

February 10, 2016

Ohio Public Utilities Commission
Docketing Division
180 East Broad Street
Columbus, OH 43215

Re: **Hovey Energy, LLC**
 Case No. 16-298-EL-CRS

To Whom It May Concern:

Attached please find a **Certification Application for Electric Aggregators/Power Brokers** being filed on behalf of my client, Hovey Energy, LLC. Please accept this as a revision of the application for the above-referenced Case No. 16-298-EL-CRS, originally filed on February 2, 2016.

If there is any issue, or if you require additional information, please do not hesitate to contact me.

Thank you,

Shayna Desai
LicenseLogix
140 Grand Street, Suite 300
White Plains, NY 10601
sdesai@licenselogix.com
(800) 292-0909 x303



PUCO USE ONLY		
Date Received	Case Number	Version
	- -EL-AGG	December 2014

CERTIFICATION APPLICATION FOR ELECTRIC AGGREGATORS/ POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name _____
Address _____
Telephone # _____ Web site address (if any) _____

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name _____
Address _____
Telephone # _____ Web site address (if any) _____

A-3 List all names under which the applicant does business in North America

A-4 Contact person for regulatory or emergency matters

Name _____
Title _____

Business address _____
Telephone # _____ Fax # _____
E-mail address _____

A-5 Contact person for Commission Staff use in investigating customer complaints

Name _____
Title _____
Business address _____
Telephone # _____ Fax # _____
E-mail address _____

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address _____
Toll-free Telephone # _____ Fax # _____
E-mail address _____

A-7 Applicant's federal employer identification number # _____

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|--|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> First Energy | | | | |
| <input type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Duke Energy | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

- A-10** Provide the approximate start date that the applicant proposes to begin delivering services

Upon Licensure

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11** **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12** **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☐ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☐ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3** **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5** **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6** **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7** **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8** **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 Exhibit C-9 "Merger Information." provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.



President/CEO

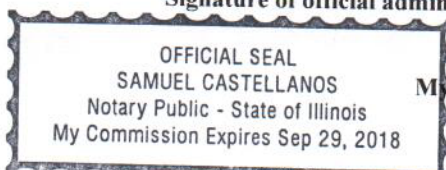
Signature of Applicant and Title

Sworn and subscribed before me this 29TH day of January, 2016
Month Year



Signature of official administering oath

Samuel Castellanos / Notary
Print Name and Title



My commission expires on Sep 29, 2018

AFFIDAVIT

State of Illinois :

Chicago ss.
(Town)

County of Cook :

Robert E. Hovey, Jr., Affiant, being duly sworn/affirmed according to law, deposes and says that:

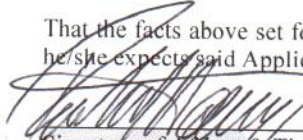
He/She is the President/CEO (Office of Affiant) of Hovey Energy, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.


Signature of Affiant & Title

President/CEO

Sworn and subscribed before me this 29th day of January, 2016
Month Year


Signature of official administering oath

Samuel Castellanos / Notary
Print Name and Title



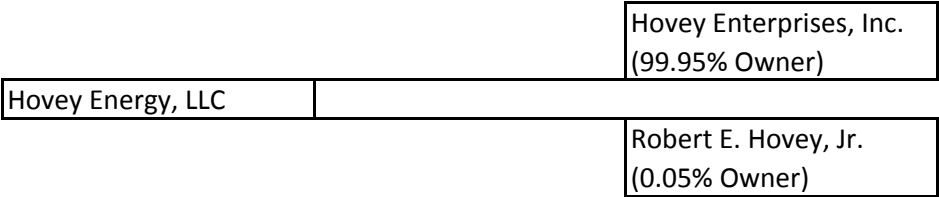
My commission expires on Sep. 29. 2018

Exhibit A-11 "Principal Officers, Directors & Partners"

Name:	Robert E. Hovey, Jr.
Title:	President/CEO
Address:	3422 N Claremont Ave Chicago, IL 60618
Telephone Number:	773-904-5300

Exhibit A-12 "Corporate Structure"

Hovey Energy, LLC is a Limited Liability Company that is 99.95% owned by Hovey Enterprises, Inc. and 0.0



05% owned by Robert E. Hovey, Jr.

Exhibit A-13 "Company History"

EXHIBIT A-13

Company History

Hovey Energy, LLC was incorporated in March of 2012 and is primarily owned by its holding company, Hovey Enterprises, Inc., which is owned by its President, Robert Hovey. Hovey Energy is a Chicago, Illinois based call center led by an experienced management team, Robert and Melissa Hovey. Hovey Energy, LLC was inspired by electricity and natural gas deregulation and the President and CEO, Robert Hovey's desire to be a part of helping people control the cost of their energy consumption. Robert sought out to add to his successful career of managing call centers by creating Hovey Energy and reaching as many consumers as possible to give them the power to choose an alternative energy solution. Over 2 years of planning, networking, and gaining experience with top energy experts in the business were a critical component in the development of this company. Robert's call center experience, leadership, ethics, and customer service skills are a perfect match for this industry.

Hovey Energy, LLC opened its doors on August 6th, 2012 with nine employees, including Melissa Hovey as its VP of Business Operations and Marketing. Melissa's corporate experience at the executive management level has allowed her to successfully oversee an administration department for Hovey Energy in charge of processing customer information for the supplier, human resource functions, quality assurance, IT management and database control. With Robert managing all sales aspects of the business and Melissa managing the administration department, it has proven to be a winning combination for the company.

Each agent, or energy expert, is screened to ensure the utmost professionalism. There is a thorough orientation and training process that includes, deregulation education, telemarketing and regulatory requirements, presentation roleplaying, and advanced customer service training. Each phone call is recorded, randomly monitored and reviewed with our agents for quality assurance and a better customer experience.

To date, Hovey Energy has been focused on small and medium sized businesses on behalf of only one supplier, but would like to expand its abilities by calling for multiple suppliers. In its short, eight month history, Hovey Energy has processed over 1500 electricity enrollments and over 80 million kilowatt hours. Hovey Energy started with a capital contribution from its President that is being repaid over time after expenses. The existing business model is steadily paying for itself already. The company's immediate plan is to steadily double in size over the next two years. As our organization expands, we plan on hiring additional administrative staff to assist with processing enrollments, ensure quality assurance, and a full time IT director. Although our company is young, there are no individuals more committed and driven to success as we are. Our vision is to become the leader in this industry and an example to all other marketing companies.

Exhibit A-14 "Articles of Incorporation and Bylaws"



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

MARCH 22, 2012

0385015-3

JOSEPH R ZICCARDI
20 N CLARK ST STE 1100
CHICAGO, IL 60602-0000

RE HOVEY ENERGY, LLC

DEAR SIR OR MADAM:

IT HAS BEEN OUR PLEASURE TO APPROVE AND PLACE ON RECORD THE ARTICLES OF ORGANIZATION THAT CREATED YOUR LIMITED LIABILITY COMPANY. WE EXTEND OUR BEST WISHES FOR SUCCESS IN YOUR NEW VENTURE.

PLEASE NOTE! THE LIMITED LIABILITY COMPANY MUST FILE AN ANNUAL REPORT PRIOR TO THE FIRST DAY OF THIS ANNIVERSARY MONTH NEXT YEAR. FAILURE TO TIMELY FILE WILL RESULT IN A \$300 PENALTY AND/OR DISSOLUTION/REVOCATION. A PRE-PRINTED ANNUAL REPORT WILL BE MAILED TO THE REGISTERED AGENT AT THE ADDRESS ON OUR RECORDS APPROXIMATELY 45 DAYS BEFORE THE DUE DATE.

FOR A LIMITED LIABILITY COMPANY THAT INTENDS TO PROVIDE CERTAIN PROFESSIONAL SERVICES FOR WHICH INDIVIDUALS ARE REQUIRED TO BE LICENSED, A CERTIFICATE OF REGISTRATION MUST BE OBTAINED FROM THE ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION. IF THE LLC IS SO REGISTERED, THE CURRENT ADDRESS FROM WHICH THE PROFESSIONAL SERVICES ARE PROVIDED MUST ALSO BE ON RECORD WITH THIS OFFICE.

MANY OF OUR SERVICES ARE AVAILABLE AT OUR CONTINUOUSLY UPDATED WEBSITE. VISIT WWW.CYBERDRIVEILLINOIS.COM TO VIEW THE STATUS OF THIS COMPANY, PURCHASE A CERTIFICATE OF GOOD STANDING, OR EVEN FILE THE ANNUAL REPORT REFERRED TO IN THE EARLIER PARAGRAPH.

SINCERELY YOURS,

JESSE WHITE
SECRETARY OF STATE
DEPARTMENT OF BUSINESS SERVICES
LIMITED LIABILITY DIVISION
(217) 524-8008

Form **LLC-5.5**
October 2010

Secretary of State
Department of Business Services
Limited Liability Division
501 S. Second St., Rm. 351
Springfield, IL 62756
217-524-8008
www.cyberdriveillinois.com

Payment must be made by certified check, cashier's check, Illinois attorney's check, C.P.A.'s check or money order payable to Secretary of State.

Illinois
Limited Liability Company Act
Articles of Organization



LC0818580

This space for use by Secretary of State.

Date:
Filing Fee: \$500
Approved: LB

FILE # 0385 015 3

This space for use by Secretary of State.

FILED

3/22/2012

JESSE WHITE

SECRETARY OF STATE

1. Limited Liability Company Name: Hovey Energy, LLC

The LLC name must contain the words Limited Liability Company, L.L.C. or LLC and cannot contain the terms Corporation, Corp., Incorporated, Inc., Ltd., Co., Limited Partnership or LP.

2. Address of Principal Place of Business where records of the company will be kept: (P.O. Box alone or c/o is unacceptable.)

3422 N. Claremont Ave.

Chicago, Illinois 60618

3. Articles of Organization effective on: (check one)

☒ the filing date

☐ a later date (not to exceed 90 days after the filing date): _____
Month, Day, Year

4. Registered Agent's Name and Registered Office Address:

Registered Agent: Joseph R. Ziccardi

First Name

Middle Initial

Last Name

Registered Office: 20 N. Clark Street, Suite 1100

(P.O. Box alone or c/o
is unacceptable.)

Number

Street

Suite #

Chicago

City

IL

60602

ZIP Code

5. Purpose(s) for which the Limited Liability Company is organized:

The transaction of any or all lawful business for which Limited Liability Companies may be organized under this Act.

(LLCs organized to provide professional services must list the address(es) from which those services will be rendered if different from item 2. If more space is needed, use additional sheets of this size.)

6. Latest date, if any, upon which the company is to dissolve: _____

(Leave blank if duration is perpetual.)

Month, Day, Year

FM
14

LLC-5.5

7. (Optional) Other provisions for the regulation of the internal affairs of the Company: (If more space is needed, attach additional sheets of this size.) _____

8. The Limited Liability Company: (Check either a or b below.)

a. ☐ is managed by the manager(s) (List names and addresses.)

b. ☒ has management vested in the member(s) (List names and addresses.)

Hovey Enterprises, Inc.
3422 N. Claremont Ave
Chicago IL 60618

9. Name and Address of Organizer(s):

I affirm, under penalties of perjury, having authority to sign hereto, that these Articles of Organization are to the best of my knowledge and belief, true, correct and complete.

Dated March 20, 2012
Month & Day Year

1. 
Signature

ROBERT E. HOVEY JR.
Name (type or print)

Hovey Enterprises, Inc. by Robert Hovey, President
Name if a Corporation or other Entity, and Title of Signer

1. 3422 N. Claremont Ave.
Number Street

Chicago
City/Town

Illinois 60618
State ZIP Code

2. _____
Signature

Name (type or print)

Name if a Corporation or other Entity, and Title of Signer

2. _____
Number Street

City/Town

State ZIP Code

Signatures must be in black ink on an original document. Carbon copy, photocopy or rubber stamp signatures may only be used on conformed copies.

Exhibit A-15 "Secretary of State"



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
05/06/2013	201312301531	REG. OF FOR. PROFIT LIM. LIAB. CO. (LFP)	125.00	100.00		.00	.00

Receipt

This is not a bill. Please do not remit payment.

HOVEY ENERGY, LLC
4000 N. LINCOLN AVE.
2ND FL
CHICAGO, IL 60618

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted

2196618

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

HOVEY ENERGY, LLC

and, that said business records show the filing and recording of:

Document(s)

Document No(s):

REG. OF FOR. PROFIT LIM. LIAB. CO.

201312301531

Effective Date: 05/03/2013



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 6th day of May, A.D.
2013.

Ohio Secretary of State



Form 533B Prescribed by:
Ohio Secretary of State

JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhioSecretaryofState.gov
Busserv@OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite)
P.O. Box 670
Columbus, OH 43216

Expedite Filing (Two-business day processing
time requires an additional \$100.00).
P.O. Box 1390
Columbus, OH 43216

Registration of a Foreign Limited Liability Company

Filing Fee: \$125

CHECK ONLY ONE (1) BOX

(1) ☒ Registration of a Foreign For-Profit Limited
Liability Company
(106-LFA)
ORC 1705

Jurisdiction of Formation

Date of Formation

(2) ☐ Registration of a Foreign Nonprofit
Limited Liability Company
(106-LFA)
ORC 1705

Jurisdiction of Formation

Date of Formation

Name of Limited Liability Company in its jurisdiction of formation

Name under which the foreign limited liability company desires to transact business in Ohio (if different from its name in its jurisdiction of formation) is:

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd"

The address to which interested persons may direct requests for copies of the limited liability company's operating agreement, bylaws, or other charter documents of the company is:

Name

Mailing Address

City

State

ZIP Code

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

William Sweress

Name

11470 Euclid Ave. Suite #614

Mailing Address

Cleveland

City

Ohio

State

44106-3926

ZIP Code

☒ If the agent is an individual and using a P.O. Box, check this box to confirm that the agent is an Ohio resident.

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the Ohio Secretary of State if:

- a. an agent is not appointed, or
- b. an agent is appointed but the authority of that agent has been revoked, or
- c. the agent cannot be found or served after the exercise of reasonable diligence.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Must be signed by an authorized representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

Signature

By (if applicable)

Robert E. Hovey, Jr.

Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Exhibit B-1 "Jurisdictions of Operation"

Hovey Energy, LLC is licensed as an electric broker in IL, MA, MD, ME, NH, NJ, PA, and RI.

Exhibit B-2 "Experience & Plans"

EXHIBIT B-2

Experience & Plans

Hovey Energy is a telemarketing company that has experience enrolling over 1500 customers in the energy sector and successfully processing over 80 million kilowatt hours. Once a customer decides to enroll, the terms and conditions are explained by our agent and any questions are answered. Then, the customer is transferred to a third party verification company contracted by the supplier. All calls are recorded. The third party company verifies the customer's information and the customer's agreement of the enrollment, including early termination fees, how the billing will appear, the right to rescind without penalty, and a toll free number to call. If the customer does not understand, or clearly agree with the terms of service, the verification is terminated. Hovey Energy's agent answers any further questions for the customer before attempting to re-verify the enrollment. Each verification recording is kept on file by Hovey Energy and the supplier. After the recorded verification is completed, a confirmation code is generated. Lastly, a faxed copy of the customer's bill is faxed or emailed to us and forwarded to the supplier's processing department to confirm the data.

After the call is completed, Hovey Energy enters the customer's information into an online portal system or fills out an "Order Submission Form" with the customer's information and sends each day's submissions to the supplier for processing the enrollment. Once the information has been sent to the supplier, Hovey Energy has completed its primary role. The supplier sends the customer a "Welcome Packet" with the "Terms of Service" containing the rescission period, any early termination fees, and a toll-free number to call in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code. The supplier handles all further correspondence, including customer service inquiries, complaints, cancellations and all billing with the customer. If the customer wishes to speak to the sales agent directly, the customer is also given an additional phone number to call back.

There is no monetary transaction or contract with Hovey Energy that takes place. Hovey Energy simply procures the enrollment on behalf of the supplier and transmits all the data to the supplier for processing the enrollment. From that point on, all correspondence and further communication with the customer occurs directly with the supplier's corporate office. Our company tracks each customer's enrollment for our records and the customer's approximate contract expiration date for renewals.

Hovey Energy plans on marketing in Ohio for more than one supplier in order to provide customers with the widest variety of suppliers and programs available to best suit the customer's needs. Hovey Energy has increased in size from 9 to 18 employees and plans on growing to 30 by mid-2014. We anticipate enrolling an additional 160 million kilowatt hours and 3000 new customers. We plan on 50% of this business occurring in Ohio and estimate 80 million kilowatt hours with 1500 new customers over the next 18-24 months.

Exhibit B-3 "Summary of Experience"

EXHIBIT B-3

Summary of Experience

Hovey Energy, LLC is acting as a broker, and not an aggregator. As of this time, Hovey Energy has procured the enrollment of over 80 million kilowatt hours for its supplier. The average size commercial customer is approximately 40,000 annual kilowatt hours, with the smallest accounts ranging from 2,000 kilowatt hours per year to over 6 million kilowatt usage per year. In the case of the 6 million kWh account, a written contract was drafted by the supplier and sent by Hovey Energy to the customer. Hovey Energy reviewed it with the customer, answered questions, and secured the customer's agreement for the enrollment. This size customer was an exception.

Hovey Energy's President, Robert Hovey is a Marine Corps veteran and the inspirational force behind Hovey Energy. He is passionate about helping others save money because of energy deregulation. With over 25 years of sales and marketing experience, his dynamic selling style and solid leadership has catapulted every organization he has led into major successes. In addition to Robert's particularly strong telemarketing skills, his experience as a top producing energy broker sets Hovey Energy apart from all other call centers. Some of Robert's career highlights include his role as the Chief Marketing Officer of one of the largest automotive direct mail companies in the US. He was a Managing Partner of Rush Hour Events, the number one super sale company in the U.S., as well as the top closer for International Profit Associates, a management consulting firm, listed in Inc. 500's Top Ten Fastest Growing Companies in North America while he was there. He has successfully created and managed several telemarketing companies in a variety of industries.

Over 2 years of preparation specific to the energy industry went into Hovey Energy before it was launched in August 2012. Time was spent speaking with, and learning from, suppliers, marketing companies, and other industry professionals. Prior to Hovey Energy opening its doors, Mr. Hovey called upon commercial and residential customers for two of the top marketing companies in the country. He successfully sold alternative energy solutions, including electricity and natural gas, in the Pennsylvania, New York, and California markets. The average kilowatt and natural gas usage varied depending on the size of the account, but electricity customers typically ranged from 30,000 annual kilowatts to 100,000.

Additionally, Melissa Hovey brings over 20 years of marketing and business operations experience with a full service agency background as Senior Vice President and Partner at Legacy Marketing, as well as a Senior Director at KBA Marketing. Melissa's vast experience and strength in business operations, development skills, strategic analysis of new markets and trends, ensure quantifiable results to ignite Hovey Energy as a top performing organization in the field of energy marketing. She has a long and successful track record of thinking outside the box for creative solutions and creating profitable alliances. Melissa's ability to effectively manage national clients at the highest level, negotiate sophisticated business contracts, coordinate and execute large marketing campaigns, create and manage multi-million dollar budgets, and supervise large numbers of employees and contractors, have all contributed to her extraordinary achievements. Melissa has a Bachelor of Science degree in Business Administration from the University of Illinois at Chicago.

Exhibit B-4 "Disclosure of Liabilities and Investigations"

N/A

Exhibit C-1 "Annual Reports"

N/A - Hovey Energy, LLC is a Limited Liability Company and does not have annual reports

Exhibit C-2 "SEC Filings"

N/A - Hovey Energy, LLC is a private company and does not have SEC filings

Exhibit C-3 "Financial Statements"

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crual Basis

Hovey Energy, LLC

Profit & Loss

January through December 2014

	Jan - Dec 14
Ordinary Income/Expense	
Income	
Commission Income	3,984,915.20
Uncategorized Income	1,000.00
Total Income	3,985,915.20
Cost of Goods Sold	
Leads	2,781.08
Sales Consultant	6,336.22
Telemarketing Employees	
Telemarketing Benefits	21.33
Telemarketing Bonus	209,698.03
Telemarketing Commissions	141,233.84
Telemarketing Payroll Taxes	107,405.00
Telemarketing Salaries	607,047.35
Worker's Compensation Insurance	2,920.75
Total Telemarketing Employees	1,068,326.30
Vidical Hosting	33,162.50
Total COGS	1,110,606.10
Gross Profit	2,875,309.10
Expense	
Advertising and Promotion	22,862.17
Automobile Expense	13,121.15
Bank Service Charges	616.13
Business Licenses and Permits	5,720.49
Charitable Donations	11,000.00
Coffee/Water Club	1,924.75
Computer Expenses	6,853.43
Continuing Education	1,728.75
Employee Training	4,083.55
Insurance Expense	1,032.84
Internet Expenses	3,439.07
Meals and Entertainment	16,537.15
Office Supplies	30,105.07
Payroll Expenses	
Bonus	16,224.00
Commission	21,424.23
Payroll Processing Fee	1,008.25
Taxes	7,608.91
Wages	59,518.43
Total Payroll Expenses	105,783.82
Postage and Delivery	989.43
Professional Fees	
Accounting CPA	9,729.50
Consulting (Health)	960.96
Consulting Fee(Hovey Enterpris)	2,278,331.20
IT Consulting	5,000.00
Legal Fee's	1,050.00
Referral's	6,823.00
Total Professional Fees	2,301,894.66
Rent Expense	49,862.23
Repairs and Maintenance	3,796.21
Security System	1,084.90
Telephone Expense	7,818.07
Travel Expense	22,687.22
Utilities	5,565.89
Website	209.35
Total Expense	2,618,716.33
Net Ordinary Income	256,592.77
Net Income	256,592.77

Hovey Energy, LLC

Two Year Forecast

	ACTUAL	FORECAST	FORECAST
	Jan - Dec 12	Jan - Dec 13	Jan - Dec 14
Ordinary Income/Expense			
Income			
Commission Income	111,566.07	1,252,824.30	1,623,800.00
Total Income	111,566.07	1,252,824.30	1,623,800.00
Cost of Goods Sold			
Leads	956.11	11,801.20	6,600.00
Telemarketing Employees	90,542.68	483,019.11	635,400.00
Vicidial Hosting	6,547.50	25,675.00	35,300.00
Total COGS	98,046.29	520,495.31	677,300.00
Gross Profit	13,519.78	732,328.99	946,500.00
Expense			
Depreciation Expense	20,741.55	6,557.16	12,075.36
Rent Expense	15,276.00	45,828.00	47,202.84
Professional Fees	10,935.00	77,303.25	195,600.00
Office Supplies	9,173.51	21,337.50	22,800.00
Telephone Expense	3,003.15	4,566.79	4,560.00
Advertising and Promotion	2,951.92	7,434.69	7,800.00
All Other Overhead Expenses	12,642.36	67,754.89	134,328.00
Total Expense	74,723.49	230,782.28	424,366.20
Net Ordinary Income	-61,203.71	501,546.71	522,133.80
Net Income	-61,203.71	501,546.71	522,133.80
	Dec 31, 12	Dec 31, 13	Dec 31, 14
ASSETS			
Current Assets			
Total Current Assets	27,141.92	420,694.74	959,903.90
Total Fixed Assets	14,668.31	9,665.60	-7,409.76
Total Other Assets	9,982.66	9,982.66	9,982.66
TOTAL ASSETS	51,792.89	440,343.00	962,476.80
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Total Current Liabilities	10,886.60	0.00	0.00
Total Long Term Liabilities	102,110.00	0.00	0.00
Total Liabilities	112,996.60	0.00	0.00
Equity			
Members Equity (RE)	0.00	-61,203.71	440,343.00
Net Income	-61,203.71	501,546.71	522,133.80
Total Equity	-61,203.71	440,343.00	962,476.80
TOTAL LIABILITIES & EQUITY	51,792.89	440,343.00	962,476.80

Hovey Energy, LLC

Balance Sheet

As of May 31, 2013

	May 31, 13
ASSETS	
Current Assets	
Checking/Savings	
Chase Business Checking	142,433.67
Total Checking/Savings	142,433.67
Other Current Assets	
Payroll Advance	1,087.00
Prepaid Expenses	1,804.00
Total Other Current Assets	2,891.00
Total Current Assets	145,324.67
Fixed Assets	
Accumulated Depreciation	-23,171.44
Computer Equipment	19,693.49
Furniture and Equipment	17,833.54
Signage	1,373.74
Total Fixed Assets	15,729.33
Other Assets	
Security Deposits	9,982.66
Total Other Assets	9,982.66
TOTAL ASSETS	171,036.66
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Credit Cards	
Credit Card at Chase Web Downl	1,755.06
Total Credit Cards	1,755.06
Other Current Liabilities	
Aflac	879.12
Coffee/Water WH	350.00
Payroll Liabilities	
Federal Taxes (941/944)	9,645.31
Federal Unemployment (940)	803.38
IL Income Tax	1,775.54
IL Unemployment Tax	3,720.46
Total Payroll Liabilities	15,944.69
Total Other Current Liabilities	17,173.81
Total Current Liabilities	18,928.87
Long Term Liabilities	
Member Loan	59,327.00
Total Long Term Liabilities	59,327.00
Total Liabilities	78,255.87
Equity	
Members Draw	-30,000.00
Members Equity (RE)	-61,203.71
Net Income	183,984.50
Total Equity	92,780.79
TOTAL LIABILITIES & EQUITY	171,036.66

Exhibit C-4 "Financial Arrangements"

N/A

Exhibit C-5 "Forecasted Financial Statements"

Exhibit C-6 "Credit Rating"

Exhibit C-7 "Credit Report"

Exhibit C-8 "Bankruptcy Information"

N/A

Exhibit C-9 "Merger Information"

N/A

This foregoing document was electronically filed with the Public Utilities

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in

Case No(s). 16-0298-EL-CRS

Summary: Amended Application Revision to application filed on 2/2/2016, which was filed using the incorrect form. electronically filed by Shayna Desai on behalf of Hovey Energy, LLC