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Carrie Cammarano
Counsel
ccammarano@trieleenergy.com

April 4, 2016

Sent via FedEx 7760 2899 5045

Public Utilities Commission of Ohio
Docketing Division
180 East Broad Street
Columbus Ohio 43215-3793

RE: 14-482-EL-CRS LICENSE TO RENEW FOR TRIEAGLE ENERGY LP

To Whom It May Concern:

Please see enclosed an original and three (3) copies of the application to renew TriEagle Energy LP's Power Marketer Application.

Please contact me with any further questions.

Sincerely,

A handwritten signature in black ink, appearing to be "Carrie Cammarano".

Carrie Cammarano, Esq.

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician And Date Processed April 6 2016



THE CRIUS ENERGY FAMILY OF BRANDS

VIRIDIAN

COMCAST

Energy Rewards

FairPoint Energy

Cincinnati Bell energy

TriEagle Energy

PublicPower

CITRA



Public Utilities Commission

Original CRS Case Number	Version
14-482-EL-CRS	August 2004

RENEWAL APPLICATION FOR RETAIL GENERATION PROVIDERS AND POWER MARKETERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-11 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant intends to be renewed as: (check all that apply)

- | | |
|---|---------------------------------------|
| <input type="checkbox"/> Retail Generation Provider | <input type="checkbox"/> Power Broker |
| <input checked="" type="checkbox"/> Power Marketer | <input type="checkbox"/> Aggregator |

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name TriEagle Energy LP
Address 2620 Technology Forest Drive, The Woodlands, TX 77381
PUCO Certificate # and Date Certified 14-806E (1); Certified May 5, 2014
Telephone # (844) 237-3658 Web site address (if any) <http://www.trieagleenergy.com/>

A-3 List name, address, telephone number and web site address under which Applicant does business in Ohio

Legal Name TriEagle Energy LP
Address 2620 Technology Forest Drive, The Woodlands, TX 77381
Telephone # (844) 237-3658 Web site address (if any) <http://www.trieagleenergy.com/>

A-4 List all names under which the applicant does business in North America

<u>TriEagle Energy LP</u>	<u>Power House Energy</u>
<u>Viridian Energy</u>	<u>Eagle Energy</u>
<u>Energy Rewards</u>	<u>TriEagle Energy Services</u>

A-5 Contact person for regulatory or emergency matters

Name Barbara Clay
Title EVP & General Counsel
Business address 1055 Washington Blvd., 7th Floor, Stamford, CT 06901
Telephone # (203) 663-5079 Fax # (844) 213-4850
E-mail address bclay@criusenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints

Name Kim Silvera-Quezada
Title Director, Compliance
Business address 1055 Washington Blvd., 7th Floor, Stamford, CT 06901
Telephone # (203) 883-7796 Fax # (844) 213-4850
E-mail address ksilvera@criusenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address P.O. BOX 131615, The Woodlands, Texas 77393-1615
Toll-free Telephone # (877) 933-2453 Fax # (866) 434-2314
E-mail address customercare@trieagleenergy.com

A-8 Applicant's federal employer identification number # 01-0760381

A-9 Applicant's form of ownership (check one)

<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Partnership
<input type="checkbox"/> Limited Liability Partnership (LLP)	<input type="checkbox"/> Limited Liability Company (LLC)
<input type="checkbox"/> Corporation	<input checked="" type="checkbox"/> Other <u>Limited Partnership</u>

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-10 Exhibit A10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-11 Exhibit A-11 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America.

B. MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services.
- B-2** Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.
- B-3** Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as Exhibit B-4 "Disclosure of Consumer Protection Violations" detailing such violation(s) and providing all relevant documents.

- B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation" detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1** Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

- C-2 **Exhibit C-2 “SEC Filings,”** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

D. TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- D-1** Exhibit D-1 "Operations" provide a written description of the operational nature of the applicant's business. Please include whether the applicant's operations include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.
- D-2** Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations.
- D-3** Exhibit D-3 "Key Technical Personnel," provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.
- D-4** Exhibit D-4 "FERC Power Marketer License Number," provide a statement disclosing the applicant's FERC Power Marketer License number. (Power Marketers only)

Signature of Applicant and Title

[Signature] - Chief Legal Officer

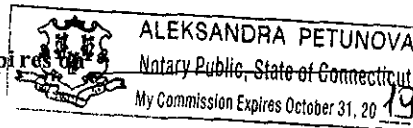
Sworn and subscribed before me this _____ day of _____, 2016
Month

4th day of *April*, 2016
Year

Signature of official administering oath

Aleksandra Petunova
Print Name and Title

My commission expires



AFFIDAVIT

State of Connecticut :

Stamford ss.
(Town)

County of Fairfield :

Barbara Clay, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Chief Legal Officer (Office of Affiant) of Tricagle Energy LP (Name of Applicant):

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
7. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Applicant & Title

[Signature] - Chief Legal Officer

Sworn and subscribed before me this _____ day of _____, 2016
Month

4th

April

2016

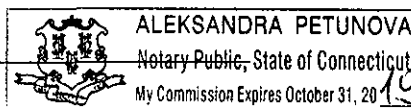
Year

Signature of official administering oath

[Signature]

Aleksandra Petunova
Print Name and Title

My commission expires on _____



SUMMARY OF EXHIBITS

EXHIBIT A-10	PRINCIPAL OFFICERS, DIRECTORS & PARTNERS
EXHIBIT A-11	CORPORATE STRUCTURE
EXHIBIT B-1	JURISDICTIONS OF OPERATION
EXHIBIT B-2	EXPERIENCE & PLANS
EXHIBIT B-3	DISCLOSURE OF LIABILITIES AND INVESTIGATIONS
EXHIBIT B-4	DISCLOSURE OF CONSUMER PROTECTION VIOLATIONS
EXHIBIT B-5	DISCLOSURE OF CERTIFICATION DENIAL, CURTAILMENT, SUSPENSION, OR REVOCATION
EXHIBIT C-1	ANNUAL REPORTS
EXHIBIT C-2	SEC FILINGS
EXHIBIT C-3	FINANCIAL STATEMENTS
EXHIBIT C-4	FINANCIAL ARRANGEMENTS (CONFIDENTIAL)
EXHIBIT C-5	FORECASTED FINANCIAL STATEMENTS (CONFIDENTIAL)
EXHIBIT C-6	CREDIT RATING
EXHIBIT C-7	CREDIT REPORT
EXHIBIT C-8	BANKRUPTCY INFORMATION
EXHIBIT C-9	MERGER INFORMATION
EXHIBIT D-1	OPERATIONS
EXHIBIT D-2	OPERATIONS EXPERTISE
EXHIBIT D-3	KEY TECHNICAL PERSONNEL
EXHIBIT D-4	FERC POWER MARKETER LICENSE NUMBER

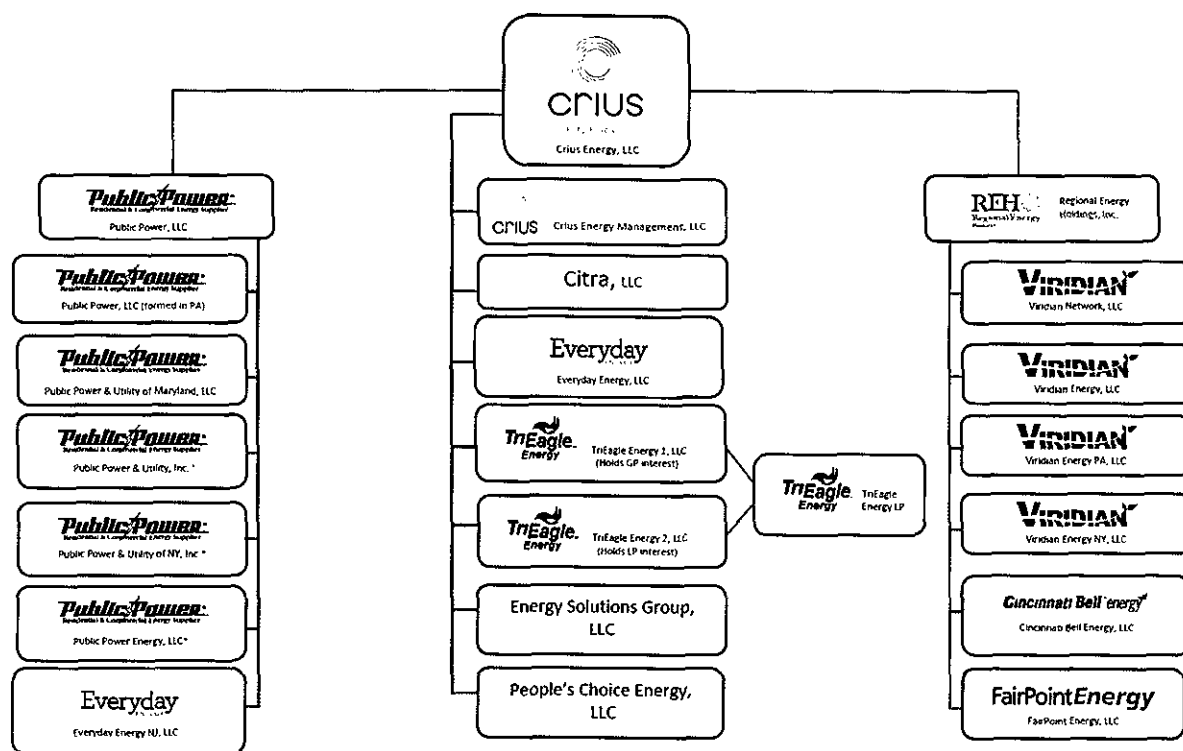
"Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Name	Title	Address	Phone Numbers
Michael J. Fallquist	CEO	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Roop Bhullar	CFO	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Chaitu Parikh	COO	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Cami Boehme	CSO	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Christian McArthur	EVP, Procurement, Pricing and Product Engineering	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Barbara Clay	CLO	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Robert Cantrell	EVP Sales	2620 Technology Forest Blvd., The Woodlands, TX 77381	713-907-2892
Patrick McCamley	EVP, Corporate Development	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5217

EXHIBIT A-11

"Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America.

TriEagle Energy LP ("TriEagle") is a wholly owned subsidiary of Crius Energy, LLC ("Crius"). Crius is a holding company that owns energy service companies across the deregulated energy markets of North America. As a wholly owned subsidiary of Crius, TriEagle has the following affiliates: Public Power, LLC, a Connecticut limited liability company, Public Power, LLC, a Pennsylvania limited liability company; Everyday Energy NJ, LLC; Public Power & Utility of Maryland, LLC; Viridian Network, LLC; Viridian Energy LLC; Viridian Energy NY, LLC; Viridian Energy PA, LLC; FairPoint Energy, LLC; Everyday Energy, LLC; and Cincinnati Bell Energy, LLC. Please see the attached diagram of the Crius corporate structure.



* This entity does not conduct business and does not hold customer contracts.
* This entity only holds Public Power's MA customer contracts and does not hold a supplier license.

EXHIBIT B-1

“Jurisdictions of Operation,” provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

The following Crius entities are licensed to sell retail electricity in the following jurisdictions:

State	Entity
Connecticut	Viridian Energy, LLC
	Public Power, LLC
	Everyday Energy, LLC
District of Columbia	Viridian Energy PA, LLC
	Viridian Network, LLC (broker license)
	Public Power, LLC
	Everyday Energy, LLC
Delaware	Viridian Energy PA, LLC
	Viridian Network, LLC (broker license)
Illinois	Viridian Energy PA, LLC
	Public Power, LLC
	Everyday Energy, LLC
	TriEagle Energy LP
Maine	FairPoint Energy, LLC
Maryland	Viridian Energy PA, LLC
	Public Power & Utility of Maryland, LLC
	TriEagle Energy LP
Massachusetts	Viridian Energy, LLC
New Hampshire	FairPoint Energy, LLC
New Jersey	Viridian Energy PA, LLC
	Everyday Energy NJ, LLC
	Everyday Energy, LLC
	TriEagle Energy LP
New York	Viridian Energy NY, LLC
	Everyday Energy, LLC
	Public Power, LLC
Ohio	Cincinnati Bell Energy, LLC

TRIEAGLE ENERGY LP

	Everyday Energy, LLC
	Public Power, LLC
	Viridian Energy PA, LLC
	TriEagle Energy LP
Pennsylvania	Viridian Energy PA, LLC
	Public Power, LLC
	Everyday Energy, LLC
	TriEagle Energy LP
Rhode Island	Public Power, LLC
	Viridian Energy, LLC
Texas	TriEagle Energy LP, d/b/a Viridian Energy, d/b/a Energy Rewards

The following Crius entities are licensed to sell natural gas in the following jurisdictions:

State	Entity
California	Viridian Energy PA, LLC
	Everyday Energy, LLC
Indiana	Everyday Energy, LLC
Illinois	Everyday Energy, LLC
	Viridian Energy PA, LLC
New Jersey	Viridian Energy PA, LLC
	Everyday Energy NJ, LLC
	Everyday Energy, LLC
New York	Viridian Energy NY, LLC
	Viridian Energy PA, LLC
	Everyday Energy, LLC
	Public Power, LLC
Ohio	Cincinnati Bell Energy, LLC
	Viridian Energy PA, LLC
	Everyday Energy, LLC
Pennsylvania	Viridian Energy PA, LLC
	Everyday Energy, LLC
Maryland	Viridian Energy PA, LLC
Washington D.C.	Viridian Energy PA, LLC
	Everyday Energy, LLC
Virginia	Viridian Energy PA, LLC

"Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

Experience:

Crius Energy (Applicant's parent) services more than 800,000 residential and commercial customer equivalents as an energy solutions partner that provides electricity, natural gas and solar products to residential and commercial customers. Crius Energy, LLC connects with energy customers through an innovative family-of brands strategy and multi-channel marketing approach. This unique combination creates multiple access points to a broad suite of energy products and services that make it easier for consumers to make informed decisions about their energy needs. Crius Energy, LLC currently sells energy products in 19 states and the District of Columbia with plans to continue expanding its geographic reach.

TriEagle, a subsidiary of Crius Energy LLC, has the necessary operational and managerial capabilities to serve all customer classes, including residential, commercial and industrial customers. The Crius management team is comprised of individuals with significant experience in wholesale and retail energy supply. Specifically the management team is comprised of:

- Michael Fallquist, CEO
- Roop Bhullar, CFO
- Chaitu Parikh, COO
- Cami Boehme, CSO
- Christian McArthur, EVP Procurement, Pricing and Product Engineering
- Barbara Clay, CLO
- Robert Cantrell, EVP Sales
- Patrick McCamley, EVP, Corporate Development

Contracting with Customers

TriEagle markets its products through the traditional channels of partnerships, digital advertising, and some telemarketing for commercial customers. TriEagle is not currently engaging in door-to-door sales, however, it has in the past.

Enrollment: There are five ways a potential customer can be enrolled:

- (1) **Paper Enrollment.** Potential customers can use a paper enrollment form that they fill out themselves and it is faxed in to TriEagle's headquarters after which the customer receives a welcome package with copies of all the forms;
- (2) **Web Enrollment.** Potential customers can visit <http://www.trieagleenergy.com/> to enroll online. From the web site potential customers may print forms and information, upon receipt of the enrollment at TriEagle's headquarters, the customer receives a welcome package with copies of all the forms;
- (3) **Telephonic Enrollment.** Potential commercial customers may be solicited over the phone by TriEagle's vendors and may decide to sign up over the telephone and complete a third party verification confirming the decision to enroll; or
- (4) **TriEagle Customer Care Center.** Potential customers can call TriEagle's Customer Care Center. A call center representative will enroll the customer and a third party verification will be taken after such enrollment and a welcome package sent.
- (5) **Crius Energy Retention Center.** TriEagle makes outbound telemarketing calls to existing or former customers only for renewal or re-enrollment. These calls will be made by Crius Energy's in-house Tampa, Florida Retention Center. This Center is staffed with Crius Energy employees who make calls to current or former customers who have either dropped or their contract is soon expiring. The purpose of the calls is to offer either renewal service or continued service.

Providing Contracted Services

Applicant currently provides customers with electricity and natural gas in eight states and the District of Columbia.

Providing Billing Statements

Applicant does not provide its own billing statements. It provides consolidated billing with the utility.

Responding to Customer Inquiries and Complaints

Applicant operates an in-house telephone customer care center to answer any questions that customers may have. When a customer calls in with a question or complaint, the customer service representative will work with them to address any issue or problem. If the customer asks to have their enrollment cancelled, the customer service representative processes it immediately.

Any complaints or questions that are not resolved by the customer care representatives are then escalated to the Compliance Department. The Compliance Investigators serve as the primary point of contact for complaint resolution. Once Applicant's Compliance Department

receives a customer complaint, an Investigator contacts the complaining party and gathers all pertinent information. Applicant then works with the customer to reach a mutually agreeable resolution with the goal of achieving customer satisfaction.

EXHIBIT B-3

"Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments; contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

The matters below do not adversely impact the Applicant's financial or operational status or ability to provide services.

Public Power LLC – Connecticut, Docket #11-10-06 (October 2011)

Prior to Public Power becoming affiliated with Crius Energy, the Connecticut Public Utilities Regulatory Authority ("PURA") opened Docket 11-10-06 to investigate customer complaints that Public Power may have charged rates in excess of its contracts. PURA found no evidence that Public Power charged rates in excess of its contracts. However, to foster good will, Public Power issued credits to the identified customers. Public Power and the PURA entered into a settlement agreement under which Public Power would make a charitable contribution of \$6,000, in lieu of a civil penalty. The Settlement Agreement was submitted to PURA on June 28, 2012 and was formally approved on May 15, 2013.

Public Power LLC – Pennsylvania, Docket #M-2012-2257858 (August 2012)

Prior to Public Power becoming affiliated with Crius Energy, Public Power was investigated by the Bureau of Investigation and Enforcement of the Pennsylvania Public Utility Commission ("PUC") for alleged unauthorized customer enrollments during July 2011. It was found that the unauthorized enrollments resulted from a data entry error by a third-party telemarketer acting on behalf of Public Power. Upon discovery of the mistake, Public Power contacted and worked cooperatively with the utility to rescind the transfers. On December 19, 2013 the PUC unanimously approved a settlement of \$64,450 plus customer refunds of \$22,161.68.

Public Power LLC – Connecticut, Docket #13-02-08 (February 2013)

The Public Utilities Regulatory Authority ("PURA") currently has an open investigation regarding customer complaints that Public Power received during 2012. The vast majority of these complaints were the result of enrollments that took place before the Crius Energy management team took over management of Public Power. The purpose of the investigation is to determine whether Public Power engaged in any unfair or deceptive trade practices. On January 20, 2016, PURA issued a decision finding that Public Power had instituted a series of protocols and policies to improve and better monitor operations and had largely complied with all applicable statutes and regulations, but imposed a penalty of \$13,000 for the third party verifications of three customers and the general practice of documenting complaints.

Public Power LLC – Pennsylvania, Docket #M-2015-2439492 (October 2015)

When Crius Energy took over management of Public Power in January 2013, it made the decision to discontinue a legacy Public Power product (“Legacy Product”). The employee responsible for monitoring the remaining customers on the Legacy Product left his position and as a result Crius Energy was unaware that 50 customers remained on the Legacy Product. The Bureau of Investigation and Enforcement of the Pennsylvania Public Utility Commission (“BIE”) opened an investigation to determine whether customers that had enrolled in the Legacy Product received its full benefit. During the investigation, Public Power discovered that 50 customers had not received the full benefit and proactively issued \$6,558.21 in customer refunds. In addition, on October 21, 2015, Public Power entered into a settlement agreement of \$72,500, to account for this operational oversight. The Pennsylvania Public Utility Commission must provide final approval of the settlement agreement.

TriEagle Energy LP – Texas, Docket #40241 (March 2012)

Prior to TriEagle becoming affiliated with Crius Energy, TriEagle received a compliance audit from the Oversight and Enforcement Division of the Public Utility Commission of Texas which found that TriEagle’s online enrollment website, bill and contract documents were missing required elements. TriEagle fully cooperated in the audit and self-reported the majority of the noted violations. On April 27, 2012, the Public Utility Commission of Texas approved a settlement agreement containing an administrative penalty of \$36,000.

TriEagle Energy LP – Texas, Docket #42783 (August 2014)

Prior to TriEagle becoming affiliated with Crius Energy, the Electric Reliability Council of Texas found that TriEagle failed to retire 89 renewable energy credits by the March 31, 2013 deadline. TriEagle fully cooperated with the Public Utility Commission of Texas’s (“PUCT”) investigation and on October 3, 2014 the PUCT approved an administrative penalty of \$4,450 for TriEagle’s oversight.

Viridian Energy PA, LLC – Maryland, Case #9255, Order No. 84959 (January 2011)

In January 2011, the Staff of the Maryland Public Service Commission filed a complaint against Viridian Energy alleging that Viridian Energy’s independent contractors had violated the Maryland customer protection rules by providing misleading representations in late 2010. On June 7, 2012, the Commission assessed a civil penalty of \$60,000 against Viridian Energy, and noted such fine related to instances of past behavior, noting a reduced penalty because Viridian Energy had strengthened its compliance program.

Viridian Energy, LLC – Connecticut, Docket #09-04-15RE03 (February 2015)

In February 2015, the Public Utilities Regulatory Authority (“PURA”) issued a decision noting that it had received consumer complaints alleging that 1) Viridian automatically renewed customers to a fixed rate contract at the end of a previous contract without customer consent

and authorization; and 2) If the consumer cancelled during the renewed contract, an early termination fee was assessed. Viridian provided a response indicating that it complies with Conn. Gen. Stat. §16-245o(h)(8), which allows for auto-renewed fixed rate contracts and the assessment of early termination fees on these contracts. On August 12, 2015, PURA issued a final decision finding that Viridian made a good faith effort to comply with the regulations, and that the proceeding revealed there is a need for PURA to establish industry-wide standards so that all licensed electricity suppliers uniformly apply Conn. Gen. Stat. §16-245o(h)(8). PURA requested that Viridian refund all early termination fees where it could not pinpoint the exact date that the customer cancelled, and as a gesture of goodwill Viridian refunded all early termination fees collected from the 121 customers that had cancelled automatically renewed contracts.

EXHIBIT B-4

***“Disclosure of Consumer Protection Violations,”** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.*

Please see TriEagle’s response to Exhibit B-3.

EXHIBIT C-1

***"Annual Reports"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.*

Please see the Crius Energy Trust website ("Trust Website") for a listing of all recent filings, at <http://www.criusenergy.com/for-investors/financial-information-filings-earnings/sec-filings/default.aspx>. The most recent relevant filing, as stated on the aforementioned website is:

- (1) MANAGEMENT'S DISCUSSION AND ANALYSIS, CRIUS ENERGY TRUST, March 15, 2016, at http://s2.q4cdn.com/717426720/files/doc_financials/2015/Q4/CET-YE-2015-MDA-3.15.16-3pm.pdf

EXHIBIT C-2

***“SEC Filings,”** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.*

Prior to November 13, 2012, Applicant was a privately held company and therefore was not required to submit any SEC filings. As of November 13, 2012, TriEagle’s ultimate parent entity, Crius Energy Trust, became a publicly-traded entity traded on the Toronto Stock Exchange, under the ticker symbol “KWH.UN”. Crius Energy Trust is required to file regular disclosures to its shareholders.

These reports are publically available at the Canadian securities website (SEDAR): http://sedar.com/homepage_en.htm and the Crius Energy Trust website (“Trust Website”). For a listing of recent filings, please go to <http://www.criusenergytrust.ca>.

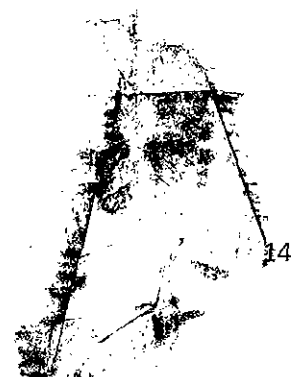


EXHIBIT C-3

***“Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.*

The Audited Consolidated Financial Statements as at and for the Year Ended December 31, 2015 and 2014, Applicant’s parent entity can be found on the Crius Energy Trust Website, http://s2.q4cdn.com/717426720/files/doc_financials/2015/Q4/CET-2015-Annual-Financial-Statements.pdf

CONFIDENTIAL

EXHIBIT C-4

“Financial Arrangements,” provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

TriEagle’s financial arrangements to conduct CRES as a business activity contain confidential and proprietary information and are being submitted under seal.

CONFIDENTIAL

EXHIBIT C-5

***"Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.*

TriEagle's forecasted financial information contain confidential and proprietary information and are being submitted under seal.

EXHIBIT C-6

***“Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.*

Attached is the Dun and Bradstreet credit report for TriEagle.

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EXHIBIT C-7

“Credit Report,” provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.

Please see C-6 for a copy of TriEagle’s Dun and Bradstreet report.



EXHIBIT C-8

***"Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.*

Neither TriEagle, its parent nor any of its affiliates have filed for reorganization, protection from creditors, or any other form of bankruptcy during the current year or since the applicant last filed for certification.



EXHIBIT C-9

“Merger Information,” provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

On September 18, 2012, Regional Energy Holding, Inc. (“REH”) and Public Power, LLC (“Public Power”) combined to form Crius Energy, LLC (“Crius Energy”) in order to offer an initial public offering (“IPO”) of Crius Energy Trust units to the public on the Toronto Stock Exchange. The IPO raised funds for the acquisition by the Trust of a 26.8% ownership in Crius Energy. Today, Public Power is ultimately owned by Crius Energy.

The purpose of Crius Energy is to serve as a member or stockholder of REH, Public Power and all the other operating subsidiaries of Crius Energy. Crius Energy’s operating entities include Pubic Power, Viridian Energy, Cincinnati Bell Energy, FairPoint Energy, TriEagle Energy, Citra Solar, Everyday Energy d/b/a Energy Rewards and Everyday Energy d/b/a Value Power & Gas.

On April 1, 2015 Crius Energy acquired TriEagle Energy LP, a licensed supplier who provides electric service at retail in several states. Due to this acquisition, Crius Energy created two entities: TriEagle 1, LLC and TriEagle 2, LLC, both Nevada limited liability companies. TriEagle 1, LLC holds the general partnership of TriEagle Energy LP and TriEagle 2, LLC holds the limited partnership of TriEagle.

In June, 2015, Crius initiated a second public offering which allowed the ultimate parent company, Crius Energy Trust, to take more of Crius Energy public by buying millions of membership units from existing private investors and offering them for sale to the public. Crius Energy Trust now holds around 42% indirect ownership interest in Crius Energy.

EXHIBIT D-1

***“Operations”** provide a written description of the operational nature of the applicant’s business. Please include whether the applicant’s operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, and the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.*

TriEagle will market electricity as a licensed competitive electricity supplier in Ohio to residential, commercial and industrial customers.

TriEagle is a wholly owned subsidiary of Crius Energy LLC (“Crius”). TriEagle along with all Crius subsidiaries has entered into a Key Supplier Agreement (“KSA”) with Macquarie Energy LLC (“Macquarie”). Under the KSA, Macquarie is the financial responsible party and scheduling entity for TriEagle’s electricity load in all markets. Macquarie is responsible for scheduling electricity on a daily basis, securing ancillary services to support the electricity load, providing collateral as required by the ISO and payment of ISO invoices.

TriEagle does not currently own or operate, nor does it intend to own or operate any generation, transmission, or distribution facilities. TriEagle does not currently, nor does it intend to be involved in the provision of retail ancillary services.

EXHIBIT D-2

***“Operations Expertise,”** given the operational nature of the applicant’s business, provide evidence of the applicant’s experience and technical expertise in performing such operations.*

TriEagle has the necessary operational and managerial capabilities to serve all customer classes, including residential, commercial and industrial customers. TriEagle’s management team is comprised of individuals with significant experience in wholesale and retail energy. Below find the qualifications of three individuals with the requisite operations experience:

- Michael Fallquist, CEO
- Chaitu Parikh, COO
- Christian McArthur, EVP Pricing, Procurement and Product Engineering

Michael Fallquist, Chief Executive Officer

Michael Fallquist is the Chief Executive Officer of Crius Energy LLC (“Crius”). Before becoming President and CEO of Crius Energy, Michael Fallquist successfully raised the equity and debt capital necessary to launch Regional Energy Holdings, Inc. (REH), the company he founded in 2009 to manage a portfolio of energy service companies. Earlier that same year, Michael also founded Viridian Energy – now one of the top 50 direct selling companies worldwide.

Prior to his role as CEO and President of REH, Michael served as the chief operating officer of Commerce Energy, a publicly listed natural gas and electricity retailer serving more than 150,000 customers in multiple states. Before joining Commerce Energy, Michael worked for the Macquarie Group in Australia and in the United States, where he gained valuable experience as a member of the innovative Central Executive Strategy Group, and in various energy trading roles within Macquarie Cook Energy.

Michael earned an MBA from Cornell University and a B.A. in Economics from Colgate University. He was named an Ernst & Young Entrepreneur of the Year® 2012 award winner in New York, Cleantech category.

Mr. Fallquist’s full resume is attached in Exhibit D-3.

Chaitu Parikh, Chief Operating Officer

Chaitu Parikh is Chief Operating Officer with responsibility for Crius Energy's retail energy business. A 16-year veteran of the retail energy industry, Chaitu complements our Management Team's ongoing efforts to grow Crius Energy through consolidation within the industry and maximize the potential of our family-of-brands platform.

Prior to joining Crius Energy, Chaitu spent ten years with MXenergy, a leading supplier of power, natural gas and energy products and services for homes and businesses across North America, where he served as Executive Vice-President and Chief Financial Officer before being appointed President and CEO in 2011. His accomplishments included developing business strategy, acquisition and integration of several different businesses, overseeing risk management and responsibility for a multi-office operation with locations throughout North America.

Chaitu earned a B.A. in economics from the University of Toronto. Chaitu also holds a CPA designation from the Institute of Chartered Accountants of Ontario.

Mr. Parikh's full resume is attached in Exhibit D-3.

Christian McArthur, Executive Vice President of Procurement, Pricing and Product Engineering

Christian McArthur draw upon more than a decade of industry experience to ensure competitive wholesale energy procurement for Crius Energy and its family of brands. He is also responsible for hedging and forecasting with the overall goal of strengthening the company's energy procurement strategies while continuing to diversify its product mix and expand its geographic footprint.

Prior to joining Crius Energy, Christian was a Senior Vice President at Just Energy where he oversaw energy supply operations for all North American businesses. In this role, Christian led trading, risk management, customer analytics and forecasting during June Energy's expansion from three to 20 markets across North America, servicing more than four million customers. He was also successful at new product development and innovating new revenue streams.

Christian earned a Master of Applied Science dress from the University of Waterloo in Canada and a Bachelor of Applied Science degree from Queens's University.

Mr. McArthur's full resume is attached in Exhibit D-3.

Other Operations Expertise

Additionally, TriEagle relies on EC Infosystems (ECI) to provide EDI, billing, and CIS services in all our markets. ECI is a recognized leader in providing these services and already has significant experience, providing service to 150 clients and connections to over 70 utilities.

Outsourcing its EDI and Billing/CIS to ECI allows TriEagle to focus resources on sales, marketing, pricing, procurement, regulatory and administrative functions.

TriEagle has entered into a Key Supplier Agreement ("KSA") with Macquarie Energy LLC ("Macquarie"). Under the KSA, Macquarie is the financial responsible party and scheduling entity for natural gas in all markets. Macquarie is responsible for scheduling natural gas on a daily basis, securing ancillary services to support the natural gas supply, providing collateral as required and delivering natural gas supply to the respective city gates for retail customers.



EXHIBIT D-3

“Key Technical Personnel,” provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant’s business.

Please see the attached resumes for the following individuals.

Name	Title	Address	Phone Numbers
Michael J. Fallquist	CEO	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Roop Bhullar	CFO	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Chaitu Parikh	COO	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Cami Boehme	CSO	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Christian McArthur	EVP, Procurement, Pricing and Product Engineering	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Barbara Clay	CLO	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5089
Robert Cantrell	EVP Sales	2620 Technology Forest Blvd., The Woodlands, TX 77381	713-907-2892
Patrick McCamley	EVP, Corporate Development	1055 Washington Blvd., 7 th Fl. Stamford, CT 06901	203-663-5217

TRIEAGLE ENERGY LP

EXHIBIT D-4

***"FERC Power Marketer License Number,"** provide a statement disclosing the applicant's FERC Power Marketer License Number. (Power Marketers only).*

TriEagle received approval to be a Power Marketer at Docket or Case Number: Docket ER14-2245.