

USAGroup

Utility Services Advisory Group

4

28 April 2018

Public Utilities Commission of Ohio
Docketing Division
180 East Broad Street
Columbus Ohio 43215-3793

15-1788-EL-AGG

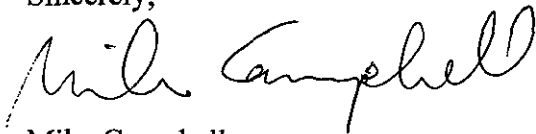
Regarding: CRES 15-1026E (1) material change to Broker only designation.

To Who It May Concern:

This is our material change information so our CRES certificate can list us as a Power Broker only.

Please send a revised certificate to my attention at the address below.

Sincerely,



Mike Campbell
Legal Liaison

RECEIVED
2017 MAY -2 AM 8:35
PUCO

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician SM Date Processed MAY 02 2017

CERT # 15-1026E (1)

Ohio | Public Utilities Commission

MATERIAL CHANGE

Original AGG Case Number	Version
-EL-AGG	May 2016

RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☐ Aggregator

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name UTILITY SERVICES ADVISORY GROUP, INC
Address _____
PUCO Certificate # and Date Certified _____
Telephone # _____ Web site address (if any) _____

A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name _____
Address _____
Telephone # _____ Web site address (if any) _____

A-4 List all names under which the applicant does business in North America

A-5 Contact person for regulatory or emergency matters

Name _____
Title _____
Business address _____
Telephone # _____ Fax # _____
E-mail address _____

Mike Campbell

From: amanda.stallings@puco.ohio.gov
Sent: Thursday, April 27, 2017 5:42 PM
To: MarketMonitoring@puco.ohio.gov
Cc: amanda.stallings@puco.ohio.gov
Subject: PUCO |Broker-Aggregator Information

Attention Energy Brokers:

The Commission has had multiple inquiries recently regarding the aggregator and broker designation on the competitive retail electric certificates. Prior to May of 2016, there was not an option on the initial application or renewal forms to choose whether the Applicant wanted to be certified as a broker, aggregator or both. The forms have since been updated and the choice is now made in A-1 on the application and renewal forms.

If you would like to update the designation for the Company's certificate, you may do so by filing a material change in the docket. To file a material change, please reference the appropriate case number and file section A-1 of the renewal application along with a cover letter stating that you are filing a material change. Staff will update the information and issue a revised certificate. If you prefer, you can wait and check the appropriate box when it is time to renew the Company's certificate.

Note that as long as you carry the aggregator designation on your certificate, you are required to use the Market Monitoring Application to complete the necessary aggregation form pursuant to OAC 4901:1-25-02 even if you did not participate in any aggregation activities during the reporting period.

Questions regarding the market monitoring requirement should be directed to MarketMonitoring@puco.ohio.gov. If you have questions regarding material changes or the certification process in general, please email CRES@puco.ohio.gov.

Sincerely,

Amanda Stallings, M.B.A
Public Utilities Commission of Ohio
Rates & Analysis Department
Grid Modernization and Security Division
Utility Specialist
(614) 466-7947
PUCO.ohio.gov



This message and any response to it may constitute a public record and thus may be publicly available to anyone who requests it.



**Public Utilities
Commission**

John Kasich, Governor
Asim Z. Haque, Chairman

Commissioners
Lynn Staby
M. Beth Trombold
Thomas W. Johnson

PUBLIC UTILITIES COMMISSION OF OHIO

REVISED

Certified as a Competitive Retail Electric Service Provider

Certificate Number:

15-1026E (1)

Issued Pursuant to Case Number(s):

15-1788-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **Utility Services Advisory Group, Inc.** whose office or principal place of business is located at **812 Pinellas St., Clearwater, FL 33756** to provide **aggregation and power broker** services within the State of Ohio effective November 22, 2015.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **February 9, 2017**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Felecia D. Burdett, Acting Secretary

Certificate Expires: November 22, 2017