

FILE



**ENERGYAUCTION**  
HOUSE

44 Route 6A  
Sandwich, MA 02563  
Phone: 774-413-5116  
www.energyah.com

(27)  
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2017 SEP 11 PM 1:41  
FUCO

Public Utilities Commission of Ohio  
Docketing Division  
180 East Broad Street  
Columbus, Ohio 43215

September 5, 2017

RE: Case Number: 13-2069-EL-AGG  
Renewal Application for Power Broker

The Honorable Commission,

Please accept the enclosed renewal application for Energy Auction House Inc. I have enclosed the notarized original application as well as three copies.

I can be reached at 857-222-0958 or at legal@energyah.com if I there are any questions.

Thank you in advance for your assistance and consideration.

Michael B Giery  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
Technician ke Date Processed SEP 11 2017

ORIGINAL

WBL 9/15/17

Ohio

Public Utilities  
Commission

Original AGG Case Number	Version
EL-AGG	May 2016

## RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

## A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☐ Aggregator

## A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Energy Auction House Inc.  
 Address 44 Route 6A Sandwich MA 02563  
 PUCO Certificate # and Date Certified 13-754E (1) effective November 10, 2017  
 Telephone # 774-413-5116 Web site address (if any) www.energyah.com

## A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Energy Auction House Inc.  
 Address 44 Route 6A Sandwich MA 02563  
 Telephone # 888-453-7778 Web site address (if any) www.energyah.com

## A-4 List all names under which the applicant does business in North America

Energy Auction House Inc.  
 \_\_\_\_\_  
 \_\_\_\_\_

## A-5 Contact person for regulatory or emergency matters

Name Michael B Giery Esq.  
 Title Director  
 Business address 44 Route 6A Sandwich, MA 02563  
 Telephone # 774-413-5116 Fax # 508 321-9450  
 E-mail address legal@energyah.com

**A-6 Contact person for Commission Staff use in investigating customer complaints**

Name Michael B Giery Esq.  
Title Director  
Business address 44 Route 6A Sandwich, MA 02563  
Telephone # 774-413-5116 Fax # 508-321-9450  
E-mail address legal@energyah.com

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 44 Route 6A Sandwich MA 02563  
Toll-free Telephone # 888-453-7778 Fax # 508-321-9450  
E-mail address info@energyah.com and/or legal@energyah.com

**A-8 Applicant's federal employer identification number # 463267645**

**A-9 Applicant's form of ownership (check one)**

- |  |  |
|--|--|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                     |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation              | <input type="checkbox"/> Other _____                     |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

**A-10 Exhibit A-10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

CEO, Joel Finley 26 Cove Rd Forestdale 02644 ph: 617-888-2222  
Director, Michael Giery 78 Puritan Road Buzzards Bay Ma 02532 ph: 857-222-0958  
President, Eric Nickulas 15 Lakeview Drive Centerville, Ma. 02632 ph: 508-815-6464

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.**
- B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.**

B-3 Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as Exhibit B-4 "Disclosure of Consumer Protection Violations" detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation" detailing such action(s) and providing all relevant documents.

## C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

C-2 Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3**    **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4**    **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant’s financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

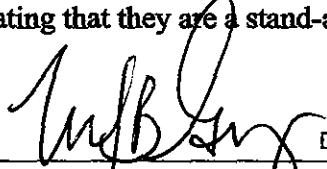
Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.


First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

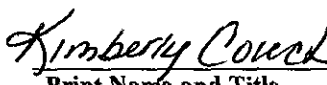
1.        The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2.        Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3.        Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4.        Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report," provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C - 10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

  
 Signature of Applicant & Title Director/Counsel  
 Sworn and subscribed before me this 5th day of September, 2017  
 Month Year

  
 Signature of official administering oath

  
 Print Name and Title *Director of Operator*

My commission expires on July 13, 2023

# **AFFIDAVIT**

State of Massachusetts :

Sandwich ss.

(Town)

County of Barnstable :

Michael B. Giery, Affiant, being duly sworn/affirmed according to law, deposes and says that:


He/She is the Director and Counsel (Office of Affiant) of Energy Auction House Inc. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)


11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

  
Director/Counsel  
Signature of Affiant & Title

Sworn and subscribed before me this 5th day of September, 2017  
Month Year

  
Signature of official administering oath

  
Kimberly Couck Director of  
Print Name and Title Operators

My commission expires on July 13, 2023





**Exhibit A-10**

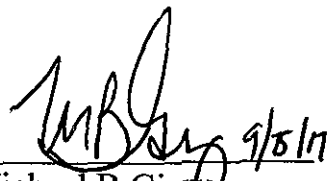
**Principal Officers, Directors and Partners**

The 3 Managing Directors and Owners are:

<b>Michael B Giery</b>	<b>2.5%</b>	<b>78 Puritan Road Buzzards Bay MA 02532</b>
<b>Phone: 857-222-0958</b>		<b>email: mike@energyah.com</b>
<b>Joel Finley</b>	<b>46.5%</b>	<b>26 Cove Road Forestdale MA 02644</b>
<b>Phone: 617-888-2222</b>		<b>email: joel@energyah.com</b>
<b>Eric Nickulas</b>	<b>44%</b>	<b>15 Lakeview Drive Centerville, MA 02632</b>
<b>Phone: 508-815-6464</b>		<b>email: eric@energyah.com</b>

No one single key employee holds more than 2% of the remaining 7% of Stock.

Energy Auction House has self-funded and has not borrowed any money from any source.

  
Michael B Giery  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958

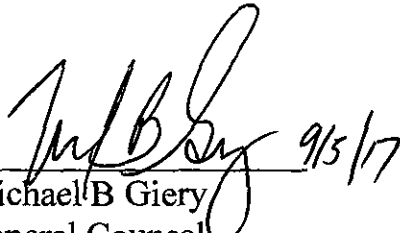


## **Exhibit B-1**

### **Jurisdiction of Operation**

**Energy Auction House is licensed to serve Electricity Customers in the following Jurisdictions:**

Massachusetts: Electricity and Natural Gas  
New Hampshire: Electricity and Natural Gas  
Rhode Island: Electricity and Natural Gas  
New York: Electricity and Natural Gas  
New Jersey: Electricity and Natural Gas  
Connecticut: Electricity and Natural Gas  
Illinois: Electricity and Natural Gas  
Ohio: Electricity only  
Texas: Electricity and Natural Gas  
Florida: Natural Gas only  
Pennsylvania: Electricity and Natural Gas  
Maryland: Electricity and Natural Gas  
District of Columbia: Electricity only  
Maine: Electricity and Natural Gas

  
Michael B Giery  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958



## **Exhibit B-2**

### **Experience and Plans**

Energy Auction House is a Natural Gas and Electricity Brokerage House based in Sandwich Massachusetts. Our management team has over 25 yrs. combined experience in de-regulated retail energy and our sales force is comprised of seasoned sales professionals. Our mission is to build trusted and long lasting relationships and become the customers' full service energy consultant. Besides traditional brokerage services, EAH provides a web-based Reverse Auction Platform where multiple Energy Suppliers bid against each other in real time, guaranteeing the lowest possible price for our customers business. If at the conclusion of a scheduled Auction, the customer is not satisfied with the lowest bid, there is no obligation to the customer and they schedule another auction or just walk away.

Plans in Ohio: In the last several months we have interviewed several candidates in Ohio to manage a sales team and establish a physical office. Ohio is the only state where EAH operates where we do not have authority to broker Natural Gas due to the requirement of a physical presence. EAH only has one Electricity account in Ohio as of this submission. Our growth is steady and gradual and hope we are successful in finding the right Energy Professional to build a team in Ohio. There are no plans to change our business model at this time. New operational support employees are added as needed.

In response to compliance with Section 4928.10 of the Revised Code: EAH has an Attorney on staff to answer all sales staff or customer questions at all time. I, Michael Giery, am in the office every day and devote 100% of my time to EAH and all its operational functions with no other legal obligations to any other firm or personal practice.

EAH works with both the supplier and customer to be sure that the customer is receiving a bill that he or she understands. EAH has a toll-free customer service line staffed with experienced representatives to respond to inquiries. I personally will answer that line when available. I personally monitor the [Info@energyah.com](mailto:Info@energyah.com) and [legal@energyah.com](mailto:legal@energyah.com) email inbox and respond to each and every email on a daily basis.

Key Employee profiles attached hereafter.

## **On Site Day to Day Management of Energy Auction House**

### **Michael Giery, Counsel**

Michael has an extensive business history encompassing both management and ownership experience. He worked as a public servant for both the City of Boston and the Commonwealth of Massachusetts in a number of roles that encompassed both law enforcement and social services. Michael was also a member of The Massachusetts Committee for Public Counsel Services specializing in the legal representation of indigent clients. Prior to joining Glacial Energy, Michael worked as an attorney specializing in network development and regulatory matters for a number of major telecommunication companies. He has an undergraduate degree from Johnson & Wales University and a Law Degree from Massachusetts School of Law. Serving as General Counsel for Glacial Energy from Aug 2008 until Jan 2014, Michael has extensive regulatory and operational knowledge of the de-regulated energy industry. Michael has worked exclusively in the deregulated electricity industry from Aug 2008 until today.

### **Joel Finley, CEO**

Joel is currently the CEO of Energy Auction House with over 9 years' experience in the deregulated energy markets. Formerly the President of Sales for Glacial Energy from 2005 until 2012, Joel was instrumental in their rapid expansion. Prior to joining Glacial Energy, Joel served as the Owner/Project Manager at Realty Development Corporation of Massachusetts and as a Site Acquisition Manager for Finley Enterprises. There he managed all aspects of real estate network development and site acquisition including leasing, zoning, and permitting for companies such as T-Mobile, AT&T, Nextel, Sprint, and General Dynamics. He attended Massachusetts Maritime Academy and is a 1996 graduate of Sierra College in California. Joel holds both an FAA Pilots license and a USCG Charter Captains license. Joel has worked continually in the deregulated electricity from 2005 until today.

### **Eric Nickulas, President**

Eric, a graduate of University of New Hampshire, pursued a career as a professional athlete. After receiving many honors and awards with various teams, Eric's career as a member of the Boston Bruins is most notable. After retiring from hockey, Eric joined Glacial as a Business Development Manager and in his five-year tenure there, he rose to the position of President of New England Sales. Eric joined Energy Auction House in September 2014 and became of the majority owners a few months later. Eric has worked exclusively in the deregulated electricity from March 2009 until today.

**Other key employees include: Zachary Langbert, Chief Technology Officer**

**Kimberly Couch, Office Manager and Pricing Manager**

**Derek Bekar, Vice President of Sales**

**Eric Healey, Vice President of Sales**

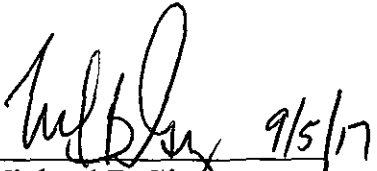


### **Exhibit B-3**

#### **Disclosure of Liabilities and Investigations**

To the best of my knowledge, Energy Auction House Inc. ("EAH") is not the subject of any regulatory investigations.

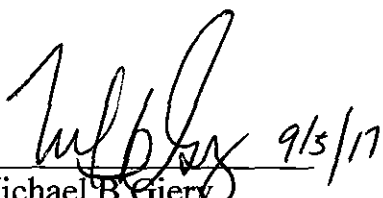
EAH has no pending or past rulings, judgments, contingent liabilities or any other matter that would adversely impact the financial or operational status or ability to provide the services it is seeking to be certified to provide.

 9/5/17  
\_\_\_\_\_  
Michael B Gier  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958



**Exhibit C-1**  
**Annual Reports**

EAH is not publicly traded company and therefore does not have any shareholders to whom Energy Auction House would be required to provide an annual report to.

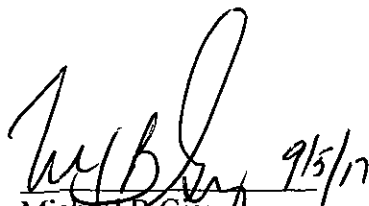
  
\_\_\_\_\_  
Michael B. Giery  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958



## **Exhibit C-2**

### **SEC Filings**

Energy Auction House Inc. is privately held and is not required by law to file with the SEC because it is below the threshold required by the Securities Exchange Act.

  
Michael B. Giery  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958



### **Exhibit C-3**

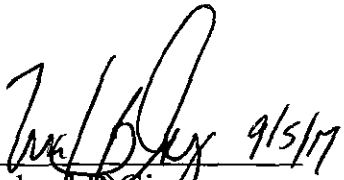
### **Financial Statements**

Hereafter attached are the Energy Auction House Balance Sheets from January 1, 2016 to July 20, 2017 and to the best of my knowledge include all the activity of the company for the dates shown.

These are internally created financial documents and I understand that these statements will be used by the Public Utilities Commission of Ohio to evaluate our application to renew our Electric Aggregator and Power Brokers Licenses.

Under penalties of perjury I declare that I have examined these documents and to the best of my knowledge and belief they are true, correct, and complete.

The foregoing is true to the best of my knowledge. Signed this 20th day of July 2017 under pains and penalties of perjury.

  
Michael B Gierz  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958



# Energy Auction House Inc.

## BALANCE SHEET

As of December 31, 2016

	TOTAL
<b>ASSETS</b>	
Current Assets	
Bank Accounts	
Business Fundamentals Chk (3005)	115,892.81
<b>Total Bank Accounts</b>	<b>\$115,892.81</b>
Other Current Assets	
Payroll Corrections	0.00
Repayment	167,880.00
previous taxes	0.00
<b>Total Repayment</b>	<b>167,880.00</b>
Undeposited Funds	-2,265.00
<b>Total Other Current Assets</b>	<b>\$165,615.00</b>
<b>Total Current Assets</b>	<b>\$281,507.81</b>
Fixed Assets	
Furniture & Fixtures	8,239.86
Accumulated Depreciation Furnitur & Fixtures	-2,512.00
<b>Total Furniture &amp; Fixtures</b>	<b>5,727.86</b>
Leasehold Improvements	5,892.12
Accumulated Depreciation LHI	-1,263.00
<b>Total Leasehold Improvements</b>	<b>4,629.12</b>
Organizational Costs	17,394.00
Accumulated Amortization	-3,479.00
<b>Total Organizational Costs</b>	<b>13,915.00</b>
<b>Total Fixed Assets</b>	<b>\$24,271.98</b>
Other Assets	
Security Deposits	0.00
<b>Total Other Assets</b>	<b>\$0.00</b>
<b>TOTAL ASSETS</b>	<b>\$305,779.79</b>
<b>LIABILITIES AND EQUITY</b>	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Direct Deposit Payable	0.00
LFL Loan	0.00
Payroll Liabilities	
CT Income Tax	0.00
CT Unemployment Tax	0.00
Federal Taxes (941/944)	0.00
Federal Unemployment (940)	0.00
FL Unemployment Tax	0.00
MA Income Tax	0.00
RI Employment Taxes	0.00

	TOTAL
RI Income Tax	0.00
TX Unemployment Tax	0.00
<b>Total Payroll Liabilities</b>	<b>0.00</b>
<b>Total Other Current Liabilities</b>	<b>\$0.00</b>
<b>Total Current Liabilities</b>	<b>\$0.00</b>
Long-Term Liabilities	
Shareholder Loans	77,238.05
<b>Total Long-Term Liabilities</b>	<b>\$77,238.05</b>
<b>Total Liabilities</b>	<b>\$77,238.05</b>
Equity	
Partner Contributions	63,000.00
PC Adam M	50,000.00
PC Eric	71,843.00
PD Eric	-62,300.00
<b>Total PC Eric</b>	<b>9,543.00</b>
PC Eric Healey	50,000.00
PC Joel	92,752.93
PD Joel	-62,300.00
<b>Total PC Joel</b>	<b>30,452.93</b>
<b>Total Partner Contributions</b>	<b>202,995.93</b>
Retained Earnings	-126,437.93
Net Income	151,983.74
<b>Total Equity</b>	<b>\$228,541.74</b>
<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$305,779.79</b>

# Energy Auction House Inc.

## BALANCE SHEET

As of July 20, 2017

	TOTAL
<b>ASSETS</b>	
Current Assets	
Bank Accounts	
Business Fundamentals Chk (3005)	89,093.79
<b>Total Bank Accounts</b>	<b>\$89,093.79</b>
Other Current Assets	
Payroll Corrections	0.00
Repayment	199,134.64
previous taxes	0.00
<b>Total Repayment</b>	<b>199,134.64</b>
Undeposited Funds	-2,265.00
<b>Total Other Current Assets</b>	<b>\$196,869.64</b>
<b>Total Current Assets</b>	<b>\$285,963.43</b>
Fixed Assets	
Furniture & Fixtures	8,239.86
Accumulated Depreciation Furnitur & Fixtures	-2,512.00
<b>Total Furniture &amp; Fixtures</b>	<b>5,727.86</b>
Leasehold Improvements	5,892.12
Accumulated Depreciation LHI	-1,263.00
<b>Total Leasehold Improvements</b>	<b>4,629.12</b>
Organizational Costs	17,394.00
Accumulated Amortization	-3,479.00
<b>Total Organizational Costs</b>	<b>13,915.00</b>
<b>Total Fixed Assets</b>	<b>\$24,271.98</b>
Other Assets	
Security Deposits	0.00
<b>Total Other Assets</b>	<b>\$0.00</b>
<b>TOTAL ASSETS</b>	<b>\$310,235.41</b>
<b>LIABILITIES AND EQUITY</b>	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Direct Deposit Payable	0.00
LFL Loan	0.00
Payroll Liabilities	
CT Income Tax	0.00
CT Unemployment Tax	0.00
Federal Taxes (941/944)	0.00
Federal Unemployment (940)	0.00
FL Unemployment Tax	0.00
MA Income Tax	0.00
RI Employment Taxes	0.00

	TOTAL
RI Income Tax	0.00
TX Unemployment Tax	0.00
<b>Total Payroll Liabilities</b>	<b>0.00</b>
<b>Total Other Current Liabilities</b>	<b>\$0.00</b>
<b>Total Current Liabilities</b>	<b>\$0.00</b>
Long-Term Liabilities	
Shareholder Loans	77,238.05
<b>Total Long-Term Liabilities</b>	<b>\$77,238.05</b>
<b>Total Liabilities</b>	<b>\$77,238.05</b>
Equity	
Partner Contributions	63,000.00
PC Adam M	50,000.00
PC Eric	71,843.00
PD Eric	-62,300.00
<b>Total PC Eric</b>	<b>9,543.00</b>
PC Eric Healey	50,000.00
PC Joel	92,752.93
PD Joel	-62,300.00
<b>Total PC Joel</b>	<b>30,452.93</b>
<b>Total Partner Contributions</b>	<b>202,995.93</b>
Retained Earnings	25,545.81
Net Income	4,455.62
<b>Total Equity</b>	<b>\$232,997.36</b>
<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$310,235.41</b>

*MB* 9/5/17

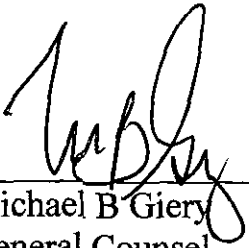


**Exhibit C-4**

**Financial Arrangements**

Not Applicable.

Energy Auction House Inc. does not ever take title to the electricity it brokers.

 9/5/17  
\_\_\_\_\_  
Michael B Giery  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958

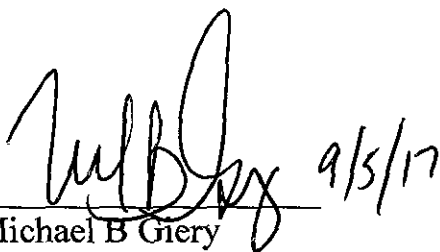


## **Exhibit C-5**

### **Forecasted Financial Statements**

Energy Auction House Does not have Forecasted Financial Statements at this time.

As stated in Exhibit-B, Energy Auction House has a very small book of business in Ohio and has not yet found the right Energy Professional to run a sales team in Ohio. Our President and two Vice Presidents of Sales are scheduled to travel to Ohio in the Fall of 2017 to interview Candidates to build a Sales Team in Ohio. They also plan to travel to Illinois for the same reason. We hope to build a solid sales team in that region in 2018.

  
Michael B Giery  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958



**Exhibit C-6**

N/A

**Exhibit C-7**

**Credit Report**

We are still establishing credit and have recently registered with Dun and Bradstreet.

**Selected Company:** ENERGY AUCTION HOUSE

**D-U-N-S #:** 02-184-7808

**View Report: Overview**

This page displays a summary of your D&B credit report

**Company Name:** ENERGY AUCTION HOUSE

**D-U-N-S #:** 02-184-7808

**DBA's:** N/A

**Address:** 44 Route 6a

**City:** Sandwich

**State:** MA

**Zip:** 02563

**Phone:** 7744135116

**Principal:** ERIC NICKULAS

**Year Started:** 2014

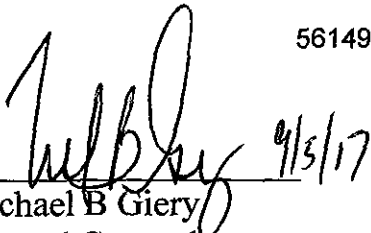
**Employs:** 3 which includes officer(s).

**SIC Code(s):** 73891400 Auction, appraisal, and exchange services

**NAICS Code(s):**

561499 All Other Business Support Services

**Legal Structure:** N/A

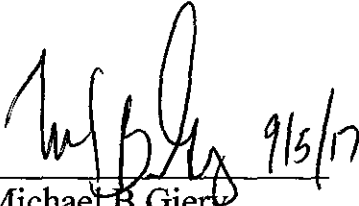
  
Michael B Giery  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958



## **Exhibit C-8**

### **Bankruptcy Information**

**There has never been any reorganization, protection from any creditor or any form of bankruptcy filing made by Energy Auction House Inc. in the current year or in the two most recent years preceding the application. This statement applies to all officers as well. Energy Auction House does not have a Parent or Affiliate that guarantees the obligations of Energy Auction House. Energy Auction House Inc. was and is self-funded and has not borrowed any money from any source.**

  
\_\_\_\_\_  
Michael B Giery  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
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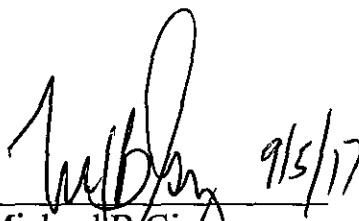




## **Exhibit C-9**

### **Merger Information**

Energy Auction House Inc. has had no dissolution or merger or acquisition within the five most recent years preceding this application.

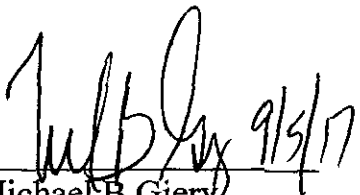
 9/5/17  
\_\_\_\_\_  
Michael B. Gier  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958



## **Exhibit C-10**

### **Corporate Structure**

Energy Auction House Inc., a Nevada corporation is a stand-alone entity has no subsidiaries, parent company or affiliates.

  
\_\_\_\_\_  
Michael B Giery  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958



44 Route 6A  
Sandwich, MA 02563  
Phone: 774-413-5116  
www.energyah.com

Public Utilities Commission of Ohio  
Docketing Division  
180 East Broad Street  
Columbus, Ohio 43215

September 5, 2017  
RE: Case Number: 13-2069-FL-AGG  
Renewal Application for Power Broker

The Honorable Commission,

Please accept the enclosed renewal application for Energy Auction House Inc. I have enclosed the notarized original application as well as three copies.

I can be reached at 857-222-0958 or at [legal@energyah.com](mailto:legal@energyah.com) if I there are any questions.

Thank you in advance for your assistance and consideration.

Michael B Giery  
General Counsel  
Energy Auction House Inc.  
Sandwich, MA 02563  
MA BBO# 659937  
Phone: 857-222-0958