

Public Utilities Commission of Ohio 180 E. Broad St. Columbus, OH 43215

June 30, 2017

Original AGG Case Number: 13-2092-GA-AGG Current PUCO Certificate No. 13-311G(2)

To Whom It May Concern:

As of June 1, 2017, Choose Energy, Inc., has merged with SOE Sub, Inc., a wholly-owned subsidiary of Save On Energy, LLC. The surviving entity is Choose Energy, Inc., which will maintain its current operations in Ohio under PUCO Certificate No. 13-311G(2) and will continue to operate as a licensed entity within the market.

The new registered agent for Choose Energy, Inc. located within the State of Ohio will be Corporation Service Company located at 50 West Broad Street, Suite 1330 Columbus, OH 43215. The new principals for Choose Energy, Inc. are Mark Brodsky and Ric Elias.

There will be no changes in affiliation with a public utility in Ohio nor with Choose Energy, Inc.'s credit ratings. The following contact information has changed:

- Telephone number for customer complaints: 1-844-238-6072.
- Telephone number for regulatory or emergency matters: 980-318-5625
- Business Address: 1101 Red Ventures Drive Fort Mill, SC 29707

If you have any additional questions, please reach out to the Choose Energy, Inc. team at ChooseLicensing@saveonenergy.com. All regulatory correspondence can also be directed to this email address or sent to 1101 Red Ventures Drive Fort Mill, SC 29707.

Thank you,

Choose Energy, Inc. 1101 Red Ventures Drive Fort Mill, SC 29707



PUCO USE O	NLY – Version 1.08	
Date Received	Renewal Certification	ORIGINAL AGG
	Number	Case Number
		13 - 2092 - GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

	SECTION A - APPLICANT INFORMATION AND SERVICES							
A-1	Applicant inte	ends to renew	its certificate as	s: (check a	ll that a	apply)		
	Retail Natur	al Gas Aggrega	ator	l Natural G	as Brok	er		
A-2	Applicant info	ormation:						
	Legal Name	Choose Energy,	Choose Energy, Inc.					
	Address	1101 Red Ventu	res Drive Fort Mill,	SC 29707				
	Telephone No.	704-971-2300		Web sit		ddress c	ooseenergy.com	
	Current PUCO Ce	ertificate No.	13-331G(2)	Effective	Dates	11-30-15 t	hrough 11-30-17	
A-3	Applicant info	ormation unde	er which applica	ant will do	busine	ess in Ohio	:	
	Name	Choose Energy, Inc.						
	Address	1101 Red Ventures Drive Fort Mill, SC 29707						
	Web site Address	chooseenergy.com			Telephone No. 704-971-2300			
A-4	List all names under which the applicant does business in North America:						ica:	
	Choose Energy, Inc).						
A-5	-5 Contact person for regulatory or emergency matters:							
	Name Christina Burgess				Title C	Operations M	anager	
	Business Address	1101 Red Vent	tures Drive Fort Mill	I, SC 29707				
	Telephone No. 70	04-971-2300	Fax No. 704	1-971-2303	E	Email Addres	s chooselicensing@saveonenergy	

	Name Christina Burgess	Title Operations Manager					
	Business address 1101 Red Ventures Drive Fort Mill, SC 29	07					
	Telephone No. 704-971-2300 Fax No. 704-971-2303	Email Address chooselicensing@saveonenergy					
A-7	Applicant's address and toll-free number for cus	omer service and complaints					
	Customer service address 1101 Red Ventures Drive Fort M	I, SC 29707					
	Toll-Free Telephone No. 1-844-238-6072 Fax No. n/a	Email Address support@chooseenergy.com					
A-8	Provide "Proof of an Ohio Office and Employee," Revised Code, by listing name, Ohio office address	in accordance with Section 4929.22 of the Ohio s, telephone number, and Web site address of the					
	designated Ohio Employee	s, tereproductive manager, and the same address of the					
	Name Corporation Service Company	Title Registered Agent					
	Business address 50 West Broad Street, Suite 1330 Columbus, OH 43215						
	Telephone No. 800-927-9800 Fax No. 302-636-5454	Email Address cpugh@cscglobal.com					
A-9	Applicant's federal employer identification number	er 90-0837667					
A-10	Applicant's form of ownership: (Check one)						
	Sole Proprietorship	Partnership					
	Limited Liability Partnership (LLP)	Limited Liability Company (LLC)					
	✓ Corporation	Other					
A-11	(Check all that apply) Identify each natural gas currently providing service or intends to provide						
	class that the applicant is currently serving or	intends to serve, for example: residential, small					
	commercial, and/or large commercial/industrial (n in Section 4929.01(L)(1) of the Ohio Revised Code, means a	nercantile) customers. (A mercantile customer, as defined customer that consumes, other than for residential use, more					
	than 500,000 cubic feet of natural gas per year at a single lo						

Contact person for Commission Staff use in investigating customer complaints:

Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or

outside this state that has filed the necessary declaration with the Public Utilities Commission.)

\checkmark	Columbia Gas of Ohio	Resident	ial 🗸	Small Commer	cial	Large	Commercial / Industrial	1
	Dominion East Ohio	Resident	tial	Small Commer	cial	Large	Commercial / Industrial	i
\checkmark	Duke Energy Ohio	Resident	ial 🗸	Small Commer	cial	Large	Commercial / Industrial	i
✓	Vectren Energy Delivery	of Ohio Resident	ial	Small Commer	cial	Large	Commercial / Industrial	i
Program date(s) t	cant or an affiliatens, for each service hat the applicant bentied that Gas of Ohio	e area and custom	er cla	ss, provide ap	proxir			
	Residential	Beginning Date of Se	ervice		End	Date		
	Small Commercial	Beginning Date of Se	ervice		End	Date		
	Large Commercial	Beginning Date of Se	ervice		End	Date		
	Industrial	Beginning Date of Se	ervice		End	Date		
Domi	nion East Ohio							
	Residential	Beginning Date of Se	ervice		End	Date		
	Small Commercial	Beginning Date of Se	ervice		End	Date		
	Large Commercial	Beginning Date of Se	ervice		End	Date		
	Industrial	Beginning Date of Se	ervice		End	Date		
Duke	Energy Ohio							
	Residential	Beginning Date of Se	ervice		End	Date		
	Small Commercial	Beginning Date of Se	ervice		End !	Date		
	Large Commercial	Beginning Date of Se	ervice		End	Date		
	Industrial	Beginning Date of Se	ervice		End ?	Date		
Vect	ren Energy Delivery o	f Ohio						
	Residential	Beginning Date of Se	ervice		End	Date		
	Small Commercial	Beginning Date of Se	ervice		End ?	Date		
	Large Commercial	Beginning Date of Se	ervice		End ?	Date		
	Industrial	Beginning Date of Se	ervice		End ?	Date		

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

Columbia Gas of Ohio	Intended Start Date	
Dominion East Ohio	Intended Start Date	
Duke Energy Ohio	Intended Start Date	
Vectren Energy Delivery of Ohio	Intended Start Date	

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- **A-14** Exhibit A-14 "Principal Officers, Directors & Partners," provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- **A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- **A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application*.
- **A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- **B-1** Exhibit B-1 "Jurisdictions of Operation," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- **B-2** Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- **B-3** Exhibit B-3 "Summary of Experience," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- **B-4** Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations," disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

No Yes

filed for certification.

status or ability to provide the services for which it is seeking renewed certification since applicant last

If Yes, provide a separate attachment labeled as <u>Exhibit B-5 "Disclosure of Consumer Protection Violations,"</u> detailing such violation(s) and providing all relevant documents.

B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

No Yes

If Yes, provide a separate attachment, labeled as <u>Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"</u> detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- **C-1** Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc..).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

- 1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
- 2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
- 3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
- 4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A "in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 <u>Exhibit C-5 "Forecasted Financial Statements</u>," provide two years of forecasted income statements for the applicant's NATURAL GAS related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- **C-6** Exhibit C-6 "Credit Rating." provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- **C-7** Exhibit C-7 "Credit Report," provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

- **C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- **C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 <u>Exhibit C-10 "Corporate Structure,</u>" provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- **D-1** Exhibit D-1 "Operations," provide a current written description of the operational nature of the applicant's business functions.
- **D-2** Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- **D-3** <u>Exhibit D-3</u> "<u>Key Technical Personnel</u>," provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title			
Sworn and subscribed before me this	day of	Month	Year
Signature of official administering oath	Print Name an	nd Title	
Notary	My commission expires on		
Seal			



Renewal Certificate Competitive Natural Gas Broker/Aggregators

A-14 "Principal Officers, Directors & Partners"

Mark Brodsky, Secretary 1101 Red Ventures Drive Fort Mill, SC 29707 704-971-2300 Ric Elias, President 1101 Red Ventures Drive Fort Mill, SC 29707 704-971-2300

C-9 "Merger Information"

As of June 1, 2017, Choose Energy, Inc. has merged with SOE Sub, Inc., a wholly-owned subsidiary of Save On Energy, LLC. The surviving entity is Choose Energy, Inc. Choose Energy, Inc. will continue to operate under its current PUCO Certificate No. 13-311G(2) and maintain its licensing within the market.

C-10 "Corporate Structure"



D-3 "Key Technical Personnel"

Christina Burgess, Operations Manager 1101 Red Ventures Drive Fort Mill, SC 29707 cburgess@redventures.com 980-318-5625

Christina has over five years of experience in the retail energy industry.

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Delaware The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF

DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT

COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"SOE SUB, INC.", A DELAWARE CORPORATION,

WITH AND INTO "CHOOSE ENERGY, INC." UNDER THE NAME OF
"CHOOSE ENERGY, INC.", A CORPORATION ORGANIZED AND EXISTING
UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED
IN THIS OFFICE ON THE FIRST DAY OF JUNE, A.D. 2017, AT 9:33
O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Authentication: 202638034

Date: 06-02-17

5148128 8100M SR# 20174531789

State of Delaware Secretary of State Division of Corporations Delivered 09:33 PM 06/01/2017 FILED 09:33 PM 06/01/2017 SR 20174531789 - File Number 5148128

CERTIFICATE OF MERGER OF SOE SUB, INC. WITH AND INTO CHOOSE ENERGY, INC.

Pursuant to Section 251 of the General Corporation Law of the State of Delaware

The undersigned corporation, organized and existing under the General Corporation Law of the State of Delaware (the "DGCL"), does hereby certify that:

FIRST: The name and state of incorporation of each of the constituent corporations of the merger are as follows:

Name

State

SOE Sub, Inc.

Delaware

Choose Energy, Inc.

Delaware

SECOND: An agreement of merger (the "Merger Agreement") has been approved, adopted, executed, and acknowledged by each constituent corporation of the merger in accordance with Section 251 (and by written consent of each constituent corporation's stockholders in accordance with Section 228) of the DGCL.

THIRD:

Choose Energy, Inc. is the surviving corporation of the merger.

FOURTH: The certificate of incorporation of Choose Energy, Inc. as in effect immediately prior to the merger shall be amended and restated to read as set forth on **Exhibit A** hereto, and, as so amended, shall be the certificate of incorporation of the surviving corporation.

FIFTH:

The executed Merger Agreement is on file at an office of the

surviving corporation at:

1101 Red Ventures Drive Fort Mill, SC 29707

SIXTH: A copy of the Merger Agreement will be furnished by the surviving corporation, on request and without cost, to any stockholder of either constituent corporation of the merger.

SEVENTH: This Certificate of Merger shall be effective upon filing.

[signature page follows]

IN WITNESS WHEREOF, Choose Energy, Inc., has caused this Certificate of Merger to be duly executed by a duly authorized officer on this day of June 2017.

CHOOSE ENERGY, INC.

Name: Jerry Dyess Title: Chief Executive Officer

<u>EXHIBIT A</u>

AMENDED AND RESTATED CERTIFICATE OF INCORPORATION

OR

CHOOSE ENERGY, INC.

FIRST

The name of the corporation (the "Corporation") is:

Choose Energy, Inc.

SECOND: The address of the Corporation's registered office in the State of Delaware is 2711 Centerville Road, Suite 400, Wilmington, New Castle County, Delaware 19808. The registered agent at such address is Corporation Service Company.

THIRD: The purpose of the Corporation is to engage in any lawful act or activity for which corporations may be organized under the Delaware General Corporation Law ("DGCL").

FOURTH: The total number of shares of all classes of stock that the Corporation shall have authority to issue is 5,000 shares of Common Stock, par value \$0.0001 per share.

FIFTH: Unless required by the Bylaws, the election of the Board of Directors need not be by written ballot.

SIXTH: The Board of Directors shall have the power to make, alter or repeal the Bylaws of the Corporation, but the stockholders may make additional Bylaws and may alter or repeal any Bylaw whether or not adopted by them.

SEVENTH: The Corporation shall indemnify to the fullest extent permitted by law, including the advancement of expenses, any person made or threatened to be made a party to an action or proceeding, whether criminal, civil, administrative or investigative, by reason of the fact that he, his testator or intestate is or was a director or officer of the Corporation or any predecessor of the Corporation, or serves or served at any other enterprise as a director or officer at the request of the Corporation or any predecessor to the Corporation. Neither any amendment nor repeal of this Article Seventh, nor the adoption of any provision of the Corporation's Certificate of Incorporation inconsistent with this Article Seventh, shall eliminate or reduce the effect of this Article Seventh in respect of any matter occurring, or any action or proceeding accruing or arising or that, but for this Article Seventh, would accrue or arise, prior to such amendment, repeal or adoption of an inconsistent provision.

Corporation or its stockholders for monetary damages for breach of fiduciary duty as a director, for any act or omission, except that a director may be liable (i) for breach of the director's duty of loyalty to the Corporation or its stockholders, (ii) for acts or omissions not in good faith or that involve intentional misconduct or a knowing violation of law, (iii) under Section 174 of the

DGCL, or (iv) for any transaction from which the director derived an improper personal benefit. If the DGCL is amended to authorize corporate action further eliminating or limiting the personal liability of directors, then the liability of the directors shall be eliminated or limited to the fullest extent permitted by the DGCL, as so amended. The elimination and limitation of liability provided herein shall continue after a director has ceased to occupy such position as to acts or omissions occurring during such director's term or terms of office. Any amendment, repeal or modification of this Article Eighth shall not adversely affect any right of protection of a director of the Corporation existing at the time of such repeal or modification.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

6/30/2017 10:31:59 AM

in

Case No(s). 13-2092-GA-AGG

Summary: Letter of Notification Letter Notifying PUCO of the June 1, 2017 merger between Choose Energy, Inc. and SOE Sub, Inc. The surviving entity is Choose Energy, Inc. Attached is the letter of notification as well as the Renewal Certification for Competitive Retail Natural Gas Brokers/Aggregators electronically filed by Ms. Brittany McCracken on behalf of Choose Energy, Inc