

**The Public Utilities Commission of Ohio**  
**TELECOMMUNICATIONS FILING FORM**

(Effective: 9-2-2015)

This form is intended to be used with most types of required filings. It provides check boxes with rule references for the most common types of filings. It does not replace or supersede Commission rules in any way.

In the Matter of the Application of Windstream Ohio, Inc. to change its name ) TRF Docket No. 90 - 5002-TP-TRF  
to Windstream Ohio, LLC ) Case No. **17-0337-TP-ACN**  
NOTE: Unless you have reserved a Case #, leave the "Case No" fields BLANK.

Name of Registrant(s) Windstream Ohio, Inc.  
DBA(s) of Registrant(s) \_\_\_\_\_  
Address of Registrant(s) 4001 N. Rodney Parham Road, Little Rock, AR 72212  
Company Web Address www.windstream.com  
Regulatory Contact Person(s) Jeanne Shearer Phone 717-738-8169 Fax 717-859-2552  
Regulatory Contact Person's Email Address jeanne.shearer@windstream.com  
Contact Person for Annual Report Sandra Blade Phone 501-748-6728  
Address (if different from above) \_\_\_\_\_  
Consumer Contact Information Andrea Curtis Phone 877-610-2905  
Address (if different from above) 1720 Galleria Blvd., Charlotte, NC 28270

Motion for protective order included with filing? ☐ Yes ☒ No  
Motion for waiver(s) filed affecting this case? ☐ Yes ☒ No [Note: Waivers may toll any automatic timeframe.]

**Notes:**

Section I and II are Pursuant to Chapter 4901:1-6 OAC.

Section III – Carrier to Carrier is Pursuant to 4901:1-7 OAC, and Wireless is Pursuant to 4901:1-6-24 OAC.

Section IV – Attestation.

(1) Indicate the Carrier Type and the reason for submitting this form by checking the boxes below.

(2) For requirements for various applications, see the identified section of Ohio Administrative Code Section 4901 and/or the supplemental application form noted.

(3) Information regarding the number of copies required by the Commission may be obtained from the Commission's web site at www.puco.ohio.gov under the docketing information system section, by calling the docketing division at 614-466-4093 or by visiting the docketing division at the offices of the Commission.

(4) An Incumbent Local Exchange Carrier (ILEC) offering basic local exchange service (BLES) outside its traditional service area should choose CLEC designation when proposing to offer BLES outside its traditional service area or when proposing to make changes to that service.

**All Filings that result in a change to one or more tariff pages require, at a minimum, the following exhibits:**

Exhibit	Description:
A	The tariff pages subject to the proposed change(s) as they exist before the change(s)
B	The Tariff pages subject to the proposed change(s), reflecting the change, with the change(s) marked in the right margin.
C	A short description of the nature of the change(s), the intent of the change(s), and the customers affected.
D	A copy of the notice provided to customers, along with an affidavit that the notice was provided according to the applicable rule(s).

## Section I – Part I - Common Filings

Carrier Type <input type="checkbox"/> Other (explain below)	For Profit ILEC	Not For Profit ILEC	CLEC
Change terms & conditions of existing BLES	<input type="checkbox"/> ATA <u>1-6-14(H)</u> (Auto 30 days)	<input type="checkbox"/> ATA <u>1-6-14(H)</u> (Auto 30 days)	<input type="checkbox"/> ATA <u>1-6-14(H)</u> (Auto 30 days)
Introduce non-recurring charge, surcharge, or fee to BLES			<input type="checkbox"/> ATA <u>1-6-14(H)</u> (Auto 30 days)
Introduce or Increase Late Payment	<input type="checkbox"/> ATA <u>1-6-14(I)</u> (Auto 30 days)	<input type="checkbox"/> ATA <u>1-6-14(I)</u> (Auto 30 days)	<input type="checkbox"/> ATA <u>1-6-14(I)</u> (Auto 30 days)
Revisions to BLES Cap.	<input type="checkbox"/> ZTA <u>1-6-14(F)</u> (0 day Notice)		
Introduce BLES or expand local service area (calling area)	<input type="checkbox"/> ZTA <u>1-6-14(H)</u> (0 day Notice)	<input type="checkbox"/> ZTA <u>1-6-14(H)</u> (0 day Notice)	<input type="checkbox"/> ZTA <u>1-6-14(H)</u> (0 day Notice)
Notice of no obligation to construct facilities and provide BLES	<input type="checkbox"/> ZTA <u>1-6-27(C)</u> (0 day Notice)	<input type="checkbox"/> ZTA <u>1-6-27(C)</u> (0 day Notice)	
Change BLES Rates	<input type="checkbox"/> TRF <u>1-6-14(F)</u> (0 day Notice)	<input type="checkbox"/> TRF <u>1-6-14(F)(4)</u> (0 day Notice)	<input type="checkbox"/> TRF <u>1-6-14(G)</u> (0 day Notice)
To obtain BLES pricing flexibility	<input type="checkbox"/> BLS <u>1-6-14(C)(1)(c)</u> (Auto 30 days)		
Change in boundary	<input type="checkbox"/> ACB <u>1-6-32</u> (Auto 14 days)	<input type="checkbox"/> ACB <u>1-6-32</u> (Auto 14 days)	
Expand service operation area			<input type="checkbox"/> TRF <u>1-6-08(G)</u> (0 day)
BLES withdrawal			<input type="checkbox"/> ZTA <u>1-6-25(B)</u> (0 day Notice)
<b>Other*</b> (explain)			

## Section I – Part II – Customer Notification Offerings Pursuant to Chapter 4901:1-6-7 OAC

Type of Notice	Direct Mail	Bill Insert	Bill Notation	Electronic Mail
<input type="checkbox"/> 15-day Notice	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> 30-day Notice	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>Date Notice Sent:</b> Notice will be sent after approval is received.				

## Section I – Part III –IOS Offerings Pursuant to Chapter 4901:1-6-22 OAC

IOS	Introduce New	Tariff Change	Price Change	Withdraw
<input type="checkbox"/> IOS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Section II – Part I – Carrier Certification - Pursuant to Chapter 4901:1-6-08, 09 & 10 OAC

Certification	ILEC (Out of territory)	CLEC	Telecommunications Service Provider Not Offering Local	CESTC	CETC
* See Supplemental form	<input type="checkbox"/> ACE <u>1-6-08</u> * (Auto 30- day)	<input type="checkbox"/> ACE <u>1-6-08</u> *(Auto 30 day)	<input type="checkbox"/> ACE <u>1-6-08</u> *(Auto 30 day)	<input type="checkbox"/> ACE <u>1-6-10</u> (Auto 30 day)	<input type="checkbox"/> UNC <u>1-6-09</u> *(Non-Auto)

\*Supplemental Certification forms can be found on the Commission Web Page.

## Section II – Part II – Certificate Status & Procedural

Certificate Status	ILEC	CLEC	Telecommunications Service Provider Not Offering Local
Abandon all Services		<input type="checkbox"/> ABN <u>1-6-26</u> (Auto 30 days)	<input type="checkbox"/> ABN <u>1-6-26</u> (Auto 30 days)
Change of Official Name *	<input checked="" type="checkbox"/> ACN <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> ACN <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Change in Ownership *	<input type="checkbox"/> ACO <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> ACO <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Merger *	<input type="checkbox"/> AMT <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> AMT <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Transfer a Certificate *	<input type="checkbox"/> ATC <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> ATC <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Transaction for transfer or lease of property, plant or business *	<input type="checkbox"/> ATR <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> ATR <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)

\* Other exhibits may be required under the applicable rule(s). ACN, ACO, AMT, ATC, ATR and CIO applications see the 4901:1-6-29 Filing Requirements on the Commission's Web Page for a complete list of exhibits.

## Section III – Carrier to Carrier (Pursuant to 4901:1-7), and Wireless (Pursuant to 4901:1-6-24)

Carrier to Carrier	ILEC	CLEC
Interconnection agreement, or amendment to an approved agreement	<input type="checkbox"/> NAG <u>1-7-07</u> (Auto 90 day)	<input type="checkbox"/> NAG <u>1-7-07</u> (Auto 90 day)
Request for Arbitration	<input type="checkbox"/> ARB <u>1-7-09</u> (Non-Auto)	<input type="checkbox"/> ARB <u>1-7-09</u> (Non-Auto)
Introduce or change c-t-c service tariffs,	<input type="checkbox"/> ATA <u>1-7-14</u> (Auto 30 days)	<input type="checkbox"/> ATA <u>1-7-14</u> (Auto 30 days)
Request rural carrier exemption, rural carrier suspension or modification	<input type="checkbox"/> UNC <u>1-7-04 or 05</u> (Non-Auto)	
Changes in rates, terms & conditions to Pole Attachment, Conduit Occupancy and Rights- of-Way.	<input type="checkbox"/> ATA <u>1-3-04</u> (Auto 30 days)	
Wireless Providers See 4901:1-6-24	<input type="checkbox"/> RCC [Registration & Change in Operations] (0 day)	<input type="checkbox"/> NAG [Interconnection Agreement or Amendment] (Auto 90 days)

#### Section IV. – Attestation

Registrant hereby attests to its compliance with pertinent entries and orders issued by the Commission.

#### AFFIDAVIT

#### *Compliance with Commission Rules*

I am an officer/agent of the applicant corporation, , and am authorized to make this statement on its behalf.

Cesar Caballero

(Name)

Please Check ALL that apply:

☒ I attest that these tariffs comply with all applicable rules for the state of Ohio. I understand that tariff notification filings do not imply Commission approval and that the Commission's rules as modified and clarified from time to time, supersede any contradictory provisions in our tariff. We will fully comply with the rules of the state of Ohio and understand that noncompliance can result in various penalties, including the suspension of our certificate to operate within the state of Ohio.

☒ I attest that customer notices accompanying this filing form <sup>will be</sup> were sent to affected customers, as specified in Section II, in accordance with Rule 4901:1-6-7, Ohio Administrative Code.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on

(Date)

1/31/2017

at (Location) Little Rock, AR 72212

\*Signature and  
Title

Cesar Caballero Sr. Regulatory Counsel

Date

1/31/2017

*\*This affidavit is required for every tariff-affecting filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.*

#### VERIFICATION

I, , verify that I have utilized the Telecommunications Filing Form for most proceedings provided by the Commission and that all of the information submitted here, and all additional information submitted in connection with this case, is true and correct to the best of my knowledge.

\*Signature and  
Title

Cesar Caballero Sr. Regulatory Counsel

Date

1/31/2017

*\*Verification is required for every filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.*

File document electronically as directed in case number 06-900-AU-WVR

or

Send your completed Application Form, including all required attachments as well as the required number of copies, to:

Public Utilities Commission of Ohio  
Attention: Docketing Division  
180 East Broad Street, Columbus, OH 43215-3793

## **EXHIBIT A**

**(Existing Affected Tariff Pages)**

ACCESS SERVICE TARIFF  
P.U.C.O. No. 1

---

REGULATIONS, RATES AND CHARGES

Applying to the provision of Access Services within a  
Local Access and Transport Area (LATA)  
for Connection to InterLATA Intrastate Communications Facilities  
for Intrastate Customers within the  
operating territory of

**Windstream Ohio, Inc.**

## **EXHIBIT A**

**(Existing Affected Tariff Pages)**

GENERAL EXCHANGE TARIFF  
P.U.C.O. No. 2

---

This schedule cancels and supersedes Windstream Ohio, Inc.'s General Exchange Tariff, PUCO No. 1, Local Exchange Tariff P.U.C.O. No. 2 and Pole Attachment Tariff No. 1 in their entirety.

EXCHANGES:

Chesterfield  
Columbia Station  
Covington  
Delta  
Elyria  
Granville  
Gratiot  
Kenton  
Marne  
Neapolis  
Newark  
Paulding  
Pleasant Hill  
St. Louisville  
St. Paris



## **EXHIBIT B**

**(Proposed Revised Tariff Pages)**

ACCESS SERVICE TARIFF  
P.U.C.O. No. 1

---

REGULATIONS, RATES AND CHARGES

Applying to the provision of Access Services within a  
Local Access and Transport Area (LATA)  
for Connection to InterLATA Intrastate Communications Facilities  
for Intrastate Customers within the  
operating territory of

**Windstream Ohio, LLC**

(T)

## **EXHIBIT B**

**(Proposed Revised Tariff Pages)**

GENERAL EXCHANGE TARIFF  
P.U.C.O. No. 2

---

ISSUED BY  
WINDSTREAM OHIO, LLC

(T)

(T)

This schedule cancels and supersedes Windstream Ohio, LLC's General Exchange Tariff, PUCO No. 1, Local Exchange Tariff P.U.C.O. No. 2 and Pole Attachment Tariff No. 1 in their entirety.

(T)

EXCHANGES:

Chesterfield  
Columbia Station  
Covington  
Delta  
Elyria  
Granville  
Gratiot  
Kenton  
Marne  
Neapolis  
Newark  
Paulding  
Pleasant Hill  
St. Louisville  
St. Paris

---

Filed under authority of  
Order No. \_\_\_\_\_  
Issued by the Public Utilities  
Commission of Ohio

Issued by:  
Vice President  
Little Rock, Arkansas

Issued: February 1, 2017  
Effective: March 2, 2017

## **EXHIBIT C**

### **(Secretary of State Certification)**

Attached is a copy of the Amended Application filed with the Ohio Secretary of State's Office.

**\*201502100138\***

DATE:  
01/21/2015

DOCUMENT ID  
201502100138

DESCRIPTION  
CONVERSION WITHIN SOS RECORDS  
(CVS)

FILING  
125.00

EXPED  
100.00

PENALTY  
.00

CERT  
.00

COPY  
.00

**Receipt**

This is not a bill. Please do not remit payment.

CT CORPORATION SYSTEM  
CHRIS RICKARD  
4400 EASTON COMMONS WAY, STE 125  
COLUMBUS, OH 43219

**STATE OF OHIO  
CERTIFICATE**

**Ohio Secretary of State, Jon Husted**  
**142834**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**WINDSTREAM OHIO, LLC**

and, that said business records show the filing and recording of:

Document(s):

**CONVERSION WITHIN SOS RECORDS**

Document No(s):

**201502100138**

**Effective Date: 01/20/2015**

CHANGE BUSINESS TYPE DOM. LIMITED LIABILITY CO.



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
21st day of January, A.D. 2015.

*Jon Husted*

Ohio Secretary of State

## **EXHIBIT D**

### **(Description and Rational for Proposed Tariff Changes)**

The Applicant, Windstream Ohio, Inc., hereby seeks the necessary approval for its conversion from a corporation to a limited liability company and to change its name to Windstream Ohio, LLC. The tariff title page attached hereto as Exhibit B reflects the new name.

The change to the new name will not affect the Applicant's rates, terms or conditions of service. Windstream Ohio's commitment to providing the best products and services is exactly the same.

## **EXHIBIT E**

### **(Customer Notice)**

After, Commission approval of the name change, Windstream Ohio will provide the following bill message notice to its customer's prior to changing any name on the customer's invoices.

*Windstream Ohio, Inc. completed a conversion from a corporation to a limited liability. As a result, effective on your next invoice, Windstream Ohio, Inc. is changing its name to Windstream Ohio, LLC. You will see this change on your invoice. There will be no change in your current rates or the terms and conditions of your service as a result of this name change. Windstream's commitment to providing you with the best products and services is exactly the same. If you have any questions, please call us at the number that appears on this invoice.*