

FILE

The Public Utilities Commission of Ohio

RETAIL NATURAL GAS SUPPLIER/GOVERNMENTAL AGGREGATOR FILING COVER FORM POST INITIAL CERTIFICATION

2016 NOV -7 PM 4:42
PUCO

In the Matter of the Application of)
Stand Energy Corporation for Certification as a)
Competitive Retail Natural Gas Supplier)
to:)

Case No. 02 - 2549 -GA- CRS

Name of Applicant: Stand Energy Corporation

Applicant's Address: 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629

Contact Person or Counsel: John Dosker

Telephone Number: (513) 621-1113

Mark the reason for filing this form, application, and supporting information. Check only one of the six main categories. Use separate copies of this form for each type of application.

1. ☒ Certification Renewal Application (to be filed 30 to 120 days prior to expiration of current certificate) per Rules 4901:1-27-09 and 4901:1-27-04 of the Ohio Administrative Code.

2. ☐ Notification of Material Change in Business (to be filed in the initial or most recent certification docket and within 30 days of the material change occurring) per Rule 4901:1-27-10 of the Ohio Administrative Code. Please check the following material change(s) that is(are) involved with this filing:

- ☐ Change in ownership of five percent or more
- ☐ Affiliation with public utility or change in affiliation with a public utility in this state
- ☐ Retirement or other long-term changes to supply sources
- ☐ Revocation, restriction, or termination of interconnection or service agreement with pipeline company or natural gas company
- ☐ Fall of bond rating below BBB-
- ☐ Fall of bond rating below Baa3
- ☐ Filed or intend to file for some form of bankruptcy
- ☐ Receipt of judgment, finding, or ruling that could affect fitness or ability to provide service
- ☐ Other (please describe):

3. ☐ Certificate Transfer Application per Rule 4901:1-27-11 of the Ohio Administrative Code.

4. ☐ Abandonment Application (to be filed at least 90 days prior the effective date of the abandonment) per Rule 4901:1-27-11 of the Ohio Administrative Code. Please indicate which of the following two situations applies to the proposed abandonment:

- ☐ Seek to abandon operations with no existing customers
- ☐ Seek to abandon operations with existing customers

5. ☐ Name/Address/Telephone Change ☐ Regulatory Contact Change (See Item 3 above if ownership has changed.)

6. ☐ Other application (please describe):

this is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
AN Date Processed 11/7/16



Public Utilities Commission

PUCO USE ONLY – Version 1.07		
Date Received	Renewal Certification Number	ORIGINAL CRS Case Number
		02 - 2549- GA-CRS

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☐ Retail Natural Gas Aggregator ☐ Retail Natural Gas Broker ☒ Retail Natural Gas Marketer

A-2 Applicant information:

Legal Name Stand Energy Corporation
Address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629

Telephone No. 513-621-1113/800-598-2046 Web site Address www.standenergy.com
Current PUCO Certificate No. 02-043G(7) Effective Dates 12-12-2014 through 12-12-2016

A-3 Applicant information under which applicant will do business in Ohio:

Name Stand Energy Corporation
Address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629

Web site Address www.standenergy.com Telephone No. 513-621-1113 or 800-598-2046

A-4 List all names under which the applicant does business in North America:

Stand Energy Corporation

A-5 Contact person for regulatory or emergency matters:

Name John Dosker Title General Counsel

Business Address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629

Telephone No. 513-621-1113 Fax No. 513-621-3773 Email Address jdosker@standenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name John Dosker Title General Counsel
Business address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629
Telephone No. 513-621-1113 Fax No. 513-621-3773 Email Address jdosker@standenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629
Toll-Free Telephone No. 800-598-2046 Fax No. 513-621-3773 Email Address jdosker@standenergy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Judith A. Phillips Title President & CEO
Business address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629
Telephone No. 800-598-2046 Fax No. 513-621-3773 Email Address juphillips@standenergy.com

A-9 Applicant's federal employer identification number 61-1065157

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ **Columbia Gas of Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	Apr-1997	End Date	N/A
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	Apr-1997	End Date	N/A
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	Oct-1986	End Date	N/A
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	Oct-1986	End Date	N/A

☒ **Dominion East Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	Dec-1998	End Date	Jun-2001
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	Dec-1998	End Date	Jun-2001
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	Aug-1995	End Date	N/A
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	Aug-1995	End Date	N/A

☒ **Duke Energy Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	Dec-1997	End Date	N/A
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	Dec-1997	End Date	N/A
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	Mar-1985	End Date	N/A
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	Mar-1985	End Date	N/A

☒ **Vectren Energy Delivery of Ohio**

<input type="checkbox"/> Residential	Beginning Date of Service	N/A	End Date	N/A
<input type="checkbox"/> Small Commercial	Beginning Date of Service	N/A	End Date	N/A
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	Apr-1998	End Date	
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	Apr-1998	End Date	

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 Exhibit A-17 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-18 Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title



President & CEO

Sworn and subscribed before me this

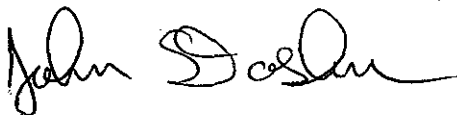
28

day of October

Month

2016

Year



John Dosker, General Counsel

Signature of official administering oath

Print Name and Title

JOHN M. DOSKER
Notary Public, State of Ohio
My Commission Expires 03-25-2017

My commission expires on March 25, 2017



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Stand Energy Corporation)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. 02 - 2549 -GA-CRS

County of Hamilton

State of Ohio

Judith A. Phillips

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Judith A. Phillips

President & CEO

Sworn and subscribed before me this

28

day of

October

Month

2016

Year

John Dosker

Signature of Official Administering Oath

John Dosker, General Counsel

Print Name and Title

JOHN M. DOSKER
Notary Public, State of Ohio
My Commission Expires 03-25-2017

My commission expires on March 25, 2017

(CRNGS Supplier Renewal)

Page 7 of 7

SECTION A – APPLICANT INFORMATION AND SERVICES

A-14 Exhibit A-14 “Principal Officers, Directors & Partners.” provide the names, titles, addresses and telephone numbers of the applicant’s principal officers, directors, partners, or other similar officials.

Answer:

Judith A. Phillips, President & Chief Executive Officer
1077 Celestial St., Suite 110
Cincinnati, OH 45202-1629
(513) 621-1113

Matth. Toeppen, Chairman of the Board of Directors
1077 Celestial St., Suite 110
Cincinnati, OH 45202-1629
(513) 621-1113

Larry Freeman, Executive Vice President
1077 Celestial St., Suite 110
Cincinnati, OH 45202-1629
(513) 621-1113

A-15 Exhibit A-15 “Corporate Structure,” provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.

Answer:

Stand Energy Corporation is a privately held Kentucky corporation with its corporate offices located in Cincinnati, Ohio. Stand Energy's principal place of business for women business enterprise program purposes is Covington, Kentucky. Stand Energy Corporation has no affiliate or subsidiary companies that supply retail or wholesale natural gas. No other corporate entity owns or is owned, in any way or amount, by Stand Energy Corporation.

A-16 Exhibit A-16 "Company History," provide a concise description of the applicant's company history and principal business interests.

Answer:

Stand Energy Corporation ("SEC") is a privately held Kentucky corporation formed in 1984. One of the original three principals continues to work in the day-to-day operation of the company. Prior to forming SEC, Judith A. Phillips (President & CEO), worked for twenty years at Columbia Hydrocarbons. A second founder, Matth. Toeppen, continues as Chairman of the Board.

SEC initially concentrated on large multi-location industrial customers. Over the years, however, the focus of operations has changed to small to medium sized commercial, industrial, educational and governmental customers. More recently, SEC has taken part in various choice programs serving small commercial end users.

In addition to natural gas marketing, SEC has constructed several LDC by-pass pipelines and builds propane alternate fuel installations for customers interested in receiving interruptible gas service.

A-17 Exhibit A-17 "Articles of Incorporation and Bylaws." provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, ***only if the contents of the originally filed documents changed since the initial application.***

Answer:

There have been no changes to Stand Energy Corporation's Articles of Incorporation or Bylaws since the original CRNGS application was filed with the Public Utilities Commission of Ohio.

A-18 Exhibit A-18 "Secretary of State," provide evidence that the applicant is still currently registered with the Ohio Secretary of State.

Answer:

See attached.

Business Filing Portal

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Business Name
Business Name - Exact
Detailed Business Search
Number Search
Agent/Contact Name
Agent/Contact Name - Exact
Prior Business Name

Corporation Details

Corporation Details		
Entity Number	685018	
Business Name	STAND ENERGY CORPORATION	
Filing Type	FOREIGN CORPORATION	
Status	Active	
Original Filing Date	09/05/1986	
Expiry Date		
Location:	County:	State: KENTUCKY
Agent / Registrant Information		
JUDY PHILLIPS 1077 CELESTIAL DRIVE SUITE 110 CINCINNATI, OH 45202 Effective Date: 10/17/2001 Contact Status: Active		
Filings		
Filing Type	Date of Filing	Document Number/Image
FOREIGN LICENSE/FOR-PROFIT	09/05/1986	F997_1268
FORM 7 FEE ASSESSED	03/30/1990	G836_1265
FORM 7 NO FEE ASSESSED	03/30/1990	G836_1265
FORM 7 NO FEE ASSESSED	03/30/1990	G836_1265
FORM 7 NO FEE ASSESSED	12/31/1989	F924_2524
FORM 7 NO FEE ASSESSED	12/31/1989	F924_2524
FORM 7 NO FEE ASSESSED	12/31/1990	G999_1311
FORM 7 NO FEE ASSESSED	12/31/1991	H005_1744
FORM 7 NO FEE ASSESSED	09/28/1993	H699_1093
FORM 7 FEE ASSESSED	01/17/1995	5031_0546
FORM 7 FEE ASSESSED	02/07/1996	5433_1007
FORM 7 NO FEE ASSESSED	10/02/1996	6186_0113
FORM 7 FEE ASSESSED	12/10/1997	199927801607
DOMESTIC/AGENT RESIGNATION	10/17/2001	200129100094
DOMESTIC AGENT SUBSEQUENT APPOINTMENT	10/17/2001	200129100096
SERVICE MARK/ORIGINAL FILING	11/12/2010	201031601097

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SECTION B – APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

B-1 Exhibit B-1 “Jurisdictions of Operations.” provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

Answer:

Currently SEC is providing retail natural gas marketing and brokering services in the following jurisdictions: Illinois, Indiana, Kentucky, Tennessee, Maryland, Michigan, New York, Ohio, Pennsylvania, South Carolina, North Carolina, Virginia, West Virginia and Washington D.C. SEC is licensed to provide retail electric services in Ohio and Pennsylvania.

B-2. Exhibit B-2 “Experience & Plans.” provide a current description of the applicant’s experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

Answer:

SEC, with 30 years of experience, has been a hands-on participant in the evolution of natural gas contracting. We have historically used industry standard language in consumer contracts. Typically, SEC has performed the vast majority of billing in-house. SEC also has elected on occasion to utilize LDC billing services. Stand Energy-developed computer software insures timeliness and accuracy of invoicing.

Customers may contract with SEC only via written contract at this time. One employee has been assigned the task of responding to customer complaints. Everyone in the Company is tasked with responding to customer inquiries.

B-3 Exhibit B-3 "Summary of Experience." provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

Answer:

Stand Energy has 30 years of experience serving large industrial, commercial and Commercial Choice customers in **14 states behind 54 local distribution** companies and the associated interstate pipeline(s) that serve each of these utilities:

Illinois

Ameren
Illinois Gas Company
NICOR, Inc.
Peoples Gas

Indiana

Batesville Gas & Water
Citizens Energy Group
NIPSCO
Sycamore Gas Company
Vectren Energy Delivery of Indiana

Kentucky

ATMOS Kentucky
Columbia Gas of Kentucky
Delta Natural Gas Co., Inc.
Duke Energy of Kentucky
Louisville Gas & Electric
Richmond Utilities
Western Kentucky Gas

Maryland

Baltimore Gas & Electric
Washington Gas & Light - Maryland

Michigan

Consumers Energy
DTE Energy-MichCon
Michigan Gas Utilities
SEMCO

New York

Corning Natural Gas Corporation
National Fuel Gas Distribution Co.
National Grid
New York State Electric & Gas
Rochester Gas & Electric
Orange and Rockland Utilities, Inc.

North Carolina

Piedmont Natural Gas Company
PSNC Energy

Ohio

Columbia Gas of Ohio
Dominion East Ohio
Duke Energy of Ohio
Northeast Ohio Natural Gas Corp.
Ohio Gas Company
Pike Natural Gas Company
Union Rural Electric
Vectren Energy Delivery of Ohio
Waterville Gas Company

Pennsylvania

Columbia Gas of Pennsylvania
Peoples Natural Gas Company
Equitable Gas Company
National Fuel Gas Distribution Corp.

South Carolina

Piedmont Natural Gas Company

Tennessee

ATMOS Tennessee
Piedmont Natural Gas Company

Virginia

ATMOS Virginia
Columbia Gas of Virginia
Virginia Natural Gas
City of Richmond
Washington Gas & Light - Virginia
Washington Gas & Light - Shenandoah

West Virginia

Blacksville Oil & Gas
Mountaineer Gas Company

B-4 **B-4 “Disclosure of Liabilities and Investigations.”** provide a description of all existing pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant’s financial or operational status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

Answer:

There are no existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact SEC’s financial or operational status or ability to provide the services it is seeking to be certified to provide.

11

B-5 **B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒

No

☐

Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

B-6 B-6 "Disclosure of Certification Denial, Curtailment, Suspension or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒

No

☐

Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C – APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

C-1 **Exhibit C-1 “Annual Reports.”** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.

Answer:

Stand Energy Corporation is a closely held corporation and as such its stock is not publicly traded. An annual report to the shareholders has never been prepared.

C-2 Exhibit C-2 “SEC Filings,” provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.

Answer:

Stand Energy Corporation is a privately-held company and therefore is not required to file with the U.S. Securities Exchange Commission because its stock is not publicly traded.

11

C-3 Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement.) If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.

Answer: FILED UNDER SEAL

All documents contained under this Exhibit C-3 are filed under seal pursuant to Ohio Administrative Code 4901:1-27-08. The Exhibit to this section is Stand Energy Corporation's Audited Financials for the years ending December 31, 2014 and December 31, 2015 and is filed under seal as Stand Energy Corporation Application pages 23-35.

C-4 Exhibit C-4 “Financial Arrangements.” provide copies of the applicant’s current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)

Answer: FILED UNDER SEAL

All documents contained under this Exhibit C-4 are filed under seal pursuant to Ohio Administrative Code 4901:1-27-08. The Exhibit to this section is filed under seal as Stand Energy Corporation Application pages 37-45.

C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

Answer: FILED UNDER SEAL

All documents under this Exhibit C-5 are filed under seal pursuant to Ohio Administrative Code 4901:1-27-08. The Exhibit to this section is filed under seal as Stand Energy Corporation Application pages **47-48.**

11

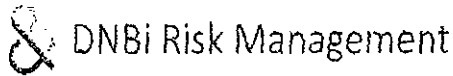
C-6 Exhibit C-6 "Credit Rating." provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

Answer: Stand Energy is a privately held company and does not have an independent credit rating from any of the aforementioned organizations.

C-7 **C-7 "Credit Report."** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization.

Answer: Stand Energy Corporation's credit report from Dun & Bradstreet is attached.

EXHIBIT C-7 "CREDIT REPORT"



Printed By: Jeff Crass
Date Printed: August 28, 2016

Live Report : STAND ENERGY CORPORATION

D-U-N-S® Number: 12-129-3039

Endorsement/Billing Reference: jcrass@standenergy.com

D&B Address

Address 1077 Celestial St Ste 110
Cincinnati, OH, US -
45202

Location Type Single Location

Web www.stand-energy.com

Phone 513-621-1113

Fax 513-621-3773

Added to Portfolio: 03/06/2009

Last View Date: 07/18/2016

Endorsement : jcrass@standenergy.co
m

Company Summary

Currency: Shown in USD unless otherwise indicated

Score Bar

PAYDEX®



80

Paying on time.

Commercial Credit Score
Percentile



99

Low Risk of severe payment delinquency.

Financial Stress Score National
Percentile



69

Low to Moderate Risk of severe financial
stress.

D&B Viability Rating



View More Details

Bankruptcy Found

No

D&B Rating

3A2

3A indicates 1 to 10 million, Credit appraisal of
2 is good

D&B Viability Rating



Viability Score: 1

Portfolio Comparison: 4

Data Depth Indicator: A

Company Profile: A

Financial Data	Trade Payments	Company Size	Years in Business
Available	Available (3+Trade)	Large	Established

Public Filings

The following data includes both open and closed filings
found in D&B's database on this company.

Record Type	Number of Records	Most Recent Filing Date
Bankruptcies	0	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	9	07/14/16

The public record items contained herein may have been
paid, terminated, vacated or released prior to today's date.

Detailed Trade Risk Insight™

Days Beyond Terms Past 3 Months

Stand Energy Corporation - Case No. 02-2549-GA-CRS

2016 CRNGS Supplier License 02043G(7) Renewal

Page No. 5Days

D&B Company Overview

EXHIBIT C-7 "CREDIT REPORT"

This is a single location

Chief Executive MATTH TOEBBEN,
CHM

Year Started 1984

Employees 34

Financing SECURED

SIC 4924

Line of business Natural gas
distribution

NAICS 221210

History Status CLEAR

Financial Condition GOOD

Dollar-weighted average of 5 payment
experiences reported from 5 Companies


Recent Derogatory Events

	Jun-16	Jul-16	Aug-16
Placed for Collection	-	-	-
Bad Debt Written Off	-	-	-

FirstRain Company News

1! This Company is not currently tracked for Company
News

Powered by FirstRain

Predictive ScoresCurrency: Shown in USD unless otherwise indicated **D&B Viability Rating Summary**

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will go out of business, become dormant/inactive, or file for bankruptcy/insolvency within the next 12 months. The D&B Viability Rating is made up of 4 components:



Viability Score

Lowest Risk:1

Highest Risk:9

Compared to All US Businesses within the D&B Database:

- Level of Risk: Low Risk
- Businesses ranked 1 have a probability of becoming no longer viable: 0.2 %
- Percentage of businesses ranked 1: 0.3 %
- Across all US businesses, the average probability of becoming no longer viable: 14 %

EXHIBIT C-7 "CREDIT REPORT"

4

Portfolio Comparison

Lowest Risk:1

Highest Risk:9

Compared to All US Businesses within the same MODEL SEGMENT:

- Model Segment : Available Financial Data
- Level of Risk: Low Risk
- Businesses ranked 4 within this model segment have a probability of becoming no longer viable: 0.3 %
- Percentage of businesses ranked 4 with this model segment: 13 %
- Within this model segment, the average probability of becoming no longer viable: 0.6 %

A

Data Depth Indicator

Predictive Data:A

Descriptive Data:G

Data Depth Indicator:

- ✓ Rich Firmographics
- ✓ Extensive Commercial Trading Activity
- ✓ Comprehensive Financial Attributes

A

Company Profile

Financial Data

Trade
Payments

Company Size

Years in
Business

Available

Available (3+
Trade)

Large

Established

Company Profile Details:

- Financial Data: Available
- Trade Payments: Available (3+ Trade)
- Company Size: Large (Employees: 50+ or Sales: \$500K+)
- Years In Business: Established (5+)

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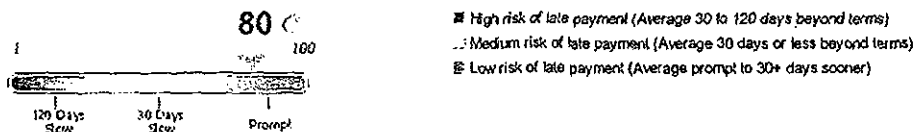
© 2005-2016 Dun & Bradstreet, Inc.

Current PAYDEX is 80 Equal to generally within terms (Pays more promptly than the average for its industry of 3 days beyond terms)
 Industry Median is 78 Equal to 3 days beyond terms
 Payment Trend currently is ~~40%~~ Unchanged, compared to payments three months ago

Indications of slowness can be the result of dispute over merchandise, skipped invoices etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed

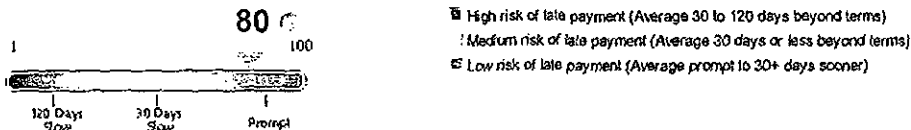
Total payment Experiences in D&Bs File (HQ)	16
Payments Within Terms (not weighted)	100 %
Trade Experiences with Slow or Negative Payments(%)	0.00%
Total Placed For Collection	0
High Credit Average	4,454
Largest High Credit	20,000
Highest Now Owning	5,000
Highest Past Due	0

D&B PAYDEX



When weighted by amount, payments to suppliers average generally within terms

3-Month D&B PAYDEX



Based on payments collected over last 3 months.

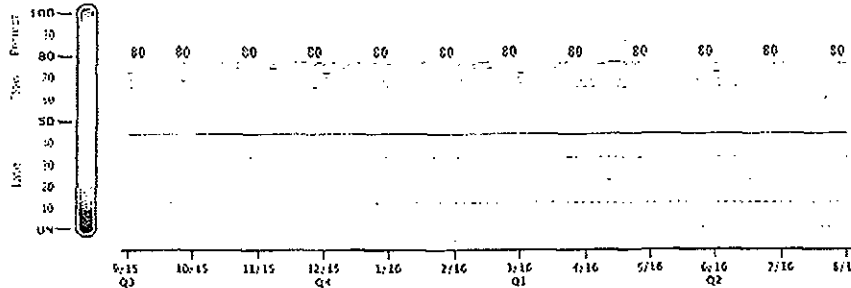
When weighted by amount, payments to suppliers average within terms

[Back To Top](#)

D&B PAYDEX® Comparison**Current Year**

PAYDEX® of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Natural gas distribution, based on SIC code 4924.

Shows the trend in D&B PAYDEX scoring over the past 12 months.

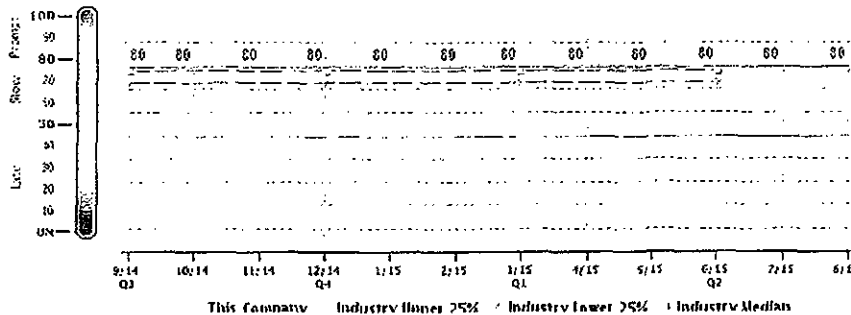


	9/15	10/15	11/15	12/15	1/16	2/16	3/16	4/16	5/16	6/16	7/16	8/16
This Business	80	80	80	80	80	80	80	80	80	80	80	80
Industry Quartiles												
Upper	80			80			80			80		
Median	78			78			78			78		
Lower	73			73			73			73		

Current PAYDEX for this Business is 80, or equal to generally within terms
 The 12-month high is 80, or equal to GENERALLY WITHIN terms
 The 12-month low is 80, or equal to GENERALLY WITHIN terms

Previous Year

Shows PAYDEX of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Natural gas distribution, based on SIC code 4924.



	09/14 Q3'14	12/14 Q4'14	03/15 Q1'15	06/15 Q2'15
This Business	80	80	80	80
Industry Quartiles				
Upper	80	80	80	80
Median	78	78	78	78
Lower	73	73	73	73

Based on payments collected over the last 4 quarters.

Current PAYDEX for this Business is 80, or equal to generally within terms
 The present industry median Score is 78, or equal to 3 days beyond terms
 Industry upper quartile represents the performance of the payers in the 75th percentile
 Industry lower quartile represents the performance of the payers in the 25th percentile

[Back To Top](#)

Payment Habits

For all payment experiences within a given amount of credit extended, shows the percent that this Business paid within terms. Provides number of experiences to calculate the percentage, and the total credit value of the credit extended

\$ Credit Extended

Stafford Energy Corporation - Case No. 02-2548-CA-CRS
 2016 CRNGS Supplier License 02-043G(7) Renewal
 Page No. 55

EXHIBIT C-7 "CREDIT REPORT"

Over 100,000	0	0	0%
50,000-100,000	0	0	0%
15,000-49,999	1	20,000	100%
5,000-14,999	1	5,000	100%
1,000-4,999	4	5,500	100%
Under 1,000	1	750	100%

Based on payments collected over last 24 months.

All Payment experiences reflect how bills are paid in relation to the terms granted. In some instances, payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

[Back To Top](#)

Payment Summary

- There are 16 payment experience(s) in D&B's file for the most recent 24 months, with 8 experience(s) reported during the last three month period.
- The highest Now Owes on file is 5,000. The highest Past Due on file is 0

Below is an overview of the company's currency-weighted payments, segmented by its suppliers primary industries:

	Total Rev'd (#)	Total Amts	Largest High Credit	Within Terms (%)	Days Slow <31 31-60 61-90 90+ (%) (%) (%) (%)
Top Industries					
Nonclassified	2	25,000	20,000	100	0 0 0 0
Public finance	1	2,500	2,500	100	0 0 0 0
Newspaper-print/publ	1	1,000	1,000	100	0 0 0 0
Ret stationery	1	1,000	1,000	100	0 0 0 0
Radiotelephone commun	1	1,000	1,000	100	0 0 0 0
Telephone communics	1	750	750	100	0 0 0 0
Electric services	1	0	0	0	0 0 0 0
Other payment categories					
Cash experiences	7	1,150	750		
Payment record unknown	1	50	50		
Unfavorable comments	0	0	0		
Placed for collections	0	N/A	0		
Total in D&B's file	16	32,450	20,000		

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Indications of slowness can be result of dispute over merchandise, skipped invoices etc.

[Back To Top](#)

Detailed payment history for this company

Date Reported (mm/yy)	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last Sale Within (months)
07/16	Ppt	20,000	0	0		6-12 mos
	Ppt	5,000	5,000	0		1 mo
	Ppt	1,000	250	0	N60	1 mo
	Ppt	750	0	0		2-3 mos
	Ppt	0	0	0	N30	1 mo
	(006)	100			Cash account	1 mo
	(007)	100			Cash account	1 mo
08/16	Ppt	1,000	750	0		1 mo
05/16	(009)	750			Cash account	1 mo
11/16	(010)	50				1 mo

Stand Energy Corporation - Case No. 02-2549-GA-CRS
2016 CRNGS Supplier License 02-043G(7) Renewal
Page No. 56

	(011)	50		Cash account	1 mo
	(012)	50		Cash account	1 mo
03/15	Ppt	2,500			1 mo
04/15	(014) Satisfactory	1,000	0	0	6-12 mos
08/14	(015)	50		Cash account	1 mo
07/14	(016)	50		Cash account	1 mo

Payments Detail Key: 30 or more days beyond terms

Payment experiences reflect how bills are paid in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices, etc. Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

[Back To Top](#)

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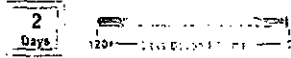
Detailed Trade Risk Insight™

To learn more, Click here

Detailed Trade Risk Insight provides detailed updates on over 1.5 billion commercial trade experiences collected from more than 260 million unique supplier/purchaser relationships. To learn more Click here

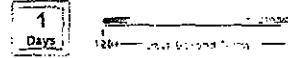
Days Beyond Terms - Past 3 & 12 Months

3 months from Jun 15 to Aug 15



Dollar-weighted average of 5 payment experiences reported from 5 companies

12 months from Sep 15 to Aug 16

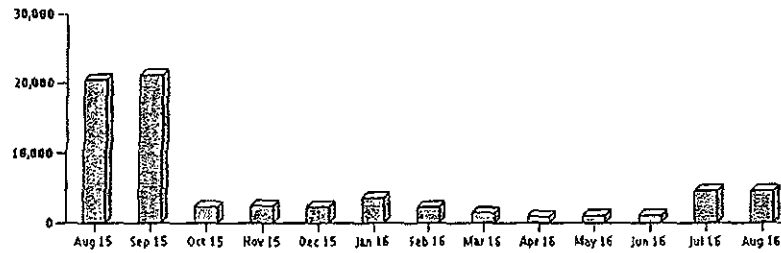


Dollar-weighted average of 6 payment experiences reported from 6 companies

Derogatory Events Last 13 Months from Aug 15 to Aug 16

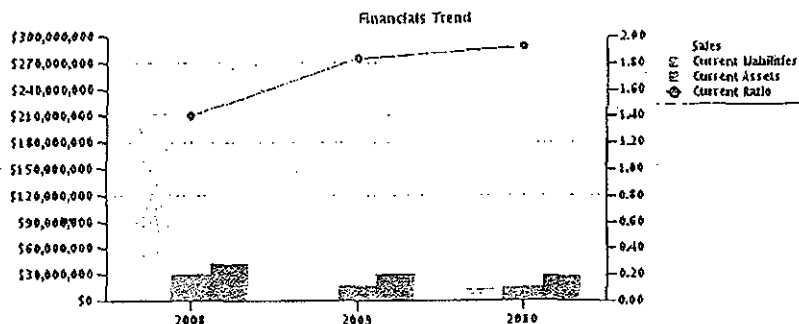
No Derogatory Trade Event has been reported on this company for the past 13 Months

Total Amount Current and Past Due - 13 month trend from Aug 15 to Aug 16



Hide Table

Status	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	Jul-16	Aug-16
Total	20,504	21,154	2,393	2,449	2,354	3,644	2,330	1,445	1,011	1,101	1,109	4,663	4,663
Current	20,504	21,154	2,393	2,449	2,354	3,644	2,330	1,445	1,011	1,101	1,109	4,663	4,663
1-30 Days Past Due	-	-	-	-	-	-	-	-	-	-	-	-	-
31-60 Days Past Due	-	-	-	-	-	-	-	-	-	-	-	-	-
61-90 Days Past Due	-	-	-	-	-	-	-	-	-	-	-	-	-
90+ Days Past Due	-	-	-	-	-	-	-	-	-	-	-	-	-



01/21/2016

Three-year Statement Comparative:

	Fiscal Dec 31 2010	Fiscal Dec 31 2009	Fiscal Dec 31 2008
Current Assets	27,097,518	30,106,217	42,467,923
Current Liabilities	14,103,285	16,474,287	30,371,500
Current Ratio	1.92	1.83	1.4
Working Capital	12,992,233	13,633,930	12,096,423
Other Assets	377,061	350,993	289,293
Net Worth	13,271,323	13,934,923	12,385,716
Sales	12,749,800		212,701,118
Long Term Liab	97,971	50,000	0

Back To Top

Additional Financial Data

Fiscal statement dated DEC 31 2014

Assets		Liabilities	
Cash	6,536,423	Accts Pay	12,237,214
Accts Rec	10,338,757	Accruals	482,462
Inventory	4,188,459	Taxes	1,101,386
Notes Rec	356,828		
Mobile Securities	1,002,431		
Prepaid	78,282		
Curr Assets	22,511,200	Curr Liabs	13,821,062
Fid & Equip	310,818	COMMON STOCK	450,600
Real Estate	32,574	ADDIT PD.-IN CAP	83,220
		UNREALIZED GAINS	379,258
		RETAINED EARNINGS	8,120,552
Total Assets	22,854,592	Total Liabilities + Equity	22,854,592

From JAN 01 2014 to DEC 31 2014 annual sales \$110,345,110; cost of goods sold \$94,837,089; Gross profit \$15,408,021; operating expenses \$9,016,797; Operating income \$6,391,224; other income \$158,814; Net income \$6,550,038.

Prepared from statement(s) by Accountant: Novkoff, Manheimer, Co., Cincinnati, Ohio.

ACCOUNTANTS OPINION

A review of the accountant's opinion as submitted by the subject company indicates the financial statement meets generally accepted accounting principles and the audit contains no qualifications.

As of the December 31, 2008 fiscal statement, current assets consist of accounts receivable, cash and inventory; current liabilities consist of accounts payable and miscellaneous current liabilities. No intangible assets were reported. No deferred debt was reported. Liquid assets provided 100% coverage of total liabilities.

On JAN 18 2016 Jeffrey Crass, Contol, deferred financial information.

Back To Top

Request Financial Statements

Financial Date Requested	Requested Period	Requested Year	Requested By	Received Date	Status
No financial data found.					

Requested financials are provided by STAND ENERGY CORPORATION and are not DUNSRight certified.

Key Business Ratios

Statement Date Dec 31 2014
 Based on this Number of Establishments 29

Industry Norms Based On 29 Establishments

	This Business	Industry Median	Industry Quartile
Profitability			
Return on Sales %	5.9	8.4	3
Return on Net Worth %	72.3	9.1	1
Short-Term Solvency			
Current Ratio	1.6	1.1	2
Quick Ratio	1.2	0.4	2
Efficiency			
Assets to Sales %	20.7	181.3	1
Sales / Net Working Capital	12.7	5.0	2
Utilization			
Total Liabilities / Net Worth (%)	153.0	167.8	2

[Back To Top](#)

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EXHIBIT C-7 "CREDIT REPORT"

» Company Overview » Location on Map » History » Business Registration » Operations » SIC & NAICS

Currency: Shown in USD unless otherwise indicated

Company Overview

Company Name: STAND ENERGY CORPORATION

Street Address: 1077 Celestial St Ste 110 Cincinnati, OH 45202

Phone: 513-621-1113

Fax: 513-621-3773

URL: <http://www.stand-energy.com>

History: Is clear

Operations: Profitable

Present management control: 32 years

Annual Sales: 110,345,110

Location on Map

View: D&B Address

Map View: Map

Larger View »

Back to Top

Back to Top

History

The following information was reported: 01/21/2016

Officer(s): MATTH TOEBBEN, CHM
JUDITH PHILLIPS, PRES-CEO
LAWRENCE FREEMAN, EXEC VICE PRES
JEFFREY CRASS, CONTRL

DIRECTOR(S) : THE OFFICER(S)

The Kentucky Secretary of State's business registrations file showed that Stand Energy Corporation was registered as a Corporation on December 28, 1984, under file registration number 0196832.

Business started 1984 by the officers. 50% of capital stock is owned by Phillips Family. 50% of capital stock is owned by Toebben Family.

According to management, the Company is a more than 50% woman-owned business.

MATTH TOEBBEN born 1931. 1984-present active here.

JUDITH PHILLIPS born 1936. 1984-present active here.

LAWRENCE FREEMAN born 1971. 1984-present active here.

JEFFREY CRASS born 1962. 2003-present active here.

Related Companies :

The following are related via common management and/or financial interest.

Toebben Builders & Developers Inc, Covington, KY. Started 1956. General contractor of single family homes.

Math Toebben Construction Company (Inc), Covington, KY. Started 1956. General contractor of commercial and industrial buildings.

Southern Tier Transmission, 1077 Celestial St #110 Cincinnati, OH. Started 1987. Operates as a gas pipeline.

Back to Top

Business Registration

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF
Jul 31 2016

Stand Energy Corporation - Case No. 02-2549-GA-CRS
2016 CRNGS Supplier License 02-043G(7) Renewal
Page No. 61

EXHIBIT C-7 "CREDIT REPORT"

Registered Name: STAND ENERGY CORPORATION
Business type: CORPORATION
Corporation type: PROFIT
Date incorporated: Dec 28 1984
State of incorporation: KENTUCKY
Filing date: Dec 28 1984
Registration ID: 0196832
Status: ACTIVE
Where filed: SECRETARY OF STATE/CORPORATE DIVISION, FRANKFORT, KY
Registered agent: MATTH. TOEBBEN, 541 BUTTERMILK PIKE, SUITE 104, CRESCENT SPRINGS, KY, 410170000
Principals: Judith Phillips DIRECTOR
 Matth Toebben DIRECTOR
 Judith Phillips PRESIDENT
 Matth Toebben SECRETARY
 Matth Toebben TREASURER

[Back to Top](#)**Operations****01/21/2016**

Provides natural gas distribution (100%).

Description:

ADDITIONAL TELEPHONE NUMBER(S): Facsimile (Fax) 513 621-3773.

Has 850 account(s). Terms are Net 10 days. Sells to manufacturers, non profit organizations, commercial concerns and government. Territory : United States.

Employees:

34 which includes officer(s).

Facilities:

Rents 4,580 sq. ft. on one floor of a two story brick building.

Location:

Suburban business section on main street

[Back to Top](#)**SIC & NAICS****SIC:**

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific about a company's operations than if we use the standard 4-digit code.

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

4924 0000 Natural gas distribution

NAICS:

221210 Natural Gas Distribution

[Back to Top](#)

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C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.

Answer:

No reorganizations, protection from creditors, or any other form of bankruptcy filings by the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application have occurred.

C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant last filed for certification.

Answer:

No dissolution or merger or acquisition of or by the applicant has occurred within the five most recent years preceding the application or at any time since inception and formation of the corporation.

SECTION D – APPLICANT TECHNICAL CAPABILITY

D-1 Exhibit D-1 “Operations.” provide a current written description of the operational nature of the applicant’s business. Please include whether the applicant’s operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

Answer:

SEC has long term commitments for natural gas supply and pipeline transportation services (including storage) to serve its retail commercial, industrial and governmental customers. These long term commitments for supply and transportation tie into the nomination and scheduling function that are handled in-house, on a daily basis. Supply and delivery services are from a mix of sources (Southwest, Mid-Continent, and Appalachian) to insure ongoing reliability. SEC does not currently offer retail ancillary services other than natural gas consulting services supplied to all customers. There is no separate charge for Stand Energy’s ancillary services.

D-2 Exhibit D-2 “Operations Expertise.” given the operational nature of the applicant’s business, provide evidence of the applicant’s current experience and technical expertise in performing such operations.

Answer:

SEC has been involved in the purchase, the sale, transportation and scheduling of natural gas since 1984. SEC has long-standing relationships with a diverse group of suppliers that offers flexibility in sourcing. Included in SEC’s transportation mix are primary and secondary firm, plus pipeline storage. In it’s 30-year history, SEC has never failed to deliver required quantities of gas to end-use customers.

D-3 Exhibit D-3 “Key Technical Personnel,” provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant’s current business.

Answer:

Larry Freeman
Executive Vice President
(513) 621-1113
lfreeman@standenergy.com

Graduate (with honors) of University of Cincinnati (1994) with a BA in Economics.
Employed by Stand Energy Corporation since 1994.

Nan Hamilton
Vice President, Organization
(513) 621-1113
nhamilton@standenergy.com

Graduate of University of Oklahoma
Twenty Years of petroleum trading and pipeline experience.
Employed by Stand Energy Corporation since 1995.

Kevin Ralston
Director, Gas Control
(513) 621-1113
kralston@standenergy.com

Earned a BS degree from the University of Charleston in May 2000.
Employed by Stand Energy Corporation since 2000.

Tom Meier
Gas Control Specialist
(513) 621-1113
tmeier@standenergy.com

Earned a BS degree from the University of Cincinnati in 1998.
Employed by Stand Energy Corporation since 1998.

Adam Howard
Gas Control Specialist
(513) 621-1113
ahoward@standenergy.com

Earned a BS in Economics from the University of Kentucky in May 2007.
Employed by Stand Energy Corporation since 2007.