

FILE

17

PUBLIC UTILITIES COMMISSION OF OHIO

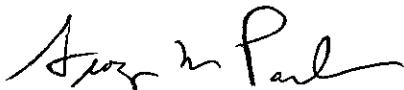
IN RE: Renewal Application for Certificate Number 14-860E (1)

Original AGG Case Number

14-1291-EL-AGG

Now comes applicant, OneSource Energy Solutions LLC, by and through George M. Parker, Attorney At Law, and moves the Commission for an extension of certificate and consideration of the attached Renewal Application pursuant to OAC 4901:1-24-09 (B). The original certificate is to expire 8/25/2016.

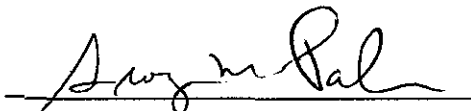
Respectfully submitted,



George M. Parker 0046664
Attorney At Law
10690 Loveland Madeira Road
Loveland, OH 45140
(513) 659-6618

MEMORANDUM IN SUPPORT

An applicant for renewal of a certificate may apply to extend the certificate pursuant to 4901:1-24-09 (B) if filed before the certificate is to expire and if in conformance with 4901:1-24-07, ie, by an Ohio attorney. Applicant is making this filing before the expiration and by an Ohio attorney and respectfully asks that the motion be granted.



George M. Parker 0046664

PUCO

2016 AUG 24 AM 10:48

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician Jon Date Processed 8/24/16



Public Utilities Commission

Original AGG Case Number	Version
14 - 1291 -EL-AGG	May 2016

RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☐ Aggregator

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name OneSource Energy Solutions LLC
Address 10690 Loveland Madeira Road, Loveland, OH 45140
PUCO Certificate # and Date Certified 14-860E (1) August 25, 2014
Telephone # (513) 659-6618 Web site address (if any) _____

A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name OneSource Energy Solutions LLC
Address 10690 Loveland Madeira Road, Loveland, OH 45140
Telephone # (513) 659-6618 Web site address (if any) _____

A-4 List all names under which the applicant does business in North America

OneSource Energy Solutions LLC _____

A-5 Contact person for regulatory or emergency matters

Name George M. Parker
Title Managing Member
Business address 10690 Loveland Madeira Road, Loveland, OH 45140
Telephone # (513) 659-6618 Fax # (513) 453-4547
E-mail address parkergeo@aol.com

A-6 Contact person for Commission Staff use in investigating customer complaints

Name George M. Parker
Title Managing Member
Business address 10690 Loveland Madeira Road, Loveland, OH 45140
Telephone # (513) 659-6618 Fax # (513) 453-4547
E-mail address parkergeo@aol.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address 10690 Loveland Madeira Road, Loveland, OH 45140
Toll-free Telephone # (844) 458-6456 Fax # (513) 453-4547
E-mail address parkergeo@aol.com

A-8 Applicant's federal employer identification number # 471216176

A-9 Applicant's form of ownership (check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-10 Exhibit A-10 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 Exhibit C-3 “Financial Statements,” provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, *it shall file audited or officer certified financial statements covering the life of the business.* If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.


- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C - 10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Shannon Hackman, Managing member
Signature of Applicant & Title

Sworn and subscribed before me this 23rd day of August, 2016
Month Year

Shannon Hackman
Notary Public
Signature of official administering oath
in and for
the State of Ohio
My Commission Expires on 8/19/18

Shannon Hackman
Print Name and Title
8/19/18



AFFIDAVIT

State of Ohio :

Loveland ss.
(Town)

County of Hamilton.

George M. Parker

Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Managing member (Office of Affiant) of One Source Energy Solutions LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

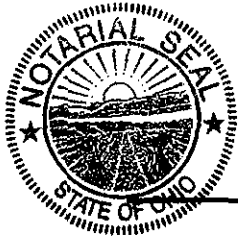
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Steven Paul, Managing member
Signature of Affiant & Title

Sworn and subscribed before me this 22nd day of August, 2016
Month Year

Shannon Hackman
Signature of official administering oath

Shannon Hackman
Print Name and Title



SHANNON L. HACKMAN
Notary Public My commission expires on 8/19/18
In and for
the State of Ohio
My Commission Expires 8/19/18

A-10 Exhibit A-10 "Principal Officers, Directors & Partners"

George M. Parker
Managing Member
10690 Loveland Madeira Road
Loveland, OH 45140
(513) 659-6618

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

B-1 Jurisdiction of Operation-Ohio only.

B-2 Experience & Plans-have served as a broker of electric services, paid by wholesaler, contract with customer to obtain alternate means for service.

B-3 Disclosure of Liabilities and Investigations-none. Application for renewal certification filed late.

C. Financial Capacity and Experience

C-1 Exhibit C-1-Not applicable. Single member Ohio limited liability company.

C-2 Exhibit C-2-Not applicable, not required to file with the SEC, applicant is a Single-Member Ohio limited liability company.

C-3-Financial Statements- Officer Certified Financial Statements attached.

C-4 Financial Arrangements-N/A, we do not take title to the electricity.

C-5 Exhibit C-5 Forecasted Financial Statements-see attached.

C-6 Exhibit C-6 N/A.

C-7 Exhibit C-7 N/A.

C-8 Exhibit C-8 N/A.

C-9 Exhibit C-9 N/A.

C-10 Exhibit C-10-Applicant is a stand-alone entity with no affiliate or subsidiary companies.

OneSource Energy Solutions LLC

Balance Sheet

Assets

Current assets:	2015	2016
Cash	1,350.00	600.00
Investments	-	-
Inventories	-	-
Accounts receivable	-	-
Pre-paid expenses	-	-
Other	-	-
Total current assets	1,350.00	600.00

Fixed assets:	2015	2016
Property and equipment	-	-
Leasehold improvements	-	-
Equity and other investments	-	-
Less accumulated depreciation	-	-
Total fixed assets	-	-

Other assets:	2015	2016
Goodwill	-	-
Total other assets	-	-

Total assets	1,350.00	600.00
---------------------	-----------------	---------------

Liabilities and owner's equity

Current liabilities:	2015	2016
Accounts payable	-	-
Accrued wages	-	-
Accrued compensation	-	-
Income taxes payable	-	-
Unearned revenue	-	-
Other	-	600.00
Total current liabilities	-	600.00

Long-term liabilities:	2015	2016
Mortgage payable	-	-
Total long-term liabilities	-	-

Owner's equity:	2015	2016
Investment capital	750.00	-
Accumulated retained earnings	-	-
Total owner's equity	750.00	-

Total liabilities and owner's equity	750.00	600.00
---	---------------	---------------

Balance	600.00	-
---------	--------	---

Office Certified Correct
 [Signature]
 11

Income Statement

OneSource Energy Solutions LLC
2,015

Financial Statements in U.S. Dollars

Revenue

Gross Sales	400	
Less: Sales Returns and Allowances	0	
Net Sales		400

Cost of Goods Sold

Beginning Inventory		
Add: Purchases		
Freight-in		
Direct Labor		
Indirect Expenses		
Inventory Available	0	
Less: Ending Inventory		
Cost of Goods Sold		0
Gross Profit (Loss)		400

Expenses

Advertising	0	
Amortization	0	
Bad Debts	0	
Bank Charges	0	
Charitable Contributions	0	
Commissions	0	
Contract Labor	0	
Depreciation	0	
Dues and Subscriptions		
Employee Benefit Programs	0	
Insurance	0	
Interest	0	
Legal and Professional Fees	0	
Licenses and Fees	0	
Miscellaneous	0	
Office Expense	450	
Payroll Taxes	0	
Postage	0	
Rent	0	
Repairs and Maintenance	0	
Supplies	0	
Telephone	0	
Travel	0	
Utilities	0	
Vehicle Expenses	0	
Wages	0	
Total Expenses		450
Net Operating Income		(50)

Other Income

Gain (Loss) on Sale of Assets	0	
Interest Income		
Total Other Income		0
Net Income (Loss)		(50)

Officer Certified Correct
Seignell

Income Statement

OneSource Energy Solutions LLC 2018 Forecasted

Financial Statements in U.S. Dollars

Revenue

Gross Sales	2600	
Less: Sales Returns and Allowances	0	
Net Sales		2600

Cost of Goods Sold

Beginning Inventory		
Add: Purchases		
Freight-in		
Direct Labor		
Indirect Expenses		
Inventory Available	0	
Less: Ending Inventory		0
Cost of Goods Sold		
Gross Profit (Loss)		2600

Expenses

Advertising	0	
Amortization	0	
Bad Debts	0	
Bank Charges	0	
Charitable Contributions	0	
Commissions	0	
Contract Labor	0	
Depreciation	0	
Dues and Subscriptions		
Employee Benefit Programs	0	
Insurance	0	
Interest	0	
Legal and Professional Fees	0	
Licenses and Fees	100	
Miscellaneous	0	
Office Expense	450	
Payroll Taxes	0	
Postage	0	
Rent	0	
Repairs and Maintenance	0	
Supplies	0	
Telephone	0	
Travel	0	
Utilities	0	
Vehicle Expenses	0	
Wages	0	
Total Expenses		550
Net Operating Income		2050

Other Income

Gain (Loss) on Sale of Assets	0	
Interest Income		
Total Other Income		0
Net Income (Loss)		2050

Income Statement

OneSource Energy Solutions LLC

2017 Forecasted

Financial Statements in U.S. Dollars

Revenue

Gross Sales	1300	
Less: Sales Returns and Allowances	0	
Net Sales		1300

Cost of Goods Sold

Beginning Inventory		
Add: Purchases		
Freight-in		
Direct Labor		
Indirect Expenses		
Inventory Available	0	
Less: Ending Inventory		
Cost of Goods Sold		0

Gross Profit (Loss) 1300

Expenses

Advertising	0	
Amortization	0	
Bad Debts	0	
Bank Charges	0	
Charitable Contributions	0	
Commissions	0	
Contract Labor	0	
Depreciation	0	
Dues and Subscriptions		
Employee Benefit Programs	0	
Insurance	0	
Interest	0	
Legal and Professional Fees	0	
Licenses and Fees	100	
Miscellaneous	0	
Office Expense	450	
Payroll Taxes	0	
Postage	0	
Rent	0	
Repairs and Maintenance	0	
Supplies	0	
Telephone	0	
Travel	0	
Utilities	0	
Vehicle Expenses	0	
Wages	0	
Total Expenses		550

Net Operating Income 750

Other Income

Gain (Loss) on Sale of Assets	0	
Interest Income		
Total Other Income		0

Net Income (Loss) 750

List of Assumptions and the name, address, email address, and telephone number of preparer:

For year 2017, the plan is to increase brokerage with contact to parties that were under contract with another broker in the past two years. Renewed minimal focus will achieve that goal and our wholesaler is committed to assisting us with a new two year plan. Our expenses are fixed with minimal costs of doing business.

For year 2018, we plan to double our efforts from 2017 in commissions through re-touching contacts and making more attempts. Again, minimal costs to operate.

Prepared by:

George M. Parker

10690 Loveland Madeira Road

Loveland, OH 45140

parkergeo@aol.com

(513) 659-6618