

FILE

27



# Public Utilities Commission

Original AGG Case Number	Version
11 - 5343 - <del>EL</del> - AGG	May 2016

## RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

### A. RENEWAL INFORMATION

#### A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☒ Aggregator

#### A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name H. P. Technologies, Inc.  
 Address 33648 Saint Francis Drive, Avon, OH 44011  
 PUCO Certificate # and Date Certified 11-404E - November 3, 2011  
 Telephone # (866) 892-0910 Web site address (if any) www.utility-savings.net

#### A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name H. P. Technologies, Inc.  
 Address 33648 Saint Francis Drive, Avon, OH 44011  
 Telephone # (866) 892-0910 Web site address (if any) www.utility-savings.net

#### A-4 List all names under which the applicant does business in North America

H. P. Technologies, Inc.  
Supply Choice Energy

#### A-5 Contact person for regulatory or emergency matters

Name David Ramos  
 Title Project Manager  
 Business address 33648 Saint Francis Drive, Avon, OH 44011  
 Telephone # (866) 892-0910 Fax # (440) 536-9553  
 E-mail address david@utility-savings.net

2017 SEP -7 PM 12:04

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

Technician [Signature] Date Processed SEP 07 2017

**A-6 Contact person for Commission Staff use in investigating customer complaints**

Name David Ramos  
Title Project Manager  
Business address 33648 Saint Francis Drive, Avon, Ohio 44011  
Telephone # (866) 892-0910 Fax # (440) 536-9553  
E-mail address david@utility-saving.net

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 33648 Saint Francis Drive, Avon, Ohio 44011  
Toll-free Telephone # (866) 892-0910 Fax # (440) 536-9553  
E-mail address david@utility-saving.net

**A-8 Applicant's federal employer identification number # 34-1424228**

**A-9 Applicant's form of ownership (check one)**

- |  |  |
|--|--|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                     |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation              | <input type="checkbox"/> Other _____                     |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-10 Exhibit A -10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.**

**B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.**

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.  
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.  
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3 Exhibit C-3 “Financial Statements.”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4 Exhibit C-4 “Financial Arrangements.”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C - 10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Dennis Giancola, PRESIDENT  
Signature of Applicant & Title

Sworn and subscribed before me this 7<sup>th</sup> day of August, 2017.  
Month Year

[Signature]  
Signature of official administering oath

Dennis Giancola, President

Print Name and Title

David Harris

Notary Public

State of Ohio

My commission expires on 7/22/2019

My Commission Expires

July 22, 2019



# **AFFIDAVIT**

State of Ohio :

Avon ss.  
(Town)

County of Lorain :

Dennis Giancola, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of H. P. Technologies, Inc. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Dennis Searcola, PRESIDENT  
Signature of Affiant & Title

Sworn and subscribed before me this 24 day of August, 2019  
Month Year

[Signature]  
Signature of official administering oath

DAVID HAERIS - BANKER  
Print Name and Title

My commission expires on 7/22/2019

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Exhibit A-10: Principal Officers, Directors & Partners

Dennis Giancola  
President  
33648 Saint Francis Drive  
Avon, Ohio 44011  
866-892-0910



Exhibit B-1: Jurisdictions of Operation

<b>State</b>	<b>Business / Charter #</b>	<b>Electricity License #</b>	<b>Natural Gas License #</b>
Illinois	68005868	11-0456	
DC	C00005063247 / 400314904524	EA 2014-24-6	GA 2014-12-6
Michigan	60733W		
New Hampshire	715801 / 715802	DM-14-310	DM-14-306
Maine	20150362F		
Delaware	5626840 8360 / 141407962		
Florida	F14000003515		
Georgia	14078936		
Maryland	F16066201	IR-3405	IR-3403
Massachusetts	658314	EB-279	RA-127
New Jersey	400625370	EA-0306	EA-0306
New York	4624058		
North Carolina	C201521900183-1		
Ohio	628783	11-404E(2)	03-049G(3)
Pennsylvania	4054181	A-2012- 2304909	A-2014-2451662
Rhode Island	1004647		
Texas	802049108		
Virginia	F1984857		
West Virginia	2298-0035		
California	C3725848		
Connecticut	1158917		
Indiana	2014110600318 / 2014110677820		
Kentucky	901505.09		

## Exhibit B-2: Experience & Plans

Since 1993, H.P. Technologies, Inc. has successfully operated an independent energy sales organization that has entered into sales agent agreements with various local and national suppliers of energy. H.P. Technologies, Inc. has represented these energy suppliers and successfully acquired customers for these energy suppliers, brokers and aggregators. H.P. Technologies, Inc. has been instrumental in client contact, contract administration and client management. Furthermore, HPT has been instrumental in addressing client concerns, complaints, etc.

Our plan for the future includes maintaining and expanding our current business model of natural gas broker/aggregator and electricity broker/aggregator.

Although, we have not been established as the primary location or source for customer inquiries based upon the written agreements that we foster between supplier and end user, we often are the primary interface with the customer because we have an established personal relationship with the buyers. Therefore, we have made ourselves readily available and accessible to the client for the purpose of supply, service and billing inquiries and complaints. This situation was magnified when we had prior associations with suppliers that suffered default of one type or another. One time H. P. Technologies Inc. handled over 100 customer inquiries and complaints on behalf of the supplier. This occurred because the *client valued and trusted our relationship over and above that of the supplier*. More importantly, we handled these inquiries and complaints in a prudent and professional manner. We recorded the pertinent facts and judiciously sought a suitable resolution to each and every situation.

In the future, we will use our past experience to plan and implement: “compliance with responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

### Exhibit B-3: Disclosure of Liabilities and Investigations

H. P. Technologies, Inc. has never had any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

## Exhibit C-1: Annual Reports

Not applicable: The president of the company, Dennis Giancola, is the sole owner of stock in company and therefore, it is not necessary to generate an Annual Report.

## Exhibit C-2: SEC Filings

**No-10-K/8 K Filings.**

**No parent company.**

**Not required to file with the SEC** – all stock held by one person and no changes in stock have occurred.

### Exhibit C-3: Financial Statements

The financial statement pages are confidential. The hard copies are included, under seal, with this renewal application.

## Exhibit C-4: Financial Arrangements

N/A - Broker only, not taking the title to the electricity

**Exhibit C-5 "Forecasted Financial Statements"**

The forecasted financial statement pages are confidential. The hard copies are included, under seal, with this renewal application.



Exhibit C-6: Credit Rating

N/A: No such credit rating exists for H. P. Technologies, Inc.


[Accounts](#)
[Pay Bills](#)
[Transfer Money](#)
[Budget & Planning](#)
[Settings](#)
[Help](#)

## Credit Score

As an exclusive benefit to Alliant members, we're making your credit score available to you for free to help you monitor and improve your creditworthiness.

[Apply for a Loan](#)
[View Your Credit Score](#)
[Manage Alerts](#)

### Credit Score History

#### Account Options

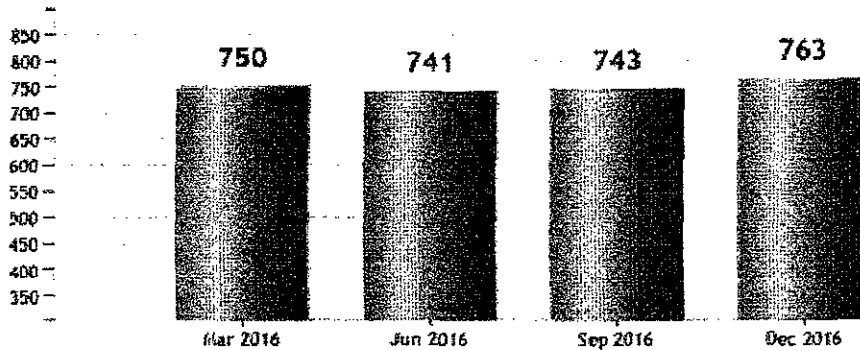
[Statements & Tax Forms](#)  
[Transactions](#)  
[Pending Transactions](#)  
[Pay Bills](#)  
[Transfer Money](#)  
[Travel Notifications](#)  
[Deposit Checks Online](#)  
[View Deposit Images](#)  
[Order Checks](#)  
[Withdrawal By Check](#)  
[Courtesy Pay & Overdraft](#)  
[Place Stop Payment](#)  
[Prior Year Contributions](#)  
[Verification Of Deposit](#)

#### Apply for a New Account

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VantageScore

[Credit Score FAQs](#)

#### Credit Score

763

December 2016

#### Factors affecting your credit score, in order of importance

1. Total of all balances on bankcard or revolving accounts is too high
2. You have too many inquiries on your credit report.
3. Balances on bankcard/revolving accts too high compared to credit limits
4. The balances on your accounts are too high compared to loan amounts

743

September 2016

[Show Factors](#)

741

June 2016

[Show Factors](#)

750

March 2016

[Show Factors](#)

Your credit score is a key indicator of your creditworthiness, representing the likelihood to meet or become delinquent on a credit obligation in the future. A high credit score may save you thousands of dollars in interest and premiums if you're in the market to open a credit card, get a loan, and obtain insurance. Use this credit score to help you monitor and improve your credit worthiness.

There are many different scoring systems with different scales; a "good" credit score depends on the scoring system. The credit score displayed here is VantageScore<sup>SM</sup>. It was developed by the three national credit reporting companies (Experian, Equifax, and TransUnion). Unlike other scoring models, the VantageScore<sup>SM</sup> uses one set of calculations for all three bureaus, resulting in a more uniform and consistent score. This model weighs your payment history, credit utilization, outstanding balances, depth of credit, recent credit, and available credit to calculate your credit score.

We will update your credit score quarterly. This is not a hard pull and will not impact your credit rating. Alliant uses a different scoring model for lending.

If you do not want to receive this free credit score you can [Opt-out](#).

[Credit Score FAQs](#)

## Exhibit C-7: Credit Report

DENNIS J GIANCOLA - Experian  
Date of Report: Mar 17, 2017



### Account Summary

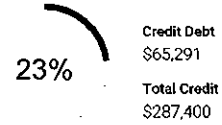
#### My Accounts Summary

Open Credit Cards	10
Open Retail Cards	2
Open Real Estate Loans	1
Open Installment Loans	3
Total Open Accounts	17
Accounts Ever Late	0
Collections Accounts	0
Average Account Age	7 yrs
Oldest Account	25 yrs 6 mos
newest account	✦

#### My Hard Credit Inquiries

8

#### My Overall Credit Usage



#### My Debt Summary

Credit and Retail Card Debt	\$65,291
Real Estate Debt	\$20,978
Installment Loans Debt	\$34,525
Collections Debt	\$0
Total Debt	\$120,794

#### My Public Records

0

Selected Company: H.P. TECHNOLOGIES, INC.  
D-U-N-S #: 62-153-1029

## Overview

### Business Summary

**Company Name:** H.P. TECHNOLOGIES, INC.  
**D-U-N-S #:** 62-153-1029  
**DBA's:** N/A  
**Address:** 33648 Saint Francis Dr  
**City:** Avon  
**State:** OH  
**Zip:** 44011  
**Phone:** 4408377837  
**Principal:** DENNIS GIANCOLA  
**Year Started:** 1984  
**Employs:** 14 which includes officer(s).  
**SIC Code(s):** 87480000 Business consulting, nec  
51720100 Gases  
**NAICS Code(s):** 424720 Petroleum and Petroleum Products Merchant Wholesalers  
541618 Other Management Consulting Services  
**Legal Structure:** N/A

### Special Events

There have been no special events reported to D&B for this company.

## History and Operations

### Officers and Directors

#### Current Officers

Name	Title
DENNIS GIANCOLA	PRESIDENT

#### Current Directors

Name
DENNIS GIANCOLA

### Company History

Business consulting services and natural gas brokerage.

ADDITIONAL TELEPHONE NUMBER(S): Facsimile (Fax) 440 937-6885.

Terms are on a retainer plus additional billings, contractual basis, net 15 days, on a fee basis and on a commission basis. Has 14 account(s). 5% of sales on the internet. Sells to manufacturers, general public, wholesale, non profit organizations, retail, commercial concerns and government. Territory : United States.

### Operations

#### Employees

14 which includes officer(s).

#### Facilities

Owens 1,500 sq. ft. in building.

#### Location

Industrial section on side street.

#### U.S. Branch

There are currently no U.S. branches listed in this company report.

#### U.S. Subsidiary

There are currently no U.S. subsidiaries listed in this company report.

#### International Branch

## Exhibit C-7: Credit Report

There are currently no international branches listed in this company report.

### International Subsidiary

There are currently no international subsidiaries listed in this company report.

## Payments

### Payment Summary

	Total Received	Total Dollar Amount	Largest High Credit Payment summary	Within Terms	Days Slow			
					<31	31-60	61-90	91+
<b>Top Industries</b>								
Telephone communications	2	\$1,050	\$1,000	100%	0	0	0	0
Lithographic printing	2	\$100	\$50	100%	0	0	0	0
<b>Other Categories</b>								
Cash experiences	8	\$1,250	\$750					
Unknown	0	\$0	\$0					
Unfavorable comments	0	\$0	\$0					
Placed for collections with D&B	0	\$0	\$0					
Other	0	N/A	\$0					
<b>Total in D&amp;B's file</b>	<b>12</b>	<b>\$2,400</b>	<b>\$1,000</b>					

The highest Now Owes on file is \$0

The highest Past Due on file is \$0

There are 12 payment experience(s) in D&B's file for the most recent 24 months, with 7 experience(s) reported during the last three month period.

### Payment Details

Total (Last 24 Months): 12

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/ (Mo.)
08/2017	(001)	\$100	--	--	Cash account	1 mo
08/2017	(002)	\$100	--	--	Cash account	1 mo
07/2017	Ppt	\$50	--	--		1 mo
07/2017	Ppt	\$50	\$0	\$0	N30	1 mo
07/2017	Ppt	\$50	--	--		1 mo
06/2017	Ppt	\$1,000	\$0	\$0		6-12 mos
05/2017	(007)	\$50	--	--	Cash account	1 mo
02/2017	(008)	\$50	--	--		1 mo
01/2017	(009)	\$50	--	--	Cash account	4-5 mos
08/2016	(010)	\$100	--	--	Cash account	1 mo
05/2016	(011)	\$750	--	--	Cash account	1 mo
05/2016	(012)	\$50	--	--	Cash account	6-12 mos

Payments Detail Key: ■ 30 or more days beyond terms

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

## Finances

### Key Financial Comparisons

This Company's Operating Results Year Over Year			
Net Sales	NA	NA	NA
Gross Profit	NA	NA	NA
Net Profit	NA	NA	NA

## Exhibit C-7: Credit Report

Dividends / Withdrawals	NA	NA	NA
Working Capital	NA	NA	NA
<b>This Company's Assets Year Over Year</b>			
Cash	NA	NA	NA
Accounts Receivable	NA	NA	NA
Notes Receivable	NA	NA	NA
Inventories	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets	NA	NA	NA
Other Non Current	NA	NA	NA
Total Assets	NA	NA	NA
<b>This Company's Liabilities Year Over Year</b>			
Accounts Payable	NA	NA	NA
Bank Loan	NA	NA	NA
Notes Payable	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Long Term Debt	NA	NA	NA
Deferred Credit	NA	NA	NA
Net Worth	NA	NA	NA
Total Liabilities And Net Worth	NA	NA	NA

### Balance Sheet

Fiscal Consolidated Statement Dated

We currently do not have any recent financial statement on file for this business.

### Key Business Ratios

(Industry Median is based on this number of firms: )

	The Company	Industry Median	Industry Quartile
<b>Solvency</b>			
Quick Ratio	NA	NA	NA
Current Ratio	NA	NA	NA
Current Liabilities to Net Worth	NA	NA	NA
Current Liabilities to Inventory	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets to Net Worth	NA	NA	NA
<b>Efficiency</b>			
Collection Period	NA	NA	NA
Inventory Turn Over	NA	NA	NA
Sales to NWC	NA	NA	NA
Acct Pay to Sales	NA	NA	NA
<b>Profitability</b>			
Return on Sales	NA	NA	NA
Return on Assets	NA	NA	NA
Return on Net Worth	NA	NA	NA

## Exhibit C-7: Credit Report

### Public Filings

#### Summary

This following public filing data includes both open and closed filings found in D&B's database on this company. It is for informational purposes only and is not the official record. Certified copies can be obtained from the official source.

Record Type	# of Records	Most Recent Filing Date
UCC Filing	1	12/06/0012
Government History	7	N/A

#### Details

##### Judgements

No judgments have been reported to D&B on this company.

##### Liens

No liens have been reported to D&B on this company.

##### Suits

No suits have been reported to D&B on this company.

##### UCC Filings

**Collateral:** All Negotiable Instruments including proceeds and products - All Inventory including proceeds and products - All Account(s) including proceeds and products - All Timber including proceeds and products - and OTHERS

**Type:** Original

**Secured Party:** THE HUNTINGTON NATIONAL BANK, COLUMBUS, OH

**Debtor:** H. P. TECHNOLOGIES, INC.

**Filing Number:** OH00163022375

**Filed With:**

**Date Filed:** 11/29/12

**Latest Info Received:** 12/06/12

**Original Filing Number:**

**Original UCC Filed Date:**

##### Bankruptcies

No bankruptcies have been reported to D&B on this company.

##### Government History

**Borrower(Dirt/Guar):** NO

**Administrative Debt:** NO

**Contractor:** NO

**Grantee:** NO

**Party excluded from federal program(s):** NO

**Labor Surplus Area:** N/A

**Small Business:** YES (2017)

**8(A) Firm:** N/A



## **Exhibit C-8: Bankruptcy Information**

NO reorganizations, protection from creditors, or any other form of bankruptcy filings has been made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.



**Exhibit C-9: Merger Information**

H. P. Technologies, Inc. has had NO dissolutions, mergers, or acquisitions within the five most recent years preceding the application.

## **Exhibit C-10: Corporate Structure**

H. P. Technologies, Inc. is a stand-alone entity with no affiliate or subsidiary companies.

Exhibit A-10: Principal Officers, Directors & Partners

Dennis Giancola  
President  
33648 Saint Francis Drive  
Avon, Ohio 44011  
866-892-0910