

December 9, 2016

Docketing Division Public Utilities Commission of Ohio 180 East Broad St. Columbus, Ohio 43215-3793

RE: Electric Governmental Aggregator Renewal Application — Village of Sunbury- Delaware Co., OH

**RE: Case No. 12-3228-EL-GAG** 

The Village of Sunbury is pleased to submit its renewal application for electric governmental aggregator. The original application notarized and signed by an authorized official.

Material provided for review:

- Application for Governmental Aggregations and Affidavit
- Exhibit A-2 Authorizing Ordinance reflecting voter authorization;
- Exhibit A-3 Plan of Operation and Governance;
- Exhibit A-4 Automatic Aggregation Disclosure

Should you have any questions or additional needs, please call me at (614) 425.4885.

Sincerely

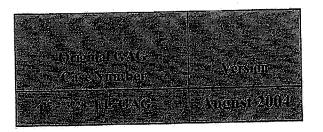
Scott R. Belcastro

Principal 614.425.4885

scott@electricsuppliers.org

Enclosure

# Ohio | Public Utilities Commission



## RENEWAL APPLICATION FOR ELECTRIC GOVERNMENTAL AGGREGATORS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-4 Opt-Out Form). All attachments should bear the legal name of the Applicant and should be included on the electronic copy provided. Applicants should file completed applications and all related correspondence with: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, OH 43215-3793.

This PDF form is designed so that you may input information directly onto the form, You may also download the form, by saving it to your local disk, for later use.

#### A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Village of Sunbury, Delaware County, Ohio
Address 9 East Granville St, Sunbury, OH 43074
PUCO Certificate # and Date Certified 13-626E(1) 1/22/13
Telephone # (740) 965-2684 Web site address (if any) www.sunburyvillage.com

- A-2 Exhibit A-2 "Authorizing Ordinance" provide a copy of the ordinance or resolution authorizing the formation of a governmental aggregation program adopted pursuant to Section 4928.20(A) of the Revised Code.
- A-3 Exhibit A-3 "Operation and Governance Plan" provide a copy of the applicant's current plan for operation and governance of its aggregation program adopted pursuant to Section 4928.20(C) of the Revised Code. The Operation and Governance Plan explained in Exhibit A-3 should include:
  - Terms and conditions of enrollment including:
    - Rates
    - Charges
    - Switching fees, if any
  - Policies associated with customers moving into/out of aggregation area
  - Billing procedures
  - Procedures for handling complaints and disputes including the toll-free telephone number and address for customer contacts

A-4	Exhibit A-4 Automatic Aggregation Disclosure-"Opt-out Form" provide a copy of
	the disclosures/"opt-out" required by Section 4928.20(D) of the Revised Code, if its
	aggregation program provides for automatic aggregation in accordance with Section
	4928.20(A) of the Revised Code. If the opt-out is in draft form, docket the final opt-out
	(including beginning and ending dates of the 21-day -out period and the selected CRES
	supplier) with the Commission within 10 days prior to providing or offering service. See
	#12 in the attached Affidavit.

	#12 in the attached Affidavit.	days prior to providing or offering service. See	
1-5	Contact person for regulatory or emerg	gency matters	
	Name Scott Belcastro		
	Title Principal		
	Business address 4067 Treeline Ct, Westervill	lle, OH 43082	
	Telephone # (614) 425-4885	Fax # (614) 417-0410	
	E-mail address scott@electricsupplier	rs.org	
<b>\</b> -6	Contact person for Commission Staff u	use in investigating customer complaints	
	Name Scott Belcastro		
	Title Principal		
	Business address 4067 Treeline Ct, Westervill		
	Telephone #_(614) 425-4885	Fax # (614) 417-0410	
	E-mail address scott@electricsupplier	rs.org	
<b>1-</b> 7	Applicant's address and toll-free numl Customer Service address 9 E. Granville St, S	ber for customer service and complaints	
	Toll-free Telephone #(877) 861-2772	Fax # (614) 417-0410	
	E-mail address scott@electricsupplier	rs.org	
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,1511ar	C Apparam C Ann		
worn	and subscribed before me this day of	f DECEMBER 2016	
<b>Aonth</b>	· AA	Year	
	100	DAVID S. BRISHMY ATTORNE	. Y
Signat	ure of official administering oath	Print Name and Title	
		and the contract of the contra	

My commission expires on

DAVID JOHN BREHM, Attorney At Law NOTARY PUBLIC, STATE OF OHIO My commission has no expiration date. Section 147.03 R.C.

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## <u>AFFIDAVIT</u>

State of OHO:	SunBull ss.
County of DELAVARE:	(Town)
Tommy Halfield, AF	fiant, being duly sworn/affirmed according to law, deposes and says that:
He/Sho is the Mayor	(Office of Affiant) of Village of Sunbury (Name of Applicant)
That he/she is authorized to an	d does make this affidavit for said Applicant,

- The Applicant herein, attests under penalty of false statement that all statements made in the
  application for certification renewal are true and complete and that it will amend its application while
  the application is pending if any substantial changes occur regarding the information provided in the
  application.
- The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission
  of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity
  pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of
  Section 4928.06 of the Revised Code.
- The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- The Applicant herein, attests that it will comply with all state and/or federal rules and regulations
  concerning consumer protection, the environment, and advertising/promotions.
- 8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

- 11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.
- 12. The Applicant herein, attests that if the opt-out is in draft form, the Applicant will docket the final opt-out (including beginning and ending dates of the 21-day -out period and the selected CRES supplier) with the Commission within 10 days prior to providing or offering service.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant & Title

Sworn and subscribed before me this \_ Month

day of DECEMBER 2011

Year

Signature of official administering oath

DAVID J. BREHM

ATTORNEY

Print Name and Title

·.

My commission expires on

DAVID JOHN BREHM, Attorney At Law NOTARY PUBLIC, STATE OF OHIO My commission has no expiration date. Section 147.03 R.C.

#### **EXHIBIT A-2**

#### **AUTHORIZING ORDINANCE**



# ORDINANCE 2011-14 BOARD OF ELECTIONS DELAWARE COUNTY, OHIO

# AN ORDINANCE TO AUTHORIZE ALL ACTION NECESSARY TO AFFIECT AN OPT-OUT ELECTRIC AGGREGATION PROGRAM PURSUANT TO SECTION 4928.20 OF THE REVISED CODER ECEIVED

WHEREAS, the Ohio Legislature has enacted electric deregulation legislation ("Am. Sub. S. B. No. 3") which authorizes the legislative authorities of municipal corporations, townships and counties to aggregate the retail electric loads located in the respective jurisdictions and to enter into service agreements to facilitate for those loads the purchase and sale of electricity; and

WHEREAS, Electric Aggregation provides an opportunity for electric consumers collectively to realize electric savings, lower cost electric supplies, and other benefits that the consumers may not otherwise be able to realize individually; and

WHEREAS, the Village of Sunbury, Ohio, desires to submit an ordinance to the Board of Elections to submit to the electors of the Village of Sunbury, Ohio, the question of whether the Village should create an Electric Aggregation program in accordance with Ohio Revised Code § 4928.20; and

WHEREAS, this ordinance should be reviewed by the appropriate Village Council committee and presented to the Village Council for consideration in a timely manner in that this must be certified to the Delaware County Board of Election authorities by August 10, 2011 since opportunities to coordinate aggregation activities with certain suppliers of electric may become limited due to market conditions; and

WHEREAS, the Mayor has recommended the passage of this Ordinance in order to provide this opportunity for cost savings to the residents of the Village of Sunbury.

# NOW, THEREFORE, BE IT ORDAINED BY THE COUNCIL OF THE VILLAGE OF SUNBURY, DELAWARE COUNTY, OHIO AS FOLLOWS:

SECTION 1: This Village Council finds and determines that to facilitate competitive retail electric service to promote electric savings, lower cost electric supplies, and other benefits, it is in the best interest of the Village and certain electric consumers within the jurisdiction of the Village to establish an opt-out Electric Aggregation program in the Village pursuant to Section 4928.20, Revised Code. Provided that this Ordinance and the Electric Aggregation program is approved by the electors of the Village in accordance with this Ordinance, the Village is hereby authorized to take all actions necessary to affect an Electric Aggregation program pursuant to Section 4928.20, Revised Code. The Village may exercise this authority jointly with other entities to the full extent permitted by law, and for such purposes. Actions necessary to affect the Electric Aggregation program include determining and entering into service agreement(s) with electric suppliers to facilitate the sale and purchase of all electric commodity and services to serve the enrolled electric consumers. The Village shall be authorized by the electors to be the only entity authorized to act for and on behalf of the enrolled electric consumers to determine

and select the electric supplier(s) to provide the commodity and all other services for the Electric Aggregation program and the enrolled consumers.

SECTION 2: The Electric Aggregation program shall not apply to persons meeting any of the following criteria, as more specifically described in Section 4928.20(H)(1-5) of the Ohio Revised Code: (i) a customer that has opted out of the aggregation, (ii) a customer in contract with a certified electric services company, (iii) a customer that has a special contract with an electric distribution utility, or (iv) a customer that is not located within the governmental aggregator's governmental boundaries, (v) subject to division (C) of section 4928.21 of the Revised Code, a customer who appears on the "do not aggregate" list maintained under that section.

SECTION 3: That this Council hereby authorizes and directs the Delaware County Board of Elections to submit to the electors of the Village of Sunbury at an election to be held at the usual places of voting of said Village on Tuesday, November 8, 2011, the following question:

To facilitate competitive retail electric service to promote electric savings, lower cost electric supplies, and other benefits, shall the Village of Sunbury have the authority to aggregate retail electric loads located in the Village of Sunbury and enter into service agreements for the sale and purchase of electric commodity and other services, such aggregation to occur automatically, except where any person elects to opt-out?

FOR, the Village of Sunbury to facilitate competitive retail electric service to promote electric savings and other benefits by acting as an aggregator.

NOT FOR, the Village of to facilitate competitive retail electric service to promote electric savings and other benefits by acting as an aggregator.

SECTION 4: That the Village Fiscal Officer is authorized and directed to file a certified copy of this Ordinance with the Delaware County Board of Elections not later than 4:00 p.m. August 10, 2011. The Electric Aggregation program shall not take effect unless approved by a majority of the electors voting upon the proposed ballot question at the election held in accordance with this Ordinance and Section 4928.20 of the Ohio Revised Code. Upon approval, the Electric Aggregation shall take effect at the earliest permissible point in time and continue thereafter in accordance with the requirements of Chapter 4928.20 of the Ohio Revised Code.

SECTION 5: That the Board of Elections of Delaware County shall cause an appropriate notice to be duly given of the election to be held on Tuesday, November 8, 2011, on the foregoing proposal and otherwise to provide for such election in the manner provided by the general laws of the State of Ohio.

<u>SECTION 6</u>: Upon approval by a majority of the electors voting at the election provided for in this Ordinance, the Village shall develop and adopt a plan of operations and governance for the Electric Aggregation program. Consumers enrolled in the Electric Aggregation program shall be supplied their electric requirements and other services in accordance with supply

agreement(s) determined and arranged by the Village, as opportunities become available to provide benefits on behalf of the electric consumers enrolled in the Electric Aggregation program and the consumers located within the jurisdiction of the Village. The Village shall be authorized by the electors to be the only entity authorized to act for and on behalf of the electric consumers that have enrolled in the Electric Aggregation program to determine and select the electric supplier(s) to provide the commodity and all other services for the Electric Aggregation program and the enrolled consumers. Before adopting such Plan, at least two public hearings on the Plan shall be held. Before the first hearing, notice of the first hearing shall be published once a week for two consecutive weeks in a newspaper of general circulation in the Village. The notice shall summarize the Plan and state the date, time, and location of each hearing.

SECTION 7: The adopted Plan shall not aggregate any retail electric load within the Village, unless the person whose retail electric load is to be so aggregated is notified in advance that the person will be enrolled automatically in the Electric Aggregation program and shall remain so enrolled, unless the person affirmatively elects not to be so enrolled by a stated procedure. The disclosure shall state the rates, charges, and other terms and conditions of the enrollment. Once enrolled the consumer may only opt-out of the Electric Aggregation program every two years without paying a switching fee. Any such person that opts-out of the Electric Aggregation program shall default to the electric company providing distribution service for the person's retail electric load, until the person chooses an alternative supplier.

SECTION 8: It is found and determined that all formal actions of this Council concerning and relating to the adoption of this ordinance were adopted in an open meeting of this Council, and that all deliberations of this Council and of any of its committees that resulted in such formal action were meetings open to the public, and in compliance with all legal requirements, including Section 121.22 of the Ohio Revised Code.

WHEREFORE, this Ordinance shall take effect from and after the earliest time permitted by law.

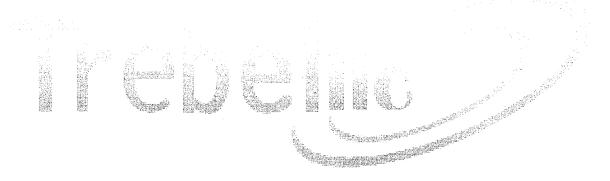
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PASSED: July 6	, 2011	<u>5</u> <u>8</u>
	* * * * * * * * * * * * * * * * * * *	LEONARD R. WEATHERBY, Mayor
ATTEST: Last & Below	7 7 72	
Kathy Belcher, Fiscal Officer		

#### **CERTIFICATION**

I hereby certify on this	// day of	( / , /	. 2011	
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that the foregoing is a true as	nd accurate copy	y of the ordinance	passed at the meeting held	l on
July 6	_, 2011, of the	Village of Sunbu	ry of the County of Delawa	are,
State of Ohio.		1/.1	$\sqrt{2}$	
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#### **EXHIBIT A-3**

#### **OPERATION AND GOVERNANCE PLAN**



# VILLAGE OF SUNBURY ELECTRIC AGGREGATION PROGRAM

# OPERATION AND GOVERNANCE PLAN

Prepared by:



Amended and Adopted on February 18, 2015

#### I. Overview

In November 2011, a majority of voters authorized the Village of Sunbury ("Village") to create a form of government electric aggregation known as "opt-out" aggregation and to create an opt-out electric aggregation program ("the Aggregation Program") as provided under Section 4928.20 of the Ohio Revised Code. Under the opt-out electric aggregation program, all eligible electric consumers within the Village's limits will be automatically included in the Aggregation Program initially. However, all consumers will also be given the opportunity to opt out of or decline participation in the Aggregation Program as detailed herein. Additionally, Participants who leave the Aggregation Program and wish to return, consumers who affirmatively choose to participate in the Aggregation Program, and consumers who move into the Village after the initial opt-out period will be afforded the opportunity to enroll in the Aggregation Program on an opt-in basis.

The purpose of the Aggregation Program is to represent local consumer interests in emerging competitive electricity markets by combining multiple electric loads within the Village's limits and negotiating affordable, reliable electric supplies and other related services on behalf of local consumers. The Village may pursue this purpose individually or in cooperation with other legislative authorities.

Many small commercial and residential electric consumers lack the knowledge, expertise, and bargaining power to effectively negotiate power supply rates and services. A governmental aggregation program provides these consumers with an option for expert representation and the bargaining power of a larger, more diverse consumer group that may be more attractive to suppliers, allowing them to effectively participate in the competitive process and achieve the benefits of retail electric competition.

The Aggregation Program is designed to combine multiple electric loads in order to obtain the best electric generation rate available for those who participate in the Aggregation Program, and to gain other favorable economic and non-economic terms in supply agreements. The Village will not buy and resell power, but will act as the agent for the Aggregation Program, representing the collective interests of the consumers in the Village to establish the terms and conditions for service. Through a negotiation process, the Village and its Consultant will develop a contract with a Competitive Retail Electric Services Provider (CRES Provider) or Providers for firm, all-requirements electric service. The contract will run for a fixed term (i.e., one to five years).

The Aggregation Program covers the power supply or generation portion only of a Participant's electric bill. AEP will continue to deliver electricity to Aggregation Program Participants' homes and businesses through the electric transmission and distribution system as an electric distribution utility function regulated by the Public Utilities Commission of Ohio (PUCO). AEP will also continue to install, operate and maintain their systems of poles, wires, transformers, and other electric distribution components. Aggregation Program Participants should continue to call AEP if their power goes out or if they have billing questions. The PUCO will continue to oversee AEP electric safety and reliability service standards.

#### II. Process

Under an opt-out aggregation program, each eligible consumer within the Village's boundaries initially will be automatically included in the Aggregation Program on a non-discriminatory basis. However, prior to actual enrollment, each consumer for whom electric rates, terms, and conditions have been negotiated will receive a notice from the Village detailing the Aggregation Program's rates, terms, and conditions.

Each consumer will then have a 21-day period to opt out of or decline to participate in the Aggregation Program without additional fees charged. Consumers opting out of the program will remain on AEP's Standard Offer Generation Service until such time as they select an approved CRES Provider. If the term of the Aggregation Program is longer than three years, a similar opt-out period will be offered every three years during which time consumers can leave the Aggregation Program without paying an early termination fee or penalty.

Participants who leave the Aggregation Program and wish to return, consumers who affirmatively choose to participate in the Aggregation Program, and consumers who move into the Village after the initial opt-out period will be afforded the opportunity to enroll in the Aggregation Program on an opt-in basis. However, the Village cannot guarantee rates, terms, and conditions to consumers enrolling in the Aggregation Program after the initial 21-day opt-out period. Opt-in Participants enrolling in the Aggregation Program will execute an opt-in contract with the selected CRES Provider delineating the rates, terms, and conditions prior to being placed into the Aggregation Program.

Participants who relocate within the Village's limits and retain the same electric account number will be allowed to continue in the Aggregation Program at their new location under the same terms and conditions as at their former location, provided the utility rate classification is the same at both locations, and subject to any switching fees imposed by the utility.

The Village, through its Consultant, will negotiate a contract with a CRES Provider to implement and operate the Aggregation Program. Contracts for power supply and other related services will be negotiated, recommended, and monitored for compliance by the Village's Consultant on behalf of local consumers.

The Village developed this Plan of Operation and Governance in compliance with Ohio law regarding governmental aggregation programs and opt-out aggregation of electric consumers.

After adoption of this Plan of Operation and Governance by resolution or ordinance pursuant to Section 4928.20 of the Ohio Revised Code, the Village will file such Plan with the PUCO and will likewise maintain its certification as a governmental aggregator with the PUCO and its registration as a governmental aggregator with AEP.

#### III. Plan of Operation and Governance

#### A. Definitions

- 1. **Aggregation:** Combining the electric loads of multiple customers for the purpose of supplying or arranging for the supply of competitive retail electric service to those customers.
- 2. **Aggregation Program Manager or Consultant:** The individual or company retained by the Village to oversee the operation and management of the Village's Aggregation Program.
- 3. Competitive Retail Electric Service (CRES): A component of retail electric service that is deemed competitive under the Ohio Revised Code or pursuant to an order of the PUCO. This includes, but is not limited to, the services provided by competitive retail electric service providers, power marketers, aggregators, and governmental aggregators.
- 4. Competitive Retail Electric Service Provider (CRES Provider): A person or entity certified by the PUCO and registered with an electric distribution utility which supplies or offers to supply a competitive retail electric service over an electric distribution utility's transmission and/or distribution system. This term does not apply to an electric distribution utility in its provision of standard offer generation service.
- 5. **Consumer:** Any person or entity that is an end user of electricity and is connected to any part of the applicable electric distribution utility's transmission or distribution system within the Village's boundaries.
- 6. **Delivery Charge:** Charge imposed by the electric distribution utility for delivering electricity to a consumer's home or business. The charge includes meter reading, billing, maintaining electric system reliability and responding during emergencies and outages (also called a distribution charge).
- 7. **Distribution:** The delivery of electricity to a consumer's home or business over an electric distribution utility's local poles, wires, transformers, substations, and other equipment. Electric distribution utilities' distribution system operations are regulated by the PUCO.
- 8. **Electric-Related Service:** A service that is directly related to the consumption of electricity at a consumer's home or business. This may include, but is not limited to, the installation of demand side management measures at a consumer's premises, the maintenance, repair or replacement

of appliances, lighting, motors or other energy-consuming devices at a consumer's premises, and the provision of energy consumption measurement and billing services.

- 9. Electric Supply Charge: All charges related to the generation of electricity by the CRES Provider.
  - 10. **Governmental Aggregator:** An incorporated village or city, township, or county acting as an aggregator for the provision of a CRES under authority conferred by Section 4928.20 of the Ohio Revised Code.
  - 11. **Kilowatt-hour (kWh):** A kilowatt-hour is 1,000 watts of electricity used for one hour. For example, a 1,000-watt appliance operating for one hour will consume one kilowatt-hour of electricity. Consumers are charged for electricity in cents per kilowatt-hour.
  - 12. **Ohio Consumers' Counsel (OCC):** The Ohio Consumers' Counsel (OCC) was established by the Ohio Legislature in 1976 to represent the interests of Ohio's residential utility customers served by investor-owned utilities in proceedings before the PUCO, other regulatory agencies, and in the courts.
  - 13. **Participant:** An eligible consumer enrolled in the Village's Aggregation Program.
  - 14. Public Utilities Commission of Ohio (PUCO): The state agency charged with assuring that all residential and business consumers have access to adequate, safe, and reliable utility services at fair prices, while facilitating an environment that provides competitive choices.
  - 15. **Standard Offer Generation Service:** The electric generation service a consumer will receive from its default electric service provider if the customer does not choose a CRES Provider.

#### B. Aggregation Program Management

Due to the complexity of the electric utility industry, the Village has entered into an Energy Management and Consulting Agreement with the Consultant to provide the necessary expertise to represent the Village's interests in legislative and regulatory matters and to serve as the Aggregation Program Manager. Such services may include, but are not limited to, overall management of the Aggregation Program, facilitating consumer enrollment and the opt-out process, assisting with consumer education, addressing consumer questions and concerns, providing reports on program operation, negotiating CRES Provider contracts, providing analysis and review of the Village's energy service usage

and costs, and providing consulting services to aggregate and procure energy and/or energy-related services, products, and accounts, and representing the Village in dealings with CRES Providers, the Ohio Legislature, the PUCO, and the OCC.

The CRES Provider's rates will include an administrative fee, which shall be collected on behalf of the Village to fund the implementation and administration of the Village's Aggregation Program, including consulting fees. The administrative fee may be adjusted annually to cover the Village's cost of administering the program.

Oversight of the Aggregation Program will be the responsibility of the Village's Consultant, in consultation with the Village. The Consultant, in consultation with the Village, will have the authority to develop specifications for the Aggregation Program. The CRES Provider will work under the direction of the Consultant with the advice and counsel of the Village.

#### C. Selection of a CRES Provider and Contract

The Village will not buy and resell power to Aggregation Program Participants. The Village, through its Consultant, will negotiate with potential CRES providers to provide affordable, reliable electric supply, and other electric-related services on behalf of local consumers. The Village will consider cooperating with other governmental aggregators if it appears beneficial to do so.

Through a negotiation process driven by its Consultant, the Village will develop a contract with a CRES Provider or Providers for firm, all-requirements service. Each Aggregation Program Participant shall be individually bound by the terms and conditions found in the opt-out notice and the contract and shall be solely responsible for payment and performance. The Village will contract only with a CRES Provider that possesses, at a minimum, the following criteria:

- 1. Is certified as a CRES Provider by the PUCO;
- 2. Is registered with AEP;
- 3. Has a service agreement under AEP's Open Access TransmissionTariff;
- 4. Has successfully completed Electronic Data Interchange (EDI) computer system testing with AEP, and the CRES Provider's EDI computer system is capable of effectively processing Aggregation Program EDI transactions in a timely manner;
- 5. Will maintain a database of Aggregation Program Participants, which will include all necessary information for the CRES Provider, Village, and Consultant to serve and manage the Aggregation Program;

- 6. Meets standards of creditworthiness established by the Village;
- 7. Has or has arranged for a customer call center capable of effectively handling Participants' questions, concerns and disputes in a timely manner using a toll-free telephone number;
- 8. Holds the Village harmless from any financial obligations arising from offering electricity and/or energy-related services to Aggregation Program Participants; and
- 9. Will assist the Village and its Consultant with the filing of reports required by Ohio law and the PUCO.

The CRES Provider's contract will run for a fixed term (i.e., one to five years) and contain all pricing, charges, switching fees, etc. in clear and easily understandable terms.

The Village will require any CRES Provider to disclose any subcontractors that it uses in fulfillment of the services described herein.

#### D. Electric Supply Charges

The Village, through its Consultant, will aggregate electric loads within the Village's applicable boundaries (including Village facilities) and negotiate mutually agreeable pricing, terms, and conditions of service with the CRES Provider for affordable, reliable electric supplies and other related services on behalf of Participants. The Village may pursue this purpose individually or in cooperation with other entities. CRES Providers will supply information on electric supply charges by utility customer rate classification or other appropriate pricing category as approved by the Village. All electric supply charges will be fully and prominently disclosed in consumer enrollment materials, the opt-out notice, and will be subject to approval by the Village.

#### E. AEP's Regulated Customer Classifications and Rates

The applicable electric distribution utility assigns customer rate classifications, character of service, and associated regulated rates subject to PUCO-approved tariffs. In addition to the CRES Provider's generation charges, consumers will continue to be billed for AEP's service and delivery charges. Although the Village may participate in regulatory proceedings and represent the interests of consumers regarding these regulated rates, it cannot assign or alter customer rate classifications.

It is the intention of the Village to offer its Aggregation Program to eligible residential and non-mercantile commercial customers in any and all customer classifications, and in all rate categories, for which the CRES Provider can offer a

savings compared to the electric distribution utility's avoidable costs or price to compare.

#### F. Developing the Pool of Eligible Accounts

The Village or its Consultant shall request the electric distribution utility to provide current customer information for all customers within the Village's boundaries. The provided information shall include the following:

- Customer name;
- Customer service address;
- Customer billing address;
- Electric distribution utility customer account number;
- Electric distribution utility rate code:
- Electric distribution utility PIPP code;
- Customer load data:
- Whether or not a customer has a present contract with a CRES Provider; and
- Whether or not a customer has a special service contract with the electric distribution utility.

From this information, the Village, its Consultant, and the CRES Provider will develop the pool of customers eligible to participate in the Aggregation Program, for which the CRES Provider can offer savings.

#### G. Initial Consumer Notification and Enrollment

After contract approval by the Village and its Consultant, the CRES Provider will work with the Village, its Consultant, and the electric distribution utility to identify all eligible consumers within the Village's boundaries.

All eligible consumers will be notified in writing of the rates, charges, and other terms and conditions of participation in the Aggregation Program and that they will be automatically enrolled in the Aggregation Program unless they opt out of, or decline participation in, the Aggregation Program. Consumers will be given 21 days from the postmark date of the opt-out letter in which to notify the Village that they wish to opt out of, or decline participation in, the Aggregation Program without penalty fees. Consumers opting out of the program will remain on the applicable utility's Standard Offer Generation Service until such time as they select an approved CRES Provider.

Consumers may opt out of the Aggregation Program by returning a postage-paid post card or other form provided in the opt-out letter.

After the initial 21-day opt out period has elapsed, all eligible consumers who have not notified the Village of their desire to opt out of the Aggregation Program



will be enrolled automatically by the CRES Provider at the earliest date practicable. No other affirmative steps will be required of consumers in order to be included in the Aggregation Program as Participants.

Consumers ineligible to participate in the Aggregation Program include those customer accounts that are located outside the Village's boundaries, customers who are already in contract with a CRES provider, customers in a "special rate" contract with an electric distribution utility, and Percentage of Income Payment Plan (PIPP) consumers and consumers whose accounts are not current with their present electric distribution utility, as appropriate. The aggregation of PIPP customers is under the direction of the State of Ohio.

Consumers enrolled in the Aggregation Program by the CRES Provider will receive a letter from their electric distribution utility notifying them of their enrollment. Consumers will have seven calendar days to notify the electric distribution utility of any objection to their enrollment in the Aggregation Program. The applicable electric distribution utility will notify the CRES Provider of consumer objections or any reason that a consumer was not enrolled in the Aggregation Program and the CRES Provider will maintain a list of customers who have opted out under the Aggregation Program rules.

The CRES Provider will report to the Village the status of the Aggregation Program enrollment on at least a quarterly basis.

#### H. Leaving the Aggregation Program

In addition to the initial opt-out period described above, each Participant will be given an opportunity to opt out of the Aggregation Program every three years without paying an early termination fee or penalty as required by law and PUCO rules. Consumers who choose to opt out of the Aggregation Program at any time other than during the initial 21-day opt-out period or during subsequent opt-out periods offered by the Village may be subject to an early termination fee and may not be served under the same rates, terms, and conditions that apply to other customers served by the electric distribution utility.

Any consumer who opts out of the Aggregation Program during the initial opt-out period or subsequent opt-out periods will be returned to their electric distribution utility's Standard Offer Generation Service until such time as the consumer selects another approved CRES Provider.

#### I. CRES Provider Responsibilities

The CRES Provider will build and maintain a database of all Aggregation Program Participants. The database will include the name, address, utility service



delivery identification (SDI) number, and other pertinent information as agreed upon by the Village, Consultant, and the CRES Provider. Such information may include the CRES Provider's account number (if different from utility's SDI number), rate code, rider code (if applicable), most recent 12 months of kWh consumption and kW demand, and meter reading cycle. The Aggregation Program database will be updated at least quarterly. The Village will have the right to access information in the database for purposes of auditing.

The CRES Provider will provide and maintain the required Electronic Data Interchange computer system to effectively process Aggregation Program enrollments, opt outs, billing, etc., with the applicable electric distribution utility.

The CRES Provider will provide a local or toll-free telephone number for Participant questions or concerns about enrollment, opt-out provisions, billing, and other Aggregation Program issues.

The CRES Provider will develop internal controls and processes to help ensure that the Village remains in good standing as a governmental aggregator that complies with all laws, rules, and regulations regarding the same as they may be periodically amended.

#### J. New and Returning Participants

Participants who leave the Aggregation Program and wish to return, consumers who affirmatively choose to participate in the Aggregation Program, and consumers who move into the Village after the initial opt-out period will be afforded the opportunity to enroll in the Aggregation Program on an opt-in basis. However, the Village cannot guarantee rates, terms, and conditions to consumers enrolling in the Aggregation Program after the initial 21-day opt-out period. Opt-in Participants enrolling in the Aggregation Program will execute an opt-in contract with the selected CRES Provider delineating the rates, terms, and conditions prior to being placed into the Aggregation Program. Consumers may contact the Village's consultant to obtain current enrollment information and the opt-in contract.

Participants who relocate within the Village limits and retain the same electric utility account number will be allowed to continue in the Aggregation Program at their new location under the same terms and conditions as at their former location, provided the electric utility rate classification is the same at both locations, and subject to any switching fees imposed by the consumer's former electric distribution utility. The CRES Provider will comply with all local, state and federal rules and regulations regarding discontinuing service to Aggregation Program Participants.

#### K. Billing

At this time, the Village plans to utilize the applicable electric distribution utility's consolidated billing service in which each consumer account receives one bill itemizing the CRES Provider's electric supply charges and electric distribution utility's delivery, and other PUCO-approved charges. The billing statement will be consistent with applicable PUCO rules and regulations. The Village will consider other billing options, including CRES Provider consolidated or dual billing, if and when they become available and if it appears advantageous to do so.

#### L. Questions and Concerns

The Aggregation Program only impacts the source of generation or power supply. The applicable electric distribution utility will continue to deliver the electricity purchased through the Aggregation Program to Participants' homes and businesses through its electric transmission and distribution system.

Participants with questions or concerns regarding service delivery or safety, such as a power outage, a downed power line, sparking power lines or equipment, low voltage, etc., should continue to contact their electric distribution utility. Meter reading or other billing questions should also be directed to AEP. Questions regarding Aggregation Program enrollment and opting out should be directed to the CRES Provider. Any other general questions regarding the Aggregation Program should be directed to the Village's Consultant.

Question or Concern	Contact	Phone Number		
Power outage or	AEP	1-800-672-2231		
interruption	·			
Connect/disconnect	AEP	1-800-672-2231		
service				
Meter reading/billing	AEP	1-800-672-2231		
Enrollment in or opting	CRES Provider	1-888-XXX-XXXX		
out of Aggregation	Trebel LLC	1-877-861-2772		
Program	. '			
Aggregation Program	Trebel LLC	1-877-861-2772		
Questions or Concerns				
Unresolved disputes	PUCO	1-800-686-7826 (voice)		
		1-800-686-1570 (TDD)		

#### M. Reliability and Indemnification of Consumers

Electric service reliability is essential to Aggregation Program Participants. The Village will strive to provide high-quality service and reliability through provisions of the CRES Provider contract, through traditional proceedings related to your electric distribution utility's regulated transmission and distribution services, and through direct discussions with your electric distribution utility concerning specific or general problems related to quality and reliability of its transmission and distribution system.

If for any reason a CRES Provider fails to provide uninterrupted service, the Village and its Consultant will attempt to acquire an alternative power supply. If this attempt fails, Participants will default to the applicable electric utility's Standard Offer Generation Service. In no case will Participants be without power as a result of the CRES Provider's failure to provide the supply of generation. The Village and its Consultant will seek to minimize this risk by contracting only with reputable CRES Providers that have demonstrated reliable service. The Village, through its Consultant, also intends to include conditions in its CRES Provider contract that will indemnify Participants against risks or problems with power supply service and price.

#### N. Participant Rights

All Aggregation Program Participants shall enjoy the protections of law afforded to consumers as they currently exist or as they may be amended from time to time. These include rights to question billings or service quality or service practices. All consumers shall also enjoy the individual right to decline participation in the Aggregation Program subject to the terms and conditions contained herein.

All Aggregation Program Participants will be treated equitably. They will be guaranteed the rights to raise and resolve disputes with the CRES Provider, be provided all required notices and information, ard always retain the right to opt out of the Aggregation Program or switch suppliers subject to the terms and conditions contained herein.

All consumers within the Village's boundaries shall be eligible to participate in the Aggregation Program on a non-discriminatory basis, subject to the terms and conditions described herein, Ohio law, PUCO rules and regulations governing electric service, and the applicable electric utility's approved tariffs.

Service under the Aggregation Program shall be available to all eligible customer classes. CRES Provider contracts shall also contain non-discrimination provisions to ensure the equitable treatment of all customer classes.



Low-income consumers shall remain subject to all provisions of Ohio law and PUCO rules and regulations as they may be amended from time to time regarding their rights to return to the applicable electric utility's Standard Offer Generation Service and participation in the Aggregation Program.

#### O. Participant Responsibilities

Aggregation Program Participants are subject to the same standards and responsibilities as other electric consumers, including payment of billings and access to metering and other equipment necessary to carry out utility operations.

#### P. Termination of the Aggregation Program

The Aggregation Program may be discontinued upon the termination or expiration of the CRES Provider contract without any extension, renewal, or subsequent contract being executed. In the event of Aggregation Program termination, each Participant will receive written notification of the termination at least 60 days prior to such program termination and will have the option to return to the applicable electric utility's Standard Generation Offer Service or select another approved CRES Provider.

1311-001.614213v1

#### **EXHIBIT A-4**

### **AUTOMATIC AGGREGATION DISCLOSURE**



Village of Sunbury Governmental Aggregation Program PO Box 508 9 East Granville Street Sunbury Oh 43074



Important Governmental Aggregation Information Enclosed

# Village of Sunbury





<First Name> <Last Name>
<Address Line 1>
<Address Line 2>
<City> <State> <Zip>

This notification is in regards to your electric service at:

<Service Address Line 1>
 <Service Address Line 2>
<Service City>, <Service ST> <Zip>

<Month> <Date>, <Year>

Dear <First Name> <Last Name>,

The Village of Sunbury is providing you with the opportunity to participate with other Village of Sunbury residents and businesses in an opt-out Electric Aggregation Program, with Constellation as your provider.

Under governmental aggregation, the Village Council acts on behalf of electric consumers in the Village of Sunbury to negotiate an electric supply contract with an eligible provider. Both the Village of Sunbury and eligible retail electric suppliers have to be certified by the Public Utilities Commission of Ohio. The Village Council passed an ordinance to adopt the Electric Aggregation Program after the Village of Sunbury's voters approved its implementation in November 2011. Further, the Village Council adopted an Amended Operation and Governance Plan for the Electric Aggregation Program on February 18, 2015, which is available at the administrative offices of the Village of Sunbury.

Under this aggregation program, beginning with their first billing cycle in April 2015, eligible residents and businesses in the Village of Sunbury will pay a fixed rate of 7.45 cents per kWh for the first 12 months of the Program, and 6.99 cents per kWh for the second 12 months of the Program. There is no cost for the enrollment and you will not be charged a switching fee. Constellation's price applies to the generation and transmission portion of your bill. If you are ever unhappy with your service or rate from Constellation, you may cancel free of charge at any time and return to AEP Ohio at a rate and terms that may or may not be the same as what other AEP Ohio customers pay.

After you become a participant in the Village of Sunbury's Electric Aggregation Program, AEP Ohio will send a letter confirming your selection of Constellation NewEnergy as your retail electric service provider. As required by law, this letter will inform you of your option to cancel your enrollment with Constellation within seven business days of the postmark date of the letter. To be a part of the Village of Sunbury's Electric Aggregation Program, you don't need to take any action when this letter arrives. You will be automatically enrolled

Under this aggregation, AEP Ohio will continue to maintain the wires system that delivers power to your home or business. You will still contact AEP Ohio regarding loss of power service or for any other concerns or issues having to do with your electric service. You will continue to receive a single bill from AEP Ohio for your electric service with your Constellation rate included.

If you have any questions, please call Constellation toll free at 1-800-718-1493.

Regards,

Village of Sunbury Council and Constellation

Opt Out Form: To be executed and returned only if you do not wish to participate in the Village of Sunbury's Electric Aggregation Program.



<First Name> <Last Name>

<Address Line 1>

<Address Line 2> <City> <State> <Zip>

I wish to opt out of the Village of Sunbury Electric Aggregation Program.

Service Address:

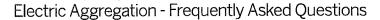
<Service Address Line 1>

<Service Address Line 2>

<Service City>, <Service ST> <Zip>

<code></code>	
Opt-Out Code	
17 digit Service Delivery Identifier Number fro	om your AEP Ohio Bill
Phone Number	
Email address ·	





#### What is governmental aggregation of electricity?

Ohio's laws allow for communities - such as the Village of Sunbury, cities and counties - to form aggregated buying groups on behalf of their citizens. Savings are possible through governmental aggregation, where community officials bring together residential and small commercial customers to gain group buying power for the purchase of electricity from a retail electric provider.

How is the Village of Sunbury able to choose a certified electric generation supplier on my behalf? In November 2011, Village of Sunbury residents voted to allow the Village of Sunbury to contract for an electric generation supplier on their behalf.

#### If I join the Village of Sunbury's electric aggregation program, who will deliver my power, read my meter and respond to emergencies, such as power outages?

Your local electric company will be responsible for the delivery of power to your home or business. Since your local electric utility still owns the wires and poles that deliver power to you, it will continue to read your meter and restore power after an outage.

#### What do I need to do to be included in the aggregation program?

You do not need to do anything to receive the pricing offered under this program. You may choose to remain part of the aggregation program and begin receiving the negotiated rates simply by not returning the opt-out form.

#### What if I don't want to participate?

Since all eligible residential and small commercial customers are automatically enrolled in the governmental aggregation program, those customers who do not want to participate are given the opportunity to opt-out. By returning an opt-out form by the due date, you can choose not to be enrolled as an electric generation and transmission customer of the community's competitive electric generation and transmission supplier.

#### How will billing be handled?

You will receive one bill from AEP Ohio Power (the utility) that contains your charges for distribution and maintenance service from the utility as well as Constellation's charges for generation and transmission. You can remain on budget billing; however, the budget billing program does not apply to your charges from Constellation. Your total charges from Constellation will fluctuate from month to month according to your usage.

#### Can I still have my payment automatically deducted from my checking account as I do now?

Yes. How you pay your electric bill will not change.

#### Does Constellation offer an alternate to budget billing?

Constellation offers Average Monthly Payment (AMP) which is a suitable and seamless alternate to budget billing provided by the utility. AMP allows the customer to pay their amounts due based on a daily average calculation of their prior months' charges by dividing the actual charges by the number of days in the invoice periods. Instead of getting a bill based on their actual usage, they're billed based upon a rolling historical average usage. The payment amount is then adjusted each month. This methodology levels out charges, avoids the potential for true up "bill shock" and helps to make monthly payments more predictable.

#### Who do I call if I have a problem with my electric service?

If you have an outage, see fallen power lines, or require emergency repairs, you will continue to contact AEP Ohio Power Company at 1-800-672-2231.

#### What is the toll-free number for questions?

If you have any questions, please call Constellation at 1-800-718-1493, Monday through Friday between 8 am - 8 pm.

We encourage you to review the details of the offer as further defined in the enclosed Terms and Conditions.

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Ohio Supplier License #00-003E(7)







#### **TERMS & CONDITIONS**

## CONSTELLATION TERMS AND CONDITIONS OF SERVICE OHIO RESIDENTIAL COMPETITIVE RETAIL ELECTRIC SERVICE PROVIDER CERTIFICATE NUMBER 00-0003E(6).

Purchase of Retail Electric Service. Constellation NewEnergy, Inc. ("Constellation") agrees to sell, and you agree to buy, your full requirements for residential retail electric service at the price and on the terms and conditions specified in this agreement (the "Contract"). Price and other terms of this Contract are subject to change as provided below. Constellation reserves the right to revoke its electricity offer for any reason at any time prior to your acceptance of this Contract. Throughout this Contract, the words "you" and "your" refer to the customer who has signed this Contract. The words "we", "us" and "our" refer to Constellation. Constellation is an independent seller of retail electric service certified by the Public Utilities Commission of Ohio and is not representing or acting on behalf of the electric utility responsible for your service territory, i.e. Cleveland Electric Illuminating ("CEI"), Toledo Edison ("TE"), Ohio Edison ("OE"), Duke Energy ("Duke"), Ohio Power Company ("OPC"), or Columbus Southern Power Company ("CSPC") (as applicable, the "Utility") or any governmental bodies, consumer agencies or other groups. You will receive written notification from the Utility confirming a pending switch of your retail electric supplier.

THE VILLAGE OF SUNBURY AGGREGATION PROGRAM. THIS CONTRACT HAS BEEN ENTERED INTO IN ACCORDANCE WITH THE AGGREGATION PROGRAM OF THE VILLAGE OF SUNBURY ESTABLISHED PURSUANT TO SECTION 4928.20 OF THE OHIO REVISED CODE, WHEREBY THE VILLAGE OF SUNBURY ARRANGED FOR THE PROVISION OF ELECTRIC SUPPLY SERVICE BY CONSTELLATION TO ITS RESIDENTIAL INHABITANTS AND SMALL COMMERCIAL INHABITANTS WHO DO NOT OPT-OUT OF THE PROGRAM (THE "AGGREGATION PROGRAM").

Consumer Education Program. Enclosed with this Contract is a copy of the current consumer education program materials developed by the Public Utilities Commission of Ohio and, at your request during the term of this Contract and at no charge, we will provide you the then-current consumer education program materials developed by the Public Utilities Commission of Ohio.

Term. The initial term of the Contract will be 24 months (the "Term"), beginning at the next meter read date after the Utility processes your enrollment (the "Effective Date"). Your switch to Constellation as your alternative retail electric supplier may take up to 2 billing cycles to take effect.

Fixed Pricing. Your price for power and energy service will be 7.45c/kwh from April 2014 meter read cycles – April 2015 meter read cycles; 6.99c/kwh from April 2015 meter read cycles – April 2016 meter read cycles plus all applicable taxes during the Term. While we will supply your competitive retail electric service, your Utility will continue to provide distribution and related services necessary to deliver electricity to you.

Participation in PIPP and Credit Arrearage Programs. Participation in the Percentage of Income Payment Program ("PIPP") administered by the Ohio Department of Development or a credit arrearage program administered by your Utility may affect your eligibility to take service from a competitive retail electric service provider. You represent that you are not currently approved for or enrolled in PIPP or any such Utility program. If you become approved for either the PIPP or your Utility's arrearage crediting program while taking service under this Contract, you must advise us and your Utility in writing in order to be switched to the Utility's standard offer service after the next meter read date.

Other Pricing Terms. The fixed price charged for retail electric service under this Contract reflects competitive market conditions, was not set or approved by the Public Utilities Commission of Ohio and does not include any applicable taxes or Utility fees or charges. We will not charge you a fee for entering into this Contract, nor will we charge you a fee for terminating this Contract at the end of the then-applicable Contract term as provided in the "Term" and "Renewal" sections.

Rescission. Ohio law provides for rescission rights. The Utility will provide you an enrollment confirmation notice and such notice will inform you of your rescission rights. You have the right to rescind this Contract within seven (7) calendar days following the postmark date on the Utility's enrollment confirmation notice either by (i) calling the Utility

at the designated toll-free or local telephone number provided on such notice or (ii) providing written notice to the Utility to the address provided in the notice. Your written rescission notice will be effective as of the postmark date. If you make such a request to rescind our Contract within that timeframe, your enrollment with Constellation will be cancelled and you will not incur an early termination fee. Upon rescission in accordance with applicable law, this Contract is cancelled and neither party shall have any further obligation.

Price Comparison. Please note that the Utility's standard offer rates likely will change from time to time and therefore Constellation cannot guarantee savings compared to the Utility's standard offer rates during the term of this Contract or any renewals. If savings were promised to you, such savings were calculated against the Utility's standard offer Residential Service ('R-R' rate for CSPC; 'RS' rate for all others) as of the date of this offer. You acknowledge that we have disclosed to you the basis of this comparison prior to entering into this Contract and that any savings we show are not guaranteed for the entire term of this Contract or for any renewals.

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Renewal. THIS CONTRACT MAY BE RENEWED BY THE VILLAGE OF SUNBURY IN ACCORDANCE WITH ITS AGGREGATION PROGRAM UPON PROVIDING A RENEWAL NOTICE THAT INCLUDES THE REVISED TERMS AND CONDITIONS PRIOR TO THE END OF THE TERM IN ACCORDANCE WITH THE AGGREGATION PROGRAM AND YOUR DECISION NOT TO OPT-OUT OF SUCH RENEWAL. The contract renewal notice will set forth the proposed Fixed Price for the renewal term, the proposed length of the renewal term, the bill cycle in which service under the new term will begin and any other proposed changes to the terms and conditions of this Contract.

Initiation of Service. THE PURPOSE OF THIS DOCUMENT IS TO AUTHORIZE CONSTELLATION TO CHANGE YOUR COMPETITIVE RETAIL

ELECTRIC SERVICE SUPPLIER AND, BY ENTERING INTO THIS CONTRACT; YOU AUTHORIZE CONSTELLATION TO UNDERTAKE

WHATEVER STEPS MAY BE NECESSARY TO ACCOMPLISH YOUR SWITCH. Constellation will begin providing retail electric service to you on the next applicable meter read date after the Utility processes your enrollment and your service will continue throughout the term of this Contract. The Utility will notify you of the date on which your retail electric service from Constellation will begin. Constellation's retail electric service will be delivered to your residence using the Utility's electricity distribution wires. You represent and warrant that the retail electric supply being purchased under this Contract is to be used solely for residential purposes. Constellation's obligations under this Contract are conditioned on you providing complete and accurate information and on you remaining a Utility distribution customer eligible for consolidated billing throughout the term under residential electric rate class "RS".

Billing and Payment. Your Utility will provide a consolidated bill that will include both Utility charges and Constellation's charge for competitive retail electric service. Charges for your retail electric service will be due and payable when your Utility bill is due at the billing address provided in your Utility bill. You acknowledge that the Utility may provide us with your billing and payment information. You will be invoiced for Constellation's charges under this Contract at the applicable price set forth in the "Fixed Pricing" section above (or, during any renewal period, under any revised price, terms and conditions as may be established as described in the "Renewal" section above) multiplied by your electricity usage as measured by the Utility in kWh during the applicable billing period. You agree to accept the measurements as determined by the Utility for purposes of accounting for the amount of power and energy services provided by us under this Contract. If the Utility is unable to read your meter, the Utility will estimate your usage and your charges will be calculated accordingly and adjusted on a future bill. Supplying you under this Contract is conditioned on the Utility accepting our enrollment of your account for consolidated billing, you need to remedy that restriction with the Utility before we can serve you. Should the Utility cease providing consolidated billing for your account and/or commence billing us for any charges relating to you, we will bill you and you will pay us for all such charges. You will be billed additional charges, including taxes and charges to transmit and distribute the electricity to your home, from the Utility consistent with its filed tariffs. You are responsible for paying any new or increased taxes, fees or other charges are imposed.

Budget Billing. If you have chosen Budget Billing, the utility will continue to manage your Budget Billing and determine your monthly payment for electricity or if you wish to initiate Budget Billing or have any questions regarding your budget, please contact your utility for more information.

Constellation reserves the right to make adjustments at any time and you authorize Constellation to bill you directly if necessary to collect any amounts under this Contract.

Average Monthly Payment. We are offering Average Monthly Payment for our charges in addition to the Budget Billing offered by the Utility for Utility charges. You may elect Average Monthly Payment at any time by contacting our customer care department as long as you are not past due on your payments and are eligible for Utility consolidated billing. Average Monthly Payment allows you to pay your amounts due to us based on a daily average calculation of your prior months' charges by dividing your actual charges by the number of days in your invoice periods. More specifically, for each billing period we serve you we will determine a daily average charge by dividing our total actual charges for that period by the number of days in such billing cycle. When calculating your current bill we add up all daily average charges available for the last 24 billing cycles (including for the current month) and divide that amount by the number of billing months to determine the current daily average charge. We then multiply that current daily average charge by the number of days in the current billing cycle to determine your current bill amount. We will true up your account based on your actual charges upon termination of service or if you wish to discontinue Average Monthly Payment. We also reserve the right to true-up your charges if we materially over- or under-collected amounts payable to us. For more information or to sign-up on Average Monthly Payment, contact our customer care center at 1-877-997-9995.

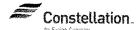
Late or Insufficient Payment. When the Utility issues you a consolidated bill, all invoiced balances under this Contract that are not paid in full by the due date will be subject to the Utility's late payment policies and procedures. If Constellation directly invoices you, you are required to pay our invoices by the due date set forth in the invoice, which will be 20 days from the date the invoice was mailed. We reserve the right to charge you interest for any past due invoice amount at 1.5% per month or the highest amount permissible under applicable law, whichever is less. In addition, you agree to pay us our costs incurred in collecting amounts owed us, including reasonable attorney's fees and returned check charges. If you make a payment for an amount less than the total amount due, we may accept such payment without prejudice to any other rights or remedies that we may have against you and we may apply it to your account(s) as a partial payment. In addition, if you fail to remit payment in a timely fashion, you authorize us to report the delinquency to one or more credit-

Credit. Constellation reserves the right to determine if your credit standing is satisfactory for originating or continuing retail electric service under this Contract. Consistent with applicable law, Constellation uses uniform income, deposit and credit requirements in determining whether to offer service to our customers. You hereby authorize Constellation to perform a credit check on you.

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Termination. Constellation may terminate this Contract upon at least 30 days prior written notice to you for any non-payment or any other breach of this Contract or the occurrence of a Force

Majeure event as described in this Contract. If you fail to cure within the 30-day notice period, we may terminate the Contract even if you subsequently cure the non-payment or breach after such period has expired. Constellation may also terminate this Contract upon 30 days' prior written notice to you due to a change in law prohibiting us from being able to continue to serve you. In addition, we reserve the right to reject your enrollment or terminate this Contract if:

- · you fail to meet or maintain satisfactory credit standing as determined by us;
- · you fail to meet minimum or maximum threshold consumption levels as determined by us;
- you move within or outside of the Utility's service territory or you fail to remain a Utility distribution customer throughout the term under the applicable residential electric rate class;
- · you fail to be eligible for Utility consolidated billing throughout the term;
- · you rescind your authorization for release of information provided in the "Information Release Authorization" section below, or
- · you provide any false, inaccurate or misleading information to Constellation or the Utility.

You may terminate this Contract without an early termination fee prior to the end of the applicable term for your convenience by giving us not less than 30 days prior written notice. You may terminate this Contract without an early termination fee if we are no longer able to serve you or if you move into a service area where we are able to serve you at a price different than the agreed-upon price in this Contract.

Upon any termination of this Contract, you will return to receiving standard offer service from the Utility unless you have selected another competitive retail electric service provider. The effective date of any termination will be the next applicable meter read date after expiration of the required notice period. Upon any termination, you will remain responsible for all obligations, including payment for electricity and related costs and charges incurred under this Contract prior to the effective date of termination including any applicable termination fee. The delivery of electricity to you cannot be terminated or interrupted by the Utility as a result of any dispute between you and Constellation but may be terminated by the Utility for nonpayment of Utility charges in accordance with the Utility's tariff. The Utility will continue to respond to any service calls and emergencies and switching to Constellation will not impact your electric service reliability. If the Utility purchases the right to receive your payments under this Contract, your payment obligations may become Utility charges for purposes of termination of service.

Assignment, Address Change. Constellation may assign, subcontract or delegate all or any part of our rights and/or obligations under this Contract, including your payment obligations under this Contract, without your consent after providing any notice required by applicable law. You may not assign any of your rights or obligations under this Contract without our prior written consent. If you move, you may terminate our Contract without incurring an early termination fee, but you will be responsible for paying for all electricity supplied to your old address until the date this Contract is terminated in accordance with its terms. If you move within the Utility's service territory, you must contact the Utility (please reference "Contact Information" for toll free numbers) in order to obtain new account and meter numbers for your new residence. Please contact us if you would like us to serve you again at your new location.

Change in Pricing and Other Terms. In addition to Constellation's right to revise the price, terms and conditions of this Contract as provided in the "Renewal" section above, this Contract may be revised at any time by Constellation upon the occurrence of any event beyond its reasonable control that materially increases the obligations of Constellation or the cost of performing such obligations under this Contract. If we request such a change, Constellation will provide you notice of the changed prices and/or terms and conditions and you will have an opportunity to terminate this Contract without any further obligation by notifying us in writing within 30 days after the date of the notice of the new prices and/or terms and conditions, in which case your retail electric service will terminate effective as of the next meter read date after expiration of the required notice period. You will remain responsible for any unpaid balance as of the termination date but we will not assess a termination payment.

Information Release Authorization. Throughout the term, you authorize Constellation to obtain information from the Utility that includes, but is not limited to, account name, account number, billing address, service address, telephone number, standard offer service type, historical and future electricity usage, rate classification, meter readings, characteristics of electricity service and, when charges hereunder are included on your Utility bill, billing and payment information from the Utility. You authorize Constellation to release such information to third parties that need to know such information in connection with your retail electric service and to Constellation's affiliates and subcontractors. These authorizations will remain in effect as long as this Contract is in effect. You may rescind these authorizations at any time by either calling or providing written notice to us at the number and/or address provided below under the Contact Information section of the Contract. We reserve the right to reject your enrollment or terminate this Contract in the event these authorizations are rescinded. We are prohibited from disclosing your social security number and/or account number(s) without your affirmative written consent except for our collections and credit reporting, participation in programs funded by the universal service fund. pursuant to Ohio Revised Code Section 4928.52, or assigning your contract to another competitive retail electric service provider.

Dispute Resolution. If you have a billing or other dispute involving our service, please contact us at 1-877-997-9995. You must still pay your bill in full, but may deduct the specific amount in dispute while the charges remain in dispute. If your complaint is not resolved after you have called us or the Utility, or for general Utility information, you may contact the Public Utilities Commission of Ohio for assistance at 1-800-686-7826 (toll free) or for hearing or speech impaired customers via the Ohio relay service at 7-1-1 from 8:00 a.m. to 5:00 p.m. weekdays, or at www.puco.ohio.gov. You may also contact the Ohio Consumers' Counsel for assistance with complaints and utility issues at 1-877-742-5622 (toll free) from 8:00 a.m. to 5:00 p.m. weekdays, or at www.pickocc.org.

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Limitation of Liability; Jury Trial Waiver. You agree that neither Constellation nor any of its affiliates or subcontractors will be liable for any damages or claims for matters within the control of the Utility or the ISO-controlled electricity grid, which include maintenance of electric lines and systems, service interruptions, loss or termination of service, deterioration of electric services, meter readings or injury to persons or damage to property caused by the delivery or supply of electricity. Neither Constellation nor any of its affiliates or subcontractors will be responsible for any failure to commence or terminate retail electric service on the date specified herein due to any failure or delay in enrolling you with the Utility. Constellation's liability will be limited to direct actual damages only, which will not exceed the amount of your single largest monthly invoice during the preceding 12 months. In no event will Constellation or any of its affiliates or subcontractors be liable for any punitive, incidental, consequential, exemplary, indirect, third-party claims or other damages whether based on contract, warranty, tort, negligence, strict liability or otherwise, or for lost profits arising from any breach or nonperformance of this Contract.

BOTH YOU AND CONSTELLATION AGREE IRREVOCABLY AND UNCONDITIONALLY TO WAIVE ANY RIGHT TO A TRIAL BY JURY WITH RESPECT TO ANY ACTION, SUIT OR PROCEEDING DIRECTLY OR INDIRECTLY ARISING OUT OF OR RELATING TO THIS CONTRACT OR THE TRANSACTIONS CONTEMPLATED BY THIS CONTRACT.

Force Majeure. We do not transmit or deliver electricity and causes and events out of our reasonable control ("Force Majeure Events") may result in interruptions in service. We will not be liable for any such interruptions or any other failure to perform under this Contract caused by a Force Majeure Event. We are not and will not be liable for damages caused by Force Majeure Events, including but not limited to acts of God; acts of any governmental authority; accidents; strikes; labor disputes; required maintenance work; inability to access the Utility's system; non-performance by the Utility, including, but not limited to, a facility outage on its distribution lines; changes in laws, rules or regulations of any governmental authority; or any cause beyond our reasonable control.

Miscellaneous. Except with respect to Constellation's affiliates and subcontractors under the "Limitation of Liability; Jury Trial Waiver" section, there are no third party beneficiaries of this Contract. Any payments due under this Contract, all provisions relating to the payment and collection thereof, and the provisions contained in the "Limitation of Liability; Jury Trial Waiver" section above, will survive expiration or termination for any reason. This Contract constitutes the entire agreement between you and Constellation. No statement, promise or inducement made by either party not contained in this Contract will be valid or binding. Any reference to days or periods will mean calendar days. You have the right to request from Constellation, twice within a twelve-month period, up to twenty-four months of your payment history without charge.

Contact Information. CONSTELLATION NEWENERGY, INC.'S OHIO COMPETITIVE RETAIL ELECTRIC SERVICE PROVIDER CERTIFICATE NUMBER IS 00-0003E(6). Should you have any questions about your Constellation NewEnergy contract or Constellation NewEnergy charges on your invoice, please contact us between the hours of 7:00 a.m. and 7:00 p.m. central time on weekdays, except holidays. Our toll-free number is 1-877-997-9995. We can be reached by email at home@constellation.com or by mail at Constellation NewEnergy, Inc., c/o Residential Care, 1221 Lamar Street, Suite 750, Houston, TX 77010. You may also visit us at our website: www.home.constellation.com. Please contact us at this address to provide all notices under this Contract and contact us at this address or phone number to resolve any disputes regarding this Contract.

For emergencies relating to your service, such as a power outage, please call your local Utility at:

1	The Illuminating Company	•	1	Duke		Ohio Power
Emergencies	1.888.544.4877	1.888.544.4877	1.888.544.4877	1.800.543.5599	1.800.672.2231	1.800.672.2231
Customer Service:	1.800.589.3101	1.800.633.4766	1.800.447.3333	1.800.544.6900	1.800.672.2231	1.800.672.2231

The Public Utilities Commission of Ohio toll free number is 1-800-686-7826 and their website address is www.puco.ohio.gov.

Payments to Certain Third- Parties:

You acknowledge and understand that:

- o Trebel, LLC ("Broker") is acting on your behalf as your representative and is not a representative or agent of ours;
- o We are remitting a fee of \$0.002 per kWh to Broker on your behalf in connection with its efforts to facilitate our entering into this Agreement; and
- o Your price reflects the fee being disbursed to Broker

You should direct any questions regarding such fee to your Broker.



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This foregoing document was electronically filed with the Public Utilities

**Commission of Ohio Docketing Information System on** 

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in

Case No(s). 12-3228-EL-GAG

Summary: Application Renewal electronically filed by Scott Belcastro on behalf of Village of Sunbury