

NC

36



**Public Utilities  
Commission**

FILE

PUCO USE ONLY		
Date Received	Case Number	Version
	17-1286-EL-CRS	May 2016

17-1286-EL-CRS

## INITIAL CERTIFICATION APPLICATION FOR RETAIL GENERATION PROVIDERS AND POWER MARKETERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-13 Company History). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.

### A. APPLICANT INFORMATION

A-1 Applicant intends to be certified as: (check all that apply)

- |   |  |
|---|--|
| <input type="checkbox"/> Retail Generation Provider | <input checked="" type="checkbox"/> Power Broker |
| <input type="checkbox"/> Power Marketer             | <input type="checkbox"/> Aggregator              |

A-2 Applicant's legal name, address, telephone number and web site address

Legal Name ENERGY MANAGEMENT POWER SERVICES, LLC  
Address 46 CENTER STREET; CHAGRIN FALLS, OH 44022  
Telephone # (440) 247-7501 Web site address (if any) \_\_\_\_\_

A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name ENERGY MANAGEMENT POWER SERVICES, LLC  
Address 46 CENTER STREET; CHAGRIN FALLS, OH 44022  
Telephone # (440) 247-7501 Web site address (if any) \_\_\_\_\_

A-4 List all names under which the applicant does business in North America

ENERGY MANAGEMENT POWER SERVICES, LLC  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
Technician SM Date Processed MAY 18 2017

**A-5 Contact person for regulatory or emergency matters**

Name ALBERT E. R. SCHNEIDER  
Title MANAGER  
Business address 46 CENTER STREET; CHAGRIN FALLS, OH 44022  
Telephone # (440) 247-7501 Fax # (440) 247-2484  
E-mail address BIGAL36@HOTMAIL.COM

**A-6 Contact person for Commission Staff use in investigating customer complaints**

Name ALBERT E. R. SCHNEIDER  
Title MANAGER  
Business address 46 CENTER STREET; CHAGRIN FALLS, OH 44022  
Telephone # (440) 247-7501 Fax # (440) 247-2484  
E-mail address BIGAL36@HOTMAIL.COM

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 46 CENTER STREET; CHAGRIN FALLS, OH 44022  
Toll-free Telephone # (440) 247-7501 Fax # (440) 247-2484  
E-mail address BIGAL36@HOTMAIL.COM

**A-8 Applicant's federal employer identification number # 821402805**

**A-9 Applicant's form of ownership (check one)**

- |  |  |
|--|--|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                     |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other _____                     |

**A-10 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).**

- |  |                                      |                                     |                                     |                                     |
|--|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> <b>First Energy</b>             |                                      |                                     |                                     |                                     |
| <input type="checkbox"/> Ohio Edison                     | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison                   | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Duke Energy                     | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <br>   |                                      |                                     |                                     |                                     |
| <input type="checkbox"/> <b>American Electric Power</b>  |                                      |                                     |                                     |                                     |
| <input type="checkbox"/> Ohio Power                      | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

<input checked="" type="checkbox"/> Columbus Southern Power	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> Dayton Power and Light	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial

**A-11** Provide the approximate start date that the applicant proposes to begin delivering services

July 1, 2017

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- A-12** Exhibit A-12 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-13** Exhibit A-13 "Company History," provide a concise description of the applicant's company history and principal business interests.
- A-14** Exhibit A-14 "Articles of Incorporation and Bylaws," if applicable provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-15** Exhibit A-15 "Secretary of State," provide evidence that the applicant has registered with the Ohio Secretary of the State.

## **B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- B-1** Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services.
- B-2** Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- B-3** **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing the service(s) it is seeking to be certified to provide (e.g. number and types of customers served, utility service areas, amount of load, etc.).
- B-4** **Exhibit B-4 "Environmental Disclosure,"** provide a detailed description of how the applicant intends to determine its (a) generation resource mix, and (b) environmental characteristics, including air emissions and radioactive waste. This information shall include sufficient discussion so as to detail both the annual projection methodology and the proposed approach to compiling the quarterly actual environmental disclosure data. Additional details on this requirement may be obtained by referring to 4901:1-21-09.
- B-5** **Exhibit B-5 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-6** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.  
☒ No                      ☐ Yes
- If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.
- B-7** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service denied, curtailed, suspended, revoked, or cancelled within the past two years.  
☒ No                      ☐ Yes
- If yes, provide a separate attachment labeled as **Exhibit B-7 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports)

- C-2 Exhibit C-2 “SEC Filings,”** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted statements (balance sheet, income statement, and cash flow statement) for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecast should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.
- C-7 Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.
- C-8 Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 “Corporate Structure,”** provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

## D. APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- D-1 Exhibit D-1 "Operations" provide a written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.
- D-2 Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel," provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.
- D-4 Exhibit D-4 "FERC Power Marketer License Number," provide a statement disclosing the applicant's FERC Power Marketer License number. (Power Marketers only)

*Energy Management Power Services, LLC*  
*[Signature]*  
Signature of Applicant and Title

Sworn and subscribed before me this 16 day of May, 2017  
Month Year

*[Signature]*  
Signature of official administering oath

*Mary S. Verbic*, Notary  
Print Name and Title

My commission expires on 9/21/2020



MARY S VERBIC  
Notary Public State of Ohio  
My Comm. Expires Sept 21, 2020

**Energy Management Power Services, LLC (EMPS, LLC)**

**EXHIBIT A-12**

**PRINCIPAL OFFICERS, DIRECTORS AND PARTNERS**

**Albert E. R. Schneider III, Manager**  
**46 Center Street**  
**Chagrin Falls, OH 44022**  
**440-247-**



**EMPS, LLC**

**EXHIBIT A-13**

**COMPANY HISTORY**

**The Company is a newly formed LLC entity in the State of Ohio, organized for the purpose of providing Broker Services to non-residential users of natural gas and electricity.**

**EMPS, LLC**

**EXHIBIT A-14**

**ARTICLES OF INCORPORATION AND BYLAWS**

**Articles of Organization are attached as Exhibit A-14**

**ORIGINAL APPOINTMENT OF AGENT**

The undersigned authorized member(s), manager(s) or representative(s) of

ENERGY MANAGEMENT POWER SERVICES,LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

ALBERT E.R.SCHNEIDER,III

Name of Agent

46 CENTER ST

Mailing Address

CHAGRIN FALLS

City

OH

State

44022

ZIP Code

**ACCEPTANCE OF APPOINTMENT**

The undersigned, named herein as the statutory agent

ALBERT E.R.SCHNEIDER,III

Statutory Agent Name

for

ENERGY MANAGEMENT POWER SERVICES,LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

Statutory Agent Signature

ALBERT E.R.SCHNEIDER,III

Individual Agent's Signature / Signature on Behalf of Business Serving as Agent

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

**Required**

Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

ALBERT E.R. SCHNEIDER, III  
Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name



Form 533A Prescribed by:  
Ohio Secretary of State

**JON HUSTED**  
Ohio Secretary of State

Central Ohio: (614) 466-3910  
Toll Free: (877) SOS-FILE (767-3453)  
www.OhioSecretaryofState.gov  
Busserv@OhioSecretaryofState.gov

Date Electronically Filed: 5/1/2017

## Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$99

CHECK ONLY ONE (1) BOX

(1) ☒ Articles of Organization for Domestic  
For-Profit Limited Liability Company  
(115-LCA)

(2) ☐ Articles of Organization for Domestic  
Nonprofit Limited Liability Company  
(115-LCA)

Name of Limited Liability Company

ENERGY MANAGEMENT POWER SERVICES, LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

Effective Date  
(Optional)

5/1/2017

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)

This limited liability company shall exist for  
(Optional)

PERPETUAL

Period of Existence

Purpose  
(Optional)

TO ACT AS BROKER IN CONNECTION WITH ELECTRICITY AND NATURAL GAS AND ANY  
OTHER LAWFUL ACTIVITY.

### \*\*Note for Nonprofit LLCs

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

**EMPS, LLC**

**EXHIBIT A-15**

**SECRETARY OF STATE**

**Certificate of Registration from Ohio is attached.**



DATE	DOCUMENT ID	DESCRIPTION	FILING	OVER PAYMENT	EXPED	CERT	COPY
05/03/2017	201712000602	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	99.00	0.00	0.00	0.00	0.00

**Receipt**

This is not a bill. Please do not remit payment.

ALBERT E.R. SCHNEIDER III  
46 CENTER ST  
CHAGRIN FALLS, OH 44022

**STATE OF OHIO  
CERTIFICATE**

Ohio Secretary of State, Jon Husted  
4023864

It is hereby certified that the Secretary of State of Ohio has custody of the business records for  
**ENERGY MANAGEMENT POWER SERVICES, LLC**  
and, that said business records show the filing and recording of:

Document(s)

**DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG**  
Effective Date: 05/01/2017

Document No(s):

**201712000602**

United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
3rd day of May, A.D. 2017.

*Jon Husted*  
Ohio Secretary of State

**EMPS, LLC**

**EXHIBIT B-1**

**JURISDICTIONS OF OPERATION**

**The applicant is a newly formed Ohio LLC and is seeking initial authorization to provide broker services within the State of Ohio.**



**EMPS, LLC**

**EXHIBIT B-2**

**EXPERIENCE & PLANS**

**Applicant plans to utilize a network of business consultants to identify and market its services to commercial customers within Ohio. Applicant, as a broker, will act as an intermediary in arranging power and natural gas commodities by representing direct suppliers of energy. In this regard, the actual energy supplier (and not the applicant) will provide direct monthly billing services, response to customer inquiries and complaints pursuant to commission rules. Applicant will assist all customers with any such requests.**

**EMPS, LLC**

**EXHIBIT B-3**

**SUMMARY OF EXPERIENCE**

**Applicant will rely on the experience and knowledge of its manager in connection with providing its customers access to competitive energy purchase options.**

**Manager's experience includes:**

**-47 years as a licensed attorney in the State of Ohio. (Supreme Court Registration 0007792) with significant experience in natural gas drilling operations in Ohio, Pennsylvania and West Virginia.**

**-Managing an Energy Efficiency Consulting business which focuses on improving operational efficiencies of industrial businesses in Ohio which utilize significant amounts of energy in the form of electricity and natural gas.**

**-Acting for several years as an oil and gas consultant to European-American Bank.**

**EMPS, LLC**

**EXHIBIT B-4**

**DISCLOSURE OF LIABILITIES & INVESTIGATIONS**

**There are no existing, pending or past rulings, judgments, contingent liabilities, revocations, investigations or any other matters that could adversely impact the applicant's financial or operational status or its ability to provide the services it is seeking to be certified to provide.**

**EMPS, LLC**

**EXHIBIT C-1**

**ANNUAL REPORTS**

**The applicant is a newly formed private LLC and does not have any annual reports to date.**

**EMPS, LLC**

**EXHIBIT C-2**

**SEC FILINGS**

**The applicant is not a publicly traded corporation and is not required to make SEC filings.**

**EMPS, LLC**

**EXHIBIT C-3**

**FINANCIAL STATEMENTS**

**The applicant is attaching a starting Balance Sheet certified by the Manager/Sole Manager but does not have an income statement or cash flow statement since it has not conducted actual operations yet. In support of this application the manager is supplying (under seal) the last two-2014 and 2015-years of filed Form 1040 personal income tax returns in the attached envelope marked "Confidential."**

ENERGY MANAGEMENT POWER SERVICES LLC  
42 CENTER STREET  
CHAGRIN FALLS, OH 44022  
440-247-7501

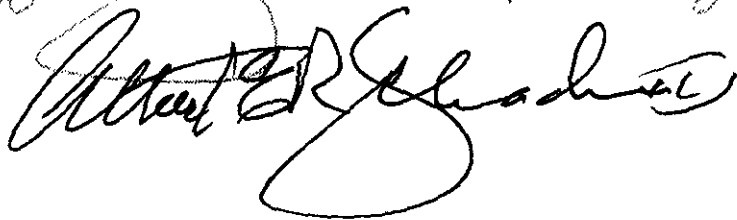
May 15, 2017

Public Utilities Commission  
180 East Broad  
Columbus, OH 43215

To Whom It May Concern:

I, Albert E. R. Schneider, Manager of Energy Management Power Services LLC, hereby certify that the attached Statement of Financial Position as of May 3, 2017 is accurate and complete in all respects.

Sincerely,

*Albert E. R. Schneider; Manager*  


### **EXHIBIT C-3**

#### **ENERGY MANAGEMENT POWER SERVICES, LLC**

##### **State of Financial Position**

**At May 3, 2017 ( Inception of Business)**

#### **ASSETS:**

<b>Cash</b>	<b><u>\$1,000</u></b>
-------------	-----------------------

<b>TOTAL ASSETS</b>	<b>1,000</b>
---------------------	--------------

#### **LIABILITIES & MEMBERS' EQUITY:**

<b>LIABILITIES:</b>	<b>0</b>
---------------------	----------

#### **MEMBERS' EQUITY:**

<b>Members' Contributions</b>	<b><u>1,000</u></b>
-------------------------------	---------------------

<b>TOTAL LIABILITIES &amp; MEMBERS' EQUITY</b>	<b>\$1,000</b>
--	----------------

### **UNAUDITED**

#### **NOTES:**

- (1) The business was formed on May 1, 1917. Therefore, there are no significant financial transactions to report. This Statement represents the initial \$1,000 cash investment made by the Managing Member of the LLC.



**EMPS, LLC**

**EXHIBIT C-4**

**FINANCIAL ARRANGEMENTS**

**Not Applicable. Applicant is applying for a Brokers License for electricity and natural gas sales only and is not taking title to electricity or natural gas.**

**EMPS, LLC**

**EXHIBIT C-5**

**FORECASTED FINANCIAL STATEMENTS**

**The attached "Forecasted Financial Statements" have been prepared by:**

**Richard C. O'Rourke, CPA**

**269 Westwood Road**

**Westlake, OH 44145**

**440-716-0941**

**Ror7777@yahoo.com**

## **EXHIBIT C-5**

### **ENERGY MANAGEMENT POWER SERVICES, LLC Projected Profit & Loss For the Two Years Beginning May 3, 2017**

**Initial 12 Mos.    Second 12 Months**

#### **PROJECTED REVENUE:**

<b>Fees (Electric) (1)</b>	<b>\$75,000</b>	<b>\$150,000</b>
----------------------------	-----------------	------------------

#### **PROJECTED EXPENSES:**

<b>Selling Expenses</b>	<b><u>17,500</u></b>	<b><u>35,000</u></b>
-------------------------	----------------------	----------------------

<b>NET PROFIT</b>	<b>\$57,500</b>	<b>\$115,000</b>
-------------------	-----------------	------------------

#### **FOOTNOTES:**

- (1) Fees are based on projected compensation from contractual agreements whereby clients will pay Energy Management Power Services ("EMPS") for consulting services.**
- (2) Projected Travel, Office, Marketing and other Selling Expenses.**
- (3) This projection was prepared by Richard O'Rourke, CPA ( 24469 Westwood Road; Westlake, OH 44145; 440-716-094 ) based on information provided by Albert Schneider (Managing Member.)**

**EMPS, LLC**

**EXHIBIT C-6**

**CREDIT RATING**

**Not Applicable. Applicant does not have a credit rating yet; however, applicant's sole member/manager has attached his personal credit report (under seal) in the attached envelope marked "Confidential."**

**EMPS, LLC**

**EXHIBIT C-7**

**CREDIT REPORT**

**Applicant is a newly formed LLC and does not have a credit report. Applicant's member/manager has provided a copy of his personal credit report in confidence and under seal in the attached envelope marked "Confidential."**

**EMPS, LLC**

**EXHIBIT C-8**

**BANKRUPTCY INFORMATION**

**Neither applicant nor its member/manager has ever filed any bankruptcies or reorganizations of any type.**

**EMPS, LLC**

**EXHIBIT C-9**

**MERGER INFORMATION**

**Applicant has not been involved in any merger or acquisitions activities ever.**

**EMPS, LLC**

**EXHIBIT C-10**

**CORPORATE STRUCTURE**

**Applicant is a stand alone organization with no affiliate or subsidiary companies.**



**EMPS, LLC**

**EXHIBIT D-1**

**OPERATIONS**

**The Applicant is a retail broker intending to act as a sales agent for one or more Electric or Gas Utilities or Wholesalers which will provide actual service to end user customers contacted by the Applicant as a broker.**

**Applicant will not generate power, schedule power for transmission or delivery; provide any retail ancillary services or any other service involving the actual purchase and delivery of power.**

**EMPS, LLC**

**EXHIBIT D-2**

**OPERATIONS EXPERTISE**

**Excepting sales efforts there are no operational or technical requirements of a specialized nature required. The Applicant will rely on the extensive education and general experience of its Manager in the conduct of its daily business affairs.**

**EMPS, LLC**

**EXHIBIT D-3**

**KEY TECHNICAL PERSONNEL**

**The Applicant's Manager is : Albert E.R. Schneider, III ,  
Manager; Tel. 440-247-7501; email: bigal36@Hotmail.com;  
Attorney-at-Law , energy consultant, management and financial  
consultant.**

**EMPS, LLC**

**EXHIBIT D-4**

**FERC POWER MARKETER LICENSE NUMBER**

**Applicant is not engaged in the business of buying wholesale power and/or selling power at market prices and therefore does not possess a FERC Power Marketer License Number.**