



Public Utilities Commission

Original CRS Case Number	Version
17-684-EL-CRS	May 2016

RENEWAL APPLICATION FOR RETAIL GENERATION PROVIDERS AND POWER MARKETERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant intends to be renewed as: (check all that apply)

- ☐ Retail Generation Provider ☐ Power Broker
☒ Power Marketer ☐ Aggregator

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name GearyEnergy, LLC
Address 7712 S Yale Avenue, Suite 201, Tulsa, OK 74136
PUCO Certificate # and Date Certified 13-628-E-(1) - February 4, 2013
Telephone # (918) 523-2513 Web site address (if any) www.gearyenergy.com

A-3 List name, address, telephone number and web site address under which Applicant does business in Ohio

Legal Name GearyEnergy, LLC
Address 7712 S Yale Avenue, Suite 201, Tulsa, OK 74136
Telephone # (918) 523-2513 Web site address (if any) www.gearyenergy.com

A-4 List all names under which the applicant does business in North America

<u>GearyEnergy, LLC</u>	<u>Geary Gas Marketing</u>
<u>Geary Power Marketing</u>	<u>Geary Enterprises</u>
_____	_____

A-5 Contact person for regulatory or emergency matters

Name James Horan
Title Commercial Manager
Business address 7712 S. Yale Avenue, Suite 201, Tulsa, OK 74136
Telephone # (918) 523-2513 Fax # (918) 523-2522
E-mail address jhoran@gearyenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints

Name James Horan
Title Commercial Manager
Business address 7712 S. Yale Avenue, Suite 201, Tulsa, OK 74136
Telephone # (918) 523-2513 Fax # (918) 523-2522
E-mail address jhoran@gearyenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address 7712 S. Yale Avenue, Suite 201, Tulsa, OK 74136
Toll-free Telephone # _____ Fax # (918) 523-2522
E-mail address jhoran@gearyenergy.com

A-8 Applicant's federal employer identification number # 62-1742116

A-9 Applicant's form of ownership (check one)

<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Partnership
<input type="checkbox"/> Limited Liability Partnership (LLP)	<input checked="" type="checkbox"/> Limited Liability Company (LLC)
<input type="checkbox"/> Corporation	<input type="checkbox"/> Other _____

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-10 Exhibit A-10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

B. MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services.
- B-2** Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.
- B-3** Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as Exhibit B-4 "Disclosure of Consumer Protection Violations" detailing such violation(s) and providing all relevant documents.

- B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation" detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1** Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

- C-2** **Exhibit C-2 “SEC Filings,”** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3** **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

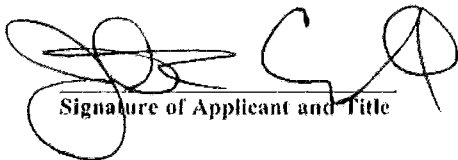
If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody’s Investors Service, Standard & Poor’s, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C-10 “Corporate Structure,”** provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

D. TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- D-1 Exhibit D-1 "Operations" provide a written description of the operational nature of the applicant's business. Please include whether the applicant's operations include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.
- D-2 Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel," provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.
- D-4 Exhibit D-4 "FERC Power Marketer License Number," provide a statement disclosing the applicant's FERC Power Marketer License number. (Power Marketers only)

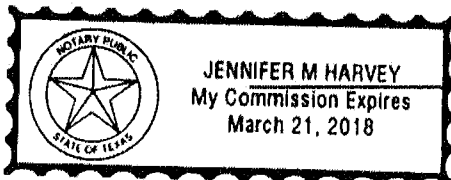

Signature of Applicant and Title

Sworn and subscribed before me this 6th day of March 2017
Month Year


Signature of official administering oath

Jennifer M. Harvey
Print Name and Title Notary

My commission expires on 3/21/2018



AFFIDAVIT

State of Texas :

Houston
(Town)

County of Harris :

Jonathan P. Carroll

Affiant, being duly sworn/affirmed according to law, deposes and says that:

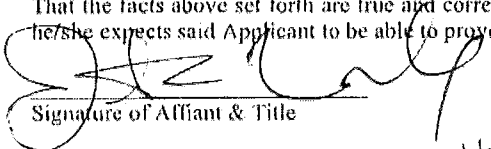
He/She is the CEO (Office of Affiant) of Geart Energy (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
7. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

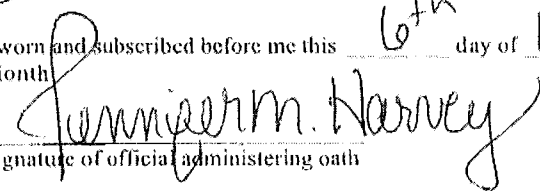
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.


Signature of Affiant & Title

Sworn and subscribed before me this _____
Month

6th day of March, 2017

Year


Signature of official administering oath

Jennifer M. Harvey
Print Name and Title

My commission expires on

3/21/2018



Exhibit A-10 "Principal Officers, Directors & Partners"
provide the names, titles, addresses and telephone numbers of
the applicant's principal officers, directors, partners, or other
similar officials.

Jonathan Carroll
Chief Executive Officer
801 Travis, Suite 2100
Houston, Texas 77002
jcarroll@lazarusenergy.com
Phone: 713-850-0513
Fax: 713-227-7626

Frank S. Geary
President
7712 S. Yale Avenue, Suite 201
Tulsa, Oklahoma 74136
fgeary@gearyenergy.com
Phone: 918-523-2513
Fax: 918-523-2522

James Horan
Commercial Manager
7712 S. Yale Avenue, Suite 201
Tulsa, Oklahoma 74136
jhoran@gearyenergy.com
Phone: 918-523-2513
Fax: 918-523-2522

Terry Honeyman
Sr. Administrative Assistant
7712 S. Yale Avenue, Suite 201
Tulsa, Oklahoma 74136
thoneyman@gearyenergy.com
Phone: 918-523-2513
Fax: 918-523-2522

Exhibit B-1

"Jurisdictions of Operation"

GearyEnergy, LLC is licensed as an electric supplier the following states:

- Michigan Case Number U-16167 and U-16264
- Massachusetts Competitive Supplier Number CS-068
- Delaware Order Number 7759--PSC Docket Number 10-89
- Pennsylvania Public Utility Commission A-2011-2227268

Exhibit B-2

"Experience & Plans"

GearyEnergy, LLC is a certified minority business enterprise (MBE) and small disadvantaged business (SDB) which has entered into a contractual agreement with a large, established retail electricity supplier. This contract agreement allows Geary the opportunity to provide minority supplier diversity to industrial and commercial retail customers in the state of Ohio. Geary acts primarily as a marketer with our subcontractor handling the scheduling and transportation of our commitments.

GearyEnergy has no intention of offering residential retail electricity supply. Our focus is on industrial and commercial public and private sector supply.

GearyEnergy has prepared and utilizes a Master Retail Electricity Supply Agreement, pricing schedule, and invoices and has the managerial, technical and financial ability to work with customers on service, billing, customer inquiries and complaints.

Exhibit B-3 "Disclosure of Liabilities and Investigations,"

Provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

None – N/A

Exhibit C-1

"Annual Reports"

GearyEnergy is a privately held limited liability company and does not have annual reports.

Exhibit C-2

"SEC Filings"

GearyEnergy, LLC is a privately held company with 100% ownership by Frank S. Geary—
President. Therefore, GearyEnergy has no SEC filings.

Exhibit C-3
"Financial Statements"

This Exhibit contains confidential and proprietary information and is being submitted under seal.

Exhibit C-4
"Financial Arrangements"

This Exhibit contains confidential and proprietary information and is being submitted under seal.

EXHIBIT C-5
(Financial Forecasts)

This exhibit contains confidential and proprietary information and is being submitted under seal.

Exhibit C-6

"Credit Rating"

GearyEnergy, LLC is a privately held company that has never subscribed to any credit reporting agencies.

Exhibit C-7
"Credit Report"

Please find the attached Dun & Bradstreet report.

D&B Business Information Report

Copyright 2012 Dun & Bradstreet - Provided under contract for the exclusive use of subscriber 268151171L

ATTN: .

Report Printed: DEC 31 2012
to Date**BUSINESS SUMMARY**GEARY ENERGY, L.L.C.
7712 S Yale Ave Ste 201
Tulsa, OK 74135

This is a single location.

D-U-N-S Number: 02-237-8751

Web site: www.gearyenergy.com

D&B Rating: 2R2
Number of employees: 2R is 1 to 9 employees.
Composite credit appraisal: 2 is good.

Telephone: 918 523-2511

Fax: 918 523-2527

Manager: FRANK GEARY, MGR

D&B PAYDEX®:

Year started: 1998

D&B PAYDEX: 80
When weighted by dollar amount, payments to
suppliers average generally within terms.

Employs: 3

History: CLEAR

Based on up to 24 months of trade.

Financing: SECURED

SIC: 4921

Line of business: Gas Transmission/distribution

SUMMARY ANALYSISD&B Rating: 2R2
Number of employees: 2R indicates 1 to 9 employees.
Composite credit appraisal: 2 is good.

The 1R and 2R ratings categories reflect company size based on the total number of employees for the business. They are assigned to business files that do not contain a current financial statement. In 1R and 2R Ratings, the 2, 3, or 4 creditworthiness indicator is based on analysis by D&B of public filings, trade payments, business age and other important factors. 2 is the highest Composite Credit Appraisal a company not supplying D&B with current financial information can receive. For more information, see the D&B Rating Key.

Below is an overview of the company's rating history since 07/28/98:

D&B Rating	Date Applied
2R2	03/11/05
--	12/11/02
2R2	06/14/01
-	10/01/00
2R2	09/14/99
--	07/28/98

The Summary Analysis section reflects information in D&B's file as of December 31, 2012.

CUSTOMER SERVICE

If you have questions about this report, please call our Customer Resource Center at 1.800.234.3867 from anywhere within the U.S. If you are outside the U.S., contact your local D&B office.

*** Additional Decision Support Available ***

Additional D&B products, monitoring services and specialized investigations are available to help you evaluate this company or its industry. Call D&B's Bradstreet's Customer Resource Center at 1.800.234.3867 from anywhere within the U.S. or visit our website at www.dnb.com.

HISTORY

The following information was reported 12/22/2012:

Managements:

FRANK GEARY, MDR

The Oklahoma Secretary of State's business registrations file showed that GearyEnergy, L.L.C. was registered as a limited liability company on September 16, 1999.

Ownership information provided verbally by Carly Karnes, Admin Asst, on Sep 03 2009.

Business started 1998 by Officers.

FRANK GEARY born 1962, 1998-present; active here.

OPERATIONS

12/22/2012

Description: Provides gas transmission or distribution (100%).

Has 25 account(s). Terms are Net 30 days. Sells to commercial concerns and the government.
Territory : United States.

Nonseasonal.

Employees: 3 which includes partners.

Facilities: Occupies 3,000 sq. ft. in a two story brick building.

Location: Suburban business section on main street.

SIC & NAICS

SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations than if we use the standard 4-digit code.

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

49230000 Gas transmission and distribution

NAICS:

221210 Natural Gas Distribution

D&B PAYDEX

The D&B PAYDEX is a unique, dollar-weighted indicator of payment performance based on up to 18 payment

experiences as reported to D&B by trade references.

3-Month D&B PAYDEX: 80

When weighted by dollar amount, payments to suppliers average within terms.

Based on trade collected over last 3 months.

D&B PAYDEX: 80

When weighted by dollar amount, payments to suppliers average generally within terms.

Based on up to 24 months of trade.

When dollar amounts are not considered, then approximately 100% of the company's payments are within terms.

PAYMENT SUMMARY

The Payment Summary section reflects payment information in D&B's file as of the date of this report.

Below is an overview of the company's dollar-weighted payments, segmented by its suppliers' primary industries:

	Total Rev'd (\$)	Total Dollar Amts (\$)	Largest High Credit (\$)	Within Terms (%)	Days Slow <31 31-60 61-90 90+ (%)			
Top industries:								
Telephone communications	7	1,300	1,000	100	-	-	-	-
Public finance	2	8,250	7,500	100	-	-	-	-
Auto equipment rental	2	750	500	100	-	-	-	-
Security broker/deal	1	250	250	100	-	-	-	-
Personal credit	1	50	50	100	-	-	-	-
Other payment categories:								
Cash experiences	5	700	500					
Payment record unknown	0	0	0					
Unfavorable comments	0	0	0					
Placed for collections:								
With D&B	0	0						
Other	0	N/A						
Total in D&B's file	18	11,300	7,500					

The highest Now Owes on file is \$250

The highest Past Due on file is \$0

D&B receives over 600 million payment experiences each year. We enter these new and updated experiences into D&B Reports as this information is received.

PAYMENT DETAILS**Detailed Payment History**

Date Reported (mm/yy)	Paying Record	High Credit (\$)	Now Owes (\$)	Past Due (\$)	Settling Terms	Last Sale Within (months)
12/12	(B01)	50			Cash account	1 mo
	(B02)	50			Cash account	2-3 mos
12/12	Ppt	250	250	0	N/D	1 mo

	Ppt	50	50	0		1 mo
	Ppt	50	50	0		1 mo
	Ppt	50	50	0		1 mo
	Ppt	50	50	0		1 mo
	Ppt	50	50	0		1 mo
	Ppt	50	50	0		1 mo
	(010)	500			Cash account	1 mo
	Cash own option.					
06/12	Ppt	7,500				1 mo
	Ppt	750				1 mo
02/12	Ppt	500	250	0	N30	1 mo
	Ppt	750	0	0	N30	6-12 mos
	Ppt	50	0	0		6-12 mos
	(016)	50			Cash account	1 mo
12/11	Ppt	1,000	100	0		
10/11	(010)	50			Cash account	6-12 mos

Payments Detail Key: red = 30 or more days beyond terms

Cash experience shown is from a separate supplier. Unrated trade experiences replace those previously reported.

FINANCE

12/22/2012

The name and address of this business have been confirmed by D&B using available sources.

BANKING

11/12 Account(s) averages medium 5 figures. Account open over 5 years.

PUBLIC FILINGS

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

UCC FILINGS

EFF Oct 09: OX UCC file is 17 bytes: YYYYMMDD(B bytes) & UCC filing code '02'(2 bytes) followed by a 7 byte number. D&B is limited to 14 bytes & will omit last 2 bytes from the year & 0 from the 02 code. Ex: 20100125020074071 will be 1001250074071.

Collateral: Accounts receivable including proceeds and products - Account(s) including proceeds and products - Chattel paper including proceeds and products - Contract rights including proceeds and products - General intangibles(s) including proceeds and products

Type: Original

Sec. party: CONSTELLATION NEW ENERGY, INC., BALTIMORE, MD

Debtor: GEARYENERGY, LLC

Filing number: 2011 415889H

Filed with: SECRETARY OF STATE/UCC DIVISION, DOVER, DE

Date filed: 10/27/2011

Latest Info Received: 11/28/2011

Eff Oct 09: OK UCC filer is 17 bytes: YYYYMMDD(8 bytes) & UCC filing code '02'(2 bytes) followed by a 7 byte number. D&B is limited to 14 bytes & will omit 1st 2 bytes from the year & 0 from the 02 code. Ex: 20100125020074071 will be 10012520074071.

Collateral: Accounts receivable including proceeds and products - Account(s) including proceeds and products - Chattel paper including proceeds and products - Contract rights including proceeds and products - General intangibles(s) including proceeds and products
Type: Original
Sec. party: CONSTELLATION NEWENERGY, INC., BALTIMORE, MD
Debtor: GEARYENERGY, LLC
Filing number: 2011 3707616
Filed with: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date filed: 09/27/2011
Latest Info Received: 10/21/2011

Eff Oct 09: OK UCC filer is 17 bytes: YYYYMMDD(8 bytes) & UCC filing code '02'(2 bytes) followed by a 7 byte number. D&B is limited to 14 bytes & will omit 1st 2 bytes from the year & 0 from the 02 code. Ex: 20100125020074071 will be 10012520074071.

Collateral: Accounts receivable including proceeds and products - Account(s) including proceeds and products - General intangibles(s) including proceeds and products - Contract rights including proceeds and products - Chattel paper including proceeds and products
Type: Original
Sec. party: CONSTELLATION NEWENERGY, INC., BALTIMORE, MD
Debtor: GEARYENERGY, LLC
Filing number: 2011 1566963
Filed with: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date filed: 04/27/2011
Latest Info Received: 05/18/2011

Eff Oct 09: OK UCC filer is 17 bytes: YYYYMMDD(8 bytes) & UCC filing code '02'(2 bytes) followed by a 7 byte number. D&B is limited to 14 bytes & will omit 1st 2 bytes from the year & 0 from the 02 code. Ex: 20100125020074071 will be 10012520074071.

Collateral: Accounts receivable including proceeds and products - Account(s) including proceeds and products - Chattel paper including proceeds and products - Contract rights including proceeds and products - General intangibles(s) including proceeds and products
Type: Original
Sec. party: CONSTELLATION NEWENERGY - GAS DIVISION, LLC, LOUISVILLE, KY
Debtor: GEARYENERGY, LLC
Filing number: 2011 0187511
Filed with: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date filed: 01/18/2011
Latest Info Received: 02/13/2011

Eff Oct 09: OK UCC filer is 17 bytes: YYYYMMDD(8 bytes) & UCC filing code '02'(2 bytes) followed by a 7 byte number. D&B is limited to 14 bytes & will omit 1st 2 bytes from the year & 0 from the 02 code. Ex: 20100125020074071 will be 10012520074071.

Collateral: Accounts receivable including proceeds and products - Account(s) including proceeds and products - Chattel paper including proceeds and products - Contract rights including proceeds and products - General intangibles(s) including proceeds and products
Type: Original
Sec. party: CONSTELLATION NEWENERGY, INC., BALTIMORE, MD
Debtor: GEARYENERGY, LLC
Filing number: 2010 2481640
Filed with: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date filed: 07/16/2010

Latest Info Received: 08/11/2010

Eff 001 09: OK UCC filer is 12 bytes: YYYYMMDD(8 bytes) & UCC filing code '02'(2 bytes) followed by a 2 byte number. D&B is limited to 14 bytes & will omit 1st 2 bytes from the year & 0 from the 02 code. Ex: 201008/25020074071 will be 10012520074071

Collateral: Account(s) including proceeds and products - Contract rights including proceeds and products - Chattel paper including proceeds and products - General intangibles (s) including proceeds and products
 Type: Original
 Sec. party: MIDFIRST BANK, OKLAHOMA CITY, OK
 Debtor: GEARY ENERGY, L.L.C.
 Filing number: 4300480 3
 Filed with: SECRETARY OF STATE/UCC DIVISION, DOWER, DE
 Data filed: 10/20/2004
 Latest Info Received: 12/06/2004

Eff 001 09: OK UCC filer is 12 bytes: YYYYMMDD(8 bytes) & UCC filing code '02'(2 bytes) followed by a 2 byte number. D&B is limited to 14 bytes & will omit 1st 2 bytes from the year & 0 from the 02 code. Ex: 20100125020074071 will be 10012520074071

Collateral: Account(s) and proceeds
 Type: Original
 Sec. party: MIDFIRST BANK, OKLAHOMA CITY, OK
 Debtor: GEARY ENERGY LLC
 Filing number: 2001094457026
 Filed with: OKLAHOMA CENTRAL FILING OFFICE/UCC DIVISION, OKLAHOMA CITY, OK
 Data filed: 04/14/2004
 Latest Info Received: 04/28/2004

The public record items contained in this report may have been paid, terminated, vacated or refuted prior to the date this report was printed

GOVERNMENT ACTIVITY

Activity summary

Borrower (Dr/Guar):	NO
Administrative debt:	NO
Contractor:	YES
Grantee:	NO
Party excluded from federal programs:	NO

Possible candidate for socio-economic program consideration

Labor surplus area:	N/A
Small Business:	YES (2012)
8(A) firm:	N/A

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

Exhibit C-8
"Bankruptcy"

GearyEnergy has never filed for bankruptcy.

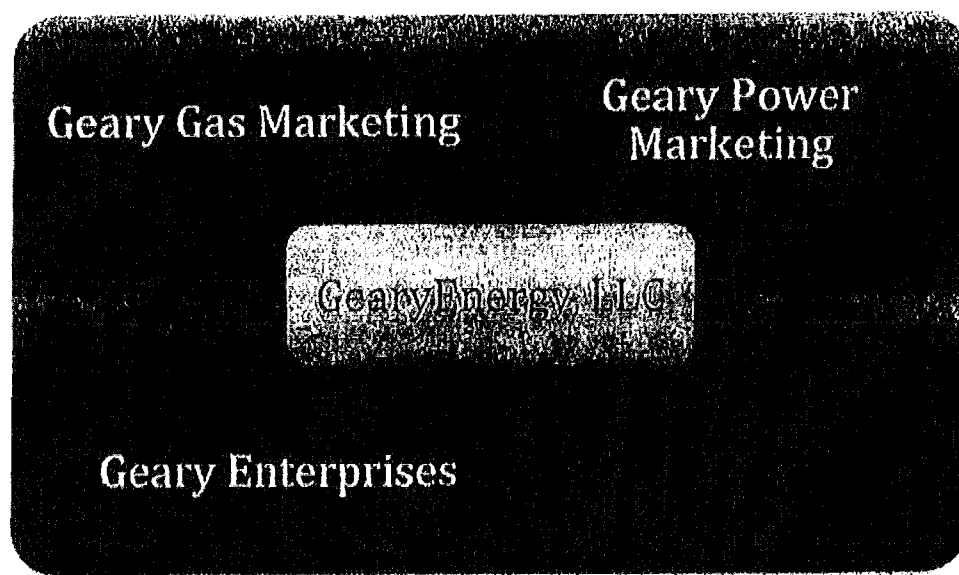
Exhibit C-9 “Merger Information,”

Provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

GearyEnergy, LLC has no merger information at this time.

Exhibit C-10 | "Corporate Structure,"

Provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America.



GearyEnergy, LLC is a privately held company.

All business regarding natural gas, retail or wholesale electricity (power) marketing is conducted and transacted under GearyEnergy, LLC.

Exhibit D-1

"Operations"

GearyEnergy, LLC is a privately held, certified minority business enterprise (MBE) and small business enterprise (SBE). As a retail energy services supplier, GearyEnergy seeks to fulfill customers' needs for supplier diversity. GearyEnergy has developed a relationship and entered into a contractual agreement with a large retail electricity supplier to act as subcontractor to provide services to our customers. Our subcontractor has been servicing retail electric supply accounts since the inception of the deregulated energy market and has a proven record of exceptional operational ability. Please refer to our contractual agreement under Exhibit C-4.

Exhibit D-2

"Operations Expertise"

As previously stated, GearyEnergy has a contractual agreement with a large retail supplier that is currently licensed in the State of Ohio as a CRES. GearyEnergy relies on the proven expertise that our subcontractor has demonstrated.

Exhibit D-3

"Key Technical Personnel"

Although GearyEnergy is relying on subcontracted operational and technical personnel for servicing our customers, GearyEnergy's officers have demonstrated exceptional ability to perform any and all services under contract. GearyEnergy was established in 1998 as a minority-owned business supplier with emphasis placed on providing supplier diversity for our customers. Our firm has extensive knowledge and capability as a natural gas marketer.

Frank S. Geary

President

fgeary@gearyenergy.com

Phone: (918) 523-2513

Fax: (918) 523-2522

Frank is the founder and President of GearyEnergy and is an experienced energy trader whose career in the natural gas industry began in 1988. He has managed natural gas trading operations at the Williams Corporation of Tulsa, Oklahoma, and was a Senior Trader at Premier Enterprises in Denver, Colorado. Frank founded GearyEnergy in 1998. Frank has gained substantial financial, operational, and administrative experience in the role of President of GearyEnergy over the past twelve (12) years.

Terry Honeyman

Sr. Administrative Assistant

thoneyman@gearyenergy.com

Phone: (918) 523-2513

Fax: (918) 523-2522

Terry's industry experience brings a strong knowledge of the oil and gas markets to the GearyEnergy team with special emphasis on scheduling, forecasting, dispatch and settlement. She has been a Senior Gas Transportation Representative for Premier Gas Company and Lead Operations Representative for Williams Corporation. Terry has exceptionally strong technical skills in the areas of operations and administration.

Exhibit O-4

"FERC Power Marketer License Number"

GearyEnergy, LLC has been granted **Market-Based Rate Authority** by the Federal Energy Regulatory Commission (FERC) under docket numbers ER08-848-000 and ER08-848-001, July 2008.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

3/8/2017 10:51:36 AM

in

Case No(s). 17-0684-EL-CRS

Summary: Application Renewal Application for Retail Generation Providers and Power Marketers electronically filed by Mr. Stephen M Howard on behalf of GearyEnergy, LLC