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Date Received	Case Number	Version
	17-1286-EL-AGG	May 2016

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17-1286-EL-AGG

## INITIAL CERTIFICATION APPLICATION FOR ELECTRIC AGGREGATORS/ POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-12 Company History). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.

### A. APPLICANT INFORMATION

#### A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker      ☐ Aggregator

#### A-2 Applicant's legal name, address, telephone number and web site address

Legal Name ENERGY MANAGEMENT POWER SERVICES, LLC

Address 46 CENTER STREET; CHAGRIN FALLS, OH 44022

Telephone # (440) 247-2484

Web site address (if any) BIGAL36@HOTMAIL.COM

#### A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name ENERGY MANAGEMENT POWER SERVICES, LLC

Address 46 CENTER STREET; CHAGRIN FALLS, OH 44022

Telephone # (440) 247-7501

Web site address (if any) BIGAL36@HOTMAIL.COM

#### A-4 List all names under which the applicant does business in North America

ENERGY MANAGEMENT POWER SERVICES, LLC

#### A-5 Contact person for regulatory or emergency matters

Name ALBERT E. R. SCHNEIDER

Title MANAGER

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
Technician CMG Date Processed MAY 24 2017

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Business address 46 CENTER STREET; CHAGRIN FALLS, OH 44022  
Telephone # (440) 247-7501 Fax # (440) 247-2484  
E-mail address BIGAL36@HOTMAIL.COM

**A-6 Contact person for Commission Staff use in investigating customer complaints**

Name ALBERT E. R. SCHNEIDER  
Title MANAGER  
Business address 46 CENTER STREET; CHAGRIN FALLS, OH 44022  
Telephone # (440) 247-7501 Fax # (440) 247-2484  
E-mail address BIGAL36@HOTMAIL.COM

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 46 CENTER STREET; CHAGRIN FALLS, OH 44022  
Toll-free Telephone # (440) 247-7501 Fax # (440) 247-2484  
E-mail address BIGAL36@HOTMAIL.COM

**A-8 Applicant's federal employer identification number # 821402805**

**A-9 Applicant's form of ownership (check one)**

- ☐ Sole Proprietorship      ☐ Partnership  
☐ Limited Liability Partnership (LLP)      ☒ Limited Liability Company (LLC)  
☐ Corporation      ☐ Other \_\_\_\_\_

**A-10 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).**

<input checked="" type="checkbox"/> <b>First Energy</b>				
<input type="checkbox"/> Ohio Edison	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input type="checkbox"/> Toledo Edison	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input type="checkbox"/> Cleveland Electric Illuminating	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> <b>Duke Energy</b>	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> <b>Monongahela Power</b>	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> <b>American Electric Power</b>				
<input type="checkbox"/> Ohio Power	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input type="checkbox"/> Columbus Southern Power	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> <b>Dayton Power and Light</b>	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial

- A-11** Provide the approximate start date that the applicant proposes to begin delivering services  
July 1, 2017

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- A-12** **Exhibit A-12 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

## **B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

**B-4** **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-5** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.  
☒ No            ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.  
☒ No            ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports)

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3** **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

**C-5** **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

  
Signature of Applicant & Title

Sworn and subscribed before me this 23 day of May, 2017

  
Signature of official administering oath

Month Year  
Brian Brown, Notary Public  
Print Name and Title

My commission expires on \_\_\_\_\_



BRIAN R. BROWN  
Notary Public, State of Ohio  
My Comm. Expires Aug 29, 2021

# AFFIDAVIT

State of OHIO :

Chagrin Falls ss.  
(Town)

County of Cuyahoga

ALBERT R. SCHNEIDER Affiant, being duly sworn/affirmed according to law, deposes and says that:

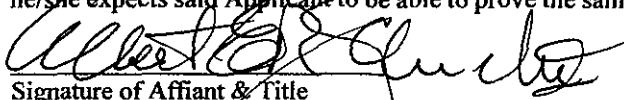
He/She is the MANAGER (Office of Affiant) of ENERGY MANAGEMENT (Name of Applicant);  
POWER SERVICES, LLC

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

  
Signature of Affiant & Title

Sworn and subscribed before me this 23 day of May, 2017  
Month Year

  
Signature of official administering oath

Brian Brown, Notary Public  
Print Name and Title

My commission expires on \_\_\_\_\_



BRIAN R. BROWN  
Notary Public, State of Ohio  
My Comm. Expires Aug. 29, 2021



**Energy Management Power Services, LLC (EMPS, LLC)**

**EXHIBIT A-12**

**PRINCIPAL OFFICERS, DIRECTORS AND PARTNERS**

**Albert E. R. Schneider III, Manager**  
**46 Center Street**  
**Chagrin Falls, OH 44022**  
**440-247-**

**EMPS, LLC**

**EXHIBIT A-13**

**COMPANY HISTORY**

**The Company is a newly formed LLC entity in the State of Ohio, organized for the purpose of providing Broker Services to non-residential users of natural gas and electricity.**

**EMPS, LLC**

**EXHIBIT A-14**

**ARTICLES OF INCORPORATION AND BYLAWS**

**Articles of Organization are attached as Exhibit A-14**

**ORIGINAL APPOINTMENT OF AGENT**

The undersigned authorized member(s), manager(s) or representative(s) of

ENERGY MANAGEMENT POWER SERVICES,LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

ALBERT E.R.SCHNEIDER,III

Name of Agent

46 CENTER ST

Mailing Address

CHAGRIN FALLS

City

OH

State

44022

ZIP Code

**ACCEPTANCE OF APPOINTMENT**

The undersigned, named herein as the statutory agent

ALBERT E.R.SCHNEIDER,III

Statutory Agent Name

for

ENERGY MANAGEMENT POWER SERVICES,LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

Statutory Agent Signature

ALBERT E.R.SCHNEIDER,III

Individual Agent's Signature / Signature on Behalf of Business Serving as Agent

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required  
Articles and original  
appointment of agent must  
be signed by a member,  
manager or other  
representative.

If authorized representative  
is an individual, then they  
must sign in the "signature"  
box and print their name  
in the "Print Name" box.

If authorized representative  
is a business entity, not an  
individual, then please print  
the business name in the  
"signature" box, an  
authorized representative  
of the business entity  
must sign in the "By" box  
and print their name in the  
"Print Name" box.

ALBERT E.R. SCHNEIDER, III

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name



Form 533A Prescribed by:  
Ohio Secretary of State

Date Electronically Filed: 5/1/2017

**JON HUSTED**  
Ohio Secretary of State

Central Ohio: (614) 466-3910  
Toll Free: (877) SOS-FILE (767-3453)  
[www.OhioSecretaryofState.gov](http://www.OhioSecretaryofState.gov)  
[Busserv@OhioSecretaryofState.gov](mailto:Busserv@OhioSecretaryofState.gov)

## Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$99

CHECK ONLY ONE (1) BOX

(1) ☒ Articles of Organization for Domestic  
For-Profit Limited Liability Company  
(115-LCA)

(2) ☐ Articles of Organization for Domestic  
Nonprofit Limited Liability Company  
(115-LCA)

Name of Limited Liability Company

ENERGY MANAGEMENT POWER SERVICES, LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd"

Effective Date  
(Optional)

5/1/2017

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing  
of the articles or on a later date specified that is not more than ninety days  
after filing)

This limited liability company shall exist for  
(Optional)

PERPETUAL

Period of Existence

Purpose  
(Optional)

TO ACT AS BROKER IN CONNECTION WITH ELECTRICITY AND NATURAL GAS AND ANY  
OTHER LAWFUL ACTIVITY.

### \*\*Note for Nonprofit LLCs

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

**EMPS, LLC**

**EXHIBIT A-15**

**Certificate of Registration from Ohio is attached.**



DATE	DOCUMENT ID	DESCRIPTION	FILING	OVER PAYMENT	EXPED	CERT	COPY
05/03/2017	201712000602	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	99.00	0.00	0.00	0.00	0.00

**Receipt**

This is not a bill. Please do not remit payment.

ALBERT E.R. SCHNEIDER III  
46 CENTER ST  
CHAGRIN FALLS, OH 44022

# STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted  
4023864

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**ENERGY MANAGEMENT POWER SERVICES,LLC**

and, that said business records show the filing and recording of:

Document(s)

**DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG**  
Effective Date: 05/01/2017

Document No(s):

**201712000602**

United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
3rd day of May, A.D. 2017.

**Ohio Secretary of State**



**EMPS, LLC**

**EXHIBIT B-1**

**JURISDICTIONS OF OPERATION**

**The applicant is a newly formed Ohio LLC and is seeking initial authorization to provide broker services within the State of Ohio.**

**EMPS, LLC**

**EXHIBIT B-2**

**EXPERIENCE & PLANS**

**Applicant plans to utilize a network of business consultants to identify and market its services to commercial customers within Ohio. Applicant, as a broker, will act as an intermediary in arranging power and natural gas commodities by representing direct suppliers of energy. In this regard, the actual energy supplier (and not the applicant) will provide direct monthly billing services, response to customer inquiries and complaints pursuant to commission rules. Applicant will assist all customers with any such requests.**

**EMPS, LLC**

**EXHIBIT B-3**

**SUMMARY OF EXPERIENCE**

**Applicant will rely on the experience and knowledge of its manager in connection with providing its customers access to competitive energy purchase options.**

**Manager's experience includes:**

**-47 years as a licensed attorney in the State of Ohio. (Supreme Court Registration 0007792) with significant experience in natural gas drilling operations in Ohio, Pennsylvania and West Virginia.**

**-Managing an Energy Efficiency Consulting business which focuses on improving operational efficiencies of industrial businesses in Ohio which utilize significant amounts of energy in the form of electricity and natural gas.**

**-Acting for several years as an oil and gas consultant to European-American Bank.**

**EMPS, LLC**

**EXHIBIT B-4**

**DISCLOSURE OF LIABILITIES & INVESTIGATIONS**

**There are no existing, pending or past rulings, judgments, contingent liabilities, revocations, investigations or any other matters that could adversely impact the applicant's financial or operational status or its ability to provide the services it is seeking to be certified to provide.**

**EMPS, LLC**

**EXHIBIT C-1**

**ANNUAL REPORTS**

**The applicant is a newly formed private LLC and does not have any annual reports to date.**

**EMPS, LLC**

**EXHIBIT C-2**

**SEC FILINGS**

**The applicant is not a publicly traded corporation and is not required to make SEC filings.**

**EMPS, LLC**

**EXHIBIT C-3**

**FINANCIAL STATEMENTS**

**The applicant is attaching a starting Balance Sheet certified by the Manager/Sole Manager but does not have an income statement or cash flow statement since it has not conducted actual operations yet. In support of this application the manager is supplying (under seal) the last two-2014 and 2015-years of filed Form 1040 personal income tax returns in the attached envelope marked "Confidential."**

**ENERGY MANAGEMENT POWER SERVICES LLC  
42 CENTER STREET  
CHAGRIN FALLS, OH 44022  
440-247-7501**

**May 15, 2017**

**Public Utilities Commission  
180 East Broad  
Columbus, OH 43215**

**To Whom It May Concern:**

**I, Albert E. R. Schneider, Manager of Energy Management Power Services LLC, hereby certify that the attached Statement of Financial Position as of May 3, 2017 is accurate and complete in all respects.**

**Sincerely,**

 Albert E. R. Schneider; Manager.



## **EXHIBIT C-3**

### **ENERGY MANAGEMENT POWER SERVICES, LLC**

#### **State of Financial Position**

**At May 3, 2017 ( Inception of Business)**

#### **ASSETS:**

<b>Cash</b>	<b><u>\$1,000</u></b>
<b>TOTAL ASSETS</b>	<b>1,000</b>

#### **LIABILITIES & MEMBERS' EQUITY:**

<b>LIABILITIES:</b>	<b>0</b>
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#### **MEMBERS' EQUITY:**

<b>Members' Contributions</b>	<b><u>1,000</u></b>
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<b>TOTAL LIABILITIES &amp; MEMBERS' EQUITY</b>	<b>\$1,000</b>
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### **UNAUDITED**

#### **NOTES:**

- (1) The business was formed on May 1, 1917. Therefore, there are no significant financial transactions to report. This Statement represents the initial \$1,000 cash investment made by the Managing Member of the LLC.**

**EMPS, LLC**

**EXHIBIT C-4**

**FINANCIAL ARRANGEMENTS**

**Not Applicable. Applicant is applying for a Brokers License for electricity and natural gas sales only and is not taking title to electricity or natural gas.**

**EMPS, LLC**

**EXHIBIT C-5**

**FORECASTED FINANCIAL STATEMENTS**

**The attached “Forecasted Financial Statements” have been prepared by:**

**Richard C. O’Rourke, CPA**

**269 Westwood Road**

**Westlake, OH 44145**

**440-716-0941**

**Ror7777@yahoo.com**

## **EXHIBIT C-5**

### **ENERGY MANAGEMENT POWER SERVICES, LLC Projected Profit & Loss For the Two Years Beginning May 3, 2017**

**Initial 12 Mos.    Second 12 Months**

#### **PROJECTED REVENUE:**

<b>Fees (Electric) (1)</b>	<b>\$75,000</b>	<b>\$150,000</b>
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#### **PROJECTED EXPENSES:**

<b>Selling Expenses</b>	<b><u>17,500</u></b>	<b><u>35,000</u></b>
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<b>NET PROFIT</b>	<b>\$57,500</b>	<b>\$115,000</b>
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#### **FOOTNOTES:**

- (1) Fees are based on projected compensation from contractual agreements whereby clients will pay Energy Management Power Services ("EMPS") for consulting services.**
- (2) Projected Travel, Office, Marketing and other Selling Expenses.**
- (3) This projection was prepared by Richard O'Rourke, CPA ( 24469 Westwood Road; Westlake, OH 44145; 440-716-094 ) based on information provided by Albert Schneider (Managing Member.)**

**EMPS, LLC**

**EXHIBIT C-6**

**Not Applicable. Applicant does not have a credit rating yet; however, applicant's sole member/manager has attached his personal credit report (under seal) in the attached envelope marked "Confidential."**

**EMPS, LLC**

**EXHIBIT C-7**

**Applicant is a newly formed LLC and does not have a credit report. Applicant's member/manager has provided a copy of his personal credit report in confidence and under seal in the attached envelope marked "Confidential."**

**EMPS, LLC**

**EXHIBIT C-8**

**Neither applicant nor its member/manager has ever filed any bankruptcies or reorganizations of any type.**

**EMPS, LLC**

**EXHIBIT C-9**

**Applicant has not been involved in any merger or acquisitions activities ever.**



**EMPS, LLC**

**EXHIBIT C-10**

**Applicant is a stand alone organization with no affiliate or subsidiary companies.**