

FILE

11-5838-EL-AGG

30



Tuesday, December 8, 2015

Public Utilities Commission of Ohio  
Docketing Division  
180 East Broad Street  
Columbus, Ohio 43215-3793

To Whom It May Concern:

Please find enclosed one original and three copies of our renewal application to continue operations as an aggregator/power broker.

Should any questions arise, I can be contacted at (678) 687-0999 or jim@avionenergy.com.

Thank you,

Jim Charron, Vice President of Operations

RECEIVED-DOCKETING DIV  
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Technician JE Date Processed DEC 11 2015



## Public Utilities Commission

Original AGG Case Number	Version
<del>42-422E</del> -EL-AGG	August 2004

### RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.**

#### A. RENEWAL INFORMATION

##### A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Avion Energy Group, LLC  
Address 1475 Buford Drive, Suite 403-186, Lawrenceville, GA 30043  
PUCO Certificate # and Date Certified 12-422E (2) on January 27, 2014  
Telephone # (678) 697-7717 Web site address (if any) www.avionenergy.com

##### A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Avion Energy Group, LLC  
Address 1475 Buford Drive, Suite 403-186, Lawrenceville, GA 30043  
Telephone # (678) 697-7717 Web site address (if any) www.avionenergy.com

##### A-3 List all names under which the applicant does business in North America

Avion Energy Group, LLC  
Avion Energy

##### A-4 Contact person for regulatory or emergency matters

Name James (Jim) R. Charron  
Title Executive Vice President  
Business address 1475 Buford Drive, Suite 403-186, Lawrenceville, GA 30043  
Telephone # (678) 687-0999 Fax # (770) 825-9012  
E-mail address (if any) jim@avionenergy.com

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name James (Jim) R. Charron

Title Executive Vice President

Business address 1475 Buford Drive, Suite 403-186, Lawrenceville, GA 30043

Telephone # (678) 687-0999

Fax # (770) 825-9012

E-mail address (if any) jim@avionenergy.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 1475 Buford Dr, Ste 403-186, Lawrenceville, GA 30043

Toll-free Telephone # (877) 712-8466

Fax # (770) 825-9012

E-mail address (if any) info@avionenergy.com

**A-7 Applicant's federal employer identification number # 273095721**

**A-8 Applicant's form of ownership (check one)**

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other \_\_\_\_\_

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-9 Exhibit A-9 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

**A-10 Exhibit A-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

**B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

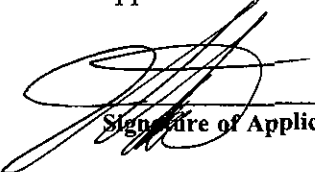
**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3** **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

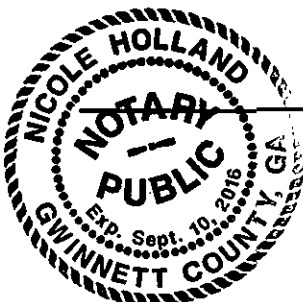
 President  
Signature of Applicant & Title

Sworn and subscribed before me this 8 day of December, 2015  
Month Year

  
Signature of official administering oath

Nicole Holland  
Print Name and Title

My commission expires on Sept. 10, 2016



# **AFFIDAVIT**

State of Georgia :

Lawrenceville ss.  
(Town)

County of Gwinnett :

Joseph Mittiga, Affiant, being duly sworn/affirmed according to law, deposes and says that:


He/She is the President (Office of Affiant) of Avion Energy Group, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

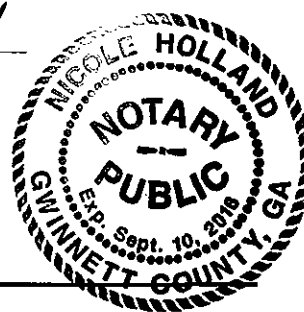
 Drosdet  
Signature of Affiant & Title

Sworn and subscribed before me this 8 day of December, 2015  
Month Year

  
Signature of official administering oath

Nicole Holland  
Print Name and Title

My commission expires on Sept. 10, 2016



## **Exhibit A-9 "Principal Officers, Directors and Partners"**

### President

Joseph (Joe) M. Mittiga  
295 Leatherwood Court  
Lawrenceville, GA 30043  
678-665-8177

### Executive Vice President

James (Jim) R. Charron  
1264 Riverloch Way  
Lawrenceville, GA 30043  
678-687-0999

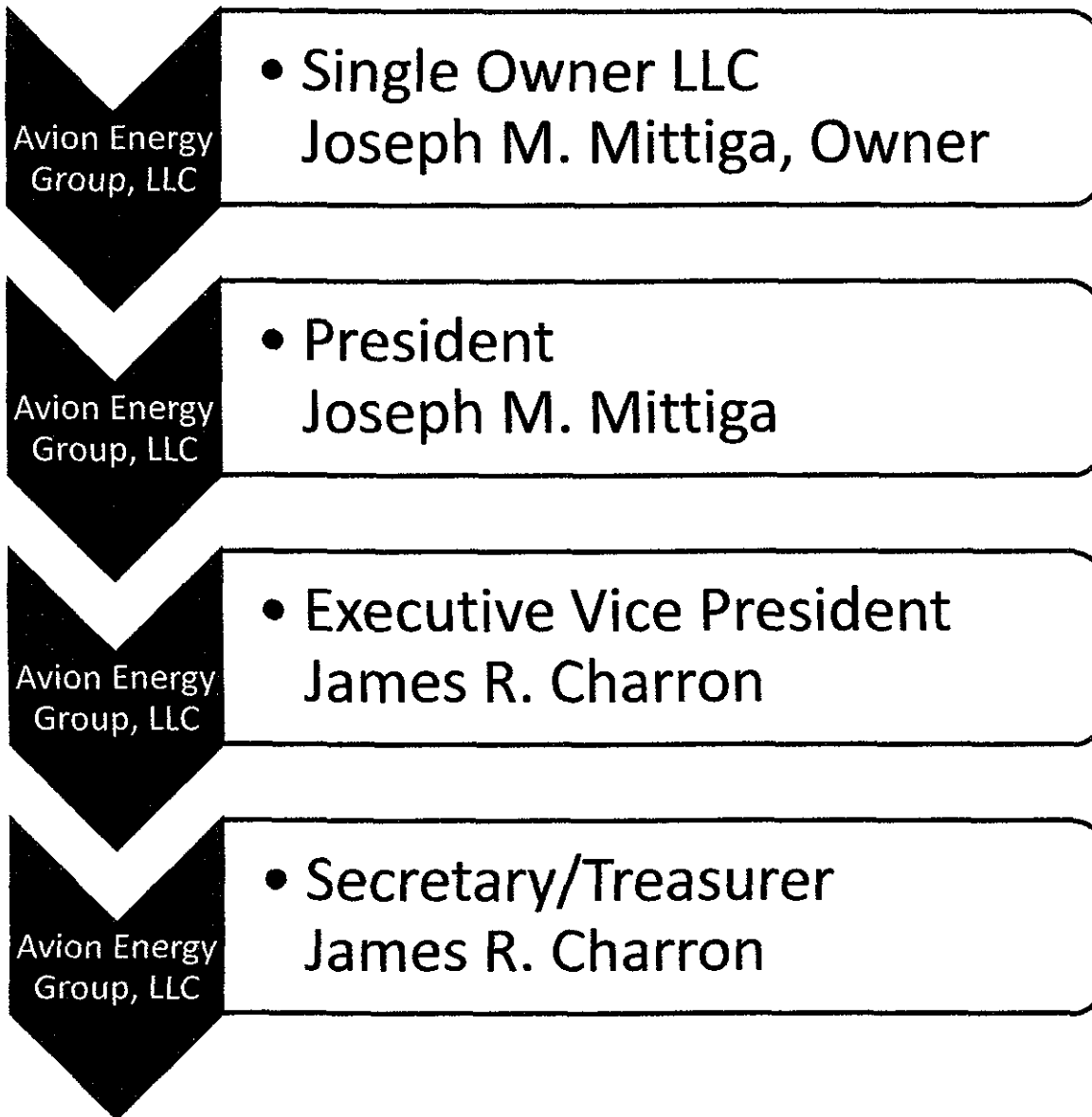
### Secretary/Treasurer

James (Jim) R. Charron  
1264 Riverloch Way  
Lawrenceville, GA 30043  
678-687-0999



### **Exhibit A-10 "Corporate Structure"**

Avion Energy Group, LLC was incorporated in the State of Georgia on July 22, 2010 as a single member LLC. Two individuals were appointed to manage the LLC.



## **Exhibit B-1 “Jurisdictions of Operation”**

Avion Energy Group is authorized to provide retail or wholesale electric services including aggregation services as follows:

### **Ohio**

*Aggregator/broker of electricity under license number 12-422E(2)*

*Aggregator/broker of natural gas under license number 13-328G(1)*

### **Delaware**

*Broker of electricity under Docket #13-338, Order #8451*

### **Illinois**

*Aggregator/broker of electricity under Docket # 13-0513*

### **Maine**

*Broker of natural gas under registration (listing available at  
[http://www.maine.gov/mpuc/natural\\_gas/gas\\_licensees.shtml](http://www.maine.gov/mpuc/natural_gas/gas_licensees.shtml))*

### **Maryland**

*Aggregator/broker of electricity under license number IR-3185*

*Aggregator/broker of natural gas under license number IR-3184*

### **Massachusetts**

*Broker of electricity under license number EB-269*

*Retail Agent for natural gas under license number RA-107*

### **New Jersey**

*Energy agent of electricity under registration number EA-0239*

*Private aggregator of electricity under registration number PA-0137*

### **Pennsylvania**

*Broker/Marketer of electricity under Docket Number A-2013-2396660*

Avion Energy Group does business in the following states that do not require licensure or registration:

Arizona

California

Colorado

Connecticut

Florida

Georgia

Michigan

Nevada

New York

Rhode Island

Texas

Avion Energy Group has had no complaints.

## **Exhibit B-2 “Experience & Plans”**

### **Experience**

Avion Energy Group was formed in July 2010, and began serving customers in September of 2010.

Avion Energy has assisted electricity and natural gas consumers to find best pricing and switch to a different energy provider. Our customer base is diverse, mostly comprised of small to medium sized energy consumers covering a broad base of industries.

As of July 2015, Avion Energy has 66 contractual relationships with energy providers licensed to do business in 71 electric utilities and 151 natural gas utilities.

### **Plans**

The strategy deployed by Avion Energy includes:

- Apply for licensing in applicable deregulated energy states.
- Create relationships with licensed energy providers in every deregulated state.
- Recruit and train sales agents in all deregulated states.

Many consumers of electricity and natural gas are approached by a marketer/broker representing one energy provider. Avion Energy’s approach is more consumer focused. We represent the consumer by gathering their historic usage data and then shopping their energy consumption among the energy providers. We then provide our findings and assist them should they decide to make a switch.

It is our intent to seek agreements with all the licensed energy providers in the State of Ohio. We will offer their pricing in an apple to apples comparison. We will point out differences in contracts to better meet our clients’ needs and concerns.

Avion Energy will not take possession of the energy but will connect the energy consumer to a licensed energy provider. The responsibilities addressed in Chapter 4901:1-24 of the Ohio Administrative Code, Chapter 4901:1-21 of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code concerning the billing of consumers and customer inquiries and complaints will be met by the licensed energy provider. Avion Energy will not charge the consumer for its services. Avion Energy will be compensated by a broker fee paid by the seller (comparable to a buyer’s agent in a real-estate transaction).

In order to establish long term relationships with our clients, our agents are trained to provide information, assist in educating the consumer, and follow up regularly with them handling all consumer inquiries and assisting with any complaints they may have with their energy provider.

### **Exhibit B-3 “Disclosure of Liabilities and Investigations”**

There are no existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact Avion Energy Group’s financial or operational status or ability to provide the services it is seeking to be certified to provide.

### **Exhibit C-1 “Annual Reports”**

Exhibit C-1 is not applicable. Avion Energy Group, LLC is a single member Limited Liability Company; it has no shareholders and is not required to file an annual report to shareholders.

## **Exhibit C-2 “SEC Filings”**

Avion Energy Group, LLC is a privately held company and not subject to SEC Filings.  
There is no parent company subject to SEC Filings.

### **Exhibit C-6 “Credit Rating”**

Avion Energy Group, LLC has not been in business long enough for Dun and Bradstreet to establish a Credit Rating. However, a Credit Report is attached in Exhibit C-7.

## **Exhibit C-7 "Credit Report"**

Avion Energy Group, LLC submits two Credit Reports following this cover sheet:

- Comprehensive Report by Dun and Bradstreet
- Commercial Credit Report by Equifax



## AVION ENERGY GROUP, LLC

D-U-N-S® 05-654-0076

Single  
295 Leatherwood Ct.  
Lawrenceville, GA 30043

Phone 678 697-7717

[jim@avionenergy.com](mailto:jim@avionenergy.com)

Purchase Date: 09/29/2015

Last Update Date: 07/04/2015

Attention: dc

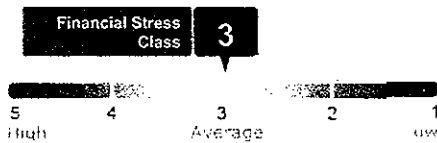
### Executive Summary

#### Company Info

Year Started 2010  
Employees 5

#### Predictive Analytics

##### Financial Stress Class



The Financial Stress Class of 3 for this company shows that firms with this class had a failure rate of 0.24% (24 per 10,000).

Financial Stress Class 3  
Financial Stress Score 1,502  
Highest Risk 1,001  
Lowest Risk 1,875

##### Credit Score Class

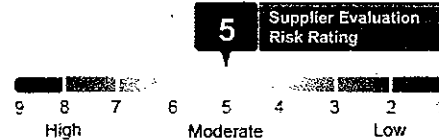


The Credit Score class of 3 for this company shows that 5.80% of firms with this classification paid one or more bills severely delinquent.

Credit Score Class 3  
Credit Score 521  
Highest Risk 101  
Lowest Risk 670

#### Supplier Evaluation Risk Rating

Risk Rating

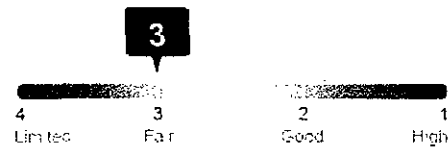


#### D&B Rating

D&B Rating

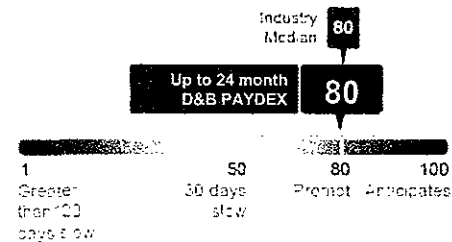
2R3

#### Composite Credit Appraisal



#### D&B PAYDEX®

##### Up to 24 month D&B PAYDEX



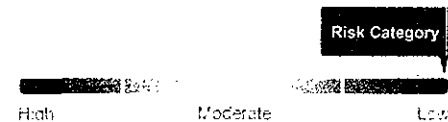
#### D&B Viability Rating

D&B Viability Rating



#### Credit Limit Recommendation

Risk Category

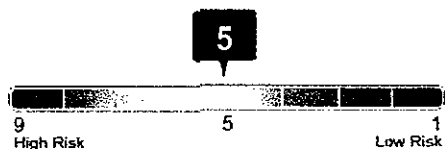


Conservative Credit Limit \$2,500

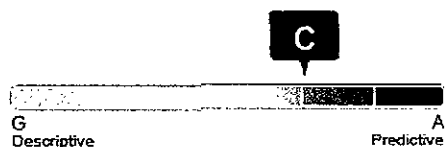
Aggressive Credit Limit \$10,000

Portfolio Comparison

5



Data Depth Indicator



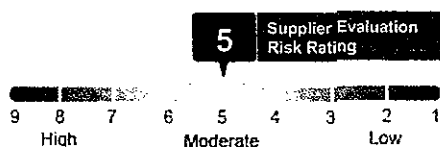
Company Profile

Financial Data Trade Payments Company Size Years in Business

Not Available Available (3+Trade) Small Established

## Supplier Risk Data

### Supplier Evaluation Risk Rating



### Probability of Ceased Operations/Becoming Inactive

The probability of ceased operations/becoming inactive indicates what percent of U.S. businesses is expected to cease operations or become inactive over next 12 months.

Probability of Supplier Ceased Operations/Becoming Inactive	5.4%
Average Probability of Supplier Ceased Operations/Becoming Inactive	5.6%
% of US Businesses with SER Rating	18%

### Supplier Risk Score Analysis

Key Commentary

The business has a Supplier Evaluation Risk (SER) Rating that shows:

- Higher risk industry based on inactive rate for this industry
- Limited time under present management control
- Limited business activity signals reported in the past 12 months

## Business Information

## Business Summary

SIC 7389  
Business services

NAICS 541990  
All Other  
Professional,  
Scientific, and  
Technical  
Services

History Status CLEAR

## Credit Capacity Summary

D&B Rating

2R3

Composite Credit Appraisal

3



Prior D&B Rating 2R3

Rating Date 03/16/2015

Payment Activity  
(based on 4 experiences) USD

Average High Credit \$6,700

Highest Credit 20,000

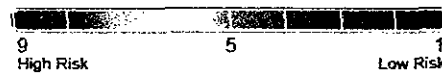
Total Highest Credit 20,150

## D&B Viability Rating

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will no longer be in business within the next 12 months.

Viability Score

4



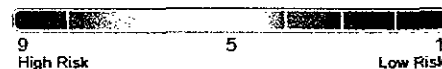
Compared to All US Businesses within D&B Database:

- Level of risk: Low Risk
- Businesses ranked 4 have a probability of becoming no longer viable: 5%
- Percentage of businesses ranked 4: 14%
- Across all US businesses, the average probability of becoming no longer viable: 14%

5

Portfolio Comparison

5



Compared to all Businesses within the same MODEL SEGMENT:

Model Segment: Established Trade Payments

- Level of risk: Moderate Risk
- Businesses ranked 5 within this model segment have a probability of becoming no longer viable: 5%
- Percentage of businesses ranked 5 within this model segment: 11%
- Within this model segment, the average probability of becoming no longer viable: 5%

Data Depth Indicator

C



Data Depth Indicator Details:

- ✓ Rich Firmographics
- ✓ Extensive Commercial Trading Activity
- ✗ No Financial Attributes

Greater data depth can increase the precision of the D&B Viability Rating assessment.

You have the ability to influence the confidence of the viability assessment by asking the business to report more information to D&B at <https://iupdate.dnb.com/iUpdate/>

## Company Profile

Financial Data	Trade Payments	Company Size	Years in Business
Not Available	Available (3+Trade)	Small	Established

### Company Profile Details:

- Financial Data: **Not Available**
- Trade Payments: **Available** (3+Trade)
- Business Size: **Small** (Employees: <10 and Sales: <\$10K or Missing)
- Years in Business: **Established** (5+)

## Business History

Officers **JOSEPH MITTIGA, MNG MBR**

As of 07/04/2015

The Georgia Secretary of State's business registrations file showed that Avion Energy Group, LLC was registered as a limited liability company on July 22, 2010.

Ownership information provided verbally by Joseph Mittiga, Mng Mbr, on Mar 09 2011.

Business started 2010.

JOSEPH MITTIGA. 2010-present active here.

## Government Activity Summary

Activity Summary	Possible candidate for socioeconomic program consideration				
Borrower	No	Labor Surplus Area	N/A	Small Disadvantaged	No
Administrative Debt	No	Small Business	YES (2015)	HUB-Zoned Certified	No
Grantee	No	Women Owned	N/A	Historically Under Utilized	No
Party Excluded from Federal Programs	No	Minority Owned	N/A	Veteran Owned	No
Public Company	N/A	Disadvantaged Business Enterprise	No	Vietnam Veteran Owned	No
Congressional District	10, 07	Ethnicity Classification	N/A	Disabled Owned	No
Contractor	No			Historical College Classification	N/A
Importer/Exporter	N/A				

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

## Operations Data

As of 07/04/2015

Description: Operates business services, specializing in energy brokers' services (100%).

Terms are undetermined. Sells to commercial concerns.

Employees: 5 which includes partners.

Facilities: Rents 1,500 sq. ft. in on 1st floor of a two story building.

Location: Central business section on main street.

## Industry Data

SIC		NAICS	
Code	Description	Code	Description
73891700	Brokers' services	541990	All Other Professional, Scientific, and Technical Services

## Federal Information

### Reported US Government Contract Actions

After a search of our files, we find that no government activity has been reported in this section.

### Reported Federal Loans and Loan Guarantees

After a search of our files, we find that no government activity has been reported in this section.

### Claims, Fees, Fines, Overpayments, Penalties and Other Misc. Reported Debts to Federal Agencies

After a search of our files, we find that no government activity has been reported in this section.

### Reported Party Excluded From Federal Program(s)

After a search of our files, we find that no government activity has been reported in this section.

### Reported U.S. Government Grants Awards

After a search of our files, we find that no government activity has been reported in this section.

## Financial Statements

### Key Business Ratios (Based on 14 establishments)

D&B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance. To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

	This Business	Industry Median	Industry Quartile
Profitability			
Return on Sales	UN	6.4	UN
Return on Net Worth	UN	8.7	UN
Short Term Solvency			
Current Ratio	UN	2.0	UN
Quick Ratio	UN	0.7	UN
Efficiency			
Assets Sales	UN	307.0	UN
Sales / Net Working Capital	UN	3.6	UN
Utilization			
Total Liabs / Net Worth	UN	117.0	UN

### Most Recent Financial Statement

As of 07/04/2015

Repeated attempts to contact business were unsuccessful.

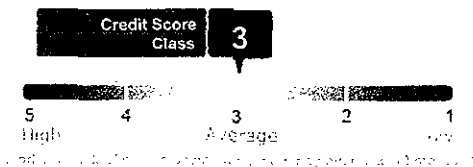
## Indicators

A check of D&B's public records database indicates that no filings were found for AVION ENERGY GROUP LLC, 295 Leatherwood Ct, Lawrenceville, GA. D&B's extensive database of public record information is updated daily to ensure timely reporting of changes and additions. It includes business-related suits, liens, judgments, bankruptcies, UCC financing statements and business registrations from every state and the District of Columbia, as well as select filing types from Puerto Rico and the U.S. Virgin Islands. D&B collects public records through a combination of court reporters, third parties and direct electronic links with federal and local authorities. Its database of U.S. business-related filings is now the largest of its kind.

## Commercial Credit Score

### Summary

Credit Score Class



### Incidence of Delinquent Payment

Among Companies with This Class	5.80%
Average Compared to All Businesses	10.20%
Credit Score Percentile	65
Credit Score	521
Number of Payment Experiences	4

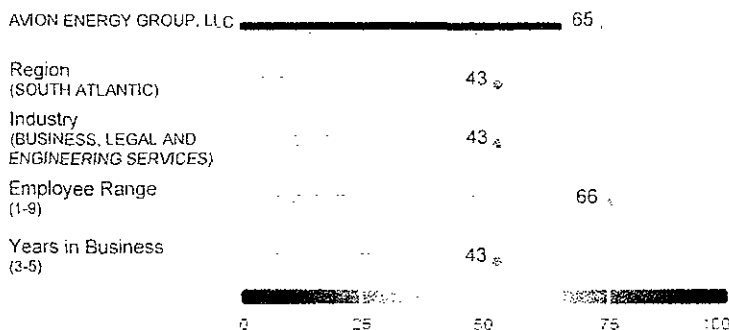
### Key Factors

- Limited number of satisfactory payment experiences
- Higher risk industry based on delinquency rates for this industry
- Higher risk region based on delinquency rates for this region
- Limited business activity signals reported in the past 12 months
- Limited time under present management control
- Decreasing trend in reported number of payment experiences

### Notes:

- The Credit Score Class indicates that this firm shares some of the same business and payment characteristics of other companies with this classification. It does not mean the firm will necessarily experience delinquency.
- The Incidence of Delinquent Payment is the percentage of companies with this classification that were reported 91 days past due or more by creditors. The calculation of this value is based on D&B's trade payment database.
- The Credit Score Percentile reflects the relative ranking of a firm among all scorable companies in D&B's file.
- The Credit Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

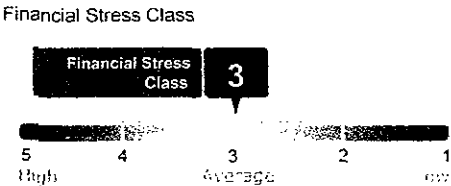
### Credit Score Percentile Norms Comparison



- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

## Financial Stress Score

Summary



Financial Stress Score Percentile

Financial Stress National Percentile	64
Financial Stress Score	1502
Probability of Failure with This Score	0.24%
Failure per 10K	24/10,000
Average Failure Rate within D&B database	0.48%
Failure per 10K	48/10,000
Number of Payment Experiences	4

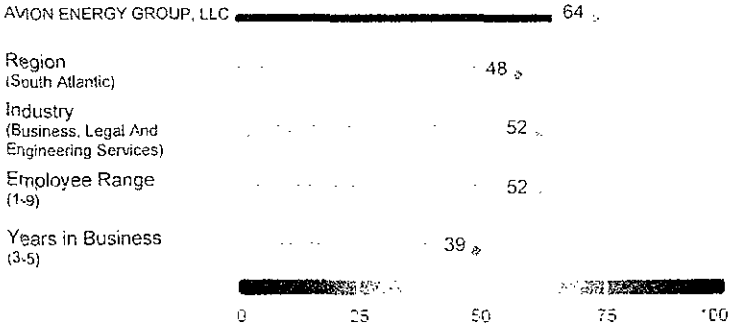
Key Factors

- Limited time under present management control
- Higher risk legal structure.

Notes:

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The probability of failure shows the percentage of firms in a given percentile that discontinue operations with loss to creditors. The average probability of failure is based on businesses in D&B's database and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&B's file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

Financial Stress Percentile Comparison



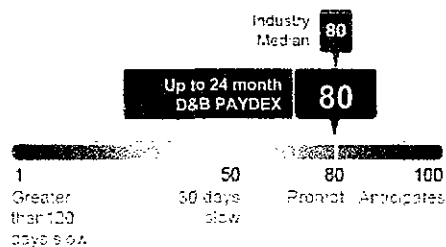
- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

Advanced Paydex + CLR

D&B PAYDEX®

Shows the D&B PAYDEX scores as calculated up to 3 months and up to 24 months of payment experiences.

#### Up to 24 month D&B PAYDEX



- High risk of late payment (average 30 to 120 days beyond terms)

Medium risk of late payment (average 30 days or less beyond terms)

- Low risk of late payment (average prompt to 30+ days sooner)

When weighted by dollar amount, payments to suppliers average generally within terms. Based on payments collected up to 24 months.

When weighted by dollar amount, the industry average is **GENERALLY WITHIN** terms.

Payment Trend	unchanged *	Total Payment Experiences for the HQ	4	Highest Now Owing	\$0
Payments Within Terms	100%	Total Placed for Collection	0	Highest Past Due	\$0
Average High Credit	\$6,700	Largest High Credit	\$20,000		

\* compared to payments three months ago

#### Credit Limit Recommendation

Risk Category



Recommendation Date

09/29/2015

Conservative Credit Limit

\$2,500

Aggressive Credit Limit

\$10,000

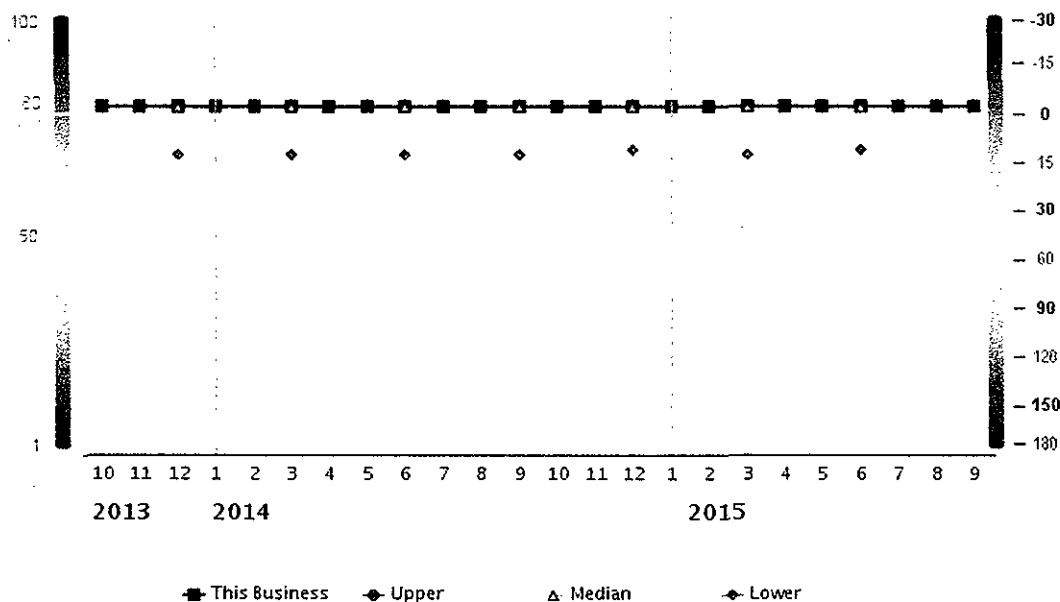
Key Factor

Risk is assessed using D&B's scoring methodology and is one factor used to create the recommended limits

#### PAYDEX Yearly Trend

Shows PAYDEX scores of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Business services, based on SIC code 7389.





This Business	80	80	80	80	80	80	80	80	80	80	80	80	80	80	80	80	80	80	80	80	80	80
Industry Quartiles																						
Upper		80		80		80		80		80		80		80		80		80		80		80
Median		80		80		80		80		80		80		80		80		80		80		80
Lower		69		69		69		69		69		70		69		70		69		70		70

Note

- Current PAYDEX® for this Business is 80, or equal to generally within terms.
- The 24 month high paydex is 80.0, or equal to GENERALLY WITHIN terms.
- The 24 month low paydex is 80.0, or equal to GENERALLY WITHIN terms.
- Industry upper quartile represents the performance of the payers in the 75th percentile.
- Industry lower quartile represents the performance of the payers in the 25th percentile.

Payment Habits

Credit Extended	% of Payments Within Terms	No. of Payment Experiences	Total Amount USD
Over \$100,000	0%	0	\$0
50,000-100,000	0%	0	0
15,000-49,999	100% <div></div>	1	20,000
5,000-14,999	0%	0	0
1,000-4,999	0%	0	0
Under 1,000	100% <div></div>	2	100

Based on up to 24 months of payments

Payment Summary

The Payment Summary section reflects payment information in D&B's file as of the date of this report.

There are 4 payment experiences in D&B's file, with 1 experiences reported during the last three month period. The highest Now Owes on file is \$0. The highest Past Due on file is \$0.

Industries	Total Received	Total Amounts	Largest High Credit	Within Terms (%)	Days Slow (%)			
					0-30	31-60	61-90	90+
Lithographic printing	2	\$100	\$50	100	0	0	0	0
Natural gas distrib	1	20,000	20,000	100	0	0	0	0

Other Payment Categories

Category	Total Received	Total Dollar Amounts	Largest High Credit
Cash experiences	1	\$50	\$50
Payment record unknown	0	0	0
Unfavorable comments	0	0	0
Placed for Collection	0	0	0

**Detailed Payment History**

Date Reported	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last Sale within(months)
July 2015	(001)	\$50	\$0	\$0	Cash account	1
August 2014	Ppt	50	0	0	N/A	1
	Ppt	50	0	0	N/A	4-5
December 2013	Ppt	20,000	0	0	N/A	6-12

Lines shown in red are 30 or more days beyond terms

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

# EQUIFAX Commercial Credit Report

## BUSINESS AT A GLANCE

Confirmation # 3238034809

### COMPANY IDENTIFICATION

Inquiry Made On : 08/26/2013

EFX ID Number : 644023611

#### AVION ENERGY GROUP, LLC

1475 Buford Dr Ste 403-186

Lawrenceville, GA 30043-3798

Tax Id Number : XXXXX\*

### PUBLIC RECORDS SUMMARY

Type	#	Most Recent Date Filed
Bankruptcies	0	None Reported
Judgements	0	None Reported
Liens	0	None Reported

### FILE HIGHLIGHTS

#### Overall File Content

	Financial	Non Financial
Number Of Accounts	2	0
Credit Active Since	01/02/2013	None Reported
Number Of Charge Offs	0	0
Total Past Due	None Reported	
Most Severe Status	Current	None Reported
Single Highest Credit Extended	\$ 15,000	None Reported
Total Current Credit Exposure	\$ 20,000	None Reported

## CUSTOMER SERVICE

If you have any questions regarding information contained in this report, please contact:

Equifax Commercial Solutions, P.O. Box 740249, Atlanta, GA 30374-0249 or Telephone: 1-800-727-8495.

## NOTICE

For security reasons, a portion of displayed account numbers have been suppressed on this report.

The suppressed portion of the account number is indicated with an asterisk ( \* ).

The last 4 digits of Tax Id numbers have been masked with an asterisk ( \* ).

# DETAILED ACCOUNT INFORMATION

Commercial card 1659\*6726

## < Account Highlights >

Account Number	1659*6726	Type Of Account	Commercial card	Current Status	Current	Date Reported	07/31/2013	Date Opened	01/02/2013
Date Closed	Reason Closed			High Credit/Orig Loan Amt	\$ 0	Current Credit Limit	\$ 5,000	Total Balance	\$ 0
Payment Periods	24	Paid On Time	0	Count 1-30 Days	0	Count 31-60 Days	0	Count 61-90 Days	0
				Count 91-120 Days	0	Count Over 120 Days	0	Past Due Amount	\$ 0

Account Comments: None Reported

## < Account Details >

Contributor Information	Active	Yes	Maturity/Expiration Date		Payment Amount	\$ 0	Payment Frequency	Monthly	Payment Type	Principal and Interest	Last Payment Amount	Last Payment Date	Original Credit Limit
Financial	Collateral Information				Charge Off Date		Charge Off Amount		Charge Off Source				Charge Off Recoveries
Unsecured													
Num of Guarantors	Govt Guaranteed	No	Government Guaranteed Program		Govt Guaranteed %	50 %	Liability Type	Limited liability corporation					

## < Current Trade And History >

None Reported

Open ended credit line 1659\*6176

## < Account Highlights >

Account Number	1659*6176	Type Of Account	Open ended credit line	Current Status	Current	Date Reported	07/31/2013	Date Opened	01/04/2013
Date Closed	Reason Closed			High Credit/Orig Loan Amt	\$ 14,680	Current Credit Limit	\$ 15,000	Total Balance	\$ 14,243
Payment Periods	24	Paid On Time	0	Count 1-30 Days	0	Count 31-60 Days	0	Count 61-90 Days	0
				Count 91-120 Days	0	Count Over 120 Days	0	Past Due Amount	\$ 0

Account Comments: None Reported

## < Account Details >

Contributor Information	Active	Yes	Maturity/Expiration Date		Payment Amount	\$ 287	Payment Frequency	Monthly	Payment Type	Principal and interest	Last Payment Amount	Last Payment Date	Original Credit Limit
Financial	Collateral Information				Charge Off Date		Charge Off Amount		Charge Off Source				Charge Off Recoveries
Unsecured													
Num of Guarantors	Govt Guaranteed	No	Government Guaranteed Program		Govt Guaranteed %	50 %	Liability Type	Limited liability corporation					

### **Exhibit C-8 “Bankruptcy Information”**

There have been no reorganizations, protection from creditors or any other form of bankruptcy filings by Avion Energy Group, LLC in the current year or within the two most recent years preceding the application.

There is no parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant.

### **Exhibit C-9 “Merger Information”**

Avion Energy Group, LLC is a newly formed Limited Liability Company; it has not been a party to any dissolution or merger or acquisition within the five most recent years preceding the application.