

FILE



# Public Utilities Commission

Original AGG Case Number	Version
15-1334-EL-AGG	May 2016

## RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

### A. RENEWAL INFORMATION

#### A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☐ Aggregator

#### A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Navigate Power LLC  
 Address 2211 N Elston Ave Suite 201 Chicago IL 60614  
 PUCO Certificate # and Date Certified 15-1002E(1) Aug 20, 2015  
 Telephone # (312) 470-6051 Web site address (if any) www.navigatepower.com

#### A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Navigate Power LLC  
 Address 46 Front Street Upstairs  
 Telephone # (216) 640-7254 Web site address (if any) www.navigatepower.com

#### A-4 List all names under which the applicant does business in North America

N/A  
 \_\_\_\_\_  
 \_\_\_\_\_

#### A-5 Contact person for regulatory or emergency matters

Name Brian Cecola  
 Title CEO  
 Business address 2211 N Elston Ave Chicago IL 60614  
 Telephone # (630) 781-4914 Fax # (888) 783-0381  
 E-mail address bcecola@navigatepower.com

PUCO  
2017 JUL 20 PM 2:58

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
 Technician Sm Date Processed JUL 20 2017

**A-6 Contact person for Commission Staff use in investigating customer complaints**

Name Marsia Ritz  
Title Operations Director  
Business address 2211 N Elston Ave Suite 201 Chicago IL 60614  
Telephone # (312) 470-6051 Fax # (888) 783-0381  
E-mail address \_\_\_\_\_

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 2211 N Elston Ave Suite 201 Chicago IL 60614  
Toll-free Telephone # (888) 601-1789 Fax # (888) 783-0381  
E-mail address sales@navigatepower.com

**A-8 Applicant's federal employer identification number # 80-0822753**

**A-9 Applicant's form of ownership (check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other _____                                |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-10 Exhibit A -10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.**

**B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.**

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody’s Investors Service, Standard & Poor’s, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C - 10 “Corporate Structure,”** provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

\_\_\_\_\_  
Signature of Applicant & Title

Sworn and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_,  
Month Year

\_\_\_\_\_  
Signature of official administering oath

\_\_\_\_\_  
Print Name and Title

My commission expires on \_\_\_\_\_

# **AFFIDAVIT**

State of IL :

Chicago ss.  
(Town)

County of COOK :

\_\_\_\_\_, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the \_\_\_\_\_ (Office of Affiant) of \_\_\_\_\_ (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering).

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

\_\_\_\_\_  
Signature of Affiant & Title

Sworn and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_  
Month Year

\_\_\_\_\_  
Signature of official administering oath

\_\_\_\_\_  
Print Name and Title

My commission expires on \_\_\_\_\_

\_\_\_\_\_



## Report

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Search inquiry: Navigate Power Llc / Chicago / IL / 60614 / (My company)

**CreditScore<sup>SM</sup> Report**

as of: 06/13/17 11:32 ET

**Navigate Power LLC**

**Address:** 2211 N Elston Ave Ste 309  
Chicago, IL 60614-9278  
United States

**Phone:** 630-781-4914

**Website:** [navigatepower.com](http://navigatepower.com)

**Experian BIN:** 960738583

**Agent:** Jonathan T. Linnemeyer

**Agent Address:** 5333 Main ST  
Downers Grove,

**Family Linkage:**

Ultimate Parent: Navigate Power LLC  
2211 N Elston Ave Ste 309  
Chicago, IL

**Branches / Alternative Locations:** Navigate Power LLC  
2211 N Elston Ave Ste 201  
Chicago, IL United States

**Key Personnel:** Officer: Gersch Christopher  
Officer: Nakayama Mark  
Officer: Brian Cecola

**SIC Code:** 7539-Automotive Repair Shops, Nec

**NAICS Code:** 811118-Other Automotive Mechanical And  
Electrical Repair And Maintenance

**Business Type:** Corporation

**Experian File Established:** June 2012

**Experian Years on File:** 5 Years

**Years in Business:** More than 5 Years

**Filing Data Provided by:** Illinois

**Date of Incorporation:** 05/29/2012

**Current Days Beyond Terms (DBT):** 0

**Predicted DBT for 08/09/2017:** 1

**Average Industry DBT:** 4

**Payment Trend Indicator:** Stable

**Lowest 6 Month Balance:** \$7

**Highest 6 Month Balance:** \$17,119

**Current Total Account Balance:** \$825

**Highest Credit Amount Extended:** \$1,229

**Payment Tradelines (see charts):** 3

**UCC Filings:** 1

**Businesses Scoring Worse:** 69%

✓ Bankruptcies: 0

✓ Liens: 0

✓ Judgments Filed: 0

✓ Collections: 0

**Economic Diversity**[Back to top](#)

• This is a small business administration (SBA) enterprise.

**Government Activity**[Back to top](#)

THE FOLLOWING INFORMATION IS PROVIDED BY THE FEDERAL GOVERNMENT AND OTHER SOURCES

Congressional District: 05

Central Contractor Registration: YES

Excluded from Federal Program(s): N/A

**Credit Summary**[Back to top](#)**Experian Business Credit Score: 70**High  
RiskLow  
Risk

The objective of the Experian Business Credit Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

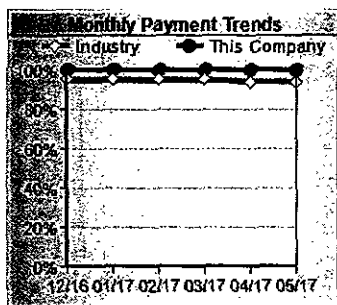
Key Score Factors:

- Number of commercial accounts with net 1-30 days term.
- Number of recently active commercial accounts.
- Nbr of active commercial accts within the last 12 mos.
- Balance of commercial accounts recently reported.

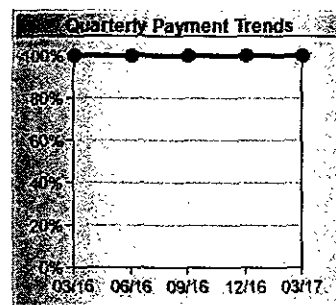
Recommended Action: Low To Medium Risk



## Payment Summary

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\*Percentage of on-time payments by month.



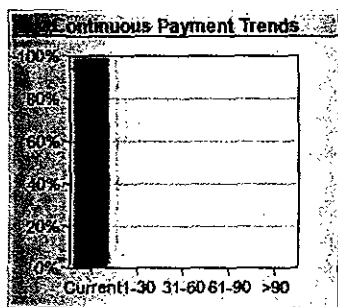
\*Percentage of on-time payments by quarter.

## Monthly Payment Trends - Recent Activity

Date	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
12/16	100%	0%	0%	0%	0%
01/17	100%	0%	0%	0%	0%
02/17	100%	0%	0%	0%	0%
03/17	100%	0%	0%	0%	0%
04/17	100%	0%	0%	0%	0%
05/17	100%	0%	0%	0%	0%

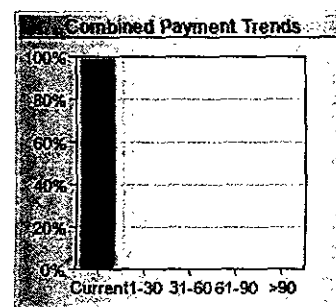
## Quarterly Payment Trends - Recent Activity

Date	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
03/16	100%	0%	0%	0%	0%
06/16	100%	0%	0%	0%	0%
09/16	100%	0%	0%	0%	0%
12/16	100%	0%	0%	0%	0%
03/17	100%	0%	0%	0%	0%



\*Continuous distribution with DBT.

Insufficient information to produce  
Newly Reported Payment Trends  
chart.



\*Combined distribution with DBT.

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## SLHeeley Tax Preparation SERVICES, INC.

We have compiled the accompanying statements of financial condition for Navigate Power, L.L.C as of December 31, 2016. These statements are still in final review and have not been audited. Accordingly, I do not express an opinion or provide any assurance about whether the financial statements are in accordance with the accounting principles generally accepted in the United States of America.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

My responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

I am not independent with respect to Navigate Power, L.L.C due to significant accounting procedures performed.

Ana-Alicia Rowe  
Certified Public Accountant  
SLHeeley Tax Preparation Services, Inc.  
1001 E. Chicago Ave  
Naperville, IL 60540



# SLHeeley Tax Preparation

S E R V I C E S , I N C .

## Statements of Financial Condition

Navigate Power, L.L.C

### Statement of Profit and Loss 12/31/2016

Income	3,876,201
COGS	-
Gross Income	3,876,201
Expenses	(3,806,798)
Net Income	69,402

### Balance Sheet 12/31/2016

Current Assets	827,884
Long Term Assets	-
Total Assets	827,884
Liabilities	1,135,122
Equity	(307,238)
Total Liabilities and Equity	827,884