



Public Utilities Commission

PUCO USE ONLY - Version 1.08 May 2016		
Date Received	Renewal Certification Number	ORIGINAL CRS Case Number
		12 - 2683 - GA-CRS

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☐ Retail Natural Gas Aggregator ☐ Retail Natural Gas Broker ☒ Retail Natural Gas Marketer

A-2 Applicant information:

Legal Name CenStar Energy Corp.
Address 1 Radisson Plaza, Ste 704 New Rochelle, NY 10801
Telephone No. 914-365-1682 Web site Address www.censtarenergy.com
Current PUCO Certificate No. 12-276G(2) Effective Dates December 05, 2014 to December 05, 2016

A-3 Applicant information under which applicant will do business in Ohio:

Name CenStar Energy Corp.
Address 1 Radisson Plaza, Ste 704 New Rochelle, NY 10801
Web site Address www.censtarenergy.com Telephone No. 914-365-1682

A-4 List all names under which the applicant does business in North America:

CenStar Energy Corp.

A-5 Contact person for regulatory or emergency matters:

Name Edwin Dearman Title Sr. Regulatory Manager
Business Address 12140 Wickchester Ln., Suite 100 Houston, TX 77079
Telephone No. 832-320-2928 Fax No. 832-485-6932 Email Address edearman@censtarenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Martha Lopez Title Regulatory Specialist
Business address 12140 Wickchester Ln., Suite 100 Houston, TX 77079
Telephone No. 832-333-7053 Fax No. 832-320-2944 Email Address mlopez@censtarenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 12140 Wickchester Ln., Suite 100 Houston, TX 77079
Toll-Free Telephone No. 877-529-6701 Fax No. 914-235-6706 Email Address regulatory@sparkenergy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name James Stapleton Title General Manager
Business address 8044 Montgomery Rd., Ste. 700, Cincinnati, OH 45236
Telephone No. 513-792-2200 Fax No. 513-792-2222 Email Address customercare@sparkenergy.com

A-9 Applicant's federal employer identification number 26-3127097

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Dominion East Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Duke Energy Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☒ Dominion East Ohio

<input checked="" type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input checked="" type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input checked="" type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☒ Duke Energy Ohio

<input checked="" type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input checked="" type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input checked="" type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date	
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date	
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 **Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 **Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☐ No ☒ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.

- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate within the two most recent years preceding the application.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.


Applicant Signature and Title

Vice President & General Counsel

Sworn and subscribed before me this

5th

day of October

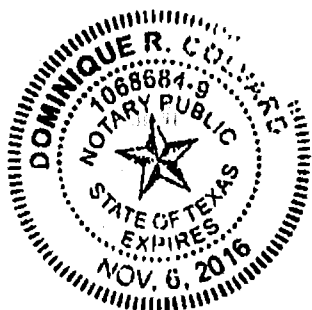
Month 2016

Year


Signature of official administering oath

Dominique R. Colward, Notary
Print Name and Title

My commission expires on 11/6/16





The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

CenStar Energy Corp.)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No.

12

2683

-GA-CRS

County of Harris

State of Texas

Gil Melman

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Vice President & General M

Sworn and subscribed before me this

5th

day of

October

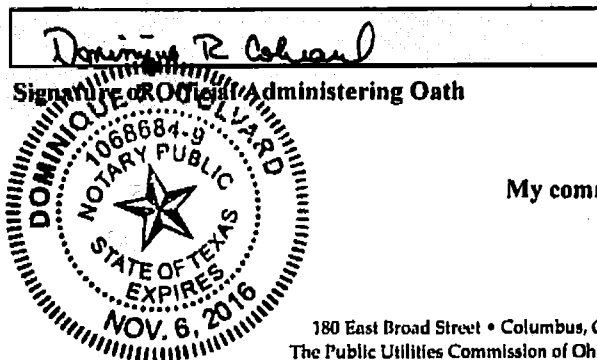
Month

2016

Year

Signature of Notary Administering Oath

Print Name and Title



My commission expires on

11/6/2016

(CRNGS Supplier Renewal) - Version 1.08

Page 8 of 8



Exhibit A-14: "Principal Officers, Directors, & Partners"

Name	Title	Address	Telephone
Nathan G. Kroeker	President, Chief Executive Officer	12140 Wickchester Ln., Ste. 100 Houston, TX 77079	281-833-4153
Gil Melman	Vice President, General Counsel	12140 Wickchester Ln., Ste. 100 Houston, TX 77079	281-833-4154
Jason Garrett	Executive Vice President	12140 Wickchester Ln., Ste. 100 Houston, TX 77079	832-200-3735
Robert Lane	Chief Financial Officer	12140 Wickchester Ln., Ste. 100 Houston, TX 77079	832-217-1860
Yonatan Garber	Vice President, CenStar Retail	1 Radisson Plaza, Ste 704 New Rochelle, NY 10801	914-365-1682

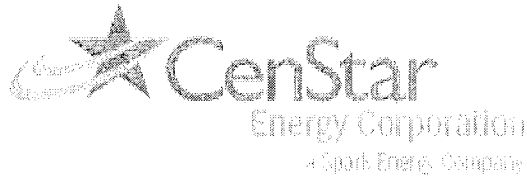


Exhibit A-15: "Company History"

CenStar Energy Corp ("CenStar") was founded in 2008 as a natural gas Energy Service Company (ESCO) in New York State. Founded by energy industry veterans bringing over twenty-five years of experience to CenStar, their mission was to provide natural gas choice to New York consumers at a competitive price and maintain excellent customer service as an alternative to their traditional utility service. Headquartered in New Rochelle, NY, in 2010, CenStar began supplying electric energy to consumers and businesses in New York. CenStar decided to bring its high level of customer service, expertise and commitment to consumers in Ohio. CenStar was initially licensed in Ohio on September 11, 2012. CenStar currently serves electricity and natural gas to residential, small commercial and industrial customer in Ohio.

Exhibit A-16: "Articles of Incorporation and Bylaws"

There is no activity to report.

Exhibit A-17: "Secretary of State"

201523002744

DATE:
08/19/2015

DOCUMENT ID
201523002744

DESCRIPTION
REG. OF FOR. PROFIT LIM. LIAB. CO.
(LFP)

FILING
125.00

EXPED
100.00

PENALTY
.00

CERT
.00

COPY
.00

Receipt

This is not a bill. Please do not remit payment.

CENSTAR OPERATING COMPANY, LLC
LAURA OTTO
2105 CITYWEST BLVD.-#100
HOUSTON, TX 77042

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2420744

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

CENSTAR OPERATING COMPANY, LLC

and, that said business records show the filing and recording of:

Document(s)

REG. OF FOR. PROFIT LIM. LIAB. CO.

Document No(s):

201523002744

Effective Date: 08/18/2015



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 19th day of August, A.D. 2015.

Jon Husted

Ohio Secretary of State

Exhibit B-1 "Jurisdiction of Operation"



CenStar Markets	Commodity
NJ	
PSEG	Dual
NY	
Con Edison	Dual
National Grid	Gas
Orange & Rockland	Dual
NYSEG	Dual
Rochester Gas & Electric	Dual
OH	
Dominion East Ohio	Gas
Duke Energy	Dual
First Energy	Electric
Cleveland Illuminating	Electric
Toledo Edison	Electric
Ohio Edison	Electric
AEP Power	Electric
AEP Columbus Southern Power	Electric

Exhibit B-2 "Experience & Plans"

Experience

CenStar Energy Corp ("CenStar") utilizes three main ways to contract with customers, phone enrollment, door to door enrollments and online enrollments. For all methods, customers are mailed our Terms of Service and are given a right of rescission, where applicable, if they do not wish to abide by the terms.

Contracting with Customers/Providing Contracted Services

CenStar provides competitively-priced gas products, while complying with all applicable laws and rules set forth by the Public Utilities Commission of Ohio, to customers in Ohio as it does in other states. All terms and conditions of the contract (pricing, terms, rights to terminate, etc.) are clearly disclosed to the customer.

Providing Billing Statements

Consolidated billing statement are provided to the customer through their utility company. CenStar establishes how much the customer owes for that month based on their rate and usage. They then send that amount to the utility company who includes CenStar's charges on their consolidated bill which is then provided to the customer.

Response to Customer Inquiries and Complaints

CenStar commits to maintaining a fully trained staff of representatives to fulfill the needs of our customers. CenStar utilizes a toll-free number specifically for Ohio customers and that number is provided on CenStar's website as well as the contract. CenStar is prepared to comply with all sections of Chapter 4901:1-29 of the Ohio Administrative Code. CenStar is highly proficient in accepting customer inquiries and responding to complaints and does so meet similar requirements in other markets.



Exhibit B-3 "Summary of Experience"

Experience

CenStar Energy Corp ("CenStar") was founded in 2008 and has enrolled thousands of residential, commercial and industrial customers in the New York State.

CenStar has been delivering natural gas to nearly 10,000 end-use customers since its inception. Applicant services industrial, residential and commercial customers in New York, New Jersey and Ohio.

Exhibit B-4: "Disclosure of Liabilities and Investigations"

There is no activity to report



Exhibit B-5: "Disclosure of Consumer Protection Violations"

In January of 2016, Spark Energy, LLC entered into a settlement agreement with the Public Utility Commission of Texas ("PUCT") to pay an administrative penalty of \$160,000 of Spark's violations of Three Admin Codes related to the rate reduction program, bill payment and adjustments and disconnection of service.

Exhibit B-6 – “Disclosure of Certification Denial, Curtailment, Suspension, or Revocation.”

There is no activity to report.

Exhibit C-1: "Annual Reports"

- 2015 Annual Report: <http://ir.sparkenergy.com/annuals-proxies.cfm>
- 2014 Annual Report: <http://ir.sparkenergy.com/annuals-proxies.cfm>

Exhibit C-2: "SEC Filings"

- 8-K: <https://www.sec.gov/Archives/edgar/data/1606268/000160626816000320/a8-kearningsreleaseq22016.htm>

- 10-K: <https://www.sec.gov/Archives/edgar/data/1606268/000160626816000225/spke1231201510k.htm>

Exhibit C-3: "Financial Statements"

- 2015 -10-K:
<https://www.sec.gov/Archives/edgar/data/1606268/000160626816000225/spke1231201510k.htm>
- 2014 -10-K:
<https://www.sec.gov/Archives/edgar/data/1606268/000160626815000035/spke1231201410k.htm>



Exhibit C-4: "Financial Arrangements"

This information has been filed under separate cover to protect confidentiality.



Exhibit C-5: "Forecasted Financial Statements"

This information has been filed under separate cover to protect confidentiality.

Exhibit C-6: "Credit Rating"

Premier Profile - CENSTAR ENERGY CORP

Subcode: 384420

Ordered: 10/14/2015 11:59:10 CST



Transaction Number: C046244491

Search Inquiry: Censtar Energy Corp/56 HARRISON ST STE 306/NEW ROCHELLE/NY/10801/US/914-235-

6418/969490059

Model Description: Intelliscore Plus V2

Business Name CENSTAR ENERGY CORP		Business Identification Number 969490059	
Primary Address: 56 HARRISON ST STE 306 NEW ROCHELLE, NY 10801-6555		Phone: (914) 235-6418	
Ultimate Parent: SPARK ENERGY, INC		This business is a member of a corporate family. See the corporate hierarchy by clicking here	

TOP

Risk Scores and Credit Limit Recommendation		Days Beyond Terms	Derogatory/Legal	Fraud/Alerts
Intelliscore Plus MEDIUM TO HIGH RISK Score range: 1 - 100 percentile Credit Limit Recommendation: \$1,900	Financial Stability Risk MEDIUM RISK	Company DBT 	Original Filings 	High Risk Alerts

TOP

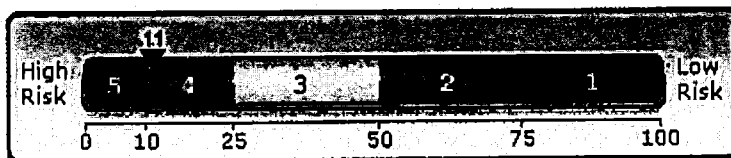
Business Facts	
Years on File:	7 (FILE ESTABLISHED 09/2008)
State of Incorporation:	NY
Date of Incorporation:	09/11/2012
Business Type:	Profit
Contacts:	PENNY GARBER - CHAIRMAN

TOP

Commercial Fraud Shield	
Evaluation for: CENSTAR ENERGY CORP, 56 HARRISON ST STE 306, NEW ROCHELLE, NY 10801-6555	
Business Alerts	Verification Triggers
Active Business Indicator: Experian shows this business as active	The primary Business Name, Address, and Phone Number on Experian File were reviewed for High Risk indicators, no High Risk Indicators were found.
Possible OFAC Match: No OFAC match found	
Business Victim Statement: No victim statement on file	

TOP

Credit Risk Score and Credit Limit Recommendation	
Credit Risk Score: Intelliscore Plus	
Current Intelliscore Plus Score: 11	Risk Class: 4



This score predicts the likelihood of serious credit delinquencies for this business within the next 12 months. Payment history and public record along with other variables are used to predict future risk. Higher scores indicate lower risk.

Factors lowering the score

- ▶ NUMBER OF COMMERCIAL ACCOUNTS WITH NET 1-30 DAYS TERM
- ▶ NUMBER OF RECENTLY ACTIVE COMMERCIAL ACCOUNTS
- ▶ MAX DELINQUENCY RPTD IN LAST 4 MOS FOR ADDITIONAL COMM ACCTS
- ▶ BALANCE OF COMMERCIAL ACCOUNTS AT WORST DELINQUENCY

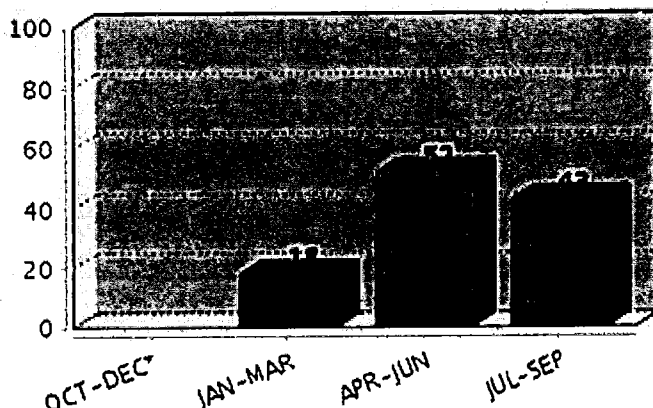
The risk class groups scores by risk into ranges of similar performance. Range 5 is the highest risk, range 1 is the lowest risk.

Industry Risk Comparison

10% of businesses indicate a higher likelihood of severe delinquency.

Quarterly Score Trends

Quarterly Score Trends

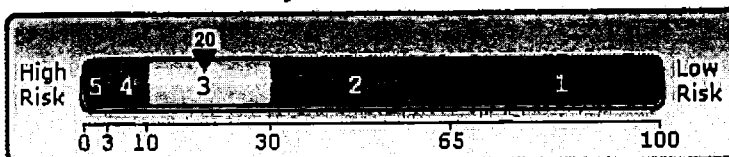


The Quarterly Score Trends provide a view of the likelihood of delinquency over the past 12 months for this business. The trends will indicate if the score improved, remained stable, fluctuated or declined over the last 12 months.

* No score average available for this quarter

Credit Risk Score: Financial Stability Risk

Current Financial Stability Risk Score: 20



This score predicts the likelihood of financial stability risk within the next 12 months. The score uses tradeline and collections information, public filings as well as other variables to predict future risk. Higher scores indicate lower risk.

Factors lowering the score

- ▶ NUMBER OF ACTIVE COMMERCIAL ACCOUNTS
- ▶ PERCENT OF COMMERCIAL ACCOUNTS DELINQUENT
- ▶ RISK ASSOCIATED WITH THE COMPANY'S INDUSTRY SECTOR
- ▶ PAST COMMERCIAL DEROGATORY BALANCE

Risk Class: 3

MEDIUM RISK

The risk class groups scores by risk into ranges of similar performance. Range 5 is the highest risk, range 1 is the lowest risk.

Industry Risk Comparison

19% of businesses indicate a higher likelihood of financial stability risk.

Credit Limit Recommendation

Credit Limit Recommendation

\$1,900

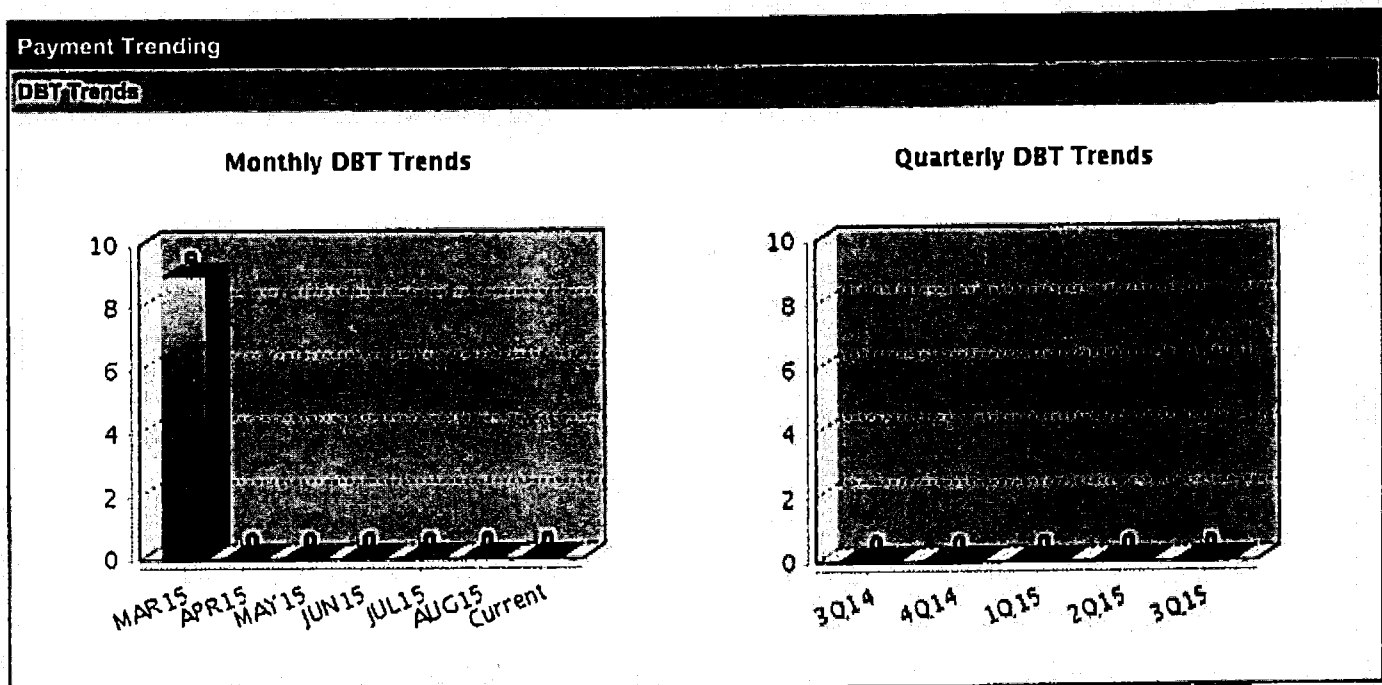
This recommendation compares this business against similar businesses in the Experian business credit database. It is based on trade information, industry, age of business and the Intelliscore Plus. The recommendation is a guide. The final decision must be made based on your company's business policies.

TOP

Payment Performance		Trade and Collection Balance		Legal Filings	
Current DBT:	0	Total trade and collection (2):	\$1,500	Bankruptcy:	No
Predicted DBT:	N/A	All trades (2):	\$1,500	Tax Lien filings:	0
Monthly Average DBT:	1	All collections (0):	\$0	Judgment filings:	1
Highest DBT Previous 6 Months:	9	Continuous trade (1):	\$100	Sum of legal filings:	\$52,835
Highest DBT Previous 5 Quarters:	0	6 month average:	N/A	UCC filings:	5
Payment Trend Indication:		Highest credit amount extended:	\$200	Cautionary UCC filings:	Yes
Payment trend indicator not available		Most frequent industry purchasing terms:			
		Industry purchasing terms not available			

Industry Comparison	
Industry DBT Range Comparison	
The current DBT of this business is 0. 80% of businesses have a DBT range of 0-5.	
DBT for this business: 0	

TOP



TOP

Trade Payment Summary									
Trade Line Type	Lines Reported	DBT	Recent High Credit	Balance	Current	01-30	31-60	61-90	91+
Continuous	1		\$200	<\$100	100%				
New	0			\$0					
Combined Trade	1		\$200	\$100	100%				
Additional	1		\$4,100	\$1,400			100%		
Total Trade	2		\$4,300	\$1,500	7%		93%		

TOP

Trade Payment - New and Continuously Reported Trade Details									
---	--	--	--	--	--	--	--	--	--

Payment Experiences (Trade Lines with an (*) after the date are newly reported)					Account Status Days Beyond Terms					Comments
Business Category	Date Reported	Last Sale	Payment Terms	Recent High Credit	Balance	Cur	1-30	31-60	61-90	
BUS SERVCS	08/2015		VARIED	\$200	<\$100	100%				

TOP

Trade Payment - Additional Trade Details										
Payment Experiences (Trade Lines with an (*) after the date are newly reported)					Account Status Days Beyond Terms					Comments
Business Category	Date Reported	Last Sale	Payment Terms	Recent High Credit	Balance	Cur	1-30	31-60	61-90	
LEASING	10/2015		CONTRCT	\$4,100	\$1,400			100%		

TOP

Legal Filings					
Judgments					
File Date	Plaintiff	Status	Amount	Filing Number	Jurisdiction
12/03/2012	QUANTUM GAS AND POWER LTD	Filed	\$52,835	5963411	WESTCHESTER COUNTY SUPREME COURT

TOP

Uniform Commercial Code (UCC) Filings						
UCC Filing Summary						
Date Range	Year	Cautionary UCCs **	Total Filed	Released / Termination	Continuous	Amended / Assigned
JUL - PRESENT	2015	1	1			
JAN - JUN	2015					
JUL - DEC	2014	1	1	1		
JAN - JUN	2014					
JUL - DEC	2013					
PRIOR TO JUL	2013	3	3	1	2	1
Total		5	5	2	2	1

** Cautionary UCC Filings include one or more of the following collateral: Accounts, Accounts Receivables, Contract Rights, Hereafter Acquired Property, Inventory, Leases, Notes Receivable or Proceeds.

UCC Details	
UCC TERMINATED Date: 07/09/2015 Filing Number: 201507090346196 Original Filing Date: 11/14/2014 Original Filing Number: 2014111406 Original Filing State: NY Jurisdiction: SEC OF STATE NY Secured Party: INVESTORS BANK	UCC FILED Date: 07/08/2015 Filing Number: 201507085754031 Jurisdiction: SEC OF STATE NY Secured Party: SOCIETE GENERALE, AS AGENT NY NEW YORK 10167 245 PARK AVENUE Collateral: UNDEFINED, HEREAFTER AQUIRED PROP
UCC AMENDED Date: 05/01/2015 Filing Number: 201505010215911 Original Filing Date: 06/15/2010 Original Filing Number: 2010061581 Original Filing State: NY Jurisdiction: SEC OF STATE NY Secured Party:	UCC CONTINUED Date: 05/01/2015 Filing Number: 201505010215911 Original Filing Date: 06/15/2010 Original Filing Number: 2010061581 Original Filing State: NY Jurisdiction: SEC OF STATE NY Secured Party: D/B/A NATIONAL GRID
UCC CONTINUED Date: 04/07/2015 Filing Number: 201504075357562 Original Filing Date: 05/06/2010 Original Filing Number: 2010050654 Original Filing State: NY Jurisdiction: SEC OF STATE NY Secured Party: ORANGE AND ROCKLAND UTILITIES, INC.	UCC FILED Date: 11/14/2014 Filing Number: 201411140640213 Jurisdiction: SEC OF STATE NY Secured Party: INVESTORS BANK NY BROOKLYN 11201 81 COURT STREET, 4TH FLOOR Collateral: UNDEFINED, EQUIP, FURN & FIX, INVENTORY
UCC TERMINATED Date: 11/14/2014 Filing Number: 201411140640225 Original Filing Date: 01/02/2013	UCC FILED Date: 01/02/2013 Filing Number: 201301025004008 Jurisdiction: SEC OF STATE NY

Original Filing Number: 2013010250
Original Filing State: NY
Jurisdiction: SEC OF STATE NY
Secured Party: STERLING NATIONAL BANK

Secured Party: STERLING NATIONAL BANK NY NEW YORK
10018 500 SEVENTH AVE., 3
Collateral: UNDEFINED, EQUIP, FURN & FIX, INVENTORY,
HEREAFTER ACQUIRED PROP

UCC FILED Date: 06/15/2010
Filing Number: 201006158196792
Jurisdiction: SEC OF STATE NY
Secured Party: D/B/A NATIONAL GRID NY HICKSVILLE 11801 175
EAST OLD COUNTRY
Collateral: UNDEFINED, HEREAFTER ACQUIRED PROP

UCC FILED Date: 05/06/2010
Filing Number: 201005065424212
Jurisdiction: SEC OF STATE NY
Secured Party: ORANGE AND ROCKLAND UTILITIES, INC. NY
SPRING VALLEY 10977 3
Collateral: UNDEFINED, HEREAFTER ACQUIRED PROP

TOP

Additional Business Facts

Corporate Registration

THE FOLLOWING INFORMATION WAS PROVIDED BY THE STATE OF OHIO. THE DATA IS CURRENT AS OF 10/14/2015.

State of Origin: NY
Date of Incorporation: 09/11/2012
Current Status: Active
Business Type: Profit
Charter Number: 2135870
Agent: INCORP SERVICES INC
Agent Address: 9435 WATERSTONE BLVD CINCINNATI, OH

TOP

Corporate Linkage

Business Name	Location	SIN
Ultimate Parent of the Inquired upon business and the top entity within the corporate family:		
SPARK ENERGY INC	2105 CITYWEST BLVD STE 100 - HOUSTON, TX	868052780
Immediate Parent of the Inquired upon business:		
SPARK ENERGY INC	2105 CITYWEST BLVD STE 100 - HOUSTON, TX	868052780
Branches of the Inquired upon business:		
CENSTAR ENERGY CORP	25 COLIGNI AVE - NEW ROCHELLE, NY	892797449

TOP

Experian prides itself on the depth and accuracy of the data maintained on our databases. Reporting your customer's payment behavior to Experian will further strengthen and enhance the power of the information available for making sound credit decisions. Give credit where credit is due. Call 1-800-520-1221, option #4 for more information.

End of report

1 of 1 report

The information herein is furnished in confidence for your exclusive use for legitimate business purposes and shall not be reproduced. Neither Experian Information Solutions, Inc., nor their sources or distributors warrant such information nor shall they be liable for your use or reliance upon it.

© Experian 2015. All rights reserved. [Privacy policy](#).

Experian and the Experian marks herein are service marks or registered trademarks of Experian.

Exhibit C-7: "Credit Report"

See Exhibit C-6: Credit Rating



Exhibit C-8: "Bankruptcy Information"

Neither CenStar Energy Corp, its parent, or any affiliates, have filed for reorganization protection from creditors, or any other form of bankruptcy during the current year or within the two most recent years preceding the date of this application.



Exhibit C-9: "Merger Information"

Acquisition of Entity

On July 8, 2015, Spark Holdco, LLC, parent company of Spark Energy, LLC and Spark Energy Gas, LLC, acquired the capital stock of CenStar from Perry Garber, CenStar Chief Executive Officer, thereby, implementing a change of control.

Exhibit C-10: "Corporate Structure"

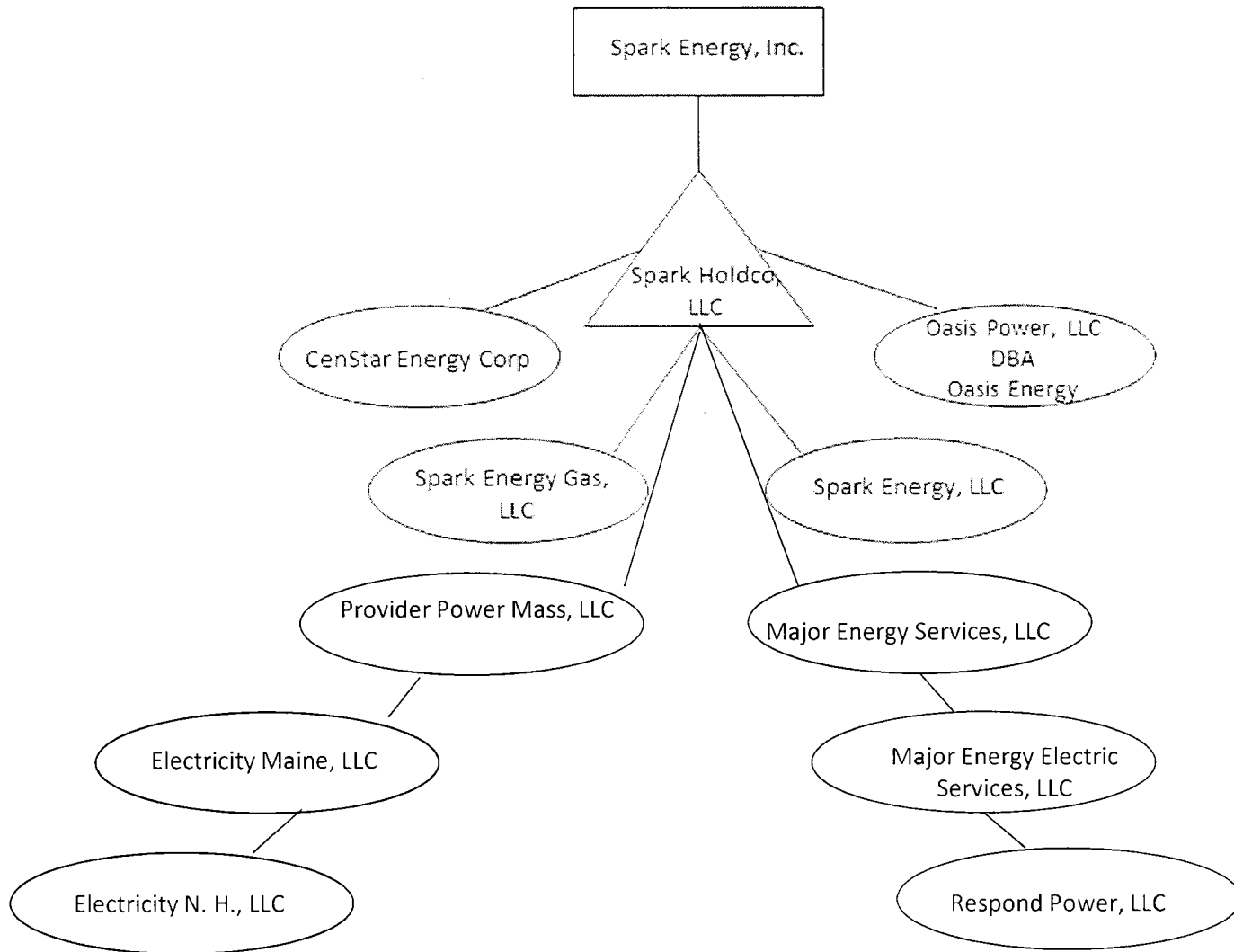


Exhibit D-1: "Operations"

CenStar Energy Corp. markets natural gas by entering into contracts for retail sales, and provides gas supplies by contracting with and purchasing gas from wholesale suppliers. CenStar also manages the nomination and scheduling process in house for all of its customers. Further details of the level of expertise of CenStar Energy Corps. employees and managers can be found in Exhibit D-2 "Operations Expertise" and Exhibit D-3 "Key Technical Personnel".



Exhibit D-2: "Operations Expertise"

NATHAN KROEKER – President – Chief Executive Officer
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (281) 833-4153

Nathan Kroeker, appointed President of Spark Energy in April 2012, is responsible for overseeing the day-to-day operations and helping shape the overall strategy of the company. Nathan is a 17-year industry veteran with diverse experience in public accounting, M&A, and both retail and wholesale energy.

Nathan first joined the company in July 2010 as Executive Vice President and Chief Financial Officer of Spark Energy Ventures. Prior to Spark, Nathan held senior finance and leadership roles with Macquarie and Direct Energy. He began his career in public accounting, including both audit and M&A advisory functions. Before joining Spark Energy in July 2010, Mr. Kroeker was the Finance SVP for Macquarie Bank's global wholesale energy business. Prior to that, he held several senior Finance & Portfolio Management roles at Direct Energy. Mr. Kroeker began his career in public accounting including both audit and M&A advisory.

Mr. Kroeker graduated with honors with a Bachelors of Commerce degree from the University of Manitoba. He has both a CPA in Texas as well as a CA in Canada.

GIL MELMAN - Vice President and General Counsel
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (281) 833-4154

Mr. Melman, Vice President, General Counsel, & Corporate Secretary of Spark Energy, is responsible for Spark Energy's legal, risk management, and compliance matters. Prior to joining Spark Energy, Gil served as the General Counsel to Madagascar Oil Limited, an oil and gas exploration and production company. Previously, he has acted as general counsel and in-house counsel to several energy companies as well as a regional private equity fund.



Gil began his career practicing corporate law with the law firm of Vinson & Elkins LLP, where his focus was primarily in corporate securities and mergers and acquisitions primarily in the energy industry.

Gil holds a Bachelor of Business Administration degree in Accounting from the University of Texas at Austin, and a Doctor of Jurisprudence from the University of Texas at Austin School of Law.

JASON GARRETT –Executive Vice President

12140 Wickchester Ln., Suite 100

Houston, TX 77079

Phone: (832) 200-3735

Jason Garrett served as Executive Vice President of Continuum Energy prior to joining Spark Energy Gas, LLC in 2015. Before joining Continuum Energy he served as Senior Vice President and General Manager with Just Energy.

He received his undergraduate degree from the University of New Orleans and an MBA from the University of New Orleans. Mr. Garrett is a 20-year veteran of the finance and energy industry and is a certified six sigma black belt.

Robert Lane –Chief Financial Officer

12140 Wickchester Ln., Suite 100

Houston, TX 77079

Phone: (832) 200-3735

Robert Lane has over two decades of experience in investment banking and corporate finance, including two stints as CFO, most recently for Emerge Energy Services LP. He led Emerge through an IPO, several expansions of its credit facility, and built a finance and accounting team from scratch to help grow company value from \$500 million of enterprise value to over \$3.5 billion of enterprise value at its peak. He brings public company experience, together with a long list of M&A and capital markets transactions, to our team. Mr. Lane is a Houston native, a graduate of Princeton University and earned his MBA at the University of Pennsylvania's Wharton School; he is also both a CPA and a CFA.



Exhibit D-3: "Key Technical Personnel"

NATHAN KROEKER – President – Chief Executive Officer
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (281) 833-4153

Nathan Kroeker, appointed President of Spark Energy in April 2012, is responsible for overseeing the day-to-day operations and helping shape the overall strategy of the company. Nathan is a 17-year industry veteran with diverse experience in public accounting, M&A, and both retail and wholesale energy.

Nathan first joined the company in July 2010 as Executive Vice President and Chief Financial Officer of Spark Energy Ventures. Prior to Spark, Nathan held senior finance and leadership roles with Macquarie and Direct Energy. He began his career in public accounting, including both audit and M&A advisory functions. Before joining Spark Energy in July 2010, Mr. Kroeker was the Finance SVP for Macquarie Bank's global wholesale energy business. Prior to that, he held several senior Finance & Portfolio Management roles at Direct Energy. Mr. Kroeker began his career in public accounting including both audit and M&A advisory. Mr. Kroeker graduated with honors with a Bachelors of Commerce degree from the University of Manitoba. He has both a CPA in Texas as well as a CA in Canada.

GIL MELMAN - Vice President and General Counsel
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (281) 833-4154

Mr. Melman, Vice President, General Counsel, & Corporate Secretary of Spark Energy, is responsible for Spark Energy's legal, risk management, and compliance matters. Prior to joining Spark Energy, Gil served as the General Counsel to Madagascar Oil Limited, an oil and gas exploration and production company. Previously, he has acted as general counsel and in-house counsel to several energy companies as well as a regional private equity fund.



Gil began his career practicing corporate law with the law firm of Vinson & Elkins LLP, where his focus was primarily in corporate securities and mergers and acquisitions primarily in the energy industry.

Gil holds a Bachelor of Business Administration degree in Accounting from the University of Texas at Austin, and a Doctor of Jurisprudence from the University of Texas at Austin School of Law.

JASON GARRETT –Executive Vice President

12140 Wickchester Ln., Suite 100

Houston, TX 77079

Phone: (832) 200-3735

Jason Garrett served as Executive Vice President of Continuum Energy prior to joining Spark Energy Gas, LLC in 2015. Before joining Continuum Energy he served as Senior Vice President and General Manager with Just Energy.

He received his undergraduate degree from the University of New Orleans and an MBA from the University of New Orleans. Mr. Garrett is a 20-year veteran of the finance and energy industry and is a certified six sigma black belt.

Robert Lane –Chief Financial Officer

12140 Wickchester Ln., Suite 100

Houston, TX 77079

Phone: (832) 200-3735

Robert Lane has over two decades of experience in investment banking and corporate finance, including two stints as CFO, most recently for Emerge Energy Services LP. He led Emerge through an IPO, several expansions of its credit facility, and built a finance and accounting team from scratch to help grow company value from \$500 million of enterprise value to over \$3.5 billion of enterprise value at its peak. He brings public company experience, together with a long list of M&A and capital markets transactions, to our team. Mr. Lane is a Houston native, a graduate of Princeton University and earned his MBA at the University of Pennsylvania's Wharton School; he is also both a CPA and a CFA.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

10/31/2016 3:21:25 PM

in

Case No(s). 12-2683-GA-CRS

Summary: Application Renewal Certification Application Competitive Retail Natural Gas Suppliers electronically filed by Mr. Stephen M Howard on behalf of CenStar Energy Corp.