Ohio Public Utilities Commission

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Original ACG	Version
Case Number	
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RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form You may also download the form, by saving it to your local disk, for later use.

A. <u>RENEWAL INFORMATION</u>

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Covertney Norton Address 107811 STF 213 CHabrin Blvd SHaker heights, 0#44420 PUCO Certificate # and Date Certified 14-787E(1) march 17, 2014 Telephone # 513-614-5591 Web site address (if any)

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name GENERAL ENERGY LLC	
Address 1678/ Suite 213 CHAGRIN	Blvc SHakek Heights, UH 44/20
Telephone # <u>5 34 4559/</u> Web site address (if any) (Oltiopay.com

- A-3 List all names under which the applicant does business in North America
- A-4 Contact person for regulatory or emergency matters

Name Courtney Norton
Title Presidente all Lilling
Title President Business address 10781 STE 213 CHarrin Blvd SHaker heights, UH 44120
Telephone # 5136145591 Fax #
E-mail address Countie Worton 576 @ yahoo, com
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This is to certify that the images oppearing are an accurate and complete reproduction of a raing are an
accurate and complete reproduction of a case file
Date Processed // /2 T//~

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Courtwey N	orton		_		
Title President					
Business address	6781 Ste 2	13 CHay	RIN PLAKA	SHuker Heights	0# 44110
Telephone # <u>51361</u>	45591	Fax #			
E-mail address	CourtweyNorth	w5760	ahoo.com		1992 - 1997 1997 - 1997

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service add	Iress 16781	Ste 213 CH	ogrin Blyd	SHuker	Hershits	0# 44/20
Toll-free Telephone #	4513-1-14-5591	Fax #	0			
E-mail address	CourtweyNor	tw @ 50 6 0	yahoo.com			

A-7 Applicant's federal employer identification number # 46 - 413834

A-8 Applicant's form of ownership (check one)

☐Sole Proprietorship ↓Limited Liability Partnership (LLP) □Corporation Partnership
 Limited Liability Company (LLC)
 Other

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-9 <u>Exhibit A-9 "Principal Officers, Directors & Partners"</u> provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-10 <u>Exhibit A-10 "Corporate Structure,"</u> provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. <u>APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE</u>

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- **B-1** <u>Exhibit B-1 "Jurisdictions of Operation,"</u> provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- **B-2** <u>Exhibit B-2 "Experience & Plans,"</u> provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- **B-3** Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
 No □ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

XNO UYes

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If yes, provide a separate attachment labeled as <u>Exhibit B-5 "Disclosure of</u> <u>Certification Denial, Curtailment, Suspension, or Revocation</u>" detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 <u>Exhibit C-1 "Annual Reports,"</u> provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 <u>Exhibit C-6 "Credit Rating,"</u> provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 <u>Exhibit C-8 "Bankruptcy Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 <u>Exhibit C-9 "Merger Information,"</u> provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Signature of Applicant & Title day of NM Sworn and subscribed before me this Month rump, Notpry Signature of official administering ath My commission expires on 5-3-2020

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A-9 Exhibit A-9: Principal Officers, Directors & Officers: Courtney Norton (President Owner) 16781 Chagrin Blvd suite 213 Shaker Heights Oh 44120.

A-10 Exhibit A-10 "Corporate Structure" (President& Owner): Courtney Norton & Affiliate web site Ohiopays.com.

(B). Applicant Managerial Capability and experience: Managerial Capability consists of 5yrs of running operations manage workers to produce high production in deregulation in Ohio for gas & electric.

B-1 Exhibit B-1 Jurisdictions of Operation: Ohio

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B-2 Exhibit B-2 Experience & Plans: Company transparency has always been General Energy LLC philosophy. Any complaints can be mail directly to corporate address 16781 suite 213 Chagrin Blvd Shaker Heights Ohio 44120. All enrollments will be by are marketing web site. All complaints or inquire could be mailed to corporate office

B-3 Exhibit B-3 : Disclosure of Liabilities and Investigation: Non Applicable

(C). Financial Capability and Experience: Non -Applicable

C-1 Exhibit C-1 Annual Reports: Non-Applicable, General Energy LLC does not have annual reports nonexistence because of business hasn't been in operations.

C-2 Exhibit C-2 SEC Filings: Non-Applicable due to no shareholders or stocks.

C-3 Exhibit C-3 Financial Statement: Non- applicable because of no operations.

C-4 Exhibit C-4 Financial Arrangements: Non-Applicable.

C-5 Exhibit C-5 Forecasted Financial Statements: Non-Applicable due to no operations. General Energy LLC assumes 140000 net for 2016.

C-6 Exhibit C-6 Credit Rating: Non-Applicable due to no operation and no credit report built.

C-7 Exhibit C-7 Credit Report: Attachment

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C-8 Exhibit C-8 Bankruptcy Information: Non-Applicable

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C-9 Exhibit C-9 Merger Information: Non- Applicable.

Add Equifax and Experian Reports NOW!

Account Summary

Credit Reporting Agency	TransUnion	EQUIFAX	: Experian
Credit Report Period	11/18/2015		
Balances	\$10,928		
Payments	\$334		
Credit Accounts	3		
Open Accounts	2		
Closed Accounts	1		
Delinquent	0		
Inquiries (2 years)	6		
Derogatory	3		
Public Records	0		

 Personal Information 		Reported
Name	COURTNEY SHANTA NORTON	11/18/2015
Also Known As		11/18/2015
Date of Birth		11/18/2015
Current Address	305 ROBINSON ST FAIRMONT WV 26554	07/05/2005
Previous Address	5469 KIRBY AV CINCINNATI OH 45223 174 PO BOX 174 FARMINGTON WV 26571	01/31/2014
Employer		
Previous Employer		
Consumer Statement		

Inquiries

These inquiries are made by companies with whom you have applied for a loan or credit in the past two years. These inquiries can impact your credit rating.



A request for your credit history is called an inquiry. Inquiries remain on your credit report for two years. There are two types of inquiries - Hard inquiries may impact your credit score. Soft inquiries do not.

Creditor Name	Date of Inquiry
CNAC_IN101	11/17/2014
CNAC_INI01	10/08/2014
CNAC_IN101	05/06/2014
CNAC_INI01	01/16/2014

$https://membership.tui.transunion.com/tucm/creditReport_TUCM.page?$

Online Personal Credit Report & Credit Scores - TransUnion Credit Meritoring

ROADLOANS.CO	01/13/2014
CLC/QUICKEN	01/06/2014

▶ Accounts

🖄 Installment Acco	unts			↓ J
Account Name	Balance	Balance Date	Monthly Payment	Term
► CNAC - IN101	\$10,046	10/31/2015	\$334	48
Account Name			CNAC - IN101	
Account Number	994 1994 1994 1994 1994 1994 1994 1994		43273**	
Condition	ALBERTUTATION AND IN THE UNITED AND AN AND AND AND AND AND AND AND AND	ντο την ήλημη η έχου (π. οφοράς ή την του ματοποιού το ποριστή μου από το ποριστή μου από το ποριστή μου από τ	Derogatory	
Responsibility			Individual	
Current Balance			\$10,046	
Original Balance			\$10,477	
Limit			\$0	
Monthly Payment	n de la contra de la contra de la contra de la contra a contra a contra de la contra de la contra de la contra	an a an	\$334	
Last Payment			12/04/2014	
Status			Collection / C	Charge-Off
Term			48 months	
Loan Type			Auto Loan	
Opened			01/16/2014	· .
Reported			11/30/2014	
Remarks	หมายกลางการกรรษร กรุ่นแรก ราวร์กรรรษร์ กรุ่นสุขายุรุกษรณา เป็นสุขายสามารถสามารถสามารถสามาร	unun van mennen mennen er en mennen van er en er	Charged off	as bad debt No
			forwarding a	ddress

count Name	Balance	Balance Date
► ERC	\$744	09/06/2015
มนนุณตระวงของการของการของการจะจะจะจะจะจะจะจะจะจะสาวารสาวารสาวารสาวารสาวารสาวารสาวารสาวา		
Account Name		ERC
Account Number		13050****
Condition		Derogatory
Responsibility		Individual
Current Balance		\$744
Original Balance		\$744
Status		9
Original Creditor		11 SPRINT
Opened		07/21/2015
Remarks		Placed for collection
► UNIQUE NTL C	\$138	03/26/2015

https://membership.tui.transunion.com/tucm/creditReport_TUCM.page?

Account Name	UNIQUE NTL C
Account Number	1539****
Condition	Derogatory
Responsibility	Individual
Current Balance	\$138
Original Balance	\$138
Status	. 9
Original Creditor	PUBLIC LIBRARY OF
	CINCINNATI
Opened	11/10/2014
Remarks	Placed for collection

Public Records

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State of <u>OH10</u> County of <u>Cuyahoga</u>

, Affiant, being duly sworn/affirmed according to law, deposes and says that:

awer (Office of Affiant) of General Every LLC (Name of Applicant); He/She is the

That he/she is authorized to and does make this affidavit for said Applicant,

- 1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
- The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission 2. of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 3. 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

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11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

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_____2015

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

iant & Title Signature of Ai

Sworn and subscribed before me this _____ Month

bath nature of official administering

APUA Crump, Notary

Year

My commission expires on 5.3.2020

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day of __

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