

FILE



# Public Utilities Commission

Original AGG Case Number	Version
12 -2418 -EL-AGG	May 2016

## RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

### A. RENEWAL INFORMATION

#### A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☐ Aggregator

#### A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name MSI Utilities, Inc.  
 Address 2727 Tuller Parkway, Suite 250, Dublin, OH 43017  
 PUCO Certificate # and Date Certified 12-569E(1)  
 Telephone # (614)781-6135 Web site address (if any) www.msiutilities.com

#### A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name MSI Utilities, Inc.  
 Address 2727 Tuller Parkway, Suite 250, Dublin, OH 43017  
 Telephone # (614)781-6135 Web site address (if any) www.msiutilities.com

#### A-4 List all names under which the applicant does business in North America

MSI Utilities, Inc.  
 \_\_\_\_\_  
 \_\_\_\_\_

#### A-5 Contact person for regulatory or emergency matters

Name Frank Warner  
 Title CEO  
 Business address 2727 Tuller Parkway, Suite 250, Dublin, OH 43017  
 Telephone # (866)337-3463 Fax # (866)546-8590  
 E-mail address fwarner@msiutilities.com

PUCO

2016 AUG 30 PM 2:51

This is to certify that the information provided is true, accurate and correct to the best of the knowledge of the Technician As Date Processed 8/30/16

**A-6 Contact person for Commission Staff use in investigating customer complaints**

Name Mike Watson  
Title Controller  
Business address 2727 Tuller Parkway, Suite 250, Dublin, OH 43017  
Telephone # (614)781-6135#100 Fax # (614)781-6140  
E-mail address mwatson1@msiutilities.com

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 2727 Tuller Parkway, Suite 250, Dublin, OH 43017  
Toll-free Telephone # (800)490-4427 Fax # (800)490-2762  
E-mail address information@msiutilities.com

**A-8 Applicant's federal employer identification number # 87-0712213**

**A-9 Applicant's form of ownership (check one)**

- |  |  |
|--|--|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                     |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation              | <input type="checkbox"/> Other _____                     |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- A-10 Exhibit A-10 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3** **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C - 10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

  
Signature of Applicant & Title

Sworn and subscribed before me this 29<sup>TH</sup> day of Aug, 2014  
Month Year

  
Signature of official administering oath

JUDITH M. DORAN,  
Print Name and Title

My commission expires on 10/25/2021



# **AFFIDAVIT**

State of Ohio :

Dublin ss.  
(Town)

County of Franklin :

Frank Warner, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the CEO (Office of Affiant) of MSI Utilities, Inc. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

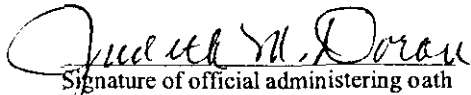
1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

  
Signature of Affiant & Title

Sworn and subscribed before me this 29<sup>TH</sup> day of AUG, 2016  
Month Year

  
Signature of official administering oath

JUDITH M. DORAN, Notary  
Print Name and Title

My commission expires on 10/25/2021



**Exhibit A-10 "Principal Officers, Directors & Partners"**

NAME	TITLE	ADDRESS	TELEPHONE #
Tom Williamson	President/Treasurer	2727 Tuller Pkwy., Ste. 250 Dublin, OH 43017	(800) 490-4427 #101
Frank Warner	CEO/Secretary	2727 Tuller Pkwy., Ste. 250 Dublin, OH 43017	(866) 337-3463



**Exhibit B-1 "Jurisdictions of Operation"**

OH (E-12-569E/NG-12-275G)

IL (E-11-0391)

PA (E-2013-2365828)

NH (DM 12-367)

DC (EA 2014-31)

MA (EB-297)

MD (IR-3406)

ME (2014-00326)

NJ (EA-0327)

**Exhibit B-2 "Experience & Plans"**

MSI has been working with natural gas and electric customers for many years.

We contact business customers to discuss potential savings and utility cost management. We do not provide billing statements. All billing statements are provided by the supplier (or billed by the supplier through the customer's utility). Any customer inquiries/complaints may be directed to our toll-free number and will be dealt with immediately.

**Exhibit B-3 "Disclosure of Liabilities and Investigations"**

MSI does not have any existing, pending or past rulings or judgements as described in this section.

**Exhibit C-1 “Annual Reports”**

This section does not apply as MSI does not file an Annual Report to Shareholders.

**Exhibit C-2 "SEC Filings"**

This section does not apply as MSI does not file these forms with the SEC. We are a privately held company.

**Exhibit C-3 "FINANCIAL STATEMENTS"**

The previous 2 years financial statements are being filed confidentially.

**Exhibit C-4 "FINANCIAL ARRANGEMENTS"**

This section does not apply. MSI is not a CRES.

**Exhibit C-5 "FORECASTED FINANCIAL STATEMENTS"**

The 2 year forecasted OH Electric statements are being filed confidentially.



**Exhibit C-6 "CREDIT RATING"**

MSI does not have a credit rating available with the companies listed.

**Exhibit C-7 "CREDIT REPORT"**

Please see attached report from Dun & Bradstreet.

**Msi Utilities Inc** DUNS: 93-346-3861

Dashboard

**Company Info**

2727 Tuller Pkwy Ste 250  
Dublin, OH 43017  
Phone: (866) 337-3463

URL: [www.msiutilities.com](http://www.msiutilities.com)

**Scores**

Score	Score	Class	Score	Class	Rating	Recommendation	Rating	Rating
80 ▲	568 ▲	2	1549 ▲	2	8 ▼	\$20K	1R2	68CG

**Alerts**

There are currently no alerts issued for this company. If you only recently began monitoring this company, you will not receive your first alerts until score changes or events occur.

Scores

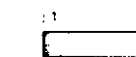
**PAYDEX®**

**80** ▲

ON TERMS

3 Month PAYDEX®

**80**



120 Days Slow

30 Days Slow

Prompt

**Understanding My Score**

The D&B PAYDEX® is a unique, dollar weighted indicator of payment performance based on payment experiences as reported to D&B by trade references.

**Recent Payments**

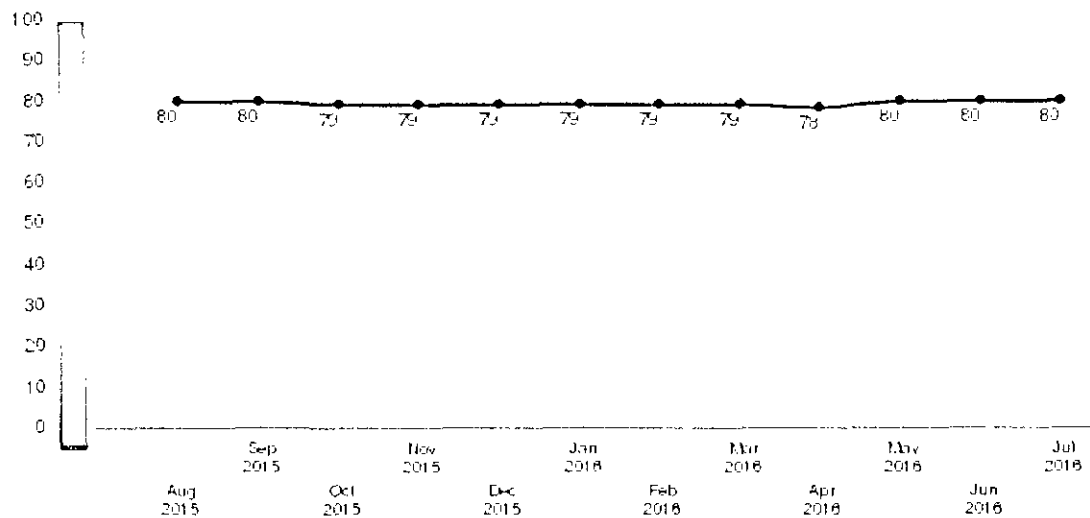
Total (Last 24 Months): 12

07/2016	Ppt	\$1,000	\$0	\$0	--	2-3 mos
07/2016	Ppt	\$1,000	\$500	\$0	--	1 mo
07/2016	Ppt	\$500	\$250	\$0	Lease Agreement	1 mo
07/2016	Ppt	\$250	\$50	\$0	--	1 mo
07/2016	Ppt	\$100	\$50	\$0	--	1 mo

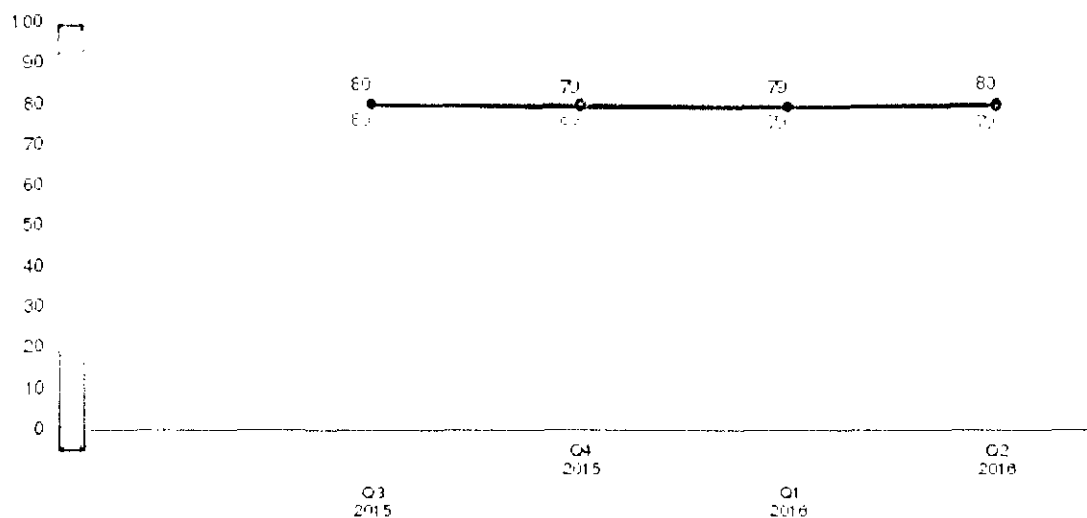
**Key**

100	Anticipate	40	60 Days Beyond Terms
90	Discount	30	90 Days Beyond Terms
80	Prompt	20	120 Days Beyond Terms
70	15 Days Beyond	1-19	Over 120 Days Beyond Terms
60	22 Days Beyond Terms	UN	Unavailable
50	30 Days Beyond Terms		

### Trends



### Industry Comparison



- My Company (80)
- Industry Median: (80)

Based on payments collected over the last 4 quarters.

- Current PAYDEX® for this business is 80, or equal to ON TERMS
- The present industry median score is 80, or equal to generally within terms.

## Delinquency Predictor Score

Score      Class      Percentile

**568**  **2** **87%**



Moderate risk of severe payment  
delinquency over next 12 months

### Understanding My Score

The D&B Delinquency Predictor (formerly the Commercial Credit Score) predicts the likelihood that a company will pay in a severely delinquent manner (91+ days past term) over the next 12 months, seek legal relief from creditors, or cease operations without paying all creditors in full over the next 12 months based on the information in D&B's database. A severely delinquent firm is defined as a business with at least 10% of its dollars 91+ days slow.

#### Incidence of Delinquent Payment:

Among Companies with this Classification: **2.50%**

#### Factors Affecting Your Score:

Recent high balance past due

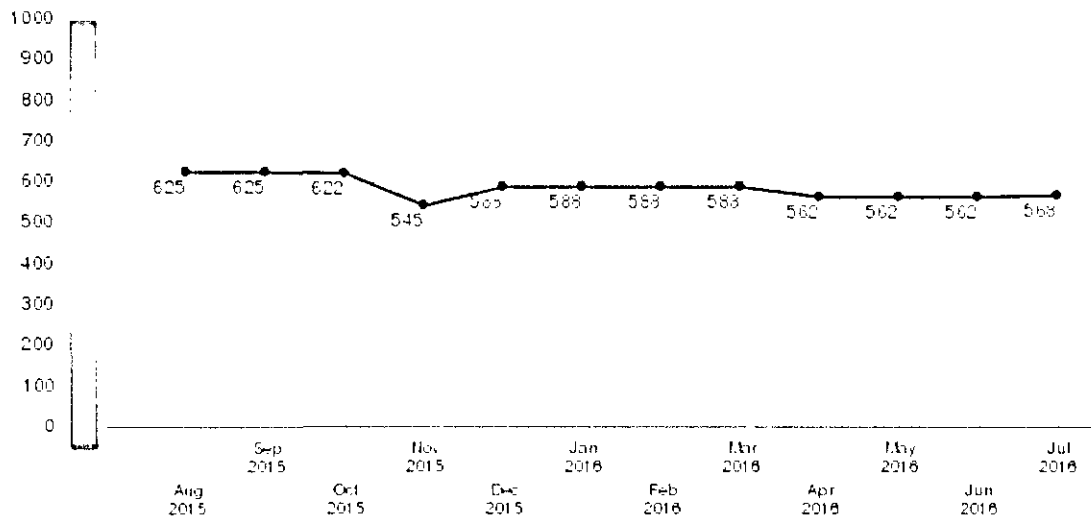
Evidence of open liens

Higher risk industry based on delinquency rates for this industry

#### Key

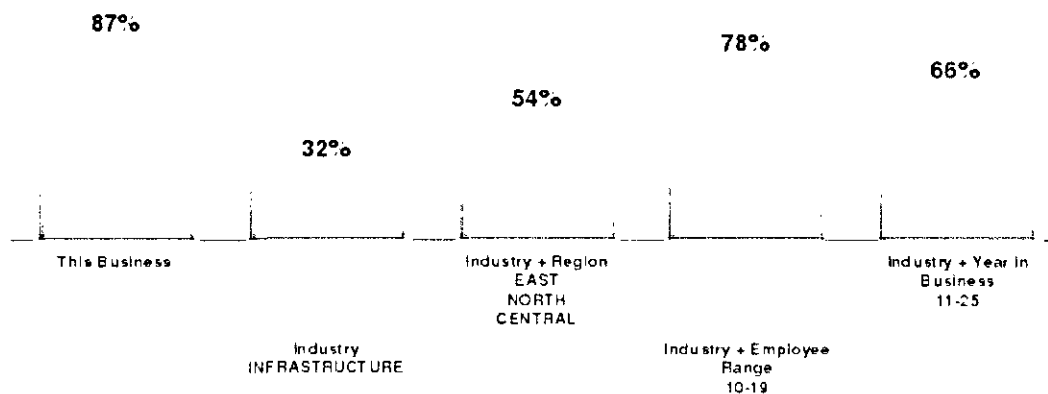
Class	Percentile	Score Range	Score Range
1	10%	91-100	580-670
2	20%	71-90	530-579
3	40%	31-70	481-529
4	20%	11-30	453-480
5	10%	1-10	101-452

Trends - Scores, 12 Month



- My Company (568)

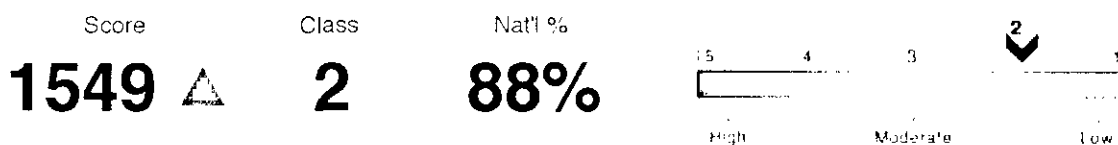
#### Industry Comparison



This business has a Credit Score Percentile that shows:

- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

#### Financial Stress Score



Moderate risk of severe financial stress, such as a bankruptcy, over the next 12 months

#### Understanding My Score

**Incidence of Financial Stress:**

Among Companies with this Classification:

0.09 (84 per 10000)

## Factors Affecting Your Score:

Low proportion of satisfactory payment experiences to total payment experiences.

- The Financial Stress Class Summary Model predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&B's extensive data files.

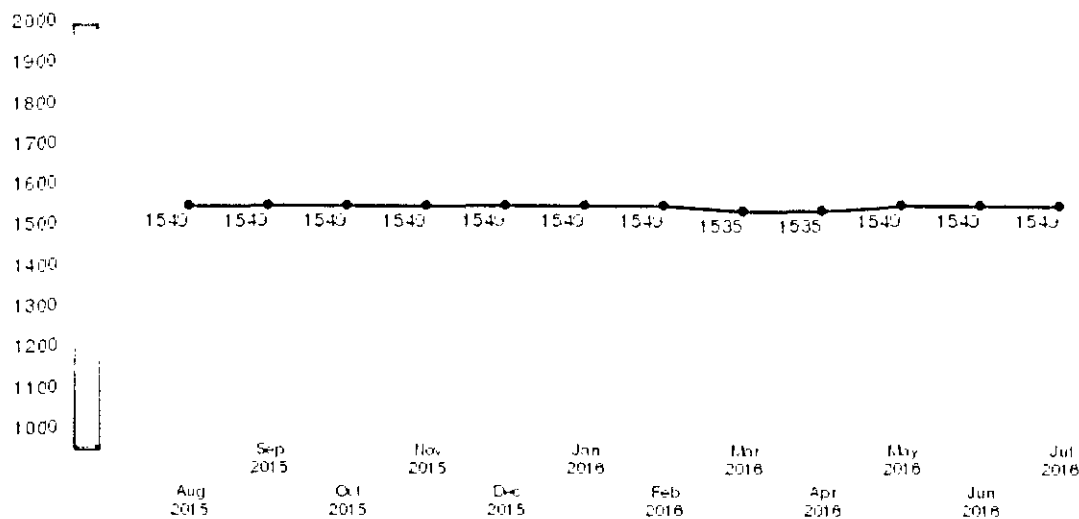
### Notes:

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The Incidence of Financial Stress shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Incidence of Financial Stress - National Average represents the national failure rate and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&B's file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.
- All Financial Stress Class, Percentile, Score and Incidence statistics are based on sample data from

### Key

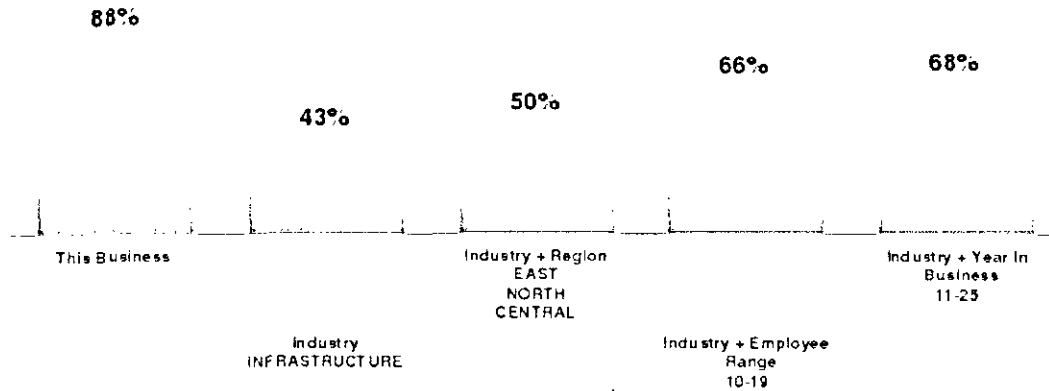
1570-1875	1	95-100	6.0%
1510-1569	2	69-94	10.6%
1450-1509	3	34-68	18.4%
1340-1449	4	2-33	31.5%
1001-1339	5	1	70.0%

### Trends - Scores, 12 Month



- My Company (1,549)

Industry Comparison

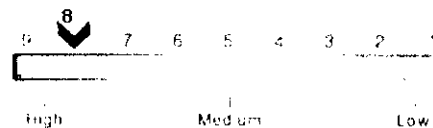


Based on payments collected over the last 4 quarters.

- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

## Supplier Evaluation Risk Rating

8 ▽



High risk of supplier experiencing severe financial stress over the next 12 months.

### Understanding My Score

The Supplier Evaluation Risk (SER) Rating predicts the likelihood that a supplier will cease business operations or become inactive over the next 12 month period based on the depth of predictive data attributes available on the business. The SER Rating scoring system uses statistical probabilities to classify public and private companies into a 1-9 risk rating, where 1 represents low risk and 9 represents high risk.

### Factors Affecting This Company's Score:

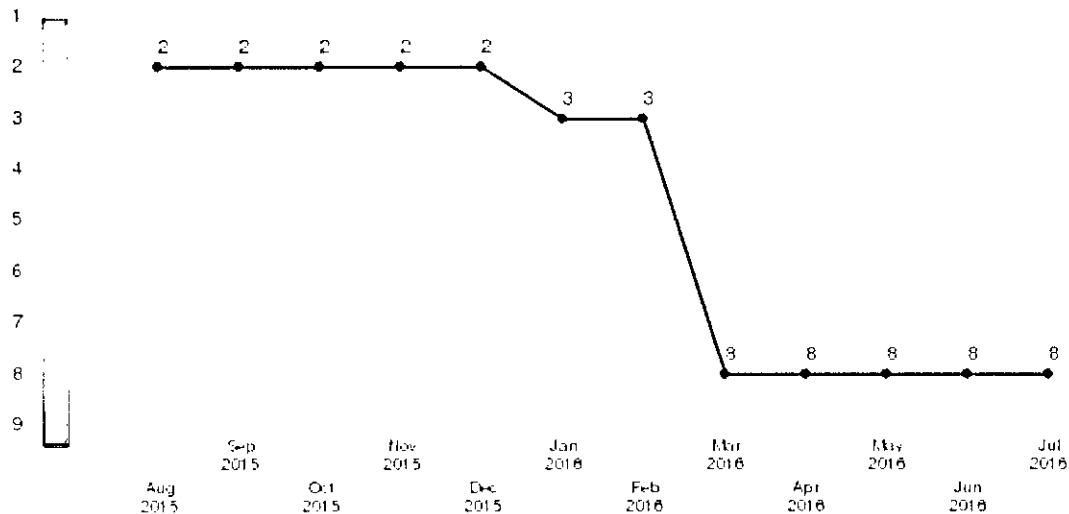
No telephone response

Higher risk industry based on inactive rate for this industry

Limited business activity signals reported in the past 12 months

Trends





• My Company (8)

## Credit Limit Recommendation

Risk Category

**1**

Conservative Credit Limit  
**\$20k**  
Aggressive Credit Limit  
**\$35k**  
Low



## Understanding My Score

D&B's Credit Limit Recommendation is intended to help you more easily manage your credit decisions. It provides two recommended dollar guidelines:

A conservative limit, which suggests a dollar benchmark if your policy is to extend less credit to minimize risk.

An aggressive limit, which suggests a dollar benchmark if your policy is to extend more credit with potentially more risk.

The dollar guideline amounts are based on a historical analysis of credit demand of customers in D&B's U.S. payments database which have a similar profile to your business.

## D&B Rating®

Rating  
**1R2**

Number of employees: **1R** indicates 10 or more employees  
Composite Credit Appraisal: **2** is good

1R2	2011-05-20
1R3	2010-09-27
1R2	2007-02-14
1R3	2004-08-18
1R4	2003-12-04
1R3	2000-11-11
1R4	1999-08-13
--	1997-03-11

## Understanding My Score

### Factors Affecting Your Score

# of Employees Total: **14**

Sales: **\$2,200,000.00**

Payment Activity (based on 12 experiences):

Average High Credit: **\$431**

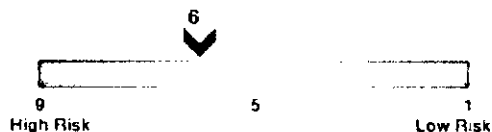
Highest Credit: **\$1,000**

Total Highest Credit: **\$3,900**

Note: The Worth amount in this section may have been adjusted by D&B to reflect typical deductions, such as certain intangible assets.

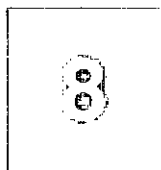
## D&B Viability Rating

Viability Score

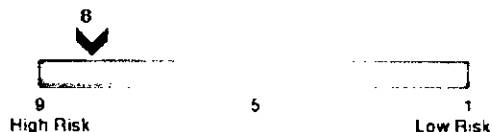


Compared to ALL US Businesses within the D&B Database:

- Level of Risk: **Moderate Risk**
- Businesses ranked 6 have a probability of becoming no longer viable: **13%**
- Percentage of businesses ranked 6: **30%**
- Across all US businesses, the average probability of becoming no longer viable: **14%**



Portfolio Comparison



Compared to ALL US Businesses within the D&B Database:

- Model Segment: **Established Trade Payments**
- Level of Risk: **High Risk**
- Businesses ranked 8 within this model segment have a probability of becoming no longer viable: **11%**
- Percentage of businesses ranked 8 within this model segment: **13%**
- Within this model segment, the average probability of becoming no longer viable: **5%**

## Data Depth Indicator

G  
Descriptive

A  
Predictive

### Data Depth Indicator:

Rich Firmographics  
Extensive Commercial Trading Activity  
No Financial Attributes



Company Profile

Financial Data	Trade Payments	Company Size	Years in Business
Not Available	Available (3+Trade)	Large	Established

Compared to ALL US Businesses within the D&B Database:

- Financial Data: **Not Available**
- Trade Payments: **Available: 3+Trade**
- Company Size: **Large: Employees:50+ or Sales: \$500K+**
- Years in Business: **Established: 5+**

## Payments

Currency: Shown in USD unless otherwise indicated

### Payments Summary

Current 80 Equal to ON TERMS  
PAYDEX®:  
Industry Median: 80 Equal to GENERALLY WITHIN terms  
Payment Trend: ↔ Unchanged, compared to payments three months ago

Total payment Experiences in D&Bs File (HQ): 12  
Payments Within Terms (not dollar weighted): 100  
Total Placed For Collection: NA  
Average Highest Credit: 431  
Largest High Credit: 1,000  
Highest Now Owing: 500  
Highest Past Due: NA

### Payments Summary by Industry

Total (Last 24 Months): 12

Top Industries									
Nondeposit trust	2	\$350	\$250	100%	0	0	0	0	
Telephone communictns	1	\$1,000	\$1,000	100%	0	0	0	0	
Management services	1	\$1,000	\$1,000	100%	0	0	0	0	
Short-tnn busn credit	1	\$500	\$500	100%	0	0	0	0	
Misc business credit	1	\$500	\$500	100%	0	0	0	0	
Electric services	1	\$50	\$50	100%	0	0	0	0	
Whol office equipment	1	\$50	\$50	100%	0	0	0	0	
Other Categories									
Cash experiences	3	\$200	\$100	--	--	--	--	--	
Unknown	1	\$250	\$250	--	--	--	--	--	
Unfavorable comments	0	\$0	\$0	--	--	--	--	--	
Placed for collections with D&B:	0	\$0	\$0	--	--	--	--	--	
Other	0	N/A	\$0	--	--	--	--	--	
Total in D&B's file	12	\$3,900	\$1,000	--	--	--	--	--	

We currently don't have enough data to display this section.

All Payments									
Total (Last 24 Months):		12							
07/2016	Ppt	\$1,000	\$0	\$0	--		2-3 mos		
07/2016	Ppt	\$1,000	\$500	\$0	--		1 mo		
07/2016	Ppt	\$500	\$250	\$0	Lease Agreemnt		1 mo		
07/2016	Ppt	\$250	\$50	\$0	--		1 mo		
07/2016	Ppt	\$100	\$50	\$0	--		1 mo		
07/2016	Ppt	\$50	\$0	\$0	--		6-12 mos		
07/2016	(007)	\$100	--	--	Cash account		1 mo		
03/2016	(008)	\$250	\$0	\$0	--		4-5 mos		
08/2015	Ppt	\$50	\$0	\$0	--		6-12 mos		
07/2015	Ppt	\$500	\$0	\$0	Lease Agreemnt		6-12 mos		
07/2014	(011)	\$50	--	--	Cash account		1 mo		
07/2014	(012)	\$50	--	--	Cash account		1 mo		

Indications of slowness can be the result of disputes over merchandise, skipped invoices, etc. Accounts are sometimes placed in collection even though the existence or amount of debt is disputed.

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was

## History &amp; Operations

Currency: Shown in USD unless otherwise indicated

## Company Overview

<b>Company Name:</b>	MSI UTILITIES INC	<b>URL:</b>	www.msiutilities.com
<b>Doing Business As:</b>	MSI UTILITIES INC	<b>Stock Symbol:</b>	NA
<b>Street Address:</b>	2727 Tuller Pkwy Ste 250 Dublin, OH 43017	<b>History:</b>	NA
		<b>Operations:</b>	NA
<b>Phone:</b>	(866) 337-3463	<b>Present Management Control:</b>	NA
<b>Fax:</b>	(614) 781-6140	<b>Annual Sales:</b>	\$2,200,000

## History

The following information was reported: 07/02/2016

## Officer(s):

FRANK WARNER, CEO

THOMAS WILLIAMSON, PRES-TREAS

## DIRECTOR(S):

THE OFFICER(S)

The OHIO Secretary of State's business registrations file showed that MSI Utilities Inc was registered as a corporation on October 29, 2003.

Business started 1996 by the officers. 80% of capital stock is owned by the officers. 20% of capital stock is owned by outside investors.

## COMPANY HISTORY:

FRANK WARNER. 1995-present active here.

THOMAS WILLIAMSON. 1995-present active here.

Business address has changed from 6230 Busch Blvd, Ste 200, Columbus, OH, 43229 to 2727 Tuller Pkwy Ste 250, Dublin, OH, 43017.

## Business Registration

We currently don't have enough data to display this section.

## Operations

07/02/2016

## Description:

Operates as a provider of natural gas distribution and also operates as a provider of electric services, specializing in power distribution.

Terms are on a commission basis. Sells to commercial concerns. Territory : United States.

Nonseasonal.

**Employees:** 14 which includes officer(s) and 1 part-time.

**Facilities:** Leases 2,600 sq. ft. on 2nd floor of a multi story building.

**Location:** Central business section on main street.

**Branches:** NA

**Subsidiaries:** NA

Subsidiaries: NA

Subsidiaries: NA

Subsidiaries: NA

Subsidiaries: NA

## SIC & NAICS

### SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations than if we use the standard 4-digit code. The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

4924 0000 Natural gas distribution

4924 0100 Gas power broker

4911 9901 Distribution, electric power

### NAICS:

221122 Electric Power Distribution

221210 Natural Gas Distribution

## Public Filings

Currency: Shown in USD unless otherwise indicated

### Summary

The following data includes both open and closed filings found in D&B's database on this company.

Filing Type	Count	Date
Bankruptcy Proceedings	-	-
Judgments	0	-
Liens	1	02/25/16
Suits	0	-
UCCs	0	-

The following Public Filing data is for information purposes only and is not the official record.

Certified copies can only be obtained from the official source.

### Judgments

We currently don't have enough data to display this section.

### Liens

A lien holder can file the same lien in more than one filing location. The appearance of multiple liens filed by the same lien holder against a debtor may be indicative of such an occurrence.

**Amount:** 340  
**Status:** Open  
**DOCKET NO.** 16JG005639  
**Type State:** State Tax  
**Filed By:** STATE OF OHIO  
**Against:** MSI UTILITIES INC.  
**Where Filed:** FRANKLIN COUNTY COMMON PLEAS COURT, COLUMBUS, OH  
**Date Status Attained:** 02/25/16  
**Date Filed:** 02/25/16  
**Latest Info Received:** 04/14/16

## Suits

We currently don't have enough data to display this section.

## UCC Filings

We currently don't have enough data to display this section

## Government Activity

### Summary

Borrower (Dir/Guar): NO

Administrative Debt: NO

Contractor: NO

Grantee: NO

Party Excluded from Federal NO

program(s):

Possible Candidate:

Labor Surplus Area: publicFilingSectionDetails.govtActivity.laborSurplusArea}

Small Business: YES (2016)

8(A) Firm: N/A

The public record items contained herein may have been paid, terminated, vacated, or released prior to today's date

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

## Banking & Finance

### Financial Statements

We currently don't have enough data to display this section.

### Banking

We currently don't have enough data to display this section.

## Special Events

We currently don't have enough data to display this section.

## Corporate Linkage

### Parent

MSI UTILITIES INC

93-346-3861

DUBLIN, OHIO

Headquarters (US)

We currently don't have enough data to display this section.

US Linkages

We currently don't have enough data to display this section.

International Linkages

We currently don't have enough data to display this section.



**Exhibit C-8 "Bankruptcy Information"**

There were none.

**Exhibit C-9 "Merger Information"**

There were none.



# Public Utilities Commission

Original AGG Case Number	Version
12-2418 -EL-AGG	May 2016

## RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

### A. RENEWAL INFORMATION

#### A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☐ Aggregator

#### A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name MSI Utilities, Inc.  
 Address 2727 Tuller Parkway, Suite 250, Dublin, OH 43017  
 PUCO Certificate # and Date Certified 12-569E(1)  
 Telephone # (614)781-6135 Web site address (if any) www.msiutilities.com

#### A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name MSI Utilities, Inc.  
 Address 2727 Tuller Parkway, Suite 250, Dublin, OH 43017  
 Telephone # (614)781-6135 Web site address (if any) www.msiutilities.com

#### A-4 List all names under which the applicant does business in North America

MSI Utilities, Inc.  
 \_\_\_\_\_  
 \_\_\_\_\_

#### A-5 Contact person for regulatory or emergency matters

Name Frank Warner  
 Title CEO  
 Business address 2727 Tuller Parkway, Suite 250, Dublin, OH 43017  
 Telephone # (866)337-3463 Fax # (866)546-8590  
 E-mail address fwarner@msiutilities.com

PUCO

2016 AUG 30 PM 2:51

This is to certify that the information provided is accurate and correct as of the date of filing. This document delivered in the regular course of business.  
 Technician As Date Processed 8/30/16