

Vorys, Sater, Seymour and Pease LLP Legal Counsel

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Founded 1909

Stephen M. Howard Direct Dial (614) 464-5401 Direct Fax (614) 719-4772 Email smhoward@vorys.com

February 2, 2016

Ms. Barcy F. McNeal, Secretary Public Utilities Commission of Ohio 180 E. Broad St., 11th Floor Columbus, OH 43215-3793

Re:

Case No. 11-5466-EL-CRS

GDF Suez Retail Energy Solutions, LLC d/b/a Think Energy

Change of Name and Change in President and CEO

Dear Ms. McNeal:

Pursuant to Rule 4901:1-24-11 of the Ohio Administrative Code, I am writing to inform you of a recent change in the name of GDF Suez Retail Energy Solutions, LLC d/b/a Think Energy which holds Certificate No. 11-407E(3) from this Commission.

The new name will be ENGIE Retail, LLC d/b/a Think Energy. The new president and CEO of ENGIE Retail, LLC d/b/a Think Energy is Sayun Sukduang.

I am submitting Supplemental Renewal Exhibit A-10 and Supplemental Renewal Exhibit D-3 which supplement the corresponding exhibits filed with the September 21, 2015 Renewal Application and Supplemental Initial Exhibit A-16 which supplements and supersedes Exhibit A-16 filed with the Initial Application on October 14, 2011.

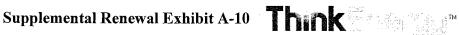
Thank you in advance for your consideration.

Sincerely yours,

Stephen M. Howard

Attorney for ENGIE Retail, LLC d/b/a Think Energy

SMH/jaw Enclosure



#### **OFFICERS & DIRECTORS OF** ENGIE RETAIL, LLC d/b/a THINK ENERGY

ENGIE Retail, LLC d/b/a Think Energy is a wholly-owned subsidiary of GDF SUEZ Energy Resources NA, Inc. The officers and directors of the parent company are:

#### Sayun Sukduang

President & CEO 1990 Post Oak Blvd, Suite 1900 Houston, TX 77056

T: 713-636-0000 F: 713-636-1601

Email: <a href="mailto:sayun.sukduang@gdfsuezna.com">sayun.sukduang@gdfsuezna.com</a>

#### John Henderson

Senior Vice President 1990 Post Oak Blvd, Suite 1900 Houston, TX 77056 T: 713-636-1146

F: 713-636-1601

Email: john.henderson@gdfsuezna.com

#### **Patrick Gaussent**

Vice President, CFO & Treasurer 1990 Post Oak Blvd, Suite 1900 Houston, TX 77056

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Email: patrick.gaussent@gdfsuezna.com

#### Ray Cunningham

Vice President, General Counsel & Secretary 1990 Post Oak Blvd, Suite 1900 Houston, TX 77056

T: 713-636-1980 F: 713-636-1601

Email: ray.cunningham@gdfsuezna.com

#### Cecilia Heilmann

Vice President & Assistant Treasurer 1990 Post Oak Blvd, Suite 1900 Houston, TX 77056

T: 713-636-1127 F: 713-636-1601

Email: Cecilia.heilmann@gdfsuezna.com

## Think

#### Rachel W. Kilpatrick

Vice President & Assistant Secretary 1990 Post Oak Blvd, Suite 1900 Houston, TX 77056

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Email: Rachel.kilpatrick@gdfsuezna.com

#### **Brenda Bayer**

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#### **Graham Leith**

Vice President, Sales 1990 Post Oak Blvd, Suite 1900 Houston, TX 77056

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#### J.D. Burrows

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#### Vikram Kulkarni

Vice President, Solar 1990 Post Oak Blvd, Suite 1900 Houston, TX 77056

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#### Bill Jordan

Vice President, Supply 1990 Post Oak Blvd, Suite 1900 Houston, TX 77056

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Email: bill.jordan@gdfsuezna.com



#### **Douglas Stein**

Vice President, Business Control 1990 Post Oak Blvd, Suite 1900 Houston, TX 77056 T: 713-636-1025

F: 713-636-1025

Email: douglas.stein@gdfsuezna.com

#### Joon Chun

Vice President, Operations 1990 Post Oak Blvd, Suite 1900 Houston, TX 77056

T: 713-636-1269 F: 713-636-1601

Email: joon.chun@gdfsuezna.com

#### Supplemental Renewal Exhibit D-3

#### SAYUN SUKDUANG - President & CEO

Sayun Sukduang is the President and CEO of GDF Suez Energy Resources NA, Inc. (GSERNA), one of the nation's leading energy providers serving residential, commercial and industrial customers and a subsidiary of GDF Suez Energy North America (GSENA), active in the development, acquisition, and operation of electricity and cogeneration facilities, the marketing and sales of products and services, and the import and distribution of natural gas and LNG. Mr. Sukduang joined GSENA in 2000 and has held executive level positions in Mergers and Acquisitions, Energy Infrastructure Development, Commodity Trading, Operations and Engineering. Mr. Sukduang has 20 years of experience in the energy industry and currently serves as a board member of C2C Power, one of Canada's largest renewable energy companies, and TagSur Pipelines, a mid-stream pipeline company in Mexico. Mr. Sukduang holds a bachelor's of science in Mechanical Engineering and a Masters of Business Administration.

#### JOHN HENDERSON - Senior Vice President, Small Customer Business

Mr. Henderson joined GSERNA in October 2012 to focus on strategies for extending the company's commercial and industrial capabilities to the B2C market. Previously, Mr. Henderson served as Vice President, Central Portfolio Management focusing on compliance with the new Dodd-Frank financial reform regulations and hedging strategy and optimization of GSENA electricity, LNG and retail portfolio. Mr. Henderson has served in a broad range of commercial and operational executive roles including retail marketing and operations, COO of Mexico regulated gas distribution and co-generation, North America merchant generation management, and portfolio risk management. Prior to joining GSERNA, Mr. Henderson held energy-related executive positions at The New Power Company, a joint venture between Enron, AOL, GE and IBM to serve residential natural gas and electricity customers, and Enron in North America and the United Kingdom. Mr. Henderson began his career working in New York in investment banking prior to moving to Houston and focusing on natural gas and electricity. In total Mr. Henderson has 25 years of experience focusing energy risk management and marketing. Mr. Henderson holds a Bachelor of Science degree in Electrical Engineering and also Economics from Rice University (with highest distinction) and an MBA degree from The Standard Graduate School of Business. Mr. Henderson is a member of the Global Association of Risk Professionals and is actively involved in advancing competitive policy through numerous gas, electric and retail industry associations.

#### RAY CUNNINGHAM - Vice President, Assistant General Counsel & Secretary

Mr. Cunningham joined GSERNA in October 2012 as its Vice President & Assistant General Counsel where he is responsible for government affairs, regulatory compliance, corporate transactions, litigation, risk management, and all legal matters impacting the retail business. **Mr. Cunningham has over 25** *years* of experience in the energy industry and was most recently Vice President & Assistant General Counsel of the wholesale gas and power business unit of GDF Suez Energy Marketing, NA (GSEMNA). Mr. Cunningham is a graduate of Texas Tech University and South Texas College of Law.

#### **GRAHAM LEITH - Vice President, Sales**

Mr. Leith joined GDF Suez Energy Resources NA, Inc. in May, 2014 as Vice President, Sales. He has over **20** *years* of experience in the energy industry, with **16** *years* in retail energy in the areas of Sales, Marketing, Risk Management and Operations. Most recently, he held the positions of Chief Risk Officer and Vice President & General Manager, Commercial Energy Sales, at AEP Energy. Prior to AEP, Graham held senior positions with Direct Energy & British Gas (Centrica plc), Ontario Power Generation Inc., and Photowatt Solar, in Canada and the United Kingdom. Mr. Leith has also held various energy marketing

and sales roles with PremStar Energy Inc., TransCanada Pipelines, Ltd., and Air Solutions, Inc., in Ontario, Canada. Graham holds a Master of Business Administration from the Schulich School of Business at York University in Toronto, Ontario, Canada and a Bachelor of Business Administration from Wilfrid Laurier University in Waterloo, Ontario, Canada.

#### J.D. BURROWS - Vice President, Marketing

Mr. Burrows rejoined GSERNA in April 2013 as its Vice President of Marketing where he is responsible for lead generation, brand positioning, market analytics and pricing strategies. Prior to rejoining GSERNA, Mr. Burrows worked as the Vice President of Origination & Procurement for GSEMNA where he was responsible for wholesale origination of structured energy products; mid-marketing of energy, capacity, RECs; and procurement of natural gas, fuel oil, coal, transportation and rail capacity; and prior to that he was the Vice President of Strategy & Marketing for GSENA. Before joining GSERNA, Mr. Burrows held positions with Enron in structuring and risk management, and with Dynegy in Northeast market development. He started his career in energy with the Public Utility Commission of Texas, where he served as a witness and staff expert on rate design and fuel factors. Mr. Burrows earned a Bachelor of Science in economics from the University of Texas and a Master of Science in economics from Texas A&M University.

#### **DOUGLAS STEIN - Vice President & Controller, Business Control**

Mr. Stein is responsible for the financial functions, including accounting, performance reporting, planning, settlements and credit. In addition, he heads up the customer billing and account management functions. Prior to GSERNA, Mr. Stein was a Director for GDF SUEZ Generation NA LLC (GSEGNA). Mr. Stein began his career working with PricewaterhouseCoopers before joining GSEGNA. He is a certified public accountant and holds a Bachelor in Business Administration and Masters in Accounting from Trinity University.

#### VIKRAM KULKARNI - Vice President, Solar

Mr. Kulkarni joined GSERNA in June 2003 and is responsible for pricing, and portfolio risk management. Mr. Kulkarni has more than 12 years of experience in the retail energy space within operations, structuring and risk management functions. He was previously with TXU Energy and an associate with Enron Energy Services. Mr. Kulkarni holds a Bachelor of Science degree in Economics from the University of Wisconsin – Madison, and a Master of Science degree in Finance from Boston College.

#### WILLIAM ("BILL") JORDAN - Vice President, Supply

Mr. Jordan joined GSERNA in March 2015 and is responsible for pricing, and portfolio risk management. He has over 20 years of experience and brings a broad background in energy marketing, portfolio management, wholesale generation and retail operations, valuation, risk management, and structuring to the role. He joined GDF Suez in 2004 and has held roles in retail operations and wholesale marketing. Prior to GSERNA, he was Director, ERCOT Portfolio Management and responsible for all commercial operations of the ERCOT generation portfolio including budget year term hedging, and dayahead and real-time optimization. During his career, he held various retail commodity structuring and risk management roles with Centrica, Reliant Resources, Enron, Duke, and Central & Southwest, including developing hedging strategies for retail load obligations and risk management of supply positions. He holds an electric engineering degree from Louisiana Tech.

#### H. JOON CHUN - Vice President, Operations

Mr. Chun joined GSERNA in December 2013 as Vice President, Operations and is responsible for sales support, transaction management, contract validation, process and systems management and information technology impacting the business unit. Mr. Chun joined GSENA in December 2002 as a Director of Retail IT and recently served as a Director of IT for Corporate applications. He has over 20 years of experience working for various oil & gas and retail energy companies within the information technology space. He started his career at ExxonMobil and progressed through various management roles within Shell, Dynegy and Reliant Energy. Mr. Chun holds a degree in Computer Engineering from Baylor University and a Masters in Electrical Engineering from the University of Houston.



Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF

DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT

COPY OF THE CERTIFICATE OF AMENDMENT OF "GDF SUEZ RETAIL ENERGY

SOLUTIONS, LLC", CHANGING ITS NAME FROM "GDF SUEZ RETAIL ENERGY

SOLUTIONS, LLC" TO "ENGIE RETAIL, LLC", FILED IN THIS OFFICE ON

THE TWENTIETH DAY OF NOVEMBER, A.D. 2015, AT 4:40 O'CLOCK P.M.



Authentication: 10475356

Date: 11-23-15

State of Delaware
Secretary of State
Division of Corporations
Delivered 04:40 PM 11/20/2015
FILED 04:40 PM 11/20/2015
SR 20151022220 - File Number 4970172

#### STATE OF DELAWARE CERTIFICATE OF AMENDMENT

IN WITNESS WHEREOF, the undersigned have executed this Certifice	The name o	f the company shall h	pe ENGIE Retail, LLC
IN WITNESS WITTEDFOF the undersigned have everyted this Cartific			
IN WITENESS WITEDEOF the undersigned have everyted this Cartific			
IN WITENESS WITEDEAF the undersigned have everyted this Cartific			
IN MITTATESS WITTEDEOF the undersigned have executed this Cartific			
the 20th day of November, A.D. 201			
ð			
By: Rachel W Kelpa		day of November	, A.D. <u>201</u>

# UNITED STATES OF AMERICA STATE OF OHIO OFFICE OF THE SECRETARY OF STATE

I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show ENGIE RETAIL, LLC, a Delaware For Profit Limited Liability Company, Registration Number 2018277, filed on May 4, 2011, is currently in FULL FORCE AND EFFECT upon the records of this office.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 11th day of January, A.D. 2016.

**Ohio Secretary of State** 

den Anotal

Validation Number: 201601103698

# \*201532901216\*

DATE: 11/25/2015 DOCUMENT ID 201532901216

DESCRIPTION
CORRECT REG./FOREIGN LLC (LFC)

FILING 50.00 100.00

PENALTY

CERT

COPY

Receipt

This is not a bill. Please do not remit payment.

UNISEARCH INC. 3958-D BROWN PARK DR HILLIARD, OH 43026

### STATE OF OHIO CERTIFICATE

#### Ohio Secretary of State, Jon Husted

2018277

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ENGIE RETAIL, LLC

and, that said business records show the filing and recording of:

Document(s)

Document No(s):

CORRECT REG./FOREIGN LLC

201532901216

**Effective Date: 11/24/2015** 



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 25th day of November, A.D. 2015.

You Hustel

Ohio Secretary of State



Form 543B Prescribed by:
Ohio Secretary of State

JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhioSecretaryofState.gov
Busserv@OhioSecretaryofState gov

Mail this form to one of the following:

Regular Filling (non expedite) P.O. Box 1329 Columbus, OH 43216

Expedite Filing (Two-business day processing time requires an additional \$100.00). P.O. Box 1390 Columbus, OH 43216

# Foreign Limited Liability Company Certificate of Correction Filing Fee: \$50 (135-LFC)

The undersigned authorized representative of:	Ç :	20
GDF SUEZ Retall Energy Solutions, LLC		1
Name of limited liability company in Jurisdiction of formation		
Name of limited liability company in Ohio, if different than above		
2018277 Delaware Ohio Registration Number Jurisdiction of Formation	: 1 -	3:10
Only complete sections that apply. (sections to be corrected)		
ENGIE Retall, LLC  Name of limited liability company in jurisdiction of formation		
Name of limited liability company in Ohio		
ENGIE Retail, LLC		7
Name of limited liability company in Ohio must include one of the following words or abbreviations: "limited liability company," "limited" "L.L.C.," "Itd." or "Itd"	d," "LLC."	7
The address to which interested persons may direct requests for copies of any operating agreement, or any bylaws, or other charter documents of the company is:		
1990 Post Oak Blvd., Suite 1900 Mailing Address		]
Houston TX 7705	 R	٦
City State ZIP C		→ .   

omplete the information in th	als section if the limited liability company wants to correct the a statutory agent
The limited liability company he company may be served in the	ereby appoints the following as its agent upon whom process against the limited liability state of Ohio. The name and complete address of the agent is
Name	
Mailing Address	
	Ohio
City	State ZIP Code
c. the agent cannot be for a signing and submitting this as the requisite authority to e	but the authority of that agent has been revoked, or bund or served after the exercise of reasonable diligence.  s form to the Ohio Secretary of State, the undersigned hereby certifies that he or
ust be signed by an uthorized representative.	Signature W My factorial
authorized representative an Individual, then they ust sign in the "signature" ox and print their name the "Print Name" box.	
	By (if applicable)
	Rachel W. Kilpatrick, Vice President
authorized representative a business entity, not an idividual, then please print ne business name in the signature" box, an	Print Name
uthorized representative f the business entity	Cimphus
nust sign in the "By" box nd print their name in the	Signature
Print Name" box.	

By (if applicable)

Print Name

# \*201532901218\*

DATE: 11/25/2015 DOCUMENT ID DESCRIPTION 201532901218 TRADE NAME/

DESCRIPTION
TRADE NAME/ASSIGNMENT (RNA)

FILING 25.00 EXPED 100.00 PENALTY

CERT

COPY

#### Receipt

This is not a bill. Please do not remit payment.

UNISEARCH INC. 3958-D BROWN PARK DR HILLIARD, OH 43026

### STATE OF OHIO CERTIFICATE

# Ohio Secretary of State, Jon Husted 2040658

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

#### THINK ENERGY

and, that said business records show the filing and recording of:

Document(s)

Document No(s):

TRADE NAME/ASSIGNMENT

201532901218

**Effective Date: 11/24/2015** 

**Expiration Date:** 

08/15/2016

ENGIE RETAIL, LLC

1990 POST OAK BLVD., SUITE 1900

HOUSTON, TX 77056



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 25th day of November, A.D. 2015.

Jon Hustel
Ohio Secretary of State



Form 524A Prescribed by:

Jon Husted Ohio Secretary of State

Toll Froe: (877) SOS-FILE (877-767-3453)
Contral Ohio: (614) 486-3010
www.OhioSocretaryo/Stala.gov
bussorv@OhioSocretaryo/Stala.gov

File online or for more information: www.OHBusinessCentral.com

Mail this form to one of the following:

Hogular Filing (non expedite)
P.O. Box 700
Columbus, Oil 43218

Explosive Filing (five burdiness day processing time.
Requires an additional \$100,00)
P.O. Box 1390
Columbus, Oil 43218

## Trade Name or Fictitious Name Registration Update Filing Fee: \$25

CHECK ONLY ONE (1) BOX				
(1) Assignment of Registered Name (116-RNA)  (3) Change of Address for Registrant (176-RNB)		(2) Change of Nature of Business (999-GEN)		
		(4) Cancellation of F (184-RNX)	Registration	
(5) Change of Partners of Regis is a General Partnership (999-GEN)	strant, if Registrant			
Trade Name or Fictitious Name	THINK ENERGY			
Registration Number	: 2040655			<b>}</b>
Name of Current Registrant	GDF SUEZ Retall Ene	rgy Solutions, LLC		
Complete the information in this s	section if box (1) i	checked above		<u>-</u>
		d		
New Registrant's Name ENGIE	tetail, LLC		, june	
May Davidenth Obaday Davident	- w //	in Ohio (is a disable)		
New Registrant's Charter/Registrati	On/License Mumbe	III Offic (II applicable)	_ <del></del>	<u></u>
New Registrant's Address				
Mailing Address 1990 Post Oak Blvd.,	Suite 1900			
City Houston	State	X ZIP Code	77056	
If new registrant is a General Partner address of at least one general part		ed in Ohlo pursuant to ORC 1776, plea	ase provide the name and	
livaille	· · · · · · · · · · · · · · · · · · ·	Addiess		
Note: Pursuant to OAG 89-081, if a transact business in Ohio.	general partner is a	foreign corporation/limited liability con	npany, it must be licensed t	to

Complete the information in	n this section if box (2) is checked	
The general nature of busines	ss conducted by the registrant:	
And the second s		
Complete the information in	n this section if box (3) is checked	
Registrant's New Address		
Mailing Address		
City	State ZIP Code	
No additional information r	equired if box (4) is checked above. Please sign form below.	
Complete the information is	this section if box (5) is checked	
New General Partner(s) name	• •	
Name	Address	
		177,4
Note: Pursuant to OAG 89-0	081, if a general partner is a foreign corporation/limited liability comess in Ohio.	pany, it must be
By signing and submitting this requisite authority to execute	s form to the Ohio Secretary of State, the undersigned hereby certifies the this document,	at he or she has the
Required	Rachio W K. O woduch	7
Application must be signed by the registrant	Signature W Kulptotuck	1
or an author <b>ized</b> representative.		]
If authorized representative	By (if applicable)	4
is an individual, then they must sign in the "signature"	Rachel W. Kilpatrick, Vice President	]
box and print their name in the "Print Name" box.	Print Name	
	s a business entity, not an individual, then please print the business name ative of the business entity must sign in the "By" box and print their name	
Form 524A	Page 2 of 2	Last Revised: 9/10/13

This foregoing document was electronically filed with the Public Utilities

**Commission of Ohio Docketing Information System on** 

2/2/2016 10:59:42 AM

in

Case No(s). 11-5466-EL-CRS

Summary: Notice Notice of Change of Name and Change in President and CEO electronically filed by Mr. Stephen M Howard on behalf of GDF SUEZ Retail Energy Solutions, LLC d/b/a Think Energy