

Ne
FILE

licenselogix

17-0064-GA-AGG

January 6, 2017

Public Utilities Commission of Ohio
Docketing Division
180 East Broad St.
Columbus, OH 43215

PUCO

2017 JAN 10 PM 3:09

Re: **National Utilities Refund, LLC dba National Energy
Initial Certification Filing Instructions Competitive Retail Natural Gas
Brokers/Aggregators**

To Whom It May Concern:

Enclosed please find an **Initial Certification Filing Instructions Competitive Retail Natural Gas Brokers/Aggregators** for our client, **National Utilities Refund, LLC dba National Energy**. Once the application has been processed, please forward evidence of approval to the mailing address on the application. If there is any issue, or if you require any further information, please do not hesitate to contact us.

Thank you,
LicenseLogix
140 Grand Street, Suite 300
White Plains, NY 10601
service@licenselogix.

This is to certify that the images appearing are an
accurate and complete reproduction of a case file
document delivered in the regular course of business.
Technician MA Date Processed **JAN 10 2017**



Public Utilities Commission

ORIGINAL

PUCO USE ONLY – Version 1.08 May 2016		
Date Received	Case Number	Certification Number
17-0064	- GA-AGG	

INITIAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to be certified as: (check all that apply)

☐ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name National Utilities Refund LLC
Address PO BOX 332, Fogelsville PA 18051
Telephone No. 800.687.1968 Web site Address vince@nationalutilityltd.com

A-3 Applicant information under which applicant will do business in Ohio:

Name National Energy
Address PO BOX 332, Fogelsville PA 18051
Web site Address www.nationalenergy.us Telephone No. 800.687.1968

A-4 List all names under which the applicant does business in North America:

National Energy
National-wireless.net

A-5 Contact person for regulatory or emergency matters:

Name Vince DiMaio Title President
Business Address 6216 Vista Terrace Orefield, PA 18069
Telephone No. 800.687.1968 Fax No. 800.687.1968 Email Address vince@nationutilityltd.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Vince DiMaio Title President
Business address 6216 Vista Terrace, Orefield, PA 18069
Telephone No. 800.687.1968 Fax No. 800.687.1968 Email Address vince@nationalutilityltd.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 6216 Vista Terrace, Orefield, PA 18069
Toll-Free Telephone No. 800.687.1968 Fax No. 800.687.1968 Email Address vince@nationalutilityltd.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Corporate Creations Network Inc Title
Business address 119 E. Court Street, Cincinnati, OH 45202
Telephone No. 513-268-0326 Fax No. 561-694-1639 Email Address contactus@corpcreations.com

A-9 Applicant's federal employer identification number 45-3825531

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	Upon Broker Licensure
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	Upon Broker Licensure
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	Upon Broker Licensure
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	Upon Broker Licensure

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 **Exhibit A-16 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-17 **Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If the applicant does not produce annual reports, the applicant should indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's current financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

C-7 Exhibit C-7 “Credit Report,” provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 “Corporate Structure,”** provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 “Operations,”** provide a current written description of the operational nature of the applicant’s business functions.
- D-2 Exhibit D-2 “Operations Expertise,”** given the operational nature of the applicant’s business, provide evidence of the applicant’s current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 “Key Technical Personnel,”** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant’s current business.

Applicant Signature and Title

[Handwritten Signature]

President

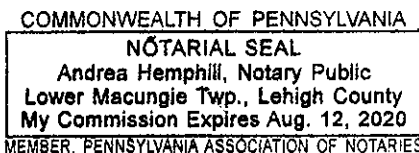
Sworn and subscribed before me this 3rd day of January Month 2016 Year

[Handwritten Signature: Andrea Hemphill]

Signature of official administering oath

[Handwritten Signature: Andrea Hemphill Notary]

Print Name and Title



My commission expires on

Aug 12, 2020



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

In the Matter of the Application of)

National Utilities Refund LLC)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. - -GA-AGG

County of

State of

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

President

Sworn and subscribed before me this

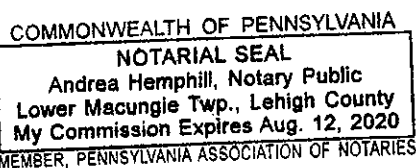
day of

Month

Year

Signature of Official Administering Oath

Print Name and Title



My commission expires on

EXHIBIT A

14-17

Natural Gas Power Broker Application

National Utilities Refund

DBA National Energy

EXHIBIT A
TABLE OF CONTENTS

A-14 Principal Officers, Directors & Partners	1
A-15 Company History	2
A-16 Articles of Incorporation and By Laws.....	3
A-17 Secretary of State	4

EXHIBIT A-14
Principal Officers, Directors & Partners

National Utilities Refund LLC DBA
National Energy
www.nationalenergy.us

Contact

Main: 1.800.687.1968
Fax: 1.800.687.1968

Office

6216 Vista Terrace
Orefield PA 18069

Main office

1717 Main Street
2nd floor
Northampton PA 18067

Mailing

National Energy LLC
PO BOX 332
Fogelsville PA 18051

PARTNER 1

Name:
Vincent DiMaio

Address:
143 Susquehanna Trail
Allentown PA 18104

Title:
President

Contact:
vince@nationalutilityltd.com

610.462.9818

Ownership
Owner's Equity > 50%

PARTNER 2

Name:
Ralph DiMaio

Address:
6216 Vista Terrace
Orefield Pa 18069

Title:
Vice President

Contact:
Ralph@nationalutilityltd.com

610.440.2300

Ownership
Owner's Equity < 50%

EXHIBIT A-15

Company History

National Utilities Refund LLC, “NUR”, DBA National Energy, is a utility bill auditing firm that uncovers billing discrepancies within the utility invoices for commercial organizations. NUR was formed in 2011 by Ralph DiMaio and Vincent DiMaio and has grown to service over 250 active customers across the country. In 2012, NUR created the DBA National Energy and contracted with an established energy broker in Texas in order to offer energy procurement services to current customers. The DBA National Energy was created and is used when procuring energy rates for customers in order to eliminate any potential customer confusion between our other utility auditing services.

National Energy is seeking an energy broker license in order to better service its customers. Over the years, National Energy contracted with several licensed energy brokers and found that the majority of them had very little knowledge in the industry. With an energy broker license, National Energy can have direct communication with the suppliers which will result in a faster turnaround for customer requests and questions. In addition, a license will allow National Energy to have control over which suppliers we represent and recommend to our customers, which is key since some suppliers are more error prone than others.

EXHIBIT A-16

Articles of Incorporation and By Laws

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

Entity Number

Application for Registration of Fictitious Name

54 Pa.C.S. § 311

Name

Vincent DiMaio

Address

6216 Vista Terrace

City

Orefield

State

PA

Zip Code

18069

Commonwealth of Pennsylvania
FICTITIOUS NAME 2 Page(s)



T1235411082

Fee: \$70

Filed in the Department of State on _____

Secretary of the Commonwealth

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:
National Energy

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:
Energy procurement services and consulting.

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):

6216 Vista Terrace	Orefield	PA	18069	Lehigh
Number and street	City	State	Zip	County

4. The name and address, including number and street, if any, of each individual interested in the business is:

Name	Number and Street	City	State	Zip
Vincent DiMaio	567 Ponds Edge Lane	Allentown	PA	18104
Ralph DiMAio	6216 Vista Terrace	Orefield	PA	18069

PA DEPT. OF STATE

DEC 17 2012

5. Each entity, other than an individual, interested in such business is (are):

Name	Form of Organization	Organizing Jurisdiction
National Utilities Refund LLC	LLC-Partnership	PA
6216 Vista Terrace, Orefield PA 18069		
Principal Office Address		
PA Registered Office, if any		
Name	Form of Organization	Organizing Jurisdiction
Principal Office Address		
PA Registered Office, if any		

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

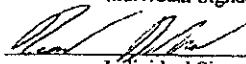
7. Optional): The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):
 Vincent DiMaio

IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

18 day of December, 2012

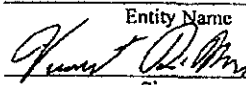

 Individual Signature

Individual Signature


 Individual Signature

Individual Signature

National Utilities Refund LLC LLC Partnership
 PA

Entity Name

 Signature

Entity Name

Signature

President
 Title

Title

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

Entity Number

Application for Registration of Fictitious Name
54 Pa.C.S. § 311

Name
Vincent DiMaio

Address
6216 Vista Terrace

City State Zip Code
Orefield PA 18069

Commonwealth of Pennsylvania
FICTITIOUS NAME 2 Page(s)



T1235411082

Fee: \$70

Filed in the Department of State on

Secretary of the Commonwealth

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:
National Energy

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:
Energy procurement services and consulting.

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):

6216 Vista Terrace	Orefield	PA	18069	Lehigh
Number and street	City	State	Zip	County

4. The name and address, including number and street, if any, of each individual interested in the business is:

Name	Number and Street	City	State	Zip
Vincent DiMaio	567 Ponds Edge Lane	Allentown	PA	18104
Ralph DiMaio	6216 Vista Terrace	Orefield	PA	18069

PA DEPT. OF STATE

DEC 17 2012

5. Each entity, other than an individual, interested in such business is (are):

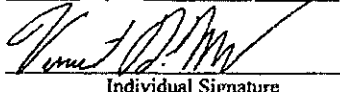
Name	Form of Organization	Organizing Jurisdiction
National Utilities Refund LLC	LLC-Partnership	PA
6216 Vista Terrace, Orefield PA 18069		
Principal Office Address		
—		
PA Registered Office, if any		
Name	Form of Organization	Organizing Jurisdiction
—	—	—
Principal Office Address		
—		
PA Registered Office, if any		

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

7. Optional): The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):
 Vincent DiMaio

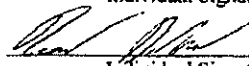
IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

18 day of December 2012



Individual Signature

Individual Signature



Individual Signature

Individual Signature

National Utilities Refund LLC

LLC-Partnership

PA

Entity Name

Entity Name



Signature

Signature

President

Title

Title

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA.US/CORP

National-Wireless.net

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4252274

Dimaio, Vincent
6216 Vista Terrace
Orefield, PA 18069

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONSApplication for Registration of Fictitious Name
54 Pa.C.S. § 311

Name Vincent DiMaio		
Address 6216 Vista Terrace		
City Orefield	State PA	Zip Code 18069

Document will be returned to the
name and address you enter to
the left.Commonwealth of Pennsylvania
FICTITIOUS NAME 2 Page(s)

T1407042016

Fee: \$70

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:
National-Wireless.net

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:
Consulting businesses on commercial wireless plans.

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):

1717 Main Street	Northampton	PA	18067	Northampton
Number and street	City	State	Zip	County

4. The name and address, including number and street, if any, of each individual interested in the business is:

Name	Number and Street	City	State	Zip
Vincent DiMaio	567 Ponds Edge Lane	Allentown	PA	18104
Ralph DiMaio	6216 Vista Terrace	Orefield	PA	18069

PA DEPT. OF STATE
FEB 18 2014

2014 MAR 10 AM 8:16

PA. DEPT. OF STATE

DSCB-54-311-2

5. Each entity, other than an individual, interested in such business is (are):

Name	Form of Organization	Organizing Jurisdiction
National Utilities Refund LLC	LLC	PA
6216 Vista Terrace, Orefield, PA 18069		
Principal Office Address		
PA Registered Office, if any		
Name	Form of Organization	Organizing Jurisdiction
Principal Office Address		
PA Registered Office, if any		

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

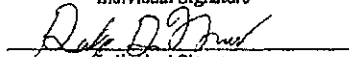
7. Optional): The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):
Vincent DiMaio

IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

12 day of February, 2014


Individual Signature


Individual Signature


Individual Signature

Individual Signature

National Utilities Refund LLC
Entity Name

Entity Name


Signature

Signature

President
Title

Title

EXHIBIT A-17

Secretary of State

201635004048

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
12/16/2016	201635004048	REG. OF FOR. PROFIT LIM. LIAB. CO. (LFP)	99.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

NATIONAL UTILITIES REFUND LLC
ATTN V DIMAIO
P O BOX 332
FOGELSVILLE, PA 18051

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

3968726

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

NATIONAL UTILITIES REFUND LLC

and, that said business records show the filing and recording of:

Document(s)

REG. OF FOR. PROFIT LIM. LIAB. CO.

Document No(s):

201635004048

Effective Date: 12/12/2016



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 16th day of December, A.D. 2016.

A handwritten signature in cursive script that reads "Jon Husted".

Ohio Secretary of State

EXHIBIT B

1-4

APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

Natural Gas Power Broker Application

National Utilities Refund

DBA National Energy

EXHIBIT B
TABLE OF CONTENTS

B-1 Jurisdiction of Operations.....	1
B-2 Experience & Plans.....	2
B-3 Summary of Experience	4
B-4 Disclosure of Liabilities and Investigations	5
B-5 Application	See Application
B-6 Application	See Application

EXHIBIT B-1

Jurisdiction of Operation

National Utilities Refund LLC DBA National Energy is not currently licensed in any State to procure natural gas. National Energy currently procures energy through a licensed energy broker. However, National Energy filed an electric and natural gas broker application in 2016 for Pennsylvania, which is currently in the process of being approved by the Pennsylvania Public Utility Commission.

EXHIBIT B-2

Experience and Plans

Sales, Marketing, and Customer Service Plans

The majority of NUR's new business is derived from word of mouth and customer referrals. In return, customer satisfaction is our number one priority. And, as our client's utility advocate, we are fully aware of the importance of delivering on our promises – it's key to maintaining our reputation as an industry leader in customer satisfaction. We strive to develop longstanding business relationships and our vision is to consistently meet the evolving needs of our customer's.

Once National Utilities Refund LLC, "NUR", DBA National Energy becomes a licensed energy broker, we will offer electric and gas supply contracts to our current clientele and prospect new customers through our established marketing techniques. We currently acquire new clients through face-to-face direct marketing and we will continue to prospect customers through the same means once a licensed broker. Currently, Ralph DiMaio and Vincent DiMaio actively pursue new customers by attending trade shows, industry associations, and local networking events. In addition, we have four independent sales contractors that perform the same sales techniques in the tristate area.

Ralph DiMaio is in charge of sales and marketing training for the company as well as the independent sales contractors. In order to ensure that all marketing is performed in an ethical manner, Ralph developed a sales system and training manual for our independent contractors. In addition, he continually educates them by hosting bi-weekly sales training calls. Ralph also performs follow-up calls with each customer and asks about their experience working with us to see if there is anything we can improve on.

Customer Complaints

In order to limit customer complaints, National Energy will use a systematic sales approach to promote transparency and ensure customer satisfaction. In addition, several checks and balances will be established in order to prevent errors. For example, if the customer is unsure of their contract end date with their current supplier, National Energy can assist the customer by reviewing their current supply agreement.

Customer Complaints can be filed via mail, phone, and/or fax. All customer complaints will promptly be addressed by Ralph DiMaio and/or Vincent DiMaio within 24 hours. In the event a customer's complaint involves an error billed by the energy supplier we recommended, we will resolve the issue with the supplier for the customer.

EXHIBIT B-2

Experience and Plans (cont.)

Business Operational Plans

Prospecting

- *New Customers:* Direct Marketing Techniques IE: Networking events, referrals, and trade shows. No mass marketing techniques or mailers, only direct forms of communication through phone and email. Schedule meeting for a sales presentation.
- *Current Clients:* Inform current clientele that National Energy is now a licensed broker and request an appointment to go over the client's current energy procurement needs.

Sales Presentation

- Introduce National Energy, understand customer's needs, and go over various energy rate options; variable, fixed, block & index.
- Identify customer's current contract end date or obtain a copy of the customer's current agreement if the customer is unsure.
- Present a letter of authorization for the customer to grant National Energy permission to obtain pricing from various energy suppliers.
- Obtain a copy of the customer's energy bills and/or list of accounts to submit for pricing.
- Schedule an appointment on a future date to go over the rates with the customer.

Procure Energy Rates

- Compile the customer's accounts and billing information into an excel document and note any specific pricing requests.
- Send the customer's account data to various energy suppliers who can fulfill the customer's specific needs and rate requests.
- Compile the supplier's rates into a proposal as we receive them.
- Verify whether or not each supplier's rate can be compared apples-to-apples. If not, note the difference between the rates; does not include pass-through charges, GRT, and/or etc.
- Obtain a copy of the supplier's energy agreement for the customer to review.

Present Supplier Rates

- Present a report to the customer that compares all of the supplier rate options so they can make an informed decision.
- If the customer chooses to move forward with an energy rate, help the customer complete the supplier's agreement.
- Send the supplier a copy of the executed agreement and any other required documents.
- If the customer declines the rates, inform the suppliers and schedule a date to refresh the rates for the customer, unless they chose another supplier.

Post-procurement Review (Optional)

- Per the customer's request, obtain a copy of their supplier bill to verify that it is being billed in accordance with the supplier agreement and make sure the tax exemption certificates properly transferred over, where applicable.
- If a billing error is uncovered on the supply charges, notify the supplier and perform the necessary follow-up work to get the error corrected and credited on the customer's invoice.
- Note the customer's contract end date and update CRM for renewal follow-up.

EXHIBIT B-3

Summary of Experience

National Utilities Refund LLC, “NUR”, DBA National Energy, is a utility bill auditing firm that uncovers billing discrepancies within the utility invoices for commercial organizations all over the country. NUR has experience verifying electric and natural gas tariffs/rate schedules in deregulated and regulated energy markets. Our utility bill audit will verify the most cost efficient rate schedule for a business, determine the accuracy of their historical meter reads, confirm whether or not a meter was properly installed, and uncover sales tax exemptions for eligible organizations. In addition, NUR verifies the accuracy of 3rd party energy supplier bills and agreements. We can verify if an energy supplier met the contracted start/end dates, audit the contract supply rate versus the actual billed rate, uncover hidden pass-through charges, and rectify sales tax billed to exempt organizations. Having this type of knowledge is crucial to be a successful energy broker. However, in our experience, having worked with several energy brokers throughout the years, very few are truly able to verify if a customer is being incorrectly billed by a supplier.

In 2012, NUR created the DBA National Energy and contracted with an established energy broker in Texas in order to offer energy procurement services to our current customers. The DBA National Energy was created and is used when procuring energy rates for customers in order to eliminate any potential customer confusion between our other utility services. The energy broker that National Energy contracted with is licensed in 10+ states, including Ohio, so National Energy is experienced in the Ohio energy procurement market and familiar with all of the electric and gas utility tariffs. The majority of National Energy’s customers are medium to large businesses less than 10,000 MCF and none of the customers have been aggregated to combined loads. This is due to the difficulty of arranging multiple customers to agree to one agreement. In return, National Energy does not intend to aggregate customers and/or accounts that are unrelated to each other. Overall, National Energy submitted over 30 energy contracts to licensed energy brokers for customers in Ohio.

When National Energy submits customer contracts to the energy broker for processing, National Energy also performs a complimentary review for our customers after they receive their first supply bill from the supplier they chose. The “post procurement review” helps ensure that the customer’s bill coincides with the supplier agreement. Unfortunately, some energy suppliers seem to be more error prone than others, which is another reason why National Energy is seeking an energy broker license. With an energy broker license, National Energy can have control over which suppliers we represent and recommend to our customers which will increase customer satisfaction and eliminate customer complaints.

*Resumes listing industry experience for Ralph DiMaio and Vincent DiMaio are located in Section D.

EXHIBIT B-4

Disclosure of Liabilities and Investigations

"Provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide."

Response: None. Not applicable

EXHIBIT C

1-10

APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

Natural Gas Broker Application

National Utilities Refund

DBA National Energy

EXHIBIT C
TABLE OF CONTENTS

C-1 Annual Reports	1
C-2 SEC Filings.....	2
C-3 Financial Statements	3
C-4 Financial Arrangements.....	4
C-5 Forecasted Financial Statements	5
C-6 Credit Rating	6
C-7 Credit Report	6
C-8 Bankruptcy Information	6
C-9 Merger Information	6
C-10 Corporate Structure	6

EXHIBIT C-1

Annual Reports

National Utilities Refund DBA National Energy is a private company and is not required to issue Annual Reports.

EXHIBIT C-2

SEC Filings

National Utilities Refund DBA National Energy is a private company and not required to file with the SEC.

EXHIBIT C-3

Financial Statements

See attached for the 2015 and 2014 tax returns.

EXHIBIT C-4

Financial Arrangements

NOT APPLICABLE (N/A)

National Utilities Refund DBA National Energy is NOT taking title to the electricity or natural gas.

EXHIBIT C-5
Forecasted Financial Statement

National Utilities Refund LLC
DBA National Energy

Three-year Forecasted Ohio Natural Gas Procurement Income Statement

Preparer	
Name	Vincent DiMaio
Address	143 Susquehanna Trail Allentown, PA 18104
Phone	610-462-9818

Assumptions	
Sales Growth Rate	35%
Tax Rate	25%
Sales Contractor Commissions	40% of Revenue

	<u>Dec. 31, 2017</u>	<u>Dec. 31, 2018</u>	<u>Dec. 31, 2019</u>
REVENUE:			
OH Natural Gas Procurement Sales	\$35,000	\$47,250	\$63,788
OPERATING EXPENSES:			
Marketing & Promotions	\$800	\$1,000	\$1,500
Telephone Expense	\$1,560	\$1,607	\$1,655
Trade Shows	\$1,200	\$1,300	\$2,800
Travel & Maintenance Expense	\$800	\$850	\$900
Insurance Expenses	\$850	\$870	\$890
Web Development	\$1,294	\$300	\$305
Licensing	\$1,230	\$200	\$200
Sales Contractor Commissions	\$14,000	\$18,900	\$25,515
TOTAL OPERATING EXPENSES	<u>\$21,734</u>	<u>\$25,027</u>	<u>\$33,765</u>
NET INCOME (before tax)	\$13,266	\$22,223	\$30,022
Taxes (25%)	<u>\$3,317</u>	<u>\$5,556</u>	<u>\$7,506</u>
NET INCOME (after tax)	\$9,950	\$16,667	\$22,517
* Numbers are rounded to the nearest dollar.			

EXHIBIT C-6

Credit Rating

NOT APPLICABLE (N/A)

EXHIBIT C-7

Credit Report

NOT APPLICABLE (N/A)

EXHIBIT C-8

Bankruptcy Information

NONE. NOT APPLICABLE (N/A)

EXHIBIT C-9

Merger Information

NONE. NOT APPLICABLE (N/A)

EXHIBIT C-10

Corporate Structure

National Utilities Refund DBa National Energy is a stand-alone entity with no affiliate or subsidiary companies.

EXHIBIT D
1-3
APPLICANT TECHNICAL CAPABILITY

Natural Gas Power Broker Application

National Utilities Refund
DBA National Energy

EXHIBIT D
TABLE OF CONTENTS

D-1 Operations.....	1
D-2 Operational Expertise	2
D-3 Key Technical Personnel	3
Biographies	4

EXHIBIT D-1

Operations

National Utilities Refund LLC, “NUR”, DBA National Energy, is a utility bill auditing firm that uncovers billing discrepancies within the utility invoices for commercial organizations all over the country. NUR has experience verifying electric and natural gas tariffs/rate schedules in deregulated and regulated energy markets. Our utility bill audit will verify the most cost efficient rate schedule for a business, determine the accuracy of their historical meter reads, confirm whether or not a meter was properly installed, and uncover sales tax exemptions for eligible organizations. In addition, NUR offers similar cost reduction audits for commercial wireless/cellular bills but NUR markets the services under the DBA National-wireless.net.

In 2012, NUR created the DBA National Energy and contracted with an established energy broker in Texas in order to offer energy procurement services to our current customers. The DBA National Energy was created and is used when procuring energy rates for customers in order to eliminate any potential customer confusion between our other utility services.

EXHIBIT D-2

Operational Expertise

National Utilities Refund LLC, “NUR”, DBA National Energy performed over 3,500 utility bill audits for organizations across the country. Through performing utility bill audits, NUR has untangled complex billing issues within the utility tariffs and verified 3rd party energy supplier contract discrepancies. Having this type of knowledge will result in an accurate representation of the customer’s energy rate options. With a clear, concise natural gas rate proposal, the customer will be able to make an informed decision with confidence. Moreover, in the event that a natural gas supplier erroneously charges a customer under the contract we presented to them, National Energy has the knowledge and experience to rectify the discrepancy for the customer at no charge.

In addition, the energy broker that National Energy contracted with is licensed in 10+ states, including Ohio, and National Energy submitted over 30 energy supply contracts to the broker for customers located in Ohio. As a result, National Energy is experienced in the Ohio energy procurement market and familiar with all of the electric and gas utility tariffs.

EXHIBIT B-3

Key Technical Personnel

National Energy's owners, Ralph DiMaio and Vincent DiMaio, founded the company in 2011 and they each brought several key attributes to the table to ensure success as an energy broker, such as a strong background in business, cost management, sales, and experience within the utility and construction industry. Attached are the biographies for Ralph DiMaio and Vincent DiMaio.

Vincent A. DiMaio

143 Susquehanna Trail • Allentown, PA 18104
Phone: 610.462.9818 Email: vince@nationalutilityltd.com

SUMMARY

President and lead utility bill auditor at National Utilities Refund, LLC, DBA National Energy, performed hundreds of utility audits for a wide range of businesses, including fortune 500 companies and local government entities. He holds a BSBA in Entrepreneurship and Marketing from John L Grove School of Business at Shippensburg University. Vincent is experienced in analyzing and verifying electric and gas tariffs in over 40 states including Canada. Vincent has experience working with public, private, and municipally owned utilities, and he has uncovered errors billed by some of the largest utility companies in the country as well as the fly-by-night ESCOs. Vincent has a proven track record auditing commercial customers and he untangled extremely complex energy billing errors, resulting in high five-figure recoveries for our clients.

PROFESSIONAL EXPERIENCE

National Utilities Refund LLC - President

Nov 2011 – Present

1717 Main Street, 2nd Floor, Northampton PA 18067
6216 Vista Terrace, Orefield PA 18069

➤ Operations

- Identify the most cost efficient electric, natural gas, and water/sewer rate classification
- Determine whether or not a time-of-use rate schedule is advantageous for a business
- Verify historical meter reads for demand errors, skipped meters, and additional overcharges
- Implement and present findings to the utility and customer
- File state sales tax overpayments with the State for exempt organizations

➤ Sales & Marketing

- Increased Sales by 49% from 2014 to 2015 through direct-to-consumer online marketing

➤ Product Development

- Developed and programmed an in-house platform for utility bill auditing and rate schedule verification

➤ Audit Abilities

- Solid understanding of electric and gas tariffs
- Experienced verifying energy supplier agreements, assuring proper contract start and end dates
- Thoroughly educated on the state sales tax exemption requirements for qualified government, nonprofit, and educational institutions.
- Knowledgeable on the various 3rd party supplier contracts: fixed, variable, index, and block & index

➤ Key Accomplishments

- 100% success rate filing refunds through the State for utility state sales tax exemptions
- Uncovered, \$60,000 in LG&E electric rate schedule errors for a large insurance company in 2015
- Identified errors and recovered funds for 80% of the municipalities NUR audited in Pennsylvania
- Implemented a time-of-use rate schedule for Little Caesar's restaurants.