

# Ohio | Public Utilities Commission

Original AGG Case Number	Version
13-2125-EL-AGG	December 2014

13-2125-EL-AGG

## RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.**  
**You may also download the form, by saving it to your local disk, for later use.**

### A. RENEWAL INFORMATION

#### A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Strategic Aggregation Consultants, LLC  
 Address 3700 Massillon Road, Suite 341, Uniontown, OH 44685  
 PUCO Certificate # and Date Certified 13-759E (1)  
 Telephone # (330) 899-9960 Web site address (if any) sac-save.com

#### A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Strategic Aggregation Consultants, LLC  
 Address 3700 Massillon Road, Suite 341, Uniontown, OH 44685  
 Telephone # (330) 899-9960 Web site address (if any) sac-save.com

#### A-3 List all names under which the applicant does business in North America

Strategic Aggregation Consultants, L  
 \_\_\_\_\_  
 \_\_\_\_\_

#### A-4 Contact person for regulatory or emergency matters

Name Brenda Fargo  
 Title President  
 Business address 3700 Massillon Road, Suite 341, Uniontown, OH 44685  
 Telephone # (330) 899-9960 Fax # (330) 899-9969  
 E-mail address bfargo@sac-save.com

PUCO

2015 OCT 23 PM 2:39

RECEIVED-DOCKETING DIV

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

Technician JMO Date Processed OCT 23 2015

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name Brenda Fargo  
Title President  
Business address 3700 Massillon Rd., Uniontown, OH 44685  
Telephone # (330) 899-9960 Fax # (330) 899-9969  
E-mail address bfargo@sac-save.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 3700 Massillon Rd., Suite 341, Uniontown, OH 44685  
Toll-free Telephone # (330) 899-9960 Fax # (330) 899-9969  
E-mail address bfargo@sac-save.com

**A-7 Applicant's federal employer identification number # 46-2155909**

**A-8 Applicant's form of ownership (check one)**

- |                                                              |                                                                     |
|--------------------------------------------------------------|---------------------------------------------------------------------|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other _____                                |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

**A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.**

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.**

**B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.**

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3** **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4** **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5** **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6** **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7** **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8** **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9** **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

*Brandon J. Fazio, President*  
 Signature of Applicant & Title

Sworn and subscribed before me this 22 day of Oct, 2015  
 Month Year

*Mary L. Ford*  
 Signature of official administering oath

*Mary L. Ford, Manager*  
 Print Name and Title

My commission expires on 9-16-2016



# **AFFIDAVIT**

State of Ohio :

Uniontown ss.  
(Town)

County of Summit :

Brenda Fargo, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of Strategic Aggregation Consultants, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Brenda J. Fazio, President  
Signature of Affiant & Title

Sworn and subscribed before me this 22 day of OCT-, 2015  
Month Year

Mary L. Ford  
Signature of official administering oath

Mary L. Ford, Manager  
Print Name and Title

My commission expires on 9-18-2016



**Strategic**



**Aggregation Consultants, LLC**

## **EXHIBIT A-11**

### Exhibit A-11 "Principal Officers, Directors & Partners"

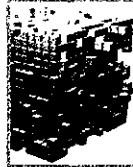
Brenda Fargo, President, Member  
3700 Massillon Rd., Suite 341  
Uniontown, Ohio 44685

330-899-9960 (Office)  
330-899-9969 (Fax)

Michael Fargo, Member  
3700 Massillon Rd., Suite 341  
Uniontown, Ohio 44685

330-899-9960 (Office)  
330-899-9969 (Fax)

**Strategic**



**Aggregation Consultants, LLC**

**Exhibit A-12**

Exhibit A-12 "Corporate Structure"





**Exhibit A-13**Exhibit A-13 "Company History"

Strategic Aggregation Consultants, LLC was founded by Brenda Fargo in 2013 following 16 years with FirstEnergy Solutions (FES) to provide energy consulting services. During her tenure at FES, she was responsible for the 2001 creation and continued implementation of the electric aggregation program. Under her guidance, the FirstEnergy Solutions electric aggregation program grew to serve more than 2 Million accounts in 450 Ohio and Illinois communities.

Over the past 12 years, Brenda worked directly with many communities to implement and maintain their aggregation programs. A sample of those is listed below.

**Cities:**

Akron, Ashland, Barberton, Bay Village, Canal Fulton, Cleveland, Cleveland Heights, Defiance, East Liverpool, East Palestine, Fairlawn, Green, London, Lordstown, Mansfield, Medina, Parma, Ravenna, Richmond Heights, Rossford, Salem, Seven Hills, Springfield, Tallmadge, Westlake, and Youngstown.

**Villages:**

Archbold, Andover, Boston Heights, Creston, Doylestown, East Canton, Mantua, Mogadore, Lisbon, Navarre, Ottawa Hills, Richwood, and Sebring

**Townships:**

Bath, Boston, Copley, Coventry, Franklin, Northfield Center, Richfield, Sagamore Hills, Springfield, Twinsburg in Summit County, Fairfield Township in Columbiana County Boardman Township in Mahoning County, and Troy and Burton Townships in Geauga County.

**Counties:**

Ashland, Fulton, Huron, Medina, Ottawa, Richland, and Sandusky

In addition, she has assisted the Public Utilities Commission of Ohio (PUCO) and Office of the Ohio Consumers' Counsel (OCC), the Illinois Commerce Commission (ICC), and the New Jersey Division of the Rate Counsel with aggregation related issues and provided suggestions to make the programs in those states more beneficial to communities and their participants.

**Strategic**



**Aggregation Consultants, LLC**

Exhibit A-14

Exhibit A-14 "Articles of Incorporation and ByLaws"

See Following Pages

Strategic



Aggregation Consultants, LLC

Doc ID -->

201302501465



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
01/29/2013	201302501465	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	125.00	.00		.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

BRENDA S. FARGO  
STRATEGIC AGGREGATION CONSULTANTS, LLC  
3538 SADDLEBORO DR.  
UNIONTOWN, OH 44685

# STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted

2168557

It is hereby certified that the Secretary of State of Ohio has custody of the business records for  
**STRATEGIC AGGREGATION CONSULTANTS, LLC**  
and, that said business records show the filing and recording of:

Document(s)

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG

Document No(s):

201302501465



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of  
the Secretary of State at Columbus,  
Ohio this 25th day of January,  
A.D. 2013:

*Jon Husted*

Ohio Secretary of State

Strategic



Aggregation Consultants, LLC

Joc ID -->

201302501465



Form 633A Prescribed by:  
Ohio Secretary of State  
**JON HUSTED**  
Ohio Secretary of State

Central Ohio: (614) 468-3810  
Toll Free: (877) SOS-FILE (787-3453)  
www.OhioSecretaryofState.gov  
Susan@OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite)  
P.O. Box 678  
Columbus, OH 43216

Expedite Filing (Two-business day processing  
also requires an additional \$50.00)  
P.O. Box 1350  
Columbus, OH 43216

2013 JAN 25 PM 1:54

**Articles of Organization for a Domestic  
Limited Liability Company**

Filing Fee: \$125

CHECK ONLY ONE (1) BOX

(1) ☒ Articles of Organization for Domestic  
For-Profit Limited Liability Company  
(115-LCA)

(2) ☐ Articles of Organization for Domestic  
Nonprofit Limited Liability Company  
(115-LCA)

Name of Limited Liability Company **Strategic Aggregation Consultants, LLC**

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "ltd.," or "llc"

Effective Date  
(Optional)   
mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing  
of the articles or on a later date specified that is not more than ninety days  
after filing)

This limited liability company shall exist for   
(Optional) Period of Existence

Purpose  
(Optional)


**\*\*Note for Nonprofit LLCs**

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

Strategic



Aggregation Consultants, LLC

Joc ID -->

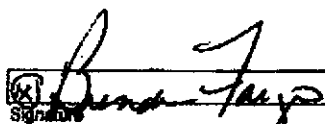
201302501465

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required  
Articles and original  
appointment of agent must  
be signed by a member,  
manager or other  
representative.

If authorized representative  
is an individual, then they  
must sign in the "signature"  
box and print their name  
in the "Print Name" box.

If authorized representative  
is a business entity, not an  
individual, then please print  
the business name in the  
"signature" box, an  
authorized representative  
of the business entity  
must sign in the "By" box  
and print their name in the  
"Print Name" box.

  
Signature

By (if applicable)

Brenda Fargo  
Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

**Strategic**



**Aggregation Consultants, LLC**

## **Exhibit A-15**

Exhibit A-15 "Secretary of State"

See Following Page

Strategic



Aggregation Consultants, LLC

Joc ID -->

201302501465



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
01/28/2013	201302501465	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	125.00	.00		.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

BRENDA S. FARGO  
STRATEGIC AGGREGATION CONSULTANTS, LLC  
3538 SADDLEBORO DR.  
UNIONTOWN, OH 44685

# STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted

2168557

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**STRATEGIC AGGREGATION CONSULTANTS, LLC**

and, that said business records show the filing and recording of:

Document(s)

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG

Document No(s):

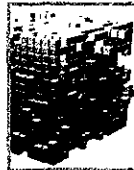
201302501465



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of  
the Secretary of State at Columbus,  
Ohio this 25th day of January,  
A.D. 2013:

Ohio Secretary of State



## B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

### Exhibit B-1

#### Exhibit B-1 "Jurisdictions of Operation"

Strategic Aggregation Consultants, LLC will provide energy consulting services to communities and/or commercial/mercantile/industrial accounts in all Ohio Electric Distribution Companies. Strategic Aggregation Consultants, LLC may also work directly with other CRES to provide such services.

### Exhibit B-2

#### Exhibit B-2: "Experience and Plans"

Strategic Aggregation Consultants, LLC was founded by Brenda Fargo in 2013 following 16 years with FirstEnergy Solutions (FES) to provide energy consulting services. During her tenure at FES, she was responsible for the 2001 creation and continued implementation of the electric aggregation program. Under her guidance, the FirstEnergy Solutions electric aggregation program grew to serve more than 2 Million accounts in 450 Ohio and Illinois communities.

Over the past 12 years, Brenda worked directly with many communities to implement and maintain their aggregation programs. A partial list of those is listed below.

**Cities:** Akron, Ashland, Barberton, Bay Village, Canal Fulton, Cleveland, Cleveland Heights, Defiance, East Liverpool, East Palestine, Fairlawn, Green, London, Lordstown, Mansfield, Medina, Parma, Ravenna, Richmond Heights, Rossford, Salem, Seven Hills, Springfield, Tallmadge, Westlake, and Youngstown.

**Villages:** Archbold, Andover, Boston Heights, Creston, Doylestown, East Canton, Mantua, Mogadore, Lisbon, Navarre, Ottawa Hills, Richwood, and Sebring

**Townships:** Bath, Boston, Copley, Coventry, Franklin, Northfield Center, Richfield, Sagamore Hills, Springfield, Twinsburg in Summit County, Fairfield Township in Columbiana County Boardman Township in Mahoning County, and Troy and Burton Townships in Geauga County.

**Counties:** Ashland, Fulton, Huron, Medina, Ottawa, Richland, and Sandusky

In addition, she has assisted the Public Utilities Commission of Ohio (PUCO) and Office of the Ohio Consumers' Counsel (OCC), the Illinois Commerce Commission (ICC), and the New Jersey Division of the





Rate Counsel with aggregation related issues and provided suggestions to make the programs in those states more beneficial to communities and their participants.

Once a CRES supplier has been selected and executes a contract with the community and/or commercial/mercantile/industrial account, all customer service inquiries and complaints will be handled by the selected CRES supplier. Any supplier chosen as a result of Strategic Aggregation Consultants' efforts will have a robust customer service organization that will meet or exceed the PUCO requirements.

All billing will be handled by the selected CRES suppliers.

## **Exhibit B-3**

### Exhibit B-3 "Summary of Experience"

Strategic Aggregation Consultants, LLC was founded by Brenda Fargo in 2013 following 16 years with FirstEnergy Solutions (FES) to provide energy consulting services. During her tenure at FES, she was responsible for the 2001 creation and continued implementation of the electric aggregation program. Under her guidance, the FirstEnergy Solutions electric aggregation program grew to serve more than 2 Million accounts in 450 Ohio and Illinois communities.

Over the past 12 years, Brenda worked directly with many communities to implement and maintain their aggregation programs. A partial list of those is listed below.

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**Villages:** Archbold, Andover, Boston Heights, Creston, Doylestown, East Canton, Mantua, Mogadore, Lisbon, Navarre, Ottawa Hills, Richwood, and Sebring

**Townships:** Bath, Boston, Copley, Coventry, Franklin, Northfield Center, Richfield, Sagamore Hills, Springfield, Twinsburg in Summit County, Fairfield Township in Columbiana County Boardman Township in Mahoning County, and Troy and Burton Townships in Geauga County.

**Counties:** Ashland, Fulton, Huron, Medina, Ottawa, Richland, and Sandusky



## **Exhibit B-4**

### Exhibit B-4 "Disclosure of Liabilities and Investigations"

Strategic Aggregation consultants, LLC has no existing, pending, or past judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact Strategic Aggregation Consultants, LLC financial or operational status or ability to provide the services it is seeking to be certified to perform.

## **Exhibit B-5**

### Exhibit B-5

See Application Form, page 4

## **Exhibit B-6**

### Exhibit B-6

See Application Form, page 4

Strategic



Aggregation Consultants, LLC

## C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

### Exhibit C-1

#### Exhibit C-1 "Annual Reports"

As a Limited Liability Corporation, Strategic Aggregation Consultants, does not, nor is required to prepare annual reports. As such, none are contained within this application.

### Exhibit C-2

#### Exhibit C-2 "SEC Filings"

As a Limited Liability Corporation, Strategic Aggregation Consultants, does not, nor is required to prepare SEC filings. As such, none are contained within this application.

### Exhibit C-3

#### Exhibit C-3 "Financial Statements"

Strategic Aggregation Consultants, LLC is a new business and therefore does not have a history to provide financial statements. Please accept the previously provided evidence or registration with the Ohio Secretary of State as well as the following information to satisfy this requirement.

Strategic Aggregation Consultants, LLC maintains a business checking account with Key Bank of Ohio (See below) as well as uses the services of Stinnet, Padrutt & Aranyosi, Certified Public Accountants.



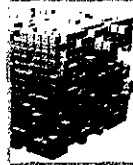
KeyBank  
P.O. Box 22114  
Albany, NY 12201-2114

Business Banking Statement  
August 31, 2013  
page 1 of 4



1 T 0059 00000 R EM T1  
STRATEGIC AGGREGATION CONSULTANTS LLC  
3700 MASSILLON RD STE 341  
UNIONTOWN OH 44685-9591

Questions or comments?  
Call our Key Business Resource Center  
1-888-KEY4BIZ (1-888-539-4249)



## **Exhibit C-4**

### Exhibit C-4 "Financial Arrangements"

Strategic Aggregation Consultants, LLC will be operating as consultant/aggregator/power broker and not taking title to any physical power. As such, no financial arrangements are needed.

## **Exhibit C-5**

### Exhibit C-5 "Forecasted Financial Statements"

Prepared By: Brenda Fargo, President

3700 Massillon Road, Suite 341, Uniontown, Ohio 44685

330-899-9960 (office)

330-899-9969 (fax)

[bfargo@sac-save.com](mailto:bfargo@sac-save.com)

As noted above, Strategic Aggregation Consultants, LLC has retained Stinnett, Padrutt & Aranyosi to handle the accounting needs of the company.

### List of Assumptions:

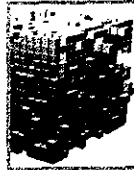
Financials are based upon 200M KWh in 2014 and 450M KWh in 2015

Commission Rate = \$.0005 per KWh

Revenue begins January 2014

See the following pages for:

1. 2014-2015 Forecasted Revenue
2. 2014-2015 Projected Balance Sheet
3. 2014-2015 Projected Income Statement
4. 2014 Projected Cash Flow Statement
5. 2015 Projected Cash Flow Statement

**Exhibit C-6**Exhibit C-6 "Credit Rating"

Strategic Aggregation Consultants, LLC has not been in operation long enough to establish a Credit Rating nor has it sought to obtain credit currently or plans to in the future. A Duns number has been established.

**Exhibit C-7**Exhibit C-7 "Credit Report"

Strategic Aggregation Consultants, LLC has not been in operation long enough to establish a Credit Rating nor has it sought to obtain credit currently or plans to in the future. A Duns number (#048532077) has been established to begin to build a credit report.

**Exhibit C-8**Exhibit C-8 "Bankruptcy Information"

Strategic Aggregation Consultants, LLC or any officer have had no reorganizations, sought protection from creditors or any other form of bankruptcy filings made in the current or within the two most recent years preceding the application.

**Exhibit C-9**Exhibit C-9 "Merger Information"

There has been no dissolution, merger or acquisition with in the most recent five years preceding this application by Strategic Aggregation Consultants, LLC.