

FILE

**Ohio**

**Public Utilities  
Commission**

John Kasich, Governor  
Asim Z. Haque, Chairman

Commissioners  
Lynn Slaby  
M. Beth Trombold  
Thomas W. Johnson  
M. Howard Petricoff

**PUBLIC UTILITIES COMMISSION OF OHIO**

*Certified as a Competitive Retail Electric Service Provider*

**RENEWAL**

Certificate Number:

**14-892E (2)**

Issued Pursuant to Case Number(s):

**14-1789-EL-AGG**

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Peak 5 Advisors, LLC** whose office or principal place of business is located at **4135 Chelsea Square Ave, #204 Columbus, OH 43230** to provide **power broker** services within the State of Ohio effective **November 17, 2016**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

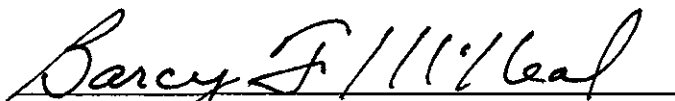
Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **November 28, 2016**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Barcy F. McNeal, Secretary  
Tanowa M. Troupe, Acting Secretary  
Felecia D. Burdett, Acting Secretary

**Certificate Expires: November 17, 2018**

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business

**NOV 28 2016**

## **CRES AUTOMATIC CASE ACTION FORM**

Date: **11-23-16**

Case Number: **14-1789-EL-AGG**

Company Name and Company Name d/b/a: **Peak 5 Advisors, LLC**

Company Address: **4135 Chelsea Square Ave #204**

Company City/State/Zip: **Columbus OH 43230**

Regulatory Contact: **Aaron Johann**

Regulatory Email: **a.johann@peak5advisors.com**

Regulatory Phone: **614-452-1602**

☒ Renewal

### **Action Needed:**

☐ Issue Certificate Number to:

Effective Date of Certificate:

Certificate Expires:

☒ Renew Certificate Number from: **14-892E (1)** to: **14-892E (2)**

Effective Date of Certificate: **11-17-16**

Certificate Expires: **11-17-18**

☒ Certified To Provide the Following Services:

☐ Retail Generation

☐ Aggregation

☐ Power Marketer

☒ Power Broker

☐ Governmental Aggregation

☐ Revise Certificate Number: to (check all applicable):

☐ Reflect name change from: to

☐ Reflect address change from: to

☐ Correct Administrative Error:

☐ Reflect Change of Ownership to:

☐ Cancel Certificate Number:

☐ Protect Un-redacted copies until:

☐ Close Case File, Case Withdrawn at Applicant's Request

☐ Close Case File

**CASE NUMBER:** 14-1789-EL-AGG  
**CASE DESCRIPTION:** PEAK 5 ADVISORS, LLC  
**DATE OF SERVICE:** 11/28/2016  
**DOCUMENT SIGNED ON:** 11/28/16

Sign Here: \_\_\_\_\_

**APPLICANT****PARTY OF RECORD****ATTORNEY**

PEAK 5 ADVISORS LLC  
AARON JOHANN  
8838 CHATEAU DRIVE  
PICKERINGTON, OH 43147  
Phone: 614-452-1602  
Email: PEAK5ADVISORS.COM

NONE

**ATTORNEY****PARTY OF RECORD****ATTORNEY**

none

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