nercantile custom	identification or residential, smaller, as defined in	of each customer nall commercial, (A) (19) of Section
	<ul> <li>□ First Energy</li> <li>□ Ohio Edison</li> <li>□ Toledo Edison</li> <li>□ Cleveland Electric Ill</li> <li>□ Duke Energy</li> <li>➡ Monongahela Power</li> <li>□ American Electric Po</li> <li>□ Ohio Power</li> <li>□ Columbus Southern I</li> <li>□ Dayton Power and Light</li> </ul>	aminating = :  aminat	Residential Residential Residential Residential Residential Residential Residential Residential	□ Commercial     □ Commercial	■ Mercantile     ■ Mercantile	□ Industrial □ Industrial □ Industrial □ Industrial ☑ Industrial □ Industrial □ Industrial □ Industrial □ Industrial

A-11 Provide the approximate start date that the applicant proposes to begin delivering services

October 15, 2017

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-12 <u>Exhibit A-12 "Principal Officers, Directors & Partners"</u> provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-13 <u>Exhibit A-13 "Company History,"</u> provide a concise description of the applicant's company history and principal business interests.
- A-14 Exhibit A-14 "Articles of Incorporation and Bylaws," if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 <u>Exhibit A-15 "Secretary of State."</u> provide evidence that the applicant has registered with the Ohio Secretary of the State.

#### B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- B-3 Exhibit B-3 "Summary of Experience," provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6** "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation" detailing such action(s) and providing all relevant documents.

#### C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports)
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

- 1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
- 2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
- 3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
- 4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

- **C-6** Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 <u>Exhibit C-8 "Bankruptcy Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 <u>Exhibit C-9 "Merger Information,"</u> provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Manager Signature of Applicant & Title

JACQUELINE B. AKSAN
Notary Public, State of Florida
Commission# FF 177962
My comm. expires Nov. 19, 2018

Sworn and subscribed before me this

\_day of September, 2017

ignature of official administering oath

JACQUEUNE 15 AKSIN

Print Name and Tit

My commission expires on NV 194" WX

#### **AFFIDAVIT**

State of Florida

New Port Richey
(Town)

County of Pinellas

Michelle Rumore Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the General Mgr. (Office of Affiant) of Blue Line Marketing, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

- The Applicant herein, attests under penalty of false statement that all statements made in the
  application for certification are true and complete and that it will amend its application while the
  application is pending if any substantial changes occur regarding the information provided in the
  application.
- The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission
  of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity
  pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of
  Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- The Applicant herein, attests that it will comply with all state and/or federal rules and regulations
  concerning consumer protection, the environment, and advertising/promotions.
- 8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jcopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Saff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Sworn and subscribed before me this

day of Sept.

ature of official administering oath

Print Name and Title

My commission expires on

JACQUELINE B. AKSAN

Notary Public, State of Florida Commission# FF 177962 My comm. expires Nov. 19, 27

# INITIAL CERTIFICATION APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS PREPARED FOR THE PUBLIC UTILITIES COMMISSION OF OHIO

# EXHIBIT A-12 PRINCIPAL OFFICERS, DIRECTORS, & PARTNERS

NAME	TITLE	ADDRESS	PHONE NUMBER
Michael S. Wood	President	700 Commerce Dr. Suite 500 Oak Brook, IL 60523	727-203-8902
Bobcat Holdings, LLC	Managing Member	1209 Orange St. Wilmington, DE 19801	727-203-8902

# EXHIBIT A-13 COMPANY HISTORY

Blue Line Marketing, LLC is an Illinois limited liability company formed in July of 2014. Since formation, Blue Line has provided telemarketing services to electric service providers in various states. Blue Line is seeking now to enter into the broker/marketing field in Illinois, Ohio and Pennsylvania to sell retail electric service for alternative retail electric suppliers pursuant to contractual agreements with those suppliers.

# Exhibit A-14 Articles of Incorporation and Bylaws

#### Form LLC-5.5

# Illinois Limited Liability Company Act Articles of Organization

FILE # 04881303

Secretary of State Jesse White Department of Business Services Limited Liability Division www.cyberdriveillinois.com

Filing Fee: \$500 Expedited Fee: \$100 Approved By: JMD1 JUL 09 2014 Jesse White Secretary of State

**FILED** 

1. Limited Liability Company Name: BLUE LINE MARKETING, LLC

2. Address of Principal Place of Business where records of the company will be kept: 1919 S. HIGHLAND AVE., STE 300

LOMBARD, IL 60148

- 3. Articles of Organization effective on the filing date.
- 4. Registered Agent's Name and Registered Office Address:

CHRIS SCHUERING 506 VERMONT ST QUINCY, IL 62301-2902

**ADAMS** 

- Purpose for which the Limited Liability Company is organized:
   "The transaction of any or all lawful business for which Limited Liability Companies may be organized under this Act."
- 6. The LLC is to have perpetual existence.
- 7. The Limited Liability Company is managed by the manager(s).

DEERING, JAMES C. 1919 S. HIGHLAND AVE., STE 300 LOMBARD, IL 60148

WOOD, MICHAEL S. 1919 S. HIGHLAND AVE, STE 300 LOMBARD, IL 60148

3. Name and Address of Organizer

I affirm, under penalties of perjury, having authority to sign hereto, that these Articles of Organization are to the best of my knowledge and belief, true, correct and complete.

Dated: JULY 09, 2014

CHRISTOPHER SCHUERING 506 VERMONT QUINCY, IL 62301

This document was generated electronically at www.cyberdriveillinois.com

#### FILE# LC-5.25 Illinois Limited Liability Company Act May 2012 **Articles of Amendment** Secretary of State Department of Business Services Limited Liability Division SUBMIT IN DUPLICATE 501 S. Second St., Rm. 351 Springfleid, IL 62756 Type or print clearly. 217-524-8008 This space for use by Secretary of State. www.cyberdriveillinois.com Payment may be made by check Filing Fee: \$150 payable to Secretary of State. If check is returned for any reason this Approved: filing will be void. 1. Limited Liability Company Name: Blue Line Marketing, LLC 2. Articles of Amendment effective on: the file date ☐ a later date (not to exceed 30 days after the file date) 3. Articles of organization are amended as follows (check applicable item(s) below): ☐ a) Admission of a new member (give name and address below)\* ∅ b) Admission of a new manager (give name and address below)\* □ c) Withdrawal of a member (give name below)\* d) Withdrawal of a manager (give name below)\* 🗀 e) Change in address of the office at which the records required by Section 1-40 of the Act are kept (give new address, a P.O. Box alone or C/O is unacceptable.) Change of registered agent and/or registered agent's office (give new name and/or address below, Address change to P.O. box alone or c/o is unacceptable.) ☐ g) Change in the Limited Liability Company's name (give new name below) in the Change in date of dissolution or other events of dissolution enumerated in Item 6 of the Articles of Organization ☐ I) Other (give information in space below) ☐ j) Establish authority to issue series (see back filing fee \$400)\* Changes in members/managers may, but are not required to be reported in an amendment to the Articles of Organization. Additional information: ∕sJames C. Deering and Michael S. Wood are withdrawing as managers為Bobcat Hoidings, LLC, is being admitted as the new sole manager. Bobcat Holdings, LLC's address is: 1209 Orange Street, Wilmington, DE 19801.

New Name of LLC (as changed):

The name as changed must contain the words Limited Liability Company, LLC or L.L.C. (continued)

Printed by authority of the State of Illinois. FEBRUARY 2014 - 1 - LLC 11.15

#### LLC-5.25

- 4. The amendment was approved in accordance with Section 5-25 of the Illinois Limited Liability Company Act, and, if adopted by the managers, was approved by not less than the minimum number of managers necessary to approve the amendment, member action not being required; or, if adopted by the members, was approved by not less than the minimum number of members necessary to approve the amendment.
- 5. I affirm, under penalties of perjury, having authority to sign hereto, that these Articles of Amendment are to the best of my knowledge and belief, true, correct and complete.

Dated: August 26 2014 Month/Day Year mature (Must comply with Section 5-45 of ILLCA.) James C. Deering, manager Name and Title (tune or orint) If the mission or managers, any inis documpant is a company or other entity state Name of Company

and whether it is a member or manager of the LLC.

#### \* The following paragraph is adopted when item 3 is checked:

The operating agreement provides for the establishment of one or more series. When the company has filed a Certificate of Designation for each series, which is to have limited liability pursuant to Section 37-40 of the Illinois Limited Liability Company Act, the debts, liabilities and obligations incurred, contracted for or otherwise existing with respect to a particular series shall be enforceable against the assets of such series only, and not against the assets of the Limited Liability Company generally or any other series thereof, and unless otherwise provided in the operating agreement, none of the debts, liabilities, obligations or expenses incurred, contracted for or otherwise existing with respect to this company generally or any other series thereof shall be enforceable against the assets of such series.

# Exhibit A-15 Secretary of State



DATE 09/06/2017 DOCUMENT ID 201724800824

DESCRIPTION
REGISTRATION OF FOREIGN FOR PROFIT LLC
(I FP)

FILING 99.00 EXPED 0.00 CERT COPY

Receipt

This is not a bill. Please do not remit payment.

GOEHL, SCHUERING, CASSENS & BIER 506 VERMONT STREET QUINCY, IL 62301

### STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted 4069050

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

BLUE LINE MARKETING, LLC

and, that said business records show the filing and recording of:

Document(s)

Document No(s):

201724800824

REGISTRATION OF FOREIGN FOR PROFIT LLC

Effective Date: 09/05/2017

SECRETARY OF STREET

United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 6th day of September, A.D. 2017.

Jon Hustel
Ohio Secretary of State



DATE 09/15/2017 DOCUMENT ID 201725701124

DESCRIPTION FICTITIOUS NAME REGISTRATION (NFO) FILING 39,00

COPY CERT 0.00

0.00

0.00

#### Receipt

This is not a bill. Please do not remit payment.

GOEHL, SCHUERING, CASSENS & BIER **506 VERMONT STREET QUINCY, IL 62301** 

#### STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted 4072820

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

#### **BLUELINE ENERGY**

and, that said business records show the filing and recording of:

Document(s)

Document No(s):

201725701124

FICTITIOUS NAME REGISTRATION

Effective Date: 09/14/2017

BLUE LINE MARKETING, LLC 700 COMMERCE DRIVE, SUITE 500 OAK BROOK, IL 60523

Expiration Date:

09/14/2022

United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 15th day of September, A.D. 2017.

Jan Husted Ohio Secretary of State

# EXHIBIT B-1 JURISDICTIONS OF OPERATION

Blue Line Marketing, LLC is not currently certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services or aggregation services. Blue Line is currently seeking licensing as a broker/marketer in OH, IL and PA.

# Exhibit B-2 EXPERIENCE AND PLANS

Blue Line Marketing, LLC was created in July of 2014. Its general manager and sales manager have over 12 combined years in the electric industry. Day-to-day operations are handled by the general manager and sales manager.

MICHELLE RUMORE is currently the General Manager of Blue Line Marketing, LLC. Michelle began working with Blue Line in August of 2014. Michelle is responsible for supervising the sales manager and commercial manager, is in charge of Blue Line's day-to-day operations as a telemarketing firm for an electric and natural gas supplier in Illinois and several other states. As such, she oversees the sales, contracting and customer service aspects of Blue Line. Prior to joining Blue Line, Michelle spent four years, from August 2010 through July 2014, as Chief Operations Officer of Nexgen Energy, LLC. where her duties were similar to those at Blue Line, including handling day-to-day operations of the company, payroll, sales, contracting with suppliers, and employee supervision.

JASON CLINE is currently Blue Line Marketing, LLC's Sales Manager. Jason began working with Blue Line in August of 2014. Jason is responsible for direct energy sales and telecommunications. His typical duties include handling IT matters, writing sales scripts, policies and procedures, coordinating contracts between suppliers and customers, and making sales submissions. Prior to joining Blue Line, Jason worked with Nexgen Energy, LLC (from September 2012 through May 2014) as its Sales Manager, responsible for direct energy sales and brokerage and telecommunications. From June 2011 through July 2012, Jason was Assistant General Manager for Platinum Marketing, in the areas of direct energy sales and telecommunications. From September 2008 through May 2011, Jason was Assistant Manager for Quality Resources, in the areas of direct marketing and telecommunications.

#### **CONTRACTING WITH CUSTOMERS**

Blue Line will clearly disclose all terms and conditions of the agreement with the suppliers it contracts with to the customer and seeks to provide competitively priced electric products through those suppliers. Blue Line will comply with all rules and laws set forth by the Public Utilities Commission.

#### **PROVIDING CONTRACTED SERVICES**

Blue Line does not and will not directly provide the contracted electric services to customers, but will work with suppliers to arrange such services to customers.

#### PROVIDING BILLING STATEMENTS

Blue Line intends to provide energy brokering services and will charges brokerage fees to be paid by the suppliers.

#### **RESPONDING TO CUSTOMER INQUIRIES AND COMPLAINTS**

Blue Line strives to provide outstanding customer service and the lowest possible rates to customers. Blue Line strives to provide a live person to answer the telephone, and if messages are left, strives to return calls as quickly as possible. Small and large customers receive the same high level of service.

{00055758,DOCX 2}

# EXHIBIT B-3 SUMMARY OF EXPERIENCE

Blue Line does not, nor is it seeking to, provide aggregation services.

# EXHIBIT B-4 DISCLOSURE OF LIABILITIES AND INVESTIGATIONS

Liabilities and Investigations: None

# EXHIBIT C-1 ANNUAL REPORTS

Blue Line Marketing, LLC is a privately held company, therefore it does not publish annual reports. However, please see Exhibit C-3 "Financial Statements" to find comparable data.

EXHIBIT C-2
SEC FILINGS

Blue Line Marketing, LLC is a privately held company, therefore it is not required to file 10-K or 8-K filings with the SEC.

# EXHIBIT C-3 FINANCIAL STATEMENTS

Blue Line Marketing, LLC has submitted financial statements under seal.

# EXHIBIT C-4 FINANCIAL ARRANGEMENTS

electricity sold, and is seeking to be licensed as a broker.

# **EXHIBIT C-5**

**FORECASTED INCOME STATEMENTS** 

**PREPARED BY:** 

**Michael Wood** 

**Blue Line Marketing, LLC** 

700 Commerce Drive, Suite 500

Oak Brook, IL 60523

mike@bluelinemarketing.biz

727-203-8902

Blue Line Marketing, LLC has submitted forecasted income statements under seal.

# EXHIBIT C-6 CREDIT RATING

Blue Line Marketing, LLC is a privately held company that does not have credit ratings with Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or any similar organization. Blue Line has provided a credit report from Dun and Bradstreet as Exhibit C-7 "Credit Report."

# EXHIBIT C-7 CREDIT REPORT

Following is the Dunn and Bradstreet credit report for Blue Line Marketing, LLC.

Following is the Dunn and Bradstreet credit report for Blue Line Marketing, LLC.



Live Report : BLUE LINE MARKETING LLC

D-U-N-S® Number: 08-788-5033 Endorsement/Billing Reference:

D&B Address

Address 700 Commerce Dr Ste 500 Oak Brook, IL, US - 60523

Phone UNKNOWN

Fax

Location Type Single Location

Web

## **Company Summary**

Currency: Shown in USD unless otherwise indicated

Working Capital		Unavailable	
SIC Code - D&B	•	8742	Management consulting services
Sales (Revenue)		Unavailable	
ROCE %		Unavailable	
Return on Sales %		Unavailable	
Return on Net Worth %		Unavailable	The second section of the second seco
Return on Assets %		Unavailable	
Highest Credit		100,00	Highest credit granted in the past 24 months.
High Credit - Average		100.00	Average High Credit for payment experience reported to D&B.
inancial Stress Score National Percentile	ଉ	49	Moderate Risk of severe financial stress.
Financial Stress Score	0	1474	Moderate Risk of severe financial stress.
Employees		UNDETERMINED	Includes Officers
EBITDA		Unavailable	
Earnings Before Interest and Taxes		Unavailable	
D&B Viability Rating		6 5 D	View More Belails
Credit Limit - D&B Aggressive		2,500.00	Based on profiles of other similar companies.
Commercial Credit Score Percentile	0	21	Moderate to High Risk of severe payment delinquency.
Commercial Credit Score	O	474	Moderale to High Risk of severe payment definquency.
Assets - Total	.,	Unavailable	
Total Payment Experiences		2	Total number of trade payment experiences collected by D&B.
Fotal Liabilities to Net Worth %		Unavailable	٠, . هم ه ه هم استان من هر موسود به مساول من من المساول المن المنظم المن
Suit Found		. No	
		Unavailable	

Judgments Found	No	
Liabilities - Total	Unavailable	
Liabilities - Total Current	Unavailable	
Lien Found	No	
Out of Business Indicator	No	
History Indicator	Unavailable	
Debt to Income Ratio	Unavailable	
Current Ratio	Unavailable	
Commercial Credit Score Class	4	Moderate to High Risk of severe payment definquency.
Financial Stress Score Class	3	Moderate Risk of severe financial stress.
Credit Limit - D&B Conservative	750.00	Based on profiles of other similar companies.
PAYDEX®	Unavailable	
Bankruptcy Found	No	
D&B Rating	DS	The information available does not permit us to classify the company.

6	Viabil	ity Score	: 6	
5	Portfo	olio Comp	parison:	5
D	Data	Depth Inc	dicator:	D
	Comp	any Profi	le: R	\$7.50 AS 1.70
	Financial Data	Trade Payments	Company Size	Years in Busines
	Not Available	Available (1-2 Trade)	Small	Young
	pany Over	view	··· ·········	
.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	ingle locati	lon		
This is a s Year Star	ted	20	015	
l'his is a s Year Star Employes	ted	20	NDETERMINE	ED
This is a s Year Star	ted es	20 UI 87 M		

7.72	
20 X	
/ N. Y.	
-	

This Company is not currently tracked for Company

Powered by FirstRain

Pμ	blic	c F	ilin	as

The following data includes both open and closed filings found in D&B's database on this company.

Record Type Number of Most Recent Records Filing Date				
Bankruptcles	0			
Judgments	0	-		
Llens	0	-		
Sults	0	-		
UCCs	0	•		

The public record items contained herein may have been paid, terminated, vacated or released prior to todays date.

### **Predictive Scores**

Currency: Shown in USD unless otherwise indicated

# D&B Viability Rating Summary

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will go out of business, become dormant/inactive, or file for bankruptcy/insolvency within the next 12 months. The D&B Viability Rating is made up of 4 components:



**Viability Score** 

Lowest Risk:1

Highest Risk:9

### Compared to All US Businesses within the D&B Database:

- · Level of Risk: Moderate Risk
- Businesses ranked 6 have a probability of becoming no longer viable: 13 %
- Percentage of businesses ranked 6: 30 %
- . Across all US businesses, the average probability of becoming no longer viable: 14 %

Lowest Risk:1

Highest Risk:9

### Compared to All US Businesses within the same MODEL SEGMENT:

- Model Segment : Limited Trade Payments
- · Level of Risk: Moderate Risk
- Businesses ranked 5 within this model segment have a probability of becoming no longer viable: 10 %
- · Percentage of businesses ranked 5 with this model segment: 15 %
- Within this model segment, the average probability of becoming no longer viable: 11 %

**Data Depth Indicator** 

Predictive Data: A Descriptive Data: G

The latest the property of the control of the contr

#### Data Depth Indicator:

- √ Rich Firmographics
- √ Partial Commercial Trading Activity
- X No Financial Attributes

Greater data depth can increase the precision of the D&B Viability Rating assassment.

	Company Profile	Financial Data	Trade Payments	Company Size	Years in Business
		Not Available	Available (1-2 Trade)	Small	Young

#### Company Profile Details:

- · Financial Data: Not Available
- Trade Payments: Available (1-2 Trade)
- · Company Size: Small (Employees: <10 and Sales: <\$10K or Missing)
- Years in Business: Young (<5)

# Credit Capacity Summary

This credit rating was assigned because of D&B's assessment of the company's creditworthiness. For more information, see the

D&B Rating Key

D&B Rating:

DS

The DS rating indicates that the information available does not permit D&B to classify the company within our rating key.

Number of Employees Total:

UNDETERMINED

Payment Activity:	(based on 2 experiences)
Average High Credit:	100
Highest Credit:	100
Total Highest Credit:	150

D&B Credit Limit Recommendation

Conservative credit Limit

750

Aggressive credit Limit:

2,500

Risk category for this business:

**MODERATE** 

The Credit Limit Recommendation (CLR) is intended to serve as a directional benchmark for all businesses within the same line of business or industry, and is not calculated based on any individual business. Thus, the CLR is intended to help guide the credit limit decision, and must be balanced in combination with other elements which reflect the individual company's size, financial strength, payment history, and credit worthiness, all of which can be derived from D&B reports.

Risk is assessed using D&Bs scoring methodology and is one factor used to create the recommended limits. See Help for details,

Financial Stress Class Summary

The Financial Stress Score predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&Bs extensive data files.

The Financial Stress Class of 3 for this company shows that firms with this class had a failure rate of 0.24% (24 per 10,000), which is lower than the average of businesses in D & B's database

Financial Stress Class: 3 (Lowest Risk:1; Highest Risk:5)

Moderately lower than average risk of severe financial stress, such as a bankruptcy or going out of business with unpaid debt, over the next 12 months.

Probability of Failure:

Risk of Severe Financial Stress for Businesses with this Class: 0.24 % (24 per 10,000) Financial Stress National Percentile: 49 (Highest Risk: 1; Lowest Risk: 100) Financial Stress Score: 1474 (Highest Risk: 1,001; Lowest Risk: 1,875)
Average Risk of Severe Financial Stress for Businesses in D&B database: 0.48 % (48 per 10,000)

The Financial Stress Class of this business is based on the following factors:

Limited time in business

Low proportion of satisfactory payment experiences to total payment experiences.

51 5

#### Notes:

The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.

The Probability of Fallure shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Probability of Fallure - National Average represents the national failure rate and is provided for comparative purposes.

The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&Bs file.

The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

Norms	National %
This Business	49
Region: EAST NORTH CENTRAL	50
Industry: BUSINESS, LEGAL AND ENGINEERING SERVICES	52
Employee range:	UN
Years in Business: 2-3	33

This Business has a Financial Stress Percentile that shows:

Higher risk than other companies in the same region.

Higher risk than other companies in the same industry.

Lower risk than other companies with a comparable number of years in business.

## Credit Score Summary

The Commercial Credit Score (CCS) predicts the likelihood of a business paying its bills in a severely definquent manner (91 days or more past terms).

The Credit Score class of 4 for this company shows that 9.4% of firms with this class paid one or more bills severely delinquent, which is lower than the average of businesses in D & B's database.

# Credit Score Class: 4 Lowest Risk:1;Hlghest Risk:5

incidence of Delinquent Payment

Among Companies with this Classification: 9.40 % Average compared to businesses in D&Bs database: 10.20 %

Credit Score Percentile: 21 (Highest Risk: 1; Lowest Risk: 100) Credit Score: 474 (Highest Risk: 101; Lowest Risk:670)

The Credit Score Class of this business is based on the following factors:

Higher risk region based on delinquency rates for this region Limited number of satisfactory payment experiences Higher risk industry based on delinquency rates for this industry Limited time under present management control Limited business activity signals reported in the past 12 months Decreasing trend in reported number of payment experiences

#### Notes:

The Commercial Credit Score Risk Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience severe delinquency.

The incidence of Delinquent Payment is the percentage of companies with this classification that were reported 91 days past due or more by creditors. The calculation of this value is based on D&B's trade payment database.

The Commercial Credit Score percentile reflects the relative ranking of a firm among all scorable companies in D&B's file.

The Commercial Credit Score offers a more precise measure of the level of risk than the Risk Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

National %

This Business

Region: EAST NORTH CENTRAL	54
Industry: BUSINESS, LEGAL AND ENGINEERING SERVICES	43
Employee range:	UN
Years in Business: 2-3	43

This business has a Credit Score Percentile that shows:

Higher risk than other companies in the same region.

Higher risk than other companies in the same industry.

Higher risk than other companies with a comparable number of years in business.

## **Trade Payments**

		Currency: Shown in USD unless otherwise Indicated
D&B PAYDEX®		
Timeliness of historical payments for this compar	ny.	
Current PAYDEX is		Unavailable
Industry Median is	80	Equal to generally within terms
Payment Trend currently is		Unavailable, compared to payments three months ago

indications of slowness can be the result of dispute over merchandise, skipped invoices etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Total payment Experiences in D&Bs File (HQ)				
Payments Within Terms (not weighted)	N/A			
Trade Experiences with Slow or Negative Payments(%)	0.00%			
Total Placed For Collection	D			
High Credit Average	100			
Largest High Credit	100			
Highest Now Owing	100			
Highest Past Due	0			

D&B has not received a sufficient sample of payment experiences to establish a PAYDEX score.D&B receives nearly 400 million payment experiences each year. We enter these new and updated experiences into D&B Reports as this information is received. At this time, none of those experiences relate to this company.

Payment Habits

For all payment experiences within a given amount of credit extended, shows the percent that this Business paid within terms. Provides number of experiences to calculate the percentage, and the total credit value of the credit extended.

\$ Credit Extended	# Payment Experiences	Total Amount	% of Payments Within Terms
Over 100,000	0	0	0%
50,000-100,000	0	0	0%
15,000-49,999	0	0	0%
5,000-14,999	0	0	0%
1,000-4,999	0	0	0%
		53	

Under 1,000	0	α	1%
011461 1,000	•	<b>u</b>	. 10

Based on payments collected over last 24 months.

All Payment experiences reflect how bills are paid in relation to the terms granted, in some instances, payment beyond terms can be the result of disputes over merchandles, skipped invoices etc.

### Payment Summary

There are 2 payment experience(s) in D&Bs file for the most recent 24 months, with 0 experience(s) reported during the last three month period.

The highest Now Owes on file is 100. The highest Past Due on file is 0

Below is an overview of the companys currency-weighted payments, segmented by its suppliers primary industries:

	Keva .	otal Larg	អូរ ខែនយោប្រា	Within Terms (%)		ys Si 1 31-6 ) (%)	ow 0 61-	90 90>
Top Industries								
Data processing svcs	1	100	100	100	0	0	0	0
Other payment categories								
Cash experiences	1	50	50					
Payment record unknown	0	0	0					
Unfavorable comments	0	0	0					
Placed for collections	٥	N/A	0					
Total in D&B's file	2	150	100					

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Indications of slowness can be result of dispute over merchandise, skipped invoices etc.

## Detailed payment history for this company

Date Reported (mm/yy)	Paying Record	High Credit Now (	Ower Past Di	selling Jerms	Last Sale Within (month)
04/17	(001)	50		Cash account	1 mo
02/16	Ppt	100	100	0	1 mo

Payments Detail Key: 30 or more days beyond terms

Payment experiences reflect how bills are paid in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices, etc. Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

### **Public Filings**

Currency: Shown in USD unless otherwise indicated

A check of D&B's public records database indicates that no filings were found for BLUE LINE MARKETING LLC at 700 Commerce Dr Ste 500 , Oak Brook II .

D&B's extensive database of public record information is updated daily to ensure timely reporting of changes and additions, it includes business-related suits, liens, judgments, bankruptcles, UCC financing statements and business registrations from every state and the District of Columbia, as well as

select filling types from Puerto Rico and the U.S. Virgin Islands.

D&B collects public records through a combination of court reporters, third parties and direct electronic links with federal and local authorities. Its database of U.S. business-related fillings is now the largest of its kind.

## **History & Operations**

Currency: Shown in USD unless otherwise indicated Company Overview Company Name: BLUE LINE MARKETING LLC Street Address: 700 Commerce Dr Ste 500 Oak Brook, IL 60523 Phone: UNKNOWN Present management control 2 years History The following information was reported: 06/18/2017 Business started 2015. Business address has changed from 1919 S Highland Ave Ste 300, Lombard, IL, 60148 to 1 Tower Ln Ste 1700, Oakbrook Terrace, IL, 60181. Business address has changed from 1 Tower Ln Ste 1700, Oakbrook Terrace, IL, 60181 to 700 Commerce Dr Ste 500, Oak Brook, IL, 60523. **Business Registration** CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF Sep 08 2017 BLUE LINE MARKETING, LLC Registered Name: LIMITED LIABILITY COMPANY Business type: State of incorporation: ILLINOIS Jul 09 2014 Filing date: Registration ID: 04881303 Status: GOOD STANDING Status Attained Date: Jun 27 2017 SECRETARY OF STATE/LIMITED LIABILITY COMPANY DIVISION, Where filed: SPRINGFIELD . IL CHRIS SCHUERING , 506 VERMONT ST , QUINCY , 1L , 623012902Registered agent: Agent appointed; Jul 09 2014 BOBCAT HOLDINGS, LLC, MANAGER, 1209 ORANGE ST., WILMINGTON, Principals: Operations 06/18/2017 Description: Provides management consulting services, specializing in marketing consulting services. UNDETERMINED. Employees: Facilities: Occupies premises in building.

This address has one or more entitles that have been confirmed by D & B as high risk. Additionally this address is believed to 55

9

ŧ	ocetion	•

be a virtual office/executive suite address.

SIC & NAICS	

#### SIC:

Based on Information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific about a company's operations than if we use the standard 4-digit code.

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window

8742 0300 Marketing consulting services

NAICS:

541613 Marketing Consulting Services

### **Financials**

Company Financials: D&B	The Market was a recommendation of the control of t

## Additional Financial Data

# Request Financial Statements

## Request Financial Statements

Requested financials are provided by BLUE LINE MARKETING LLCand are not DUNSRight certified.

## Key Business Ratios

D & B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance.

Industry Norma Boood On 404 E-tablishments

To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

## Based on this Number of Establishments

181

This Business Industry Median Industry Quartile									
	This Business	Industry Median	Industry Quartile						
Profitability									
Return on Sales %	UN	4.4	UN						
Return on Net Worth %	UN	25,1	พ						
Short-Term Solvency									
Current Raţio	UN	1.8	UN						
Quick Ratio	ŮΝ	1.4	UN						
Efficiency									
Assets to Sales %	ΠM	32.8	UN						
Sales / Net Working Capital	UN	8.2	NN						
Utilization									
Total Liabilities / Net Worth (%)	NN	87.2	UN						

UN = Unavailable

# Detailed Trade Risk Insight™

Detailed Trade Risk Insight provides detailed updates on over 1.5 billion commercial trade experiences collected from more than 260 million unique supplier/purchaser relationships.

Days Beyond Terms - Past 3 & 12 Months

There is not sufficient reported trading activity to generate 3 month Days Beyond Terms (a minimum of 3 trade experiences from at least 2 companies).

There is not sufficient reported trading activity to generate 12 month Days Beyond Terms (a minimum of 3 trade experiences from at least 2 companies).

Derogatory Events Last 12 Months from Sep 16 to Aug 17

No Derogatory trade Event has been reported on this company for the past 13 Months

Total Amount Current and Past Due - 12 month trend from Sep 16 to Aug 17

Status	Sep-16	Oct-16	Nov-16	Dec-16	Jan-17	Feb-17	Mar-17	Apr-17	May-17	Jun-17	Jul-17	Aug-17
Total	0	0	O.	0	0	0	0	0	0	0	0	D
Current	-	-	-	-	-	^	-	-		-	-	
1-30 Days Past Due	-	-	-	-		-	-	-		-	-	
31-60 Days Past Due	_	-		-	_		-	-	_	-	-	_
61-90 Days Past Due	-	-	-	~		•	-	-	_	~		_
90+ Days Past Due	-	-	-	-	-	-	_				-	_

This information may not be reproduced in whole or in part by any means of reproduction.

@ 2005-2017 Dun & Bradstreet, Inc.

# EXHIBIT C-8 BANKRUPTCY INFORMATION

Blue Line Marketing, LLC has creditors, or filed bankruptcy.	never undergone	any reorganization,	sought protection from

# EXHIBIT C-9 MERGER INFORMATION

Blue Line Marketing, LLC has never undergone a dissolution, merger, or acquisition.

# EXHIBIT C-10 CORPORATE STRUCTURE

Blue Line Marketing, LLC is a manager managed LLC. Its sole managing manager is Bobcat Holdings, LLC. There are no affiliates or subsidiary companies of Blue Line.