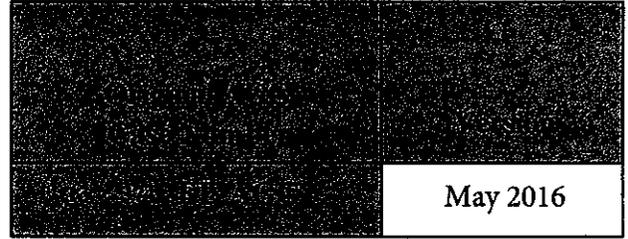


Ohio | Public Utilities Commission



May 2016

RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant intends to be certified as: (check all that apply)

- Power Broker
- Aggregator

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Beacom Energy, Inc.
 Address 4320 Winfield Road, Suite 200, Warrenville IL 60555
 PUCO Certificate # and Date Certified 13-0374-EL-AGG/certified 3/9/2013
 Telephone # (708) 670-9992 Web site address (if any) www.beacomenergy.com

A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Beacom Energy, Inc.
 Address 4449 Easton Way, Suite 200, Columbus OH 43219
 Telephone # (614) 407-0719 Web site address (if any) www.beacomenergy.com

A-4 List all names under which the applicant does business in North America

Beacom Energy, Inc. _____

A-5 Contact person for regulatory or emergency matters

Name Jean Beacom
 Title President
 Business address 4449 Easton Way, Suite 200, Columbus OH 43219
 Telephone # (866) 293-6733 Fax # (708) 406-1597
 E-mail address jean@beacomenergy.com

RECEIVED
 PUCO
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This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
 Technician je Date Processed FEB 16 2017

A-6 Contact person for Commission Staff use in investigating customer complaints

Name Jean Beacom
Title President
Business address 4449 Easton Way, Suite 200 Columbus OH 43219
Telephone # (866) 293-6733 Fax # (708) 406-1597
E-mail address jean@beacomenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address 4449 Easton Way, Suite 200, Columbus OH 43219
Toll-free Telephone # (866) 293-6733 Fax # (708) 406-1597
E-mail address jean@beacomenergy.com

A-8 Applicant's federal employer identification number # 36-4416725

A-9 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-10 Exhibit A-10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
 No Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.
 No Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 “Financial Statements.”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 **Exhibit C-4 “Financial Arrangements.”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

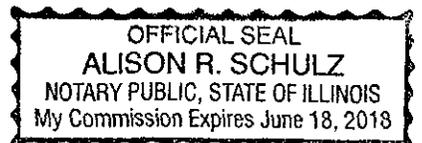
First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody’s Investors Service, Standard & Poor’s, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C - 10 “Corporate Structure,”** provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

 President
 Signature of Applicant & Title



Sworn and subscribed before me this 15th day of February, 2017
 Month Year


 Signature of official administering oath

Alison R. Schulz
 Print Name and Title

My commission expires on 06/18/2018

AFFIDAVIT

State of Illinois

Naperville ss.
(Town)

County of DuPage:

Jean Beacom, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of Beacom Energy, Inc (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

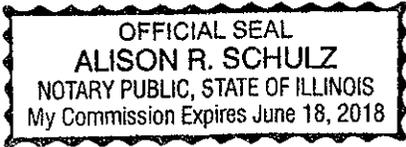
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

[Handwritten Signature] - President
Signature of Affiant & Title

Sworn and subscribed before me this 15th day of February, 2017
Month Year

[Handwritten Signature]
Signature of official administering oath

Alison R. Schulz
Print Name and Title
Personal Banker



My commission expires on 06/18/2018



4320 Winfield Road, Suite 200
Warrenville, IL 60555

Exhibit A-10 "Principal Officers, Directors, & Partners"

Jean Beacom is Beacom Energy, Inc's sole owner.

Jean Beacom is principal officer and director.

Jean Beacom's contact information is:

4320 Winfield Road
Suite 200
Warrenville, IL 60555
Phone: 708-689-1010
Fax: 708-406-1597
Mobile: 708-670-9992
E-mail: jean@beacomenergy.com



4320 Winfield Road, Suite 200
Warrenville, IL 60555

Exhibit B-1 "Jurisdiction of Operations"

Beacom Energy, Inc. is licensed in Illinois as a licensed agent, broker, and consultant. Beacom Energy, Inc. is not licensed as an agent, broker, and consultant in any other State except that of Illinois. Beacom Energy, Inc. will not be contracting as a wholesale supplier with commercial, mercantile, and industrial customers.

Beacom Energy, Inc. is not affiliated with any other company.



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Warrenville, IL 60555

Exhibit B-2 "Experience & Plans"

Beacom Energy, Inc. has assisted key partners navigate the tumultuous markets and the explosion of opportunity that presented itself within the electric industry in Illinois. Beacom has emerged as an industry stalwart, experiencing continual growth and success while remaining true to its fundamental mantra of exceptional service to its clients.

Jean Beacom has demonstrated a complete understanding of power and gas markets. She has driven her success through strategic positioning, sound financial administration, and an intuitive ability to select and manage business relationships spanning various industries in the public and private sectors.

Beacom Energy, Inc. does not contract with customers directly for energy services in Illinois or does it plan to in Ohio. Beacom will assist customers in finding an electricity supplier that fits their needs. Beacom does not provide billing statements since this comes straight from the energy supplier with which the customer has contracted.

Beacom Energy, Inc. will respond to customer complaints as represented in Section 4928.10 of the Revised Code. Beacom will mitigate complaints by carefully explaining to customers the energy services contract, pricing, terms, and switching fees. Beacom will work with suppliers who also follow the code as such and disclose the necessary items on customer bills.



4320 Winfield Road, Suite 200
Warrenville, IL 60555

Exhibit B-3 "Disclosure of Liabilities and Investigations"

Beacom Energy, Inc. has no existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the Beacom's financial or operational status or ability to provide the services it is seeking to be certified to provide.



4320 Winfield Road, Suite 200
Warrenville, IL 60555

Exhibit C-1 "Annual Reports"

Beacom Energy, Inc. is a private company. This section is not applicable to Beacom due to the size of the company.



4320 Winfield Road, Suite 200
Warrenville, IL 60555

Exhibit C-2 "SEC Filings"

Beacom Energy, Inc. is a private company. This section is not applicable to Beacom due to the size of the company.



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Warrenville, IL 60555

Exhibit C-3 "Financial Statements"

Beacom Energy, Inc. has provided two most recent years of audited financial statements which includes balance sheets and income statements. Beacom Energy, Inc. is a private company and Beacom wishes to request confidential treatment. These are officer certified financial statements.



4320 Winfield Road, Suite 200
Warrenville, IL 60555

Exhibit C-4 "Financial Arrangements"

This section is not applicable to Beacom Energy, Inc. Beacom will not be conducting business as a CRES nor will be taking title of the electricity.



4320 Winfield Road, Suite 200
Warrenville, IL 60555

Exhibit C-6 "Credit Rating"- N/A

Beacom Energy, Inc. is a private company and does not have a credit rating established.



Exhibit C-7: Credit Report

Report

[Home](#) [My reports](#) [My account](#) [Products](#) [Help](#) [Business services](#) [Logout](#)

For help reading this report, please review our sample report.

Search inquiry: Beacom Energy, Inc. / Naperville / IL / (My company)

CreditScoreSM Report

as of: 01/26/17 09:28 ET

Beacom Energy, Inc

Address: 2108 Palmer Cir
Naperville, IL 60564-5669
United States

Experian BIN: 973952667

Business Type: Corporation
Experian File Established: August 2013
Experian Years on File: 4 Years
Years in Business: More than 4 Years

Payment Tradelines: 1
UCC Filings: 3

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines.

Businesses Scoring Worse: 52%
✓ **Bankruptcies:** 0
✓ **Liens:** 0
✓ **Judgments Filed:** 0
✓ **Collections:** 0

Lowest 6 Month Balance: \$14,119
Highest 6 Month Balance: \$17,481
Current Total Account Balance: \$0
Highest Credit Amount Extended: \$0

Credit Summary

[Back to top](#)

Credit Ranking Score: 53



The objective of the Credit Ranking Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

Key Score Factors:

- Number of commercial accounts with net 1-30 days term.
- Nbr of active commercial accts within the last 12 mos.
- Number of recently active commercial accounts.
- Balance of commercial accounts recently reported.

Recommended Action: Low To Medium Risk

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Warrenville, IL 60555

Exhibit C-8 "Bankruptcy Information"

Beacom Energy, Inc. has no bankruptcy information to report.



4320 Winfield Road, Suite 200
Warrenville, IL 60555

Exhibit C-9 "Merger Information"

Beacom Energy, Inc. has never gone through a merger or acquisition.



4320 Winfield Road, Suite 200
Warrenville, IL 60555

Exhibit C-10 "Corporate Structure"

Beacom Energy, Inc. is a stand-alone entity with no affiliate or subsidiary companies.

Certification Retesting Application

Note: this application is only for retesting. Submit three weeks prior to preferred exam date. Please complete the following information and submit with applicable payment information:

Mr. Ms. Last Name: Beacom Legal First Name: Jean Middle Initial: _____
 Title: Energy Consultant / President-CEO Company: Beacom Energy, Inc.
 Business Residence Address: 2108 Palmer Circle
 City: Naperville State: IL Zip: 60564
 Office Mobile Phone: 7086709992 Fax: 7084061597
 Primary E-mail: jean@beacomenergy.com Secondary E-Mail: _____
 Certification Type: CEM I have registered for the seminar: YES NO
 Seminar Date and Location (if applicable): Chicago IL - March 6-10

Choose who you will be testing with:

- | | |
|--|---|
| <input checked="" type="checkbox"/> AEE Scheduled Event - \$100.00 (Retest) | <input type="checkbox"/> AEE Scheduled Event CMVP - \$200.00 |
| <input type="checkbox"/> AEE Scheduled Event/Reinstate - \$400 | <input type="checkbox"/> AEE Scheduled Event/Reinstate CMVP - \$400 |
| <input type="checkbox"/> Remote Testing Center - \$150 | <input type="checkbox"/> Remote Testing Center CMVP - \$300 |
| <input type="checkbox"/> Remote Testing Center/Reinstate - \$400 | <input type="checkbox"/> Remote Testing Center/Reinstate CMVP - \$500 |

Method of Payment: Visa MasterCard American Express Discover Check
 Card Number: [REDACTED] cv: 1/2019
 Expiration Date: 1/2019 Name on Card: Jean Beacom
 Billing Address: 4320 Winfield Road #200
 City: Warrenville State: IL Zip Code: 60555
 Check Number: _____

I certify that the information I have provided is correct and agree to indemnify and hold harmless the Association of Energy Engineers (AEE), its contractors, the Certification Board, and those affiliated with AEE and its programs.

Signature: [Signature] Date: 2/15/2017

Mail, Fax, or Email application to the appropriate AEE Certification Department
 3168 Mercer University Drive / Atlanta, GA 30341 / Fax: 770- 447-4354
 CEM, EMIT, BEP – Helen@aeecenter.org
 CMVP, BEST, GOHP, EEP, PCF – Mzakin@aeecenter.org
 CEA, CEAIT, CBCP, All others - FSeskin@aeecenter.org