

FILE

Ohio

Public Utilities
Commission

Original AGG Case Number	Version
14-1064-EL-AGG	December 2014

RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Lights Out Energy LLC
Address 3922 Eileen Drive, Cincinnati, OH 45209
PUCO Certificate # and Date Certified July 11, 2014
Telephone # (513) 800-8058 Web site address (if any) _____

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Lights Out Energy LLC
Address 3922 Eileen Drive, Cincinnati, OH 45209
Telephone # (513) 800-8058 Web site address (if any) _____

A-3 List all names under which the applicant does business in North America

Lights Out Energy LLC _____

A-4 Contact person for regulatory or emergency matters

Name Nick Beck
Title President
Business address 3922 Eileen Drive, Cincinnati, OH 45209
Telephone # (513) 800-8058 Fax # _____
E-mail address nickbeck8058@gmail.com

PUCO

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* This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician AW Date Processed 6/13/16

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Nick Beck
Title President
Business address 3922 Eileen Drive, Cincinnati, OH 45209
Telephone # (513) 800-8058 Fax # _____
E-mail address nickbeck8058@gmail.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 3922 Eileen Drive, Cincinnati, OH 45209
Toll-free Telephone # _____ Fax # _____
E-mail address nickbeck8058@gmail.com

A-7 Applicant's federal employer identification number # 527657557

A-8 Applicant's form of ownership (check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Tim Beck President
Signature of Applicant & Title

Sworn and subscribed before me this 8th day of June, 2016
Month Year

Kimberly Ann Derrenkamp
Signature of official administering oath

Kimberly Ann Derrenkamp, Notary
Print Name and Title

My commission expires on 1/21/21



KIMBERLY ANN DERRENKAMP
Notary Public, State of Ohio
My Commission Expires 01-21-2021

AFFIDAVIT

State of Ohio :

Cincinnati ss.
(Town)

County of Hamilton :

Nick BECK, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of Lights OutEnergy LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

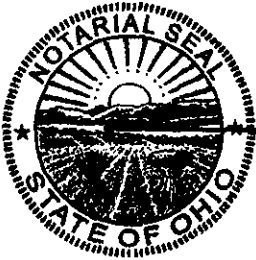
Rich Beck President
Signature of Affiant & Title

Sworn and subscribed before me this 8th day of JUNE, 2016
Month Year

Kimberly Ann Derrenkamp
Signature of official administering oath

Kimberly Ann Derrenkamp - Notary
Print Name and Title

My commission expires on 1/21/21



KIMBERLY ANN DERRENKAMP
Notary Public, State of Ohio
My Commission Expires 01-21-2021

Exhibit A- 9

Principal Officers, Directors & Partners

Nick Beck
President
3922 Eileen Drive
Cincinnati, Ohio 45209

513-800-8058

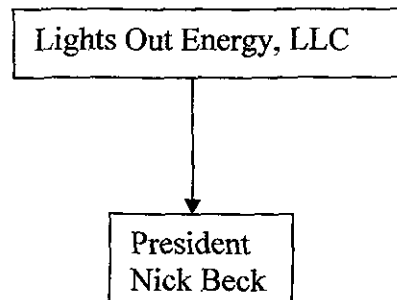
No other officers at this time.

Exhibit A-10

Corporate Structure

Lights Out Energy, LLC:

Nick Beck
President



No other officers at this time.

Exhibit B-1

Jurisdictions of Operation

Below is the list of all jurisdictions in which Lights Out Energy, LLC is authorized to provide retail or wholesale electric services including aggregation services:

First Energy

- a. Ohio Edison
- b. Toledo Edison
- c. Cleveland Electric Illuminating

Duke Energy

Monongahela Power

American Electric Power

- a. Ohio Power
- b. Columbus Southern Power

Dayton Power and Light

Exhibit B-2

Summary of Experience

Nick Beck is a recently retired Account Executive from Duke Energy Retail.

Worked with hundreds of customers to aggregate load such as:

Cincinnati Water Works 250 accounts – DEO

Metropolitan Sewer District 250 accounts –DEO

Cincinnati Bell – 1000 accounts - DEO

United Dairy Farmers – 300 Accounts – all utilities in State of Ohio

Exhibit B-3

Disclosure of Liabilities and Investigations

No issues. No existing, pending or past rulings, judgments, contingent liabilities, nor revocation of authority, regulatory investigations, or any other matter that could adversely impact the financial or operational status or ability to provide services.

Exhibit C-1

Annual Reports

Not applicable. Company is an LLC, disregarded entity, with no shareholders.

Exhibit C-2

SEC Filings

Applicant is not required to file with the SEC as the company is an LLC, disregarded entity with no shareholders.

Exhibit C-3

Financial Statements

Not Applicable. The company is an LLC with no shareholders and newly formed in March 2014.

Exhibit C-4

Financial Arrangements

Not Applicable. The company is an LLC with no shareholders.

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Exhibit C-5

Forecasted Financial Statements

	Projected Commissions	Expenses	Net Income Before Taxes
Jul 2016 – Dec 2016	\$106,654	\$31,500	\$75,154
Jan 2017 – Dec 2017	\$212,308	\$62,154	\$150,154
Jan 2018 – Dec 2018	\$242,454	\$74,152	\$168,302

Exhibit C-6

Credit Rating

Not Applicable. The company is an LLC with no shareholders. Solely providing broker services by provide quotes through suppliers to customers.

Exhibit C-7

Credit Report

Not Applicable. The company is an LLC with no shareholders. Provide broker services by securing quotes through suppliers to customers.

Exhibit C-8

Bankruptcy Information

Not Applicable. The company is an LLC with no shareholders. Solely provide broker services by providing quotes through suppliers to customers.

Exhibit C-9

Merger Information

Not Applicable. No merger activity. The company is an LLC with no shareholders.