12

FILE

Ohio | Public Utilities Commission

Original AGG Case Number	Version
- -EL-AGG	December 2014

14-254-EL-AGG

RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Courtney Norton
Address 16781 Chagrin Blvd STE 213 Shaker Hts 44120
PUCO Certificate # and Date Certified 14-787E (2) march 17, 2014
Telephone # 5136145591 Web site address (if any) Ohiopays.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Courtney Norton
Address 16781 Chagrin Blvd STE 213 Shaker Hts 44120
Telephone # 513-614-5591 Web site address (if any) Ohiopays.com

A-3 List all names under which the applicant does business in North America

General Energy LLC

A-4 Contact person for regulatory or emergency matters

Name Courtney Norton
Title Owner/President
Business address 16781 Chagrin Blvd STE 213, Shaker Ohio 44120
Telephone # 5136145591 Fax #
E-mail address Courtneynorton576@yahoo.com

RECEIVED-DOCKETING DIV
2016 MAR -7 PM 2:02
PUCO

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician Date Processed MAR 07 2016

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Courtney Norton
Title President
Business address 16781 Chagrin Blvd STE 213 Shaker Hts OH 44120
Telephone # 513-614-5591 Fax #
E-mail address CourtneyNorton576@yahoo.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 16781 Chagrin Blvd STE 213 Shaker OH 44120
Toll-free Telephone # Fax #
E-mail address CourtneyNorton576@yahoo.com

A-7 Applicant's federal employer identification number # 46-4138361

A-8 Applicant's form of ownership (check one)

- ☐ Sole Proprietorship
- ☐ Limited Liability Partnership (LLP)
- ☐ Corporation
- ☐ Partnership
- ☒ Limited Liability Company (LLC)
- ☐ Other

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-9 **Exhibit A-9 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-10 **Exhibit A-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.

C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.

C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Courtney Dotson

Signature of Applicant & Title

Sworn and subscribed before me this 9th **day of** Nov, 2015
Month **Year**

Signature of official administering oath

Dandreya Crump, Notary

Print Name and Title

My commission expires on 5-3-2020

A-9 Exhibit A-9 " Principle Officers , Directors Partners" Courtney Norton is the(President & Owner) General Energy LLC, 16781 Chagrin Blvd, Shaker Heights Ohio 44120. Phone number is 513-614-5591.

A-10 Exhibit A-10 "Corporate Structure "Courtney Norton (President) Maximillian Perry (Vice President) Acting (Operation & Compliance) Ricardo Carter.

B. Applicant Managerial Capability and Experience.

Managerial capability consists of 10+ years of experience of deregulation in Ohio. Second I have managed day to day operation (management) with Wheaton Marketing, King Marketing /EGC Company that hold contracts with Suppliers.

B-1 Exhibit B-1 "Jurisdiction of Operations" Ohio

B-2 "Exhibit B-2 " Experience & Plans" 10 + years' experience in deregulation. I have work with other marketing companies in Ohio. Our company transparency & integrity is our approach. Any complaints or inquiries could be mail directly to General Energy LLC @16781 STE Chagrin Blvd, Shaker Heights Ohio 44120.

B-3 Exhibit B-3 " Disclosure of Liabilities and Investigation" Non- Applicable

C. Financial Capability and Experience

C-1 " Exhibit C-1 "Annual Report " Non- Applicable due to company not launching business.

C-2 "Exhibit C-2 " SEC Filings" Non-Applicable

C-3 Exhibit C-3 " Financial Statements" Non - Applicable due to company not launching.

C-4 Exhibit C-4 " *Financial Arrangements* " *Non- Applicable*

C - 5 Exhibit C - 5 " Forecasted Financial Statements " Non - Applicable due to no operation. General Energy LLC Forecast for 2016 as follows: 150,000.

Courtney Norton # 513-614-5591

General Energy LLC

16781 Chagrin Blvd ste 213

Shaker Heights Ohio 44120

C-6 Exhibit C-6 " Credit Rating" See Attachment

C-7 Exhibit C-7 " Credit Report" See Attachment

C-8 Exhibit C-8 " Bankruptcy Information" Non – Applicable

C-9 Exhibit C-9 " Merger Information" Non - Applicable

Add Equifax and Experian Reports NOW!

▼ Account Summary

Credit Reporting Agency	TransUnion	EQUIFAX	Experian
Credit Report Period	02/29/2016	Add Equifax and Experian Reports NOW!	
Balances	$10,778		
Payments	$334		
Credit Accounts	2		
Open Accounts	1		
Closed Accounts	1		
Delinquent	0		
Inquiries (2 years)	4		
Derogatory	2		
Public Records	0		

▼ Personal Information		Reported
Name	COURTNEY SHANTA NORTON	02/29/2016
Also Known As		02/29/2016
Date of Birth		02/29/2016
Current Address	16781 213 CHAGRIN BV SHAKER HEIGHTS OH 44120	01/19/2016
Previous Address	305 ROBINSON ST FAIRMONT WV 26554 5469 7 KIRBY AV CINCINNATI OH 45223	07/05/2005
Employer		
Previous Employer		
Consumer Statement		

▼ Inquiries

These inquiries are made by companies with whom you have applied for a loan or credit in the past two years.
These inquiries can impact your credit rating.

A request for your credit history is called an inquiry. Inquiries remain on your credit report for two years.
2There are two types of inquiries - Hard inquiries may impact your credit score. Soft inquiries do not.

Creditor Name	Date of Inquiry
PREMIUM CB	12/21/2015
CNAC_IN101	11/17/2014
CNAC_IN101	10/08/2014
CNAC_IN101	05/06/2014

▼ Accounts

Installment Accounts

Account Name	Balance	Balance Date	Monthly Payment	Term
CNAC - IN101	$10,046	02/04/2016	$334	48

Account Name	CNAC - IN101
Account Number	43273**
Condition	Derogatory
Responsibility	Individual
Current Balance	$10,046
Original Balance	$10,477
Limit	$0
Monthly Payment	$334
Last Payment	08/15/2014
Status	Collection / Charge-Off
Term	48 months
Loan Type	Auto Loan
Opened	01/16/2014
Reported	11/30/2014
Remarks	Charged off as bad debt|Profit and loss write-off

Collections

Account Name	Balance	Balance Date
BULL CITY	$732	01/24/2016

Account Name	BULL CITY
Account Number	98341**
Condition	Derogatory
Responsibility	Individual
Current Balance	$732
Original Balance	$732
Status	9
Original Creditor	
Opened	09/30/2015
Remarks	Placed for collection

Public Records

AFFIDAVIT

State of OHIO :

_____ ss.
(Town)

County of Cuyahoga :

Courtney Norton, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President/owner (Office of Affiant) of General Energy LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.

2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.

3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.

4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.

5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.

6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.

7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.

8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.

9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.

10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Courtney Norton

Signature of Affiant & Title

Sworn and subscribed before me this 9th day of Nov, 2015

Month Year

Signature of official administering oath

Dondaaya Crump, Notary

Print Name and Title

My commission expires on 5-3-2020

Attachment

C-6 Exhibit C-6 " Credit rating " Non – Applicable due to no history. Following is a short summary with Dun& Brad. No credit report exists.

Dashboard

Summary

Update Company Info

Tools

General Energy Llc DUNS: 07-988-8387

CS CreditSignal

Summary

Address Unknown
Cincinnati, OH 45231
Phone: (513) 674-5501

URL:

DBA's:

Upgrade to CreditBuilder™ Today

Help build your D&B® scores and ratings and benchmark your company against your industry and competitors.

Speak with a Credit Advisor to learn more

1-800-700-2733

Score / Rating:	Change		Last Date Changed
PAYDEX®	No Change	No Change	11/22/2015
Delinquency Predictor Class	No Change	No Change	11/22/2015
Financial Stress Class	No Change	No Change	11/22/2015
Supplier Evaluation Rating 11/22/2015	No Change	No Change	
D&B Rating	No Change	No Change	11/22/2015
D&B Viability Rating	Fair (Status)		

D&B has recently updated their scores. Learn how these changes affect your business credit profile.

Alerts

There are currently no alerts issued for this company. If you only recently began monitoring this company, you will not receive your first alert until a change occurs or event is issued. To configure your alerts and alert settings, please click here.

Configure Alerts

You have received 0 new inquiries.

Inquiries are the number of individual product(s) purchased by a customer(s) on a D&B D-U-N-S® Number in a rolling one-year(365 days) time period. Although the specific name of the inquiring customer(s)

You have received 0 new special events.

Special events display information on your company such as bankruptcies, suits, liens, mergers, acquisitions or changes in ownership that may impact your relationship with other firms or lending institutions.

Live Chat

Norton SECURED VERIFIED