Date of Hearing: $\qquad$ $10-7-15$
Case No. 14-1693-EL-RDR, 14-1694-EL-AAMPUCO PUCO Case Caption: f- the Mate of the Applicatieir Seeking Approval of ohio Power Companip Proposal to souter into a- afpigiti Power Purchase agreement for fedmeir in the Pres Purchase Agreement hides. fo the Matter of the Application of ohio over Company frappoval gocetain acconziz antlonty.
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BEFORE THE PUBLIC UTILITIES COMMISSION OF OHIO

In the Matter of the :
Application Seeking :
Approval of Ohio Power :
Company's Proposal to : Case No. 14-1693-EI-RDR
Enter into an Affiliate :
Power Purchase Agreement :
for Inclusion in the Power:
Purchase Agreement Rider. :
In the Matter of the :
Application of Ohio Power :
Company for Approval of : Case No. 14-1694-EL-AAM
Certain Accounting
Authority.

PROCEEDINGS
before Ms. Greta See and Ms. Sarah Parrot, Attorney
Examiners, at the Public Utilities Commission of
Ohio, 180 East Broad Street, Room 11-D, Columbus,
Ohio, called at 9 a.m. on Wednesday, October 7, 2015.

VOLUME VIII

ARMSTRONG \& OKEY, INC.
222 East Town Street, Second Floor Columbus, Ohio 43215-5201
(614) 224-9481 - (800) 223-9481

Fax - (614) 224-5724

# In the Matter of the Letter of Notification Application by Carroll County Energy, LLC for a Certificate of Environmental Compatibility and Public Need for the Carroll County Energy 345 kV Interconnection 

Case Number<br>) 14-0591-EL-BLN

Members of the Board:

Chairman, Public Utilities Commission
Director, Development Services Agency
Director, Department of Health
Director, Department of Agriculture
Director, Environmental Protection Agency
Director, Department of Natural Resources
Public Member

Ohio House of Representatives
Ohio Senate

To the Honorable Power Siting Board:
Please review the attached Staff Report of Investigation, which has been filed in accordance with the Board's mules. The accelerated certificate application in this case is subject to an automatic approval process as required by Section 4906.03 of the Ohio Revised Code.

The application will be automatically approved on May 16, 2014, unless suspended by the Board's chairperson, the Executive Director, or an administrative law judge. If suspended, the Board must render a decision on the application within 90 days from the date of suspension.

The staff report includes recommended conditions of the certificate. Prior to the automatic approval date, the applicant must file a supplement to its application that adopts these conditions. Absent such supplement, Staff will recommend that the case be suspended.

Any concerns you or your designee may have with this case must be presented to the Executive Director of the Power Siting Board at least four business days prior to May 16, 2014, which is the automatic approval date. To contact the Executive Director with concerns, reply to the email to which this document was attached, or use the ContactOPSB email address listed below.

Sincerely,


Kim Wissman
Executive Director
Ohio Power Siting Board
(614) 466-6692

ContactOPSB@puc.state.oh.us

# OPSB Staff Report of Investigation 

Case Number:<br>Project Name:<br>Project Location:<br>Applicant:<br>Application Filing Date:<br>Filing Type:<br>Inspection Date:<br>Report Date:<br>Automatic Approval Date:<br>14-0591-EL-BLN<br>Carroll County Energy 345 kV Interconnection<br>Carroll County, Ohio<br>Carroll County Energy, LLC<br>April 17, 2014<br>Expedited Letter of Notification<br>April 30, 2014<br>May 6, 2014<br>Applicant's Waiver Requests:<br>May 16, 2014<br>Staff Assigned:<br>none

Summary of Staff Recommendations (see discussion below):
Application: $\square$ Approval $\quad \square$ Disapproval $\boxtimes$ Approval with Conditions
Waiver: $\square$ Approval. $\square$ Disapproval $\boxtimes$ Not Applicable

## Project Description

Carroll County Energy, LLC (CCE) proposes to construct a 0.45 mile 345 kilovolt (kV) electric transmission line that would connect the proposed CCE Generation Facility ${ }^{1}$ to the American Electric Power Company (AEP) Tidd to Canton Central 345 kV electric transmission line. The project would include 1) the bifurcation of the AEP Tidd to Canton Central 345 kV electric transmission line into the Tidd to CCE Segment and the Canton to CCE Segment; 2) adding two cut-in structures within AEP right-of-way; 3) adding six new single-phase monopole transition structures within the AEP right-of-way; 4) connecting both the Tidd to CCE Segment and the Canton to CCE Segment to the new transition structures within the AEP right-of-way, 5) adding four new double-circuit steel monopole structures within the CCE right-of-way; and 6) extending the Tidd to CCE Segment and the Canton to CCE Segment from the transition structures within the AEP right-of-way to the CCE switchyard via double-circuit steel monopole structures. Construction is expected to begin in February 2015, and the line is scheduled to be in-service June 2016.

## Site Description

The project is located approximately three miles north of Carrollton, entirely within Washington Township, Carroll County, Ohio. The route would extend from the AEP Tidd to Canton Central 345 kV electric transmission line right-of-way 0.45 -miles east across SR 9 (Kensington Road NE) to the CCE switchyard. CCE has secured an option agreement to construct the project. Land

[^0]use along the route and surrounding properties is primarily agricultural. Staff recommends that CCE be required to coordinate all traffic related issues with the appropriate entities to ensure that traffic will be maintained along public roadways and private drives during construction.

## Need

The CCE Generation Facility will generate energy to meet regional demand and must be comnected to the trausmission grid in order to provide that energy to market. The PJM Interconnection System Impact Study completed for the generation facility in October 2013 ( 2013 CCE SIS) confirmed that a 345 kV line extension is necessary to loop the generation facility into the grid.

## Nature of Impacts

## Social

A Phase I archaeological investigation identified three cultural finds, nowe of which possessed significant archaeological value. The Applicant would avoid all archaeological resources during construction.

A Historic Architecture Survey was also conducted. No landmarks or historic structures are located within the study area of this project and the project would not siguificantly influence the overall viewscape of historical structures within five miles of the project area.

## Surface Waters

The electric transmission line right-of-way contains four primary headwater streams. No pole structures would be located within the 100 -year flood zones of these streams. The right-of-way also contains three wetlands. None of these wetlands were scored as high quality wetlands (Category $2 / 3$ or Category 3 ). All wetlands would be clearly staked prior to the commencement of any clearing in order to minimize incidental vehicle impacts. Stream and wetland impacts would be avoided by accessing pole locations from either side of the streams and/or wetlands, where practicable. No ponds are located within the project right-of-way, and the project will not traverse any conservation areas, scenic rivers, or recreation lands.

Staff recommends that CCE be required to develop a construction access plan, which will be incorporated into a final Stormwater Pollution Prevention Plan (SWPPP). The access plan should consider location of streams, wetlands, wooded areas, and sensitive plant species, as identified by the Ohio Division of Wildlife and explain how impacts to all sensitive resources would be avoided or minimized during construction, operation, and maintenance of the facility.

CCE would utilize best management practices (BMPs) to minimize impacts to surface waters. Appropriate BMPs would be outlined in the SWPPP, and a copy would be provided to Staff. The Applicant plans to submit a Notice of Intent for coverage under the Ohio EPA General National Pollutant Discharge Elimination System Permit. Coverage under the U.S. Army Corps of Engineers (USACE) Nationwide Permit 18 is not required. However, CCE would discuss this project in the USACE Pre-construction Notification for the generation facility.

## Threatened and Endangered Species

The federal and state endangered Indiana bat (Myotis sodalis) and its suitable habitat may be found in the project area. In order to reduce or avoid impacts to the Indiana bat, CCE has committed to adherence to seasonal tree cutting dates of October 1 to March 31 for the clearing of trees that exhibit suitable Indiana bat summer habitat.

## Conclusion

With the following conditions, the construction of this project should pose only minimal negative social and ecological impacts. Staff recommends automatic approval of this case on May 16, 2014.

## Conditions

1. Prior to construction, the Applicant shall obtain all applicable permits and authorizations as required by federal and state entities for any activities where such permit or anthorization is required;
2. The Applicant shall conduct a pre-construction conference(s) prior to the start of any project work (including any vegetation clearing), which the Staff shall attend, to discuss how environmental concerns will be satisfactorily addressed;
3. At least 30 days before the pre-construction conference, the Applicant shall submit to the Staff, for review and approval, a project construction access plan. This plan shall include all laydown areas, residential and environmentally sensitive area access points (walk in locations only), and any locations where vegetation clearing is required. The plan shall consider the location of residential fencing, private structures, streams, wetlands, wooded areas, conservation easement areas, and park lands;
4. The Applicant shall not conduct mechanized clearing within 25 feet of any stream channel, and;
5. The Applicant shall coordinate all traffic related issues with the appropriate entities to ensure that traffic will be maintained along public roadways and private drives during construction.

This foregoing document was electronically filed with the Public Utilities

## Commission of Ohio Docketing Information System on

## 5/6/2014 2:43:26 PM

in

## Case No(s). 14-0591-EL-BLN

Summary: Report of investigation electronically filed by Mr. Adam S Bargar on behalf of Staff of OPSB

2014 PJM Interconnection Queue Statistics Update
Presented by David Egan
Manager, Interconnection Projects




A through AA1 Queue Request (Upgrade) Progression
A through AA1 Queue MW (All) Progression

A through AA1 Queue MW (New) Progression



# BEFORE <br> THE OHIO POWER SITING BOARD 

In the Matter of the Application of CLEAN )<br>ENERGY FUTURE-LORDSTOWN, LLC for )<br>a Certificate of Environmental Compatibility and ) Case No. 14-2322-EL-BGN<br>Public Need for an Electric Generating Facility in )<br>Lordstown, Ohio, Trumbull County

## DIRECT TESTIMONY OF

William Siderewicz
on behalf of
Clean Energy Future-Lordstown, LLC

July 31, 2015


1. Please state your name current title and business address.

My name is William Siderewicz and I am the president of Clean Energy FutureLordstown, LLC. My business address is 24 Proctor Street, Manchester, Massachusetts 01944.

## 2. Please state your background.

I have thirty-five (35) years of experience representing equity ownership interest in the development, permitting, funding, construction, and operations of thirty-two (32) privately-owned power projects, having more than $11,500 \mathrm{MW}$ of generation capacily. My experience has been primarily in the U.S., but also includes the international marketplace. My career has included the positions of: vice president of British Gas, Ltd.; senior vice president of Calpine Corporation; co-founder and co-owner of Pure Energy Resources, LLC; co-founder/owner and managing partner of Oregon Clean Energy, LLC; and most recently, president of Clean Energy Future-Lordstown, LLC. I have been a participant in the Ohio Power Siting Board ("OPSB") process for the Oregon Clean Energy Center Project, a 960 MW electric generation combined-cycle project, that is currently under construction. I was also involved in the siting process for the Fremont Energy Center generation project which was certified about 10 years ago.

I have a B.S. in Civil Engineering (cum laude) from Merrimack College, an M.S. in Environmental Engineering from Cornell University, and an MBA in Finance from Northeastern University. In addition, I am a licensed professional engineer in Pennsylvania and New York.

## 3. What is the purpose of your pre-filed testimony?

My testimony will give background about the Application of Clean Energy FutureLordstown which I will refer to as the "Company."
4. Please provide the background concerning construction of the Lordstown Energy Center.

The Company will construct, own, and operate the Lordstown Energy Center, a natural gas-fired combined-cycle power plant (the "Project"). It will utilize proven Siemens Hclass advanced gas turbines as well as a Siemens condensing steam turbine in a $2 \times 2 \times 1$
combined-cycle configuration to generate electricity at a nominal plant output of 800 MW.

The proposed location for the Project consists of a rectangular-shaped parcel of land, totaling 17 acres. A 23.5 -acre parcel located adjacent to and immediately south of the Project site will be used for temporary construction laydown; an approximately 4.5-acre parcel north of Henn Parkway is also planned for this same use plus construction worker parking. In addition to the generation Project itself, the Application includes a new 5breaker ringbus and a new transmission line from the proposed generation Project to the 5-breaker ringbus. A total of 182 acres were involved in this Application for the Facility and associated structures.
5. Please provide a little more detail about the transmission line and 5-breaker ringbus.

The Project will interconnect to two (2) American Transmission Systems, Inc. ("ATSI") existing 345-kilovolt ("kV") circuits; namely the Highland-Sammis and HighlandMansfield circuits as noted in PJM's data for Project Z2-028. These two 345 kV circuits are located paralleled to each other and are approximately 3,700 feet east of the Project site. In order to facilitate this electrical interconnection, the facility design will incorporate a series of new metal poles to carry the Project's conductors from the generators (3) to the new 5 -breaker ringbus. A pathway will be cleared at a width of about 100 feet to accommodate the new poles/lines. In order for the Project's lines to reach the new switchyard, they must pass underneath two sets of parallel ATSI circuitsone at 345 kV and the other at 138 kV . The 345 kV circuit is suspended from new single metal poles ( 170 feet tall). The 138 kV circuit is suspended from older-style truss towers and its associated transmission lines are lower to the ground than the neighboring 345 kV lines. In order for the Project's new 345 kV lines to reach the 5 -breaker ringbus, ATSI will need to "lift" the lines of the 138 kV circuit by installing new towers/poles at or near the point of crossing. Additional analysis is now underway to also assess the 345 kV clearance adequately.

The Company is now working jointly and cooperatively with ATSI to establish the parameters for crossing under ATSI's $345 / 138 \mathrm{kV}$ circuits. In addition, ATSI is
determining the schedule and design/cost needs to "lift" its circuits. The Company will reimburse ATSI for all of its costs for "lifting" its lines. This reimbursement requirement will be documented in the eventual Interconnection Construction Service Agreement ("ICSA") that will be executed between: PJM, ATSI, and the Company, prior to financial closing for the Lordstown Project.

The new 345 kV lines and associate poles will be designed, financed, constructed, owned, and operated by the Company. These new 345 kV lines terminate at the new 5breaker ringbus.

## 6. Please provide a little more detail about the new 5-breaker ringbus.

Once the Project's 345 kV conductors reach the area of ATSI's Highland-Sammis and Highland-Mansfield circuits, they will be connected to a new 5-breaker ringbus. The design features of the 5 -breaker ringbus will be specified in the Facilities Study developed by PJM/ATSI. The eventual Interconnection Service Agreement ("ISA") and the ICSA will define the responsibilities, terms, and conditions associated with the new 5-breaker ringbus.

The Company has elected to self-build the 5-breaker ringbus, taking full EPC responsibility for this system while utilizing only ATSI-approved engineers and contractors. It is expected that the new switchyard will require about four to six acres of land. The Company has access to and/or controls of well over 48 acres of land near the two (2) targeted ATSI interconnect circuits. The Company will survey and subdivide the land needed for the 5 -breaker ringbus. Once the switchyard is completed and operational, the Company will convey the land and switchyard to ATSI, at no cost.

ATSI will then own and maintain the switchyard. In order to access the switchyard for maintenance, the Company will build a new access road from the most northerly end of Goldner Lane to the switchyard, while granting ATSI a perpetual easement to use the access road.

ATSI will have the responsibility to cut their two (2) 345 kV circuits and "loop" them into the new switchyard. ATSI will assume the engineering and construction responsibility to complete this looping that will be paid for by the Company.

## 7. Do you have a request or recommendation for the transfer process to ATSI?

Yes, I request and recommend that the Board follow the same procedure that it used in the Oregon amendment case (Case No. 14-1394-EL-BGA issued on October 27, 2014) where the Board, in its order, directed that the Applicant could transfer to ATSI the substation and land when it was built, so long as they informed the Board when the transfer occurred.
8. Does the Company plan to enlarge the output of the generating plant in the future?

Yes, the facility has an existing built-in ability to generate additional output above the base case amount of 800 MW . We have made application to PJM for an additional 140 megawatts and have a queue position, \#AB1-017. This incremental output can occur without any changes to the existing Project's equipment, or the addition of new equipment, beyond what was included and described in the Application. Once PJM has completed the Facilities Study stage (anticipated for this class of applicants in October 2016), the Company plans to file an amendment to increase the capacity of this Project to 940 MW.

## 9. How long have you been engaged in the development process for this Project?

The idea of a northeast Ohio gas-fired generation facility was first originated in early 2013. It became evident from data made available via PJM, that numerous older coalfired power plants in the greater Cleveland area, eastern Ohio and far western Pennsylvania would be closing in the coming years. Examples of such coal plant closures are: Niles, Ashtabula, Lake Shore, and Eastlake. The cumulative generating capacity of these plants was significant.

Within northeast Ohio there are about $4,000,000$ people, or $1 / 3$ of Ohio`s population. The cumulative residential, commercial, and industrial need for electricity from this number of people is very significant, as noted in FERC Form 1 data. With the closure of so many
regional coal plants, there is an obvious imbalance between electricity supply and demand. In the not too distant future, the only viable generation in northeast Ohio will be Perry Nuclear Plant (1,230 MW) and the West Lorain Peaker ( 545 MW ). This is hardly enough generation to the meet the demands of northeast Ohio. Except for the Lordstown Project, there are no new gas fired facilities in the eastern half of ATSI's territory.

The Company identified fourteen (14) potential sites in northeast Ohio for a new large scale combined-cycle turbine generator plant. Each site was examined for: water supply, wastewater disposal, gas supply, ability to inject to the local grid, land availability, and political support for new power generation. After a careful examination of all possible options, it became obvious that Lordstown was the most viable site location. Land was secured, engineering and environmental analyses were completed, and a PJM interconnection queue application submitted in February 2014. Since that time, there has been continued engineering and design work completed. In addition, the Company was establishing a working relationship with the Village of Lordstown via its elected officials and department heads. Through a cooperative working relationship, it was established that the optimum site for a new generation facility in Lordstown was the Lordstown Industrial Park, a large-scale land parcel that was pre-zoned I-1 (industrial) along Route 45. The Company and Village have been working together now for about 16 months.
10. Did you encounter any objections to this Project from officials in the area?

Local officials have been very supportive of the Project. Early on during discussions, local officials independently contacted officials in Fremont, Ohio and Oregon, Ohio, where I have been involved in similar projects. On their own, these officials arranged for group visits to the Fremont Energy Center. The actual experience of a similar operating facility confirmed for them that the proposed Lordstown Project would be an acceptable and welcomed addition to the Village of Lordstown. On July 28, 2015 both the Lordstown Mayor and fire chief testified in support of this Project.
11. Did you review the Staff Report that was issued on July 13, 2015 ?

Yes.

# 12. Do you and Clean Energy Future-Lordstown accept the conditions in the Staff Report? 

Yes.
13. Do you have any responses to testimony given at the local public hearing on July 28 , 2015?

The Company was present during the July 28, 2015 hearing at the Lordstown High School. It was most impressive and rewarding to see and hear a unanimous (14-0) vote of confidence from such a diverse spectrum of individuals: elected officials, fire chief, teacher, school board, union labor leaders, local business managers, local citizen, etc. Within the Company's application to the OPSB it was established that the Project will have a positive $\$ 1.45$ billion benefit in the region. This impact does not include payments made to Dominion East Ohio (in Cleveland) to transport gas to the Project site or the purchase of Ohio-based shale gas. When these factors are considered, the complete economic benefit rises to $\$ 13.8$ billion, over the first 40 years of the plant's life.

When one considers the positive recommendation of the Staff Report and the overwhelming local support for the Project, we are hopeful that the OPSB will agree and grant permission for the Project to be built.

Due to an air conditioning malfunction at the Village Hall, the July 28, 2015 public hearing was moved to the Lordstown High School. There is a bit of irony here. On November 4, 2014, citizens of Lordstown were asked to vote to increase their own property tax to fund a Village school budget shortfall of about $\$ 500,000 /$ year. The vote failed to pass. With continued reductions in state and federal funding for schools, Lordstown was experiencing extraordinary financial pressures that threatened the very viability of the school system. However, on July 28,2015 , the Village spoke loudly and clearly that they want this Project to proceed. It is quite ironic that the Village citizens initially voted to not fund the school system in November 2014, but spoke clearly on July 28, 2015 at the High School within this same school system to proceed with the Project, to in effect, save the school system. One of the immediate positive benefits to the Village from the Project is a negotiated tax payment plan whereby the Project will
make payments to the Village school system to not only resolve the current financial deficit but place it on a plan to be operating in the "black." What better reward can this be that the Village expended no capital and yet gets to maintain and grow its school system to supply educational needs that will ensure a skilled and productive adult population for tomorrow. All of this benefit comes from a new gas fired power plant project that has additional key benefits including: grid reliability, low-cost energy and capacity while also exhibiting a cleaner and more environmentally favorable footprint (versus coal).

## 14. Do you have any further comments?

Yes, the first relates to the financing plan for the Project. The Lordstown Project is an Independent Power Production ("IPP") project and not a typical regulated utility capital project. When it comes to financing and constructing IPP projects versus regulated utility projects, each are at opposite ends of the spectrum when it comes to time sensitivity for raising capital and completing financial closing. For this Project, we are on track for a financial closing on October 12, 2015, and are currently raising about $\$ 520$ million of debt and $\$ 400$ million of equity. There are time pressures to stay on pace in order to: (i) avoid escalation of the Project's capital cost; (ii) meet the commercial operation date of June 1, 2018, which is predicated on an October 2015 start; (iii) avoid the severe penalties for being late with the commercial operation date when it comes to capacity commitments to PJM and gas fuel supply commitments in the fuel contract; (iv) avoid the potential for debt markets to experience interest rate increases, from current favorable levels; and (v) avoid the reduction in northeast Ohio grid reliability when the only generating plants in the greater Cleveland area will soon be Perry and West Lorain.

If the financial close for an IPP facility is delayed, the risk is that the added costs/burdens rapidly make the Project non-viable. The net result is that two years of development is wasted, the Village of Lordstown and the Valley region obtain none of the described economic benefits. In addition, low-cost electricity is foregone and regional grid reliability remains low. IPP projects have this timing consideration that regulated utilities do not have. In a classical utility financing model, any added costs/burdens of delay
simply become new added construction costs and are passed through to the ratepayer. Having in-region generation and maintaining grid reliability are two (2) important goals that were most recently identified by ATSI's new CEO, Mr. Chuck Jones as noted in an Akron Beacon Journal article dated March 21, 2015 by Betty Lin-Fisher (Attachment 1). The Lordstown Project is the only near-term solution for meeting these important goals in the greater Cleveland region.

If the order for the Lordstown Project is delayed, and in turn, financial closing is delayed to the point that the Lordstown Project fails, the electricity needs of northeast Ohio will need to be met by imported power versus on-the-ground generation. This imported power will come from competing new gas-fired CCGT projects in: Pennsylvania, West Virginia, Kentucky, Michigan and/or Indiana. The net result would be low system reliability in Ohio (due to less in-state generation) and the loss of the positive multibillion dollar economic benefits that the Lordstown Project brings to northeast Ohio, including hundreds of jobs over a three-year construction period. In effect, the delay in issuance of an order to proceed results in the worse-case scenario on two important fronts: loss of both grid reliability and in-state economic benefits.

In order for the Project to meet its October 2015 closing date, it would be necessary that the normal 30 -day waiting period for appeal of an eventual order conclude by the end of September 2015. Looking backward from September, the issuance of an order would need to occur sometime in August 2015.

The second comment relates to the favorable nature of the Lordstown Project that directly relates to my first comment, on timing of an order. It should be noted that this Project is virtually identical to the gas fired project approved for Oregon, Ohio (Case No. 12-2959-EL-BGN order issued on May 1, 2013); namely a $2 \times 2 \times 1$ CCGT configuration, Siemens H-class GTs, same emission controls, a wet cooling tower and a double circuit 345 kV interconnection. There are no new technological considerations for Lordstown versus Oregon. In addition, on July 28, 2015, public testimony was 14-0 in favor of the Lordstown Project, including testimony by Lordstown's mayor, Mr. Hill. Lastly, the Company has accepted the terms associated with the Staff's positive recommendation for
the Project. It would appear that little additional analysis would be required to reach a conclusion as to why the Lordstown Project should be approved.

Lastly I refer to the statement (Attachment 2) from Michael McCormick of Siemens Energy who explains how Siemens has met with representatives of union labor to work cooperatively with them on this Project. The Company has selected Siemens Energy to engineer, procure and construct ("EPC") this Project. It is our intent to fully engage and utilize the abundant and well-trained union labor of the Mahoning Valley to construct the Lordstown Project.
15. Does this conclude your testimony?

Yes, it does.

## CERTIFICATE OF SERVICE

I hereby certify that the foregoing Testimony was served upon the following parties of record via regular or electronic mail this $31^{\text {st }}$ day of July 2015.


Sally W. Bloomfield
Robert J. Schmidt, Jr.
L. Bradfield Hughes

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ATTACHMENT 1 AKRON BEACON JOURNAL ARTICLE

MARCH 21, 2015

## Ohiocom

## 'Kid from Ellet' Chuck Jones ready to lead FirstEnergy

## CEO Chuck Jones uses leadership rules as standards for job

By Betty Lin-Fisher
Beacon Journa! business writer


In 2012. FirstEnergy Corp.'s annual shareholder meetings became abruptly short.
Hundreds protesting the company's tax rate and other issues had been bused to headquarters downtown, then marched to the John S. Knight Center. There, for the first time ever, shareholders had to pass through metal detectors for the meeting.

But it was over in less than 10 minutes - no speeches or questions for then-President and CEO Tony Alexander. The next two annual meetings also were 12 and 16 minutes - one of which was moved to Morgantown, W.Va.
That is likely to change this spring.
Chuck Jones, 59 , who took over as president and CEO on Jan. 1, said his team is working on his meeting speech.
"What I've said to my team is 'Look. you work it up for me. I want to see what we should say and then we'l go from there. " Jones said.

And in his first conference call with Wall Street analysts, Jones said he "believes very strongly in transparent communications, whether to employees, customers; reguators or the financial community."

In a recent 60-minute interview in his Akron office, he added, "as long as you're diligent and you tell the truth, it's worked for me my whole life. I'm not going to change."

Office tells story
An engineer by training, Jones has an office peppered with sporis memorabilia and family photos, mostly of his five grandchildren who call him "Grampy."

He's the father to three grown children and two step-children with his wife of 11 years, Kim, who worked for FirstEnergy for 28 years.

He enjoys cooking Sunday dinner for the family, and he can pull a bottle of wine from the wine cellar he built with his own woodworking skills.

Two family members work at the company: brother Jim Jones is a distribution technician for the liluminating Co.: and daughter Carly Lange works for FirstEnergy's emergency management area.

FirstEnergy's hiring policy allows relatives to work for the company, as long as they are not direct reports.
The day Jones was named CEO, he received a chastising text from Lange that she read about his promotion first on her computer. "I said, 'You're an employee, not my daughter. I would have called you at 5:30," he told her.

Other office decor gives a sense of his engineering mind.
A framed photo of Thomas Edison is on the wall behind his desk chair. It was a gift a few years ago from his uncle, who displayed it in his office when he worked for General Electric.

Then there's the framed 8-by-10 paper with 10 "Leadership Rules of the Road" that has been with him since he attended the U.S. Navai Academy.
"f tell people that l'm responsible for leading. This is who Chuck is and this is how Chuck is going to lead. If you ever see me violate them, your job is to let me know," he said of the rules, which include "Be a person of integrity" and "Keep a sense of humor and be able to laugh at yourself."
"I put pressure on myself to lead the right way. They're constantly there as a reminder," Jones said.
Jones oversees a company that has grown from a home-town utility to become one of the nation's largest investorowned utilities. As of December, the Akron-based company had 15.557 employees in six states, with about 2.500 in Akron. Of those total employees, 49 percent are represented by a union.

Jones is the third home-grown CEO following Alexander and the late Pete Burg.
His base salary will be $\$ 1.100 .000$, compared to Alexander's $\$ 1,340,000$. Alexander, 63 , will retire at the end of April after 43 years.

Humble Ellet
"A kid from Ellet ended up in this position. But at Ellet, I got a good education, a good foundation and I had great teachers and great coaches. Coaches that didn't let athletes get too full of themselves. They taught you how to play hard and be humble at the same time."

Jones said he's told his employees "when you grow up 5 miles away. you care about the company maybe a littie differently than an executive from California who may come here someday to run it."

The second of four boys born to Charles Sr. and Alice Jones, Chuck Jones played football, basketball and baseball.
He jokes that his basketball skills started out so poorly that when he, his dad, his older brother and a neighbor played in the driveway, "they would all argue on who had to take me on their team because I wasn't very good."
But Jones rose to the level of an Ail-City basketball player.
Joe Natoli was his eighth-grade English teacher and followed his career.
"He was an outstanding player," said Natoli, who retired 10 years ago and has served on the Akron City Council. "He was a class act from the get-go. ... Not only did he have the smarts, but he was very personable."

Jerry Feeman, a high school teammate and now a Summit County council member, spent summers riding bikes and playing basketball with Jones.
"He was mischievous, but in a quiet way," Feeman said.
Jones was a seif-starter. Knowing that he would have to pay for college, he began at age 14 to write a letter every few months seeking an appointment to a military academy, targeting his congressman at the time, John Seiberling.

He included copies of his report cards and newspaper clippings, among them a story on his participation in the Beacon Journal's Spelling Bee (he missed the word "deluge").

He never heard back until his junior year, when he was called to Seiberling's office to meet with a review team. He was shown a file that was about 3 inches thick and told to "stop writing letters, you're fine. which one do you want to go to?"

He chose the U.S. Naval Academy since he dreamt of being a pilot.
When he showed up to the academy, he was asked "what kind of engineer do you want to be?" - something he hadn't considered.
"I just picked electrical engineering. I used to tear transistor radios apart because I couldn't afford to buy a new one," he said.

A change in his eyesight after two years at the academy ended his hopes of becoming a Navy pilot. He "kinda liked the engineering thing" but "didn't really enjoy shipboard life." so he left the academy.

No place at home

Jones' father was unhappy that he had left the academy and warned that if he retumed to Akron, he'd have to make it on his own. In need of a job. Jones reached out to Herb Loewlein, head of the co-op program at Ohio Edison. They had met when Jones participated in the young Optimists group at school. Loewlein was the president of the Akron Optimists' Club.

That was in 1977. Jones worked for Ohio Edison for the two last years of college and never left. Meanwhile, he held other jobs, among them refereeing basketball and umpiring baseball and working for the city by sitting in a "little telephone booth freezing and waiting for people to come ice skate" at the downtown rink.

At Edison, now FirstEnergy, Jones moved through the ranks, relocating to Pennsylvania, Cleveland and back to Akron. Most recently, he was executive vice president and president of FirstEnergy Utilities, overseeing the company's 10 regulated distribution companies.

He also was the lead negotiator with Browns owner Jimmy Haslam in the $\$ 102$ million deal to rename the football facility FirstEnergy Stadium: Home of the Cleveland Browns.

He has been active in the community, chairing the Greater Akron Chamber, but more importantly, giving new life to the All-American Soapbox Derby in 2012.
"Chuck sought me out to tell me that FirstEnergy would be there when we had our act together and when we thought the time was right," said Bill Ginter, recent chair of the Soap Box Derby and a retired chief operating officer of Advanced Elastomer Systems. He also loaned FirstEnergy employees to help reorganize the derby's affairs.

Ginter said Jones' style will help FirstEnergy because he "has the unique ability to balance individual personal needs as well as organizational needs. That's a tough one to get."
Priorities
As for maintaining the balance, Jones has a challenge.
FirstEnergy's stakeholders are varied - investors, customers, employees, regulators and critics - and they often have disparate interests.
"I think you can please them all a little and move them all forward together. If you get out of balance and try to please anyone of them too much, then I think that causes stresses."

Power outages can be inconveniences or life-altering and can affect all aspects of the company. The company keeps the lights on 99.997 percent of the time, Jones said, but the average customer loses the lights about two hours a year.
That can be a big deal, as he learned from his mother at Easier dinner.
Following the massive blackout of 2003, which began in FirstEnergy's territory and shut down power to eight states and a part of Canada, FirstEnergy's reliability was being questioned, Jones said. His mother asked him why he couldn't keep her lights on, saying they went off "all the time."
He checked her records and found they had been out two times in five years. "In her brain, those two were a lot."
Can't 'take it personal'
Jones said the utility business is one that is judged on the exceptions and prone to criticism, but "if you're going to take it personal, you're in the wrong line of work."
"We're always going to have our detractors. It keeps us on our toes. I don't see it as a negative," he said.
Environmentalists have called a pending plan in front of the Public Utilities Commission of Ohio, asking state regulators to guarantee profits on a select number of power plants that might otherwise be decommissioned. a "bailout" for FirstEnergy.
Jones said, however, sees it as in the best interestiof customers, because it guarantees that. "You will have plants in Ohio generating electricity that comects to the transmission grid in a way it ensures reliability."

As for his critics, they're watching closely.
Daniel Sawmiller, senior campaign representative for Sierra Club's Ohio Beyond Coal campaign, said. "We take Mr. Jones at his word that he intends to run FirstEnergy in a new, more transparent way. Sierra Club looks forward to
working with him to ensure Ohio's continued transition away from obsolete energy sources like coal toward a clean energy future.
"Jones would prove his commitment to make the company's business more transparent by abandoning this doomed charade and engaging in open dialogue with stakeholders," he said.

The international president of a FirstEnergy union, which was part of a 20-week lockout about a year ago. said he is impressed. Shortly after lones: appointment to CEO, he made a trip to Detroit, where the union is headquartered, to meet with leadership.
"I can't say anything. negative because for the guy to have his first order of business be come out and sit down and talk about how to work coliaboratively together and continue to have other scheduled discussions on safety issues, which is near and dear to us." said Mike Langford, international president of the Utility Workers Union of America.

Langford said time will tell, but "at least having an open dialogue and discussion" is "a great place to be instead of the opposite."

As for Jones, he said that in any negotiations, "if someone is high-fiving and saying they won, it wasn't a good negotiations. You need to find ways to find common ground on issues. I'm going to work very hard to find common ground both internally and with all our constituents."

Betty Lin-Fisher can be reached at 330-996-3724 or blintisher@thebeaconjoumal.com. Follow her @blinfisherABJ on Twitter or wow facebookcom/BethLintisherAB. and see all her stories at whoniocombetty

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## ATTACHMENT 2

TESTIMONY OF MR. MICHAEL MCCORMICK SIEMENS ENERGY

To: Ohio Power Siting Board

From: Michael F. McCormick
Date: July 27, 2015
Subj : Written Testimony for July 28, 2015

RE: Case No. 14-2322-EL-BGN
Siemens Energy will be the turnkey engineering, procurement and construction (EPC) contractor for the Lordstown Energy Center (LEC) Project. One of our responsibilities is to hire or contract for the on-the-ground team of construction workers to complete this planned electricity production facility.

LEC will be constructed primarily with a union labor force over a nearly three (3) year period. The major equipment components that make up the LEC Project (2 gas turbine generators and a steam turbine generator) are all made by Siemens Corp. and the rest of the equipment are provided by Siemens Corp. As part of our continuing service to the Project, we will complete the on-going maintenance and upkeep of this equipment. These services will be carried out by local union skilled labor forces.

As an international power generation equipment supplier, of both equipment and services, we have 100's of power generation units installed throughout the U.S. This equipment is uniquely designed and manufactured, and as a result Siemens has on-going contracts with owners of such equipment to provide routine maintenance, service and repair. In order to complete such services, we routinely hire local union labor to complete the work under our direction. As a result of these work efforts, Siemens Generation Services Company is one of the largest union millwright employers in the U.S.

Most recently, we hosted a meeting of union labor leaders to our Orlando office to discuss the terms and conditions under which we would engage the various unions for the LEC Project. These individuals included: Mr. Don Crane, Head of The Building Trades for the Lordstown area, Mr. Doug Banes, General Vice President of The United Brotherhood of Carpenters, Pile Drivers and Millwrights, and Mr. Dave Thart, Midwest Regional Vice President of the United Brotherhood of Carpenters, Pile Drivers and Millwrights. This negotiation process is on-goingbut to date, very productive. We are quite confident that given our long successful track record of working effectively with The Building Trades in the USA, that we'll be able to do the same here.

## Energy

We look forward to being a contributing party to this very exciting Project in Lordstown, and hope the OPSB will provide its approval for it to be built and operated.


Michael F. McCormick
President - Siemens Generation Services Company

This foregoing document was electronically filed with the Public Utilities

## Commission of Ohio Docketing Information System on

7/31/2015 1:58:23 PM
in

Case No(s). 14-2322-EL-BGN

Summary: Testimony of William Siderewicz on behalf of Clean Energy Future-Lordstown, LLC electronically filed by Teresa Orahood on behalf of Sally Bloomfield


Bicker \& Eckler


## COLUMBUS I CLEVELAND

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Salify W. Bloomfield
614.227 .2368
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September 29, 2015

Via Electronic Filing

Ms. Marcy McNeal
Administration/Docketing
Public Utilities Commission of Ohio
180 East Broad Street, $11^{\text {th }}$ Floor
Columbus. OH 43215-3793
Re: NTE Ohio, LLC, OPSB Case No. 14-534-EL-BGN
Dear Ms. McNeal:
The November 24, 2014, Opinion, Order, and Certificate ("Certificate") approving NTE Ohio, LLC ("NTE") Certificate of Environmental Compatibility and Public Need to Construct the Middletown Energy Center established a set of conditions as part of the Certificate. In addition to the conditions, the Board stated on page 20 that the NTE could not commence construction of the facility mail it submitted a copy of an intercomection agreement with PJM Interconnection, L.C.C. ("PIM") that it had signed.

Attached to this letter is a copy of the PJM agreement, which has been signed by NTE.

If you have any questions please call at the number listed above.
Sincerely,


Sally W. Bloomfield
Attachment
cc: Grant Keto (w/Attachment)
(PM Queue $\overline{\text { ² }}$ Z1-079)

## INTERCONNECTION SERVICE AGREEMENT <br> Among PMMNTERCONNECTION, L.L.C. <br> And <br> NTE OHIO, LLC <br> And

DUKE ENERGY BUSINESS SERVICES, LLC FOR DUKE ENERGY OHIO, WNC

# NTERCONNECTION SERVICE AGREEMENT 

By and Among<br>PMInterconnection, L.L.C.

And
NTE Ohio, LLC
and
Duke Energy Business Services, LLC, for Duke Energy Obio, Inc
(PJM Queue Position \#Z1-079)
1.0 Parties. This Intercomection Service Agreement ("ISA") including the Specifications. Schedules and Appendices attached hereto and incorporated herein, is entered into by and between PJM Intercomection, L.L.C., the Regional Transmission Organization for the PMI Region (hereinafter "Trausmission Provider" or "PIM"), NTE Ohio, LLC ("Interconnection Customer") and Duke Energy Business Services, LLC, for Duke Energy Ohio, Inc ("Interconnected Transmission Owner"). All capitalized terms herein shall have the meanings set forth in the appended definitions of such terms as stated in Part I of the PTM Open Access Transmission Tariff ("Tariff").
2.0 Authority. This ISA is entered into pursuant to Pam VI of the Taniff. Intercomection Customer has requested an Interconnection Service Agreement wnder the Tariff, and Transmission Provider has determined that Interconnection Customer is eligible under the Tariff to obtain this ISA. The standard terms and conditions for interconnection as set forth in Appendix 2 to this ISA are bereby specifically incorporated as provisions of this ISA. Transmission Provider, Intercomected Transmission Owner and Interconnection Customer agree to and assume all of the rights and obligations of the Transmission Provider, Intercomected Transmission Owner and Interconnection Customer, respectively, as set forth in Appendix 2 to this ISA.
3.0 Customer Facility Specifications. Attached are Specifications for the Customer Facility that Interconnection Customer proposes to intercomect with the Transmission System. Interconnection Customer represents and warrants that, upon completion of construction of such facilities, it will own or control the Customer Facility identified in section 1.0 of the Specifications attached hereto and made a part hereof. In the event that Interconnection Custoner will not own the Customer Facility, Interconnection Customer represents and wanrants that it is authorized by the owner(s) thereof to enter into this ISA and to represent such control.
4.0 Effective Date. Subject to any necessary regulatory acceptance, this ISA shall become effective on the date it is executed by all Interconnection Parties, or, if the agreement is filed with FERC unexecuted, upon the date specified by FERC. This ISA shall terminate on such date as mutually agreed upon by the parties, unless earlier terminated in accordance with the terms set forth in Appendix 2 to this ISA. The term of the ISA shall
be as provided in Section 1.3 of Appendix 2 to this ISA. Intercomection Service shall commence as provided in Section 1.2 of Appendix 2 to this ISA.
5.0 Security. In accord with Section 212.4 of the Tariff, Intercomection Customer shall provide the Transmission Provider (for the benefit of the Intercomected Transmission Owner) with a letter of credit from an agreed provider or other form of security reasonably acceptable to the Transmission Provider and that names the Transmission Provider as beneficiary ("Security") in the amount of $\$ 12,560,299$. This amount represents the sum of the estimated Costs, determined in accordance with Sections 212 and 217 of the Tariff, for which the Interconnection Customer will be responsible, less any Costs already paid by Intercomection Customer. Intercomection Customer acknowledges that its ulimate cost responsibility in accordance with Section 217 of the Tariff will be based upon the actual Costs of the facilities described in the Specifications. whether greater or lesser than the amount of the payment security provided under this section.

Should Intercomection Customer fail to provide security at the time the Intercomection Customer executes this ISA, or, if deferred, by the end of the 120 -day period, this ISA shall be terminated.
6.0 Project Specific Milestones. In addition to the milestones stated in Section 212.5 of the Tariff, as applicable during the term of this ISA, Interconnection Customer shall ensure that it meets each of the following development milestones:
6.1 Substantial Site work completed. On or before Jume 1, 2016 Interconnection Customer must demonstrate completion of at least $20 \%$ of project site construction. At this time, Interconnection Customer must submit to Interconnected Transmission Owner and Transmission Provider initial drawings, certified by a professional engineer, of the Customer Intercomection Facilities.
6.2 Delivery of major electrical equipment. On or before March 1, 2017, Intercomection Customer must demonstrate that two generating units lave been delivered to Intercomection Customer's project site.
6.3 Commercial Operation. (i) On or before June 1, 2018, Intercomection Customer must demonstrate commercial operation of two generating units. Demonstrating commercial operation includes acheving Initial Operation in accordance with Section 1.4 of Appendix 2 to this ISA and making commercial sales of use of energy, as well as, if applicable, obtaining capacity qualification in accordance with the requirements of the Reliability Assurance Agreement Among Load Serving Entities in the PJM Region.
6.4 Within one (1) month following commercial operation of generating unit(s), Interconnection Customer must provide certified documentation demonstrating that "asbuilt" Customer Facility and Customer Interconnection Facilities are in accordance with applicable PJM studies and agreements. Intercomection Customer must also provide

PM with "as-buil" electrical modeling data or confirm that previously submitted data remains valid.

Intercomection Customer shall demonstrate the occurence of each of the foregoing milestones to Transmission Provider's reasonable satisfaction. Transmission Provider may reasonably extend any such milestone dates, in the event of delays that Interconnection Customer (i) did not cause and (ii) could not have remedied through the exercise of due diligence. The milestone dates stated in this ISA shall be deemed to be extended coextensively with any suspension of work initiated by Interconnection Customer in accordance with the fntercomection Constuction Service Agreement.
7.0 Provision of Interconmection Service. Transmission Provider and Intercomected Transmission Owner agree to provide for the interconnection to the Transmission System in the PJM Region of Intercomection Customer's Customer Facility identified in the Specifications in accordance with Part IV and Part VI of the Tariff. the Operating Agreement of PMM Interconnection, L.L.C. ("Operating Agreement"), and this ISA, as they may be amended from time to time.
8.0 Assumption of Tariff Obligations. Intercomection Customer agrees to abide by all rules and procedures pertaining to generation and transmission in the. PJM Region, including but not limited to the rules and procedures concerning the dispatch of generation or scheduling transmission set forth in the Tariff, the Operating Aoreement and the PJM Manuals.
9.0. Facilities Study. In analyzing and preparing the Facilities Study, and in designing and constructing the Attachment Facilities, Local Upgrades and/or Network Upgrades described in the Specifications attached to this ISA, Transmission Provider, the Interconnected Transmission Owner(s), and any other subcontractors employed by Transmission Provider have had to, and shall have to, rely on information provided by Interconnection Customer and possibly by third parties and may not have control over the accuracy of such information. Accordingly, NEITHER TRANSMISSION PROVIDER, THE INTERCONNECTED TRANSMISSION OWNER(s), NOR ANY OTHER SUBCONTRACTORS EMPLOYED BY TRANSMISSION PROVIDER OR INTERCONNECTED TRANSMISSION OWNER MAKES ANY WARRANTIES. EXPRESS OR IMPLIED, WHETHER ARISING BY OPERATION OF LAW, COURSE OF PERFORMANCE OR DEALING, CUSTOM, USAGE IN THE TRADE OR PROFESSION, OR OTHERWISE, INCLUDING WITHOUT LIMITATION IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WITH REGARD TO THE ACCURACY, CONIENT, OR CONCLUSIONS OF THE FACILITIES STUDY OR THE SYSTEM IMPACT STUDY IF A FACILITIES STUDY WAS NOT REQUIRED OR OF THE ATTACHMENT FACIITIES, THE LOCAL UPGRADES AND/OR THE NETWORK UPGRADES, PROVIDED, HOWEVER, that Transmission Provider warrants that the Transmission Owner Interconnection Facilities and any Merchant Transmission Upgrades described in the Specifications will be designed and constructed (to the extent that Intercomected Transmission Owner is responsible for design and construction thereof) and operated in
accordance with Good Utility Practice, as such tem is defined in the Operating Agreement. Interconnection Customer acknowledges that it has not relied on any representations or warraties not specifically set forth herein and that no such representations or warranties have formed the basis of its bargain hereunder.

### 10.0 Construction of Transmission Owner Intercompection Facilities

10.1. Cost Responsibility. Interconnection Customer shall be responsible for and shall pay upon demand all Costs associated with the intercomection of the Customer Faclity as specified in the Tarifi. These Costs may include, but are not limited to, an Attachment Facilities charge, a Local Upgrades charge, a Network Upgrades charge and other charges, as well as Costs of any Merchant Network Upgrades constructed on behalf of Interconnection Customer. A description of the facilities required and an estimate of the Costs of these facilities are included in Sections 3.0 and 4.0 of the Specifications to this ISA.
10.2. Billing and Payments. Transmission Provider shall bill the Intercomnection Customer for the Costs associated with the facilities contemplated by this ISA, estimates of which are set forth in the Specifications to this ISA, and the Interconnection Customer shall pay such Costs, in accordance with Section 11 of Appendix 2 to this ISA and the applicable Intercomection Construction Service Agreement. Upon receipt of each of Intercomection Customer's payments of such bills, Transmission Provider shall reimburse the applicable Intercomected Transmission Owner. Pursuant to Section 212.4 of the Tariff, Intercomection Customer requests that Transmission Provider provide a quarterly cost reconciliation:
x Yes
No
10.3. Contract Option. In the event that the Intercomection Customer and Intercomected Transmission Owner agree to utilize the Negotiated Contract Option provided by the Interconnection Construction Service Agreement to establish, subject to FERC acceptance, non-standard terms regarding cost responsibility, payment, billing and/or fmancing, the terms of Sections 10.1 and/or 10.2 of this Section 10.0 shall be superseded to the extent required to conform to such negotiated terms, as stated in a schedule attached to the parties' Interconnection Construction Service Agreement relating to interconnection of the Customer Facility.
10.4 In the event that the Interconnection Customer elects to construct some or all of the Transmission Owner Interconnection Facilities and/or of any Merchant Network Upgrades under the Option to Build of the Iaterconnection Construction Service Agreement, billing and payment for the Costs associated with the facilities contemplated by this ISA shall relate only to such portion of the

Intercomection Facilities andor any Merchant Network Upgrades as the Intercomected Transmission Owner is responsible for building.

### 11.0 Interconmection Specifications

11.1 Point of Intercomection. The Point of Interconnection shall be as identified on the one-Iine diagram attached as Schedule B to this ISA.
11.2 List and Ownership of Intercomection Facilities. The Intercomection Facilities to be constructed and ownership of the components thereof are identified in Section 3.0 of the Specifications attached to this ISA.
11.2A List and Ownership of Merchant Network Upgrades. If applicable, Merchant Network Upgrades to be constructed and ownership of the components thereof are identified in Section 3.0 of the Specifications attached to this ISA.
11.3 Ownership and Location of Meteting Equipment. The Metering Equipment to be constructed, the capability of the Metering Equipment to be constructed, and the ownership thereof, are identified on the attached Schedule C to this ISA.
11.4 Applicable Technical Standards. The Applicable Technical Requirements and Standards that apply to the Customer Facility and the Intercomection Facilities are identified in Schedule D to this ISA.
12.0 Power Factor Requirement.

Consistent with Section 4.7 of Appendix 2 to this ISA, the power factor requirement is as follows:

The Interconnection Customer shall design its Customer Facility with the ability to maintain a power factor of at least 0.95 leading to 0.90 lagging measured at the generator's terminals.
13.0 Charges. In accordance with Sections 10 and 11 of Appendix 2 to this ISA, the Interconnection Customer shall pay to the Transmission Provider the charges applicable after Initial Operation, as set forth in Schedule E to this ISA. Promptly after receipt of such payments, the Transmission Provider shall forward such payments to the appropriate Interconnected Transmission Owner.
14.0 Third Party Beneficiaries. No third party beneficiary rights are created under this ISA, except, however, that, subject to modification of the payment terms stated in Section 10 of this ISA pursuant to the Negotiated Contract Option, payment obligations imposed on Intercomection Customer under this ISA are agreed and acknowledged to be for the benefit of the Interconnected Transmission Owner(s). Intercomection Customer expressly agrees that the Intercomected Transmission Ownet(s) shall be entitled to take such legal recourse as it deems appropriate against Interconnection Customer for the
payment of any Costs or charges authorized under this ISA or the Tariff with respect to Interconnection Service for which Interconnection Customer fails, in whole or in part, to pay as provided in this ISA, the Taniff and/or the Operating Agreement.
15.0 Waiver. No waiver by either party of one or more defaults by the other in performance of any of the provisions of this ISA shall operate or be construed as a waiver of any other or futher default or defauts, whether of a like or different character.
16.0 Amendment. This ISA or any part thereof, may not be amended, modified or waved other than by a written document signed by all parties hereto.
17.0 Construction With Other Pats Of The Tariff. This ISA shall not be constued as an application for service under Part II or Part III of the Taniff.
18.0 Notices. Any notice or request made by either party regarding this ISA shall be made, in accordance with the terms of Appendix 2 to this ISA, to the representatives of the other party and as applicable, to the Intercomected Transmission Owner(s), as indicated below:

Transmission Provider:
PJM Interconnection, L.L.C.
2750 Monroe Blyd.
Audubon. PA 19403
Intercomection Customer:
NTE Ohio LLC
24 Cathedral Place
Suite 300
St. Augustine, FL 32084
Atta: T.R. Eves
Interconnected Transmission Owner:
Duke Energy Business Services, LLC for Duke Energy Ohio, Inc.
139 East $4^{\text {th }}$ Street
EX670
Cincimati, Ohio 45202
Attin: Tim Abbott

## AgreementNotices@duke-energy.com

19.0 Incomporation Of Other Documents. All portions of the Tariff and the Operating Agreement pertinent to the subject matter of this ISA and not otherwise made a part hereof are hereby incorporated herem and made a part hereof.
20.0 Addendum of Non-Standard Terms and Conditions for Interconnection Service. Subject to FERC approval, the parties agree that the terms and conditions set forth in Schechule F herefo are hereby incorporated herein by reference and be made a part of this ISA. In the event of any conflict between a provision of Schedule F that FERC has accepted and any provision of Appendix 2 to this ISA that relates to the same subject matter, the pertinent provision of Schedule F shall control.
21.0 Addendum of Intercomection Customer's Agreement to Conform with IRS Safe Harbor Provisions for Non-Taxable Status. To the extent required, in accordance with Section 24.1 of Appendix 2 to this ISA, Schedule G to this ISA shall set forth the Intercomection Customer's agreement to conform with the $\mathbb{R S}$ safe harbor provisions for non-taxable status.
22.0 Addendum of Intercomection Requirements for all Wind or Non-synchronous Generation Facilities. To the extent required, Schedule $H$ to this ISA sets forth interconnection requirements for a wind or non-synchronous generation facilities and is hereby incorporated by reference and made a part of this ISA.
23.0 Infrastructure security of electric system equipment and operations and control hardware and software is essential to ensure day-to-day reliability and operational security. All Transmission Providers, Interconnected Transmission Owners, market participants, and Intercomection Customers interconnected with electric systems are to comply with the recommendations offered by the President's Critical Infrastructure Protection Board and best practice recommendations from the electric reliability authority. All public utilities are expected to meet basic standards for electric system infrastructure and operational security, inchuding physical, operational, and cyber-security practices.

IN WITNESS WHEREOF, Transmission Provider, Interconnection Customer and Interconnected Transmission Owner have caused this ISA to be executed by their respective authorized officials.
(PJM Queue Position \#Z1-079)
Transmission Providar: PM mercomection, L.L.C.
By: $\qquad$
Name
Title
Date
Printed name of signer:


Printed hame of signer:


Interconnected Transmission Owner: Duke Energy Business Services, LLC, for Duke Energy Ohio, Inc.
By: $\qquad$ Vice President Transmission System Operations Title Date

Printed name of signer: V. Nelson Peeler

SPECIFICATIONS FOR<br>INTERCONNECTION SERVICE AGREEMENT<br>By and Among<br>PJM TNTERCONNECTION, L.L.C.<br>And<br>NTE OHIO, LLC<br>And<br>DUKE ENERGY BUSINESS SERVICES, LLC, FOR DUKE ENERGY OHIO, INC.<br>(PJM Queue Position \# Z1-079)

1.0 Description of generating unit(s) (the Customer Facility) to be interconnected with the Transmission System in the PM Region:
a. Name of Customer Facility:

Middletown Energy Center
b. Location of Customer Facility:

Oxford State Road and Cincimati Dayton Road, Middletown, Butler County, Obio
c. Size in megawatts of Customer Facility:

For Generation Intercomection Customer:
Maximum Facility Output of 513 MW
d. Description of the equipment configuration:

The Facility will be a natural gas combined cycle facility utilizing one (1) combustion turbine generator (CTG) and one (1) steam turbine generator (STG).
2.0 Rights
2.1 Capacity Interconnection Rights:

Pursuant to and subject to the applicable tems of the Tariff, the Imtercomection Customer shall have Capacity Intercomection Rights at the Point(s) of Interconnection speciffed in this Intercomection Service Agreement in the amount of 513 MW .
2.1a To the extent that any portion of the Customer Facility described in section 1.0 is not a Capacity Resource with Capacity Intercomection Rights, such portion of the Customer Facility shall be an Energy Resource. PJM reserves the right to limit total injections to the Maximum Facility Output in the event reliability would be affected by output greater than such quantity.

### 2.5 Incremental Auction Revenue Rights:

Pursuant to Section 231 of the Tariff, Intercomection Customer shall have Incremental Auction Revenue Rights in the following quantities: None
2.6 Incremental Capacity Transfer Rights:

Pusuant to Section 234 of the Taniff, Intercomection Customer shall have Incremental Capacity Transfer Rights between the following associated source(s) and $\operatorname{sink}(\mathrm{s})$ in the indicated quantities: None
3.0 Construction Responsibility and Ownership of Intercomection Facilities
a. Intercomection Customer.
(1) Intercomection Customer shall construct and, unless otherwise indicated, shall own, the following Intercomection Facilities:

345 kV line or bus from generator step-up connection bus to the substation bus at Garver

345 kV main air break disconnect and grounding switch
Two 345 kV line circuit breakers with air break disconnect and grounding switches on the high side of the generator step-up tansformers

Two generator step up transformers with primary voltage of 345 kV
Protection and control equipment for transformers, breakers and switches
(2) In the event that, in accordance with the Interconnection Construction Service Agreement, Intercomection Cistomer has exercised the Option to Build, it is hereby permitted to build in accordance with and subject to the conditions and limitations set forth in that Section, the following portions (1) of the Transmission Owner Interconnection Facilities and/or (2) of any Merchant Network Upgrades which constifute or are part of the Customer Facility:

None
Ownership of the facilities built by Intercomection Customer pursuan to the Option to Build shall be as provided in the Intercomection Construction Service Agreement.
b. Intercomected Transmission Owner

- 14474: New 345 kV intercomection substation ("Garver Substation")
- n4473: Loop line (Circuit 4515) through Garver substation;
- 124251.1-13: Replace (13) 138 kV circuit breakers
- n4251.14: Install reactors on the low sides of the three Todhunter 345-138 kV autotransformers;
- n4254: Reconductor the DEO 138 kV Crcuit 5680 and upgrade circuit 5680 terminal equipment
c.. Appalachian Power Company ("AEP") (additional Transmission Owner)
- n4259: Adjust Mountaineer relay trip limit or install new relay package on the Mountaineer - Belmont 765 kV line
4.0 Subject to modification pursuant to the Negotiated Contract Option and/or the Option to Build under the Intercomection Construction Service Agreement, Interconnection Customer shall be subject to the estimated charges detailed below, which shall be billed and paid in accordance with Appendix 2, Section II of this ISA and the applicable Interconnection Constuction Service Agreement.
4.1 Attachment Facilities Charge: $\$ 8,366,280$
4.2 Network Upgrades Charge: $\$ 11,723,671$
4.3 Local Upgrades Charge: $\$ 0$
4.4 Other Charges: $\$ 0$
4.5 Cost of Merchant Network Upgrades: \$0
4.6 Cost breakdown:
$\$ 5.204,960$ Direct Labor
$\$ 9.535,415$ Direct Material
\$4,489,818 Indirect Labor
\$ 859,758 Indirect Material
$\$ 20,089,951$ Total


### 4.7 Security Amount Breakdown:

\$11,723,671 Estimated Cost of Non-Direct Commection Local Upgrades andor Non-Direct Comnection Network Upgrades
plus $\$ 0 . \quad$ Estimated Cost of any Merchant Network Upgrades that Interconnected Tranmission Owner is responsible for building
phus $\$ 836,628$ Estimated cost of the work (for the first three months) on the required Attachment Facilities, Direct Comection Local Upgrades, and Direct Comnection Network Upgrades
phus Option to Build Security for Affachment Facilities, Direct Comection Local Upgrades, and Direct Comection Network Upgrades (including Cancellation Costs)
less $\$ 0 \quad$ Costs already paid by Intercomection Customer
$\$ 12,560,299$ Total Security required with ISA

## APPENDICES:

- APPENDIX 1 -DEFINITIONS
- APPENDIX 2 - STANDARD TERMS AND CONDITIONS FOR INTERCONNECTIONS

SCHEDULES:

- SCHEDULE A-CUSTOMER FACILITYLOCATION/SITE PLAN
- SCHEDULE B - SINGLE-LINE DIAGRAM
- SCHEDULE C - LIST OF METERING EQUTPMENT
- SCHEDULE D - APPLLCABLE TECHNICAL REQUREMENTS AND STANDARDS
- SCHEDULE E-SCHEDULE OF CHARGES
- SCHEDULE F - SCHEDULE OF NON-STANDARD TERMS \& CONDITIONS
- SCHEDULE G - NTERCONNECTION CUSTOMER'S AGREEMENT TO CONFORM WITH IRS SAFE HARBOR PROVISIONS FOR NON-TAXABLE sTATUS
- SCHEDULE H - INTERCONNECTION REQUIREMENTS FOR A WIND GENERATION FACILITY


## APPENDIX 1

## DEFINTIIONS

From the PM Tariff accepted for filing by the Commission as of the effective date of this agrement

## 1. Definitions

### 1.01 Abnormal Condition:

Any condition on the Intercomection Facilities which, determined in accordance with Good Utility Practice, is: (i) outside normal operating parameters such that facilities are operating outside their normal ratings or that reasonable operating limits have been exceeded; and (ii) could reasonably be expected to materially and adversely affect the safe and reliable operation of the Intercomection Facilities; but which, in any case, could reasonably be expected to result in an Emergency Condition. Any condition or situation that results from lack of sufficient generating capacity to meet load requirements or that results solely from economic conditions shall not, standing alone, constitute an Abnomal Condition.

### 1.0A Affected System:

An electric system other than the Transmission Provider's Transmission System that may be affected by a proposed intercomection or on which a proposed intercomection or addition of facilities or upgrades may require modifications or upgrades to the Transmission System.

### 1.0A. 01 Affiliate:

With respect to a corporation, partnership or other entity, each such other corporation, partnership or other entity that directly or indirectly, through one or more intermediaries, controls, is controlled by, or is under common control with, such corporation. partnership or other entity.

### 1.0B Affected System Operator:

An entity that operates an Affected System or, if the Affected System is under the operational control of an independent system operator or a regional transmission organization, such independent entify.

### 1.1 Ancillary Services:

Those services that are necessary to support the transmission of capacity and energy from resources to loads while maintaining reliable operation of the Transmission Provider's Transmission System in accordance with Good Utility Practice.

### 1.2 Annual Transmission Costs:

The total amual cost of the Transmission System for purposes of Network Integration Transmission Service shall be the amount specified in Attachment $H$ for each Zone until amended by the applicable Transmission Owner or modified by the Commission.

### 1.2.01 Applicable Laws and Regulations:

All duly promulgated applicable federal, State and local laws, regulations, rules, ordinances, codes, decrees. judgments, directives, or judicial or administrative orders, permits and other duly authorized actions of any Govermmental Authonity having jurisdiction over the relevant parties, their respective facilities, andor the respective services they provide.

### 1.2A Applicable Regional Entity:

The Regional Entity for the region in which a Network Customer, Transmission Customer, Intercomection Customer, or Transmission Owner operates.

### 1.2B Applicable Standards:

The requirements and guidelines of NERC. the Applicable Regional Entity and the Control Area in which the Customer Facility is electrically located; the PJMManuals: and Applicable Technical Requirements and Standards.

### 1.2C Applicable Technical Requirements and Standards:

Those certain techaical requirements and standards applicable to intercomections of generation and/or transmission facilities with the facilities of an Intercomected Transmission Owner or: as the case may be and to the extent applicable, of an Electric Distributor (as defined in Section 1.8 of the Operating Agreement), as published by Transmission Provider in a PIM Manual provided, however, that, with respect to any generation facilities with maximum generating capacity of 2 MW or less for which the Intercomection Customer executes a Construction Service Agreement or Intercomection Service Agreement on or after March 19, 2005, "Applicable Technical Requirements and Standards" shall refer to the "PIM Small Generator Intercomection Applicable Technical Requirements and Standards." All Applicable Techmical Requirements and Standards shall be publicly available through postings on Transmission Provider's intemet website.

### 1.3 Application:

A request by an Eligible Customer for transmission service pursuant to the provisions of the Tariff.

### 1.3A Attachment Facilities:

The facilities necessary to physically comect a Customer Facility to the Transmission System or intercomected distribution facilities.

### 1.3AA Attachment H:

Attachment H shall refer collectively to the Attachments to the PJM Tariff with the prefix "H-" that set forth, among other things, the Annual Transmission Rates for Network Integration Transmission Service in the PJM Zones.

### 1.3B Behind The Meter Generation:

Behind The Meter Generation refers to a generation unit that delivers energy to load without using the Transmission System or any distribution facilities (unless the entity that owns or leases the distribution facilities has consented to such use of the distribution facilities and such consent has been demonstrated to the satisfaction of the Office of the Intercomection); provided, however, that Behind The Meter Generation does not include (i) at any time, any portion of such generating unit's capacity that is designated as a Generation Capacity Resource; or (ii) in an hour, any portion of the output of such generating unit $[\mathrm{s}]$ that is sold to another entity for consumption at another electrical location or into the PM muterchange Energy Market.

### 1.3BB Black Start Service:

Black Start Service is the capability of generating units to start without an outside electrical supply or the demonstrated ability of a generating unit with a high operating factor (subject to Trasmission Provider concurrence) to automatically remain operating at reduced levels when disconnected from the grid.

### 1.3BB. 01 Breach:

The failure of a party to perform or observe any material term or condition of Part IV or Part VI of the Tariff, or any agreement entered into thereunder as described in the relevant provisions of such agreement.

### 1.3BB. 02 Breaching Party:

A party that is in Breach of Part V or Part VI and or an agreement entered into thereunder.

### 1.3BB. 03 Cancellation Costs:

The Costs and liabilities incurred in comection with: (a) cancellation of supplier and contractor written orders and agreements entered into to design, construct and install Attachment Facilities, Direct Assignment Facilities and/or Customer-Funded Upgrades, and/or (b) completion of some or all of the required Attachment Facilities, Direct Assignment Facilities and/or CustomerFunded Upgrades, or specific unfinished porions and/or removal of any or all of such facilities which have been installed, to the extent required for the Transmission Provider andior Transmission Owner(s) to perform their respective obligations under Pant IV and/or Part VI of the Tariff.

### 1.3C Capacity Interconnection Rights:

The rights to input generation as a Generation Capacity Resource into the Transmission System at the Point of Intercomection where the generating facilities connect to the Transmission System.

### 1.3D Capacity Resource:

Shall have the meaning provided in the Reliability Assurance Agreement.

### 1.3E Capacity Transmission Injection Rights:

The rights to schedule energy and capacity deliveries at a Poimt of Intercomection (as defined in Section 1.33A) of a Merchant Transmission Facility with the Transmission System. Capacity Transmission Imjection Rights may be awarded only to a Merchaut D.C. Transmission Facility and/or Controllable A.C. Merchant Transmission Facilities that connects the Transmission System to another control area. Deliveries scheduled using Capacity Transmission byection Rights have rights similar to those under Fim Pont-to-Point Transmission Service or. if coupled with a generating unit extemal to the PM Region that satisfies all applicable criteria specified in the PJM Mamals, similar to Capacity Interconnection Rights.

### 1.3F Commercement Date:

The date on which Intercomection Service commences in accordance with an Intercomection Service Agreement.

### 1.4 Commission:

The Federal Energy Regulatory Commission.

### 1.5 Completed Application:

An Application that satisfies all of the information and other requirements of the Taniff, including any required deposit.

### 1.5.01 Confidential Information:

Any confidential, proprietary, or trade secret information of a plan, specification, patern, procedure, design, device, list, concept, policy, or compilation relating to the preseut or plamed business of a New Service Customer, Transmission Owner, or other Intercomnection Party or Construction Party, which is designated as confidential by the party supplying the information. whether conveyed verbally, electronically, in writing, through inspection, or otherwise, and shall include, withont limitation, all information relating to the producing party's technology, research and development, business affairs and pricing, and any information supplied by any New Service Customer, Transmission Owner, or other Interconnection Party or Construction Party to another such party prior to the execution of an Interconnection Service Agreement or a Construction Service Agreement.

### 1.5A Consolidated Transmission Owners Agreement:

The certain Consolidated Transmission Owners Agreement dated as of December 15, 2005, by and among the Transmission Owners and by and between the Transmission Owners and PJM Interconnection, L.L.C.

### 1.5B Constructing Entity:

Either the Transmission Owner or the New Services Customer, depending on which entity has the construction responsibility pursuant to Part VI and the applicable Construction Service Agreement; this term shall also be used to refer to an Interconnection Customer with respect to the construction of the Customer Intercomection Facilities.

### 1.5C Construction Party:

A party to a Construction Service Agreement. "Construction Parties" shall mean all of the Parties to a Construction Service Agreement.

### 1.5D Construction Service Agreement:

Either an Intercomection Construction Service Agreement or an Upgrade Construction Service Agreement.

### 1.6 Control Area:

An electric power system or combination of electric power systems to which a common automatic generation control scheme is applied in order to:
(1) match, at all times, the power output of the generators within the electric power system(s) and capacity and energy purchased from entities outside the electric power system(s), with the load within the electric power system(s);
(2) maintain scheduled interchange with other Control Areas, within the limits of Good Utility Practice:
(3) maintain the frequency of the electric power system(s) within reasonable limits in accordance with Good Utility Practice; and
(4) provide sufficient generating capacity to maintain operating reserves in accordance with Good Utility Practice:

### 1.6A Control Zone:

Shall have the meaning given in the Operating Agreement.

### 1.6B Controllable A.C. Merchant Transmission Facilities:

Tramsmission facilities that (1) employ technology which Transmission Provider reviews and verifies will permit control of the amount andior direction of power flow on such facilities to such extent as to effectively enable the controllable facilities to be operated as if they were direct
current transmission facilities, and (2) that are intercomected with the Transmission System pursuant to Part IV and Part VI of the Tariff.

### 1.6C Costs:

As used in Part N, Part VI and related attachments to the Tariff, costs and expenses, as estimated or calculated, as applicable, including, but not limited to, capital expendinues. if applicable, and overhead, return, and the costs of financing and taxes and any Incidental Expenses.

### 1.61 Counterparty:

PMSettlement as the contracting party, in its name and own right and not as an agent, to an agreement or transaction with a market participant or other customer.

### 1.7 Curtaiment:

A reduction in fim or mon-fim transmission service in response to a transfer capability shortage as a result of system reliability conditions.

### 1.7A Customer Facility:

Generation facilities or Merchant Transmission Facilities interconnected with or added to the Transmission System pursuant to an Intercomection Request under Subparts A of Part N of the Tariff.

### 1.7A.01 Customer-Funded Lpgrade:

Any Network Upgrade, Local Upgrade, or Merchant Network Upgrade for which cost responsibility (i) is imposed on an Intercomection Customer or an Eligible Customer pursuant to Section 217 of the Tariff, or (ii) is voluntanily undertaken by a market participant in fulfilment of an Upgrade Request pursuat to Section 7.8 of Schedule 1 of the Operating Agreement. No Network Upgrade, Local Upgrade or Merchant Network Upgrade or other transmission expansion or enhancement shall be a Customer-Funded Upgrade if and to the extent that the costs thereof are included in the rate base of a public utility on which a regulated return is eamed.

### 1.7A.02 Customer Interconnection Facilities:

All facilities and equipment owned andor controlled, operated and maintained by Interconnection Customer on Interconnection Customer's side of the Point of Intercomection identified in the appropriate appendices to the Interconnection Service Agreement and to the Intercomection Construction Service Agreement, inchuding any modifications, additions, or upgrades made to such facilities and equipment, that are necessary to physically and electrically interconnect the Customer Facility with the Transmission System.

### 1.7B Daily Capacity Deficiency Rate:

Daily Capacity Deticiency Rate is as defined in Schedule 11 of the Reliability Assurance Agreement.

### 1.7C Deactivation:

The retirement or mothballing of a generating unit governed by Part $V$ of this Tariff.

### 1.7D Deactivation Avoidable Cost Credit:

The credit paid to Generation Owners pursuant to section 114 of this Taniff.

### 1.7E Deactivation Avoidable Cost Rate:

The formula rate established pursuant to section 115 of this Tariff.

### 1.7F Deactivation Date:

The date a generating unit within the PJM Region is either retired or mothballed and ceases to operate.

### 1.7G Default:

As used in the Interconnection Service Agreement and Construction Service Agreement, the failure of a Breaching Party to cure its Breach in accordance with the applicable provisions of an Infercomection Service Agreement or Construction Service Agreement.

### 1.8 Delivering Party:

The entity supplying capacity and energy to be transmitted at Point(s) of Receipt.

### 1.9 Designated Agent:

Any entity that perfoms actions or functions on behalf of the Transmission Provider, a Transmission Owner, an Eligible Customer, or the Transmission Customer required under the Tariff.

### 1.9A Designated Entity:

"Designated Entity" shall have the same meaming provided in the Operating Agreement.

### 1.10 Direct Assigament Facilities:

Facilities or portions of facilities that are constructed for the sole usefbenefit of a particular Transmission Customer requesting service under the Tariff. Direct Assignment Facilities shall
be specified in the Service Agreement that governs service to the Transmission Customer and shall be subject to Commission approval.

### 1.10A Economic-based Enhancement or Expansion:

"Economic-based Enhancement or Expansion" shall have the same meaning provided in the Operating Agreement.

### 1.10B Economic Minimum:

The lowest incremental MW output level a unit can achieve while following economic dispatch.

### 1.11 Eligible Castomer:

(i) Any electric utility (including any Transmission Owner and any power marketer), Federal power marketing agency, or any person generating electric energy for sale for resale is an Eligible Customer under the Tariff. Electric energy sold or produced by such entity may be electric energy produced in the United States, Canada or Mexico. However. with respect to transmission service that the Commission is prohibited from ordering by Section 212(h) of the Federal Power Act. such entity is eligible only if the service is provided pursuant to a state requirement that the Transmission Provider or Transmission Owner offer the unbundled transmission service, or pursuant to a vohmary offer of such service by a Transmission Owner.
(ii) Any retail customer taking unbunled transmission service pursuant to a state requirement that the Transmission Provider or a Transmission Owner offer the transmission service, or pursuant to a voluntary offer of such service by a Transmission Owner, is an Eligible Customer under the Tariff. As used in Part VI, Eligible Customer shall mean only those Eligible Customers that have submitted a Completed Application.

### 1.11.01 Emergency Condition:

A condition or situation (i) that in the judgment of any Interconnection Party is imminently likely to endanger life or property; or (ii) that in the judgment of the Intercomected Transmission Owner or Transmission Provider is imminently likely (as determined in a non-discriminatory manner) to cause a material adverse effect on the security of, or damage to, the Transmission System, the Intercomection Facilities. or the transmission systems or distribution systems to which the Transmission System is directly or indirectly connected; or (iii) that in the judgment of Interconmection Customer is imminently likely (as determined in a non-discriminatory maner) to cause damage to the Customer Facility or to the Customer Interconnection Facilities. Systenz restoration and black start shall be considered Emergency Conditions, provided that a Generation Interconnection Customer is not obligated by an Interconnection Service Agreement to possess black stant capability. Any condition or situation that results from lack of sufficient generating capacity to meet load requirements or that results solely from economic conditions shall not constitute an Emergency Condition, unless one or more of the enumerated conditions or situations identified in this definition also exists.

### 1.11A Energy Resource:

A generating facility that is not a Capacity Resource.

### 1.11A.01 Energy Settlement Area:

The bus or distribution of busses that represents the physical location of Network Load and by which the obligations of the Network Customer to PMM are settled.

### 1.11B Energy Transmission Injection Rights:

The rights to schedule energy deliveries at a specified point on the Transmission System. Energy Transmission Injection Rights may be awarded only to a Merchant D.C. Transmission Facility that comects the Transmission System to another control area. Deliveries scheduled using Energy Transmission Injection Rights have rights similar to those under Non-Firm Point-to-Point Transmission Service.

### 1.11C Environmental Laws:

Applicable Laws or Regulations relating to pollution or protection of the enviromment, natural resources or human health and safety.

### 1.11D Existing Generation Capacity Resource:

Existing Generation Capacity Resource shall have the meaning specified in the Reliability Assurance Agreement.

### 1.12 Facilities Study:

An engineering study conducted by the Transmission Provider (in coordination with the affected Transmission Owner(s)) to determine the required modifications to the Transmission Provider's Transmission System, including the cost and scheduled completion date for such modifications, that will be required to provide the requested transmission service or to accommodate an Intercomection Request or Upgrade Request. As used in the Interconnection Service Agreement or Construction Service Agreement, Facilities Study shall mean that certain Facilities Study conducted by Transmission Provider (or at its direction) to determine the design and specification of the Intercomection Facilities necessary to accommodate the New Service Customer's New Service Request in accordance with Section 207 of Part VI of the Tariff.

### 1.12A Federal Power Act:

The Federal Power Act, as amended, 16 U.S.C. $\$ \$ 791$ a, et seq.

### 1.12B FERC:

The Federal Energy Regulatory Commission or its successor.

### 1.13 Firm Point-To-Point Transmission Service:

Transmission Service under this Tariff that is reserved andor scheduled between specified Points of Receipt and Delivery pursuant to Part II of this Tariff.

### 1.13A Firm Transmission Withdrawal Rights:

The rights to schedule energy and capacity withdrawals from a Point of Intercomection (as defined in Section 1.33A) of a Merchant Transmission Facility with the Transmission System. Fim Transmission Withdrawal Rights may be awarded only to a Merchant D.C. Transmission Facility that connects the Transmission System with another control area. Withdrawals scheduled using Firm Transmission Withdrawal Rights have rights similar to those under Firm Point-toPoint Iransmission Service.

### 1.13A.02 Generation Capacity Resource:

"Generation Capacity Resource" shall have the meaning speciffed in the Reliability Assurance Agreement.

### 1.13B Generation Interconnection Customer:

An entity that submits an Interconnection Request to interconnect a new generation facility or to increase the capacity of an existing generation facility interconnected with the Transmission System in the PJM Region.
1.13C Generation Interconnection Facilities Study:

A Facilities Study related to a Generation Intercomection Request.

### 1.13D Generation Intercomnection Feasibility Study:

A study conducted by the Transmission Provider (in coordination with the affected Transmission Owner(s)) in accordance with Section 36.2 of this Tariff.

### 1.13E Generation Interconnection Request:

A request by a Generation Intercomection Customer pursuant to Subpart A of Part IV of the Tariff to intercomect a generating unit with the Transmission System of to increase the capacity of a generating wait intercomected with the Transmission System in the PMM Region.

### 1.13F Generation Owner:

An entity that awns or otherwise controls and operates one or more operating generating units in the PJM Region.

### 1.14 Good Utility Practice:

Any of the practices, methods and acts engaged in or approved by a significant portion of the electric utility industry during the relevant time period, or any of the practices, methods and acts which, in the exercise of reasonable judgment in light of the facts known at the time the decision was made, could have been expected to accomplish the desired result at a reasonable cost consistent with good business practices, reliability, safety and expedition. Good Utility Practice is not intended to be limited to the optimum practice method, or act to the exclusion of all others, but rather to be acceptable practices, methods, or acts generally accepted in the region; including those practices required by Federal Power Act Section 215 (a)(4).

### 1.14.01 Governmental Authority:

Any federal, state, local or other governmental, regulatory or administrative agency, court, commission, department, board, or other govermmental subdivision, legislature, rulemaking board, tribunal, arbitrating body, or other govermmental authority having jurisdiction over any Intercomection Party or Construction Party or regarding any matter relating to an Intercomection Service Agreement or Construction Service Agreement. as applicable.

### 1.14.02 Hazardous Substances:

Any chemicals, materials or substances defined as or included in the definition of "thazardous substances," "hazardous wastes," "hazardous materials," "hazardous constituents," "restricted hazardous materials," "extremely hazardous substances," "toxic substances," "radioactive substances," "contaminants," "pollhtants," "toxic pollhtants" or words of similar meaning and regulatory effect under any applicable Environmental Law, or any other chemical, material or substance, exposure to which is prohibited, limited or regulated by any applicable Environmental Law.

### 1.14A IDR Transfer Agreement:

An agreement to transfer, subject to the terms of Section 49B of the Tariff, Incremental Deliverabilify Rights to a party for the purpose of eliminating or reducing the need for Local or Network Upgrades that would otherwise have been the responsibility of the party receiving such rights.

### 1.14A.001 Inmediate-need Reliability Project:

"Immediate-need Reliability Project" shall have the same meaning provided in the Operating Agreement.

### 1.14A. 01 Incidental Expenses:

Shall mean those expenses incidental to the performance of construction pursuant to an Interconmection Construction Service Agreement, including, but not limited to, the expense of temporary construction power, telecommunications charges, Interconnected Transmission Owner
expenses associated with, but not limited to, document preparation, design review, imstallation. monitoring, and construction-related operations and maintenance for the Customer Facility and for the Intercomection Facilities.

### 1.14B Incremental Auction Revenue Rights:

The additional Auction Revenue Rights (as defined in Section 1.3.1A of Schedule 1 of the Operating Agreement), not previously feasible, created by the addition of Incremental RightsEligible Required Transmission Enhancements, Merchant Transmission Facilities, or of one or more Customer-Funded Upgrades.

### 1.14B.01 Incremental Rights-Eligible Required Transmission Enhancements:

Regional Facilities and Necessary Lower Voltage Facilities or Lower Voltage Facilities (as defined in Schedule 12 of the Tariff) and meet one of the following criteria: (1) cost responsibility is assigned to non-contiguous Zones that are not directly electrically comected; or (2) cost responsibility is assigued to Merchant Transmission Providers that are Responsible Customers.

### 1.14C Incremental Available Transfer Capability Revenue Rights:

The rights to reverues that are derived from incremental Available Transfer Capability created by the addition of Merchant Transmission Facilities or of one of more Customer-Funded Upgrades.

### 1.14D Incremental Deliverability Rights (IORs):

The rights to the incremental ability, resulting from the addition of Merchant Transmission Facilities, to inject energy and capacity at a point on the Transmission System, such that the injection satisfies the deliverability requirements of a Capacity Resource. Incremental Deliverability Rights may be obtained by a generator or a Generation Intercomection Customer, pursuant to an IDR Transfer Agreement, to satisfy. in part, the deliverability requirements necessary to obtain Capacity Intercomection Rights.

### 1.14D.1 Incremental Muti-Driver Project:

"Incremental Multi-Driver Project" shall have the same meaning provided in the Operating Agreement.

### 1.14Da Initial Operation:

The commencement of operation of the Customer Facility and Customer Intercomection Facilities after satisfaction of the conditions of Section 1.4 of Appendix 2 of an Interconnection Service Agreement.

### 1.140b Initial Study:

A study of a Completed Application conducted by the Transmission Provider (in coordination with the affected Transmission Owner(s)) in accordance with Section 19 or Section 32 of the Tariff.

### 1.14Dc Interconnected Entity:

Either the Interconnection Customer or the Interconnected Transmission Owner; Interconnected Entities shall mean both of them.

### 1.14D.01 Interconnected Transmission Owner:

The Transmission Owner to whose transmission facilities or distribution facilities Customer Interconnection Facilities are, or as the case may be, a Customer Facility is, being directly conmected. When used in an Interconnection Construction Service Agreement, the term may refer to a Transmission Owner whose facilities must be upgraded pursuant to the Facilities Study, but whose facilities are not directly interconnected with those of the Interconnection Customer.

### 1.141.02 Interconnection Construction Service Agreement:

The agreement entered into by an Interconnection Customer, Interconnected Transmission Owner and the Transmission Provider pursuant to Subpart B of Part VI of the Tariff and in the form set forth in Attachment $P$ of the Tariff, relating to construction of Attachment Facilities, Network Upgrades, and/or Local Upgrades and coordination of the construction and intercomection of an associated Customer Facility. A separate Interconnection Construction Service Agreement will be executed with each Transmission Owner that is responsible for construction of any Attachment Facilities, Network Upgrades, or Local Upgrades associated with intercomection of a Customer Facility.

### 1.14E Interconnection Customer:

A Generation Interconnection Customer and/or a Transmission Infercomection Customer.

### 1.14F Interconnection Facilities:

The Transmission Owner Interconnection Facilities and the Customer Interconnection Facilities.

### 1.14G Interconnection Feasibility Study:

Either a Generation Intercomection Feasibility Study or Transmission Interconnection Feasibility Study.

### 1.14G.01 Interconnection Party:

Transmission Provider, Interconnection Customer, or the Interconnected Transmission Owner. Intercomection Parties shall mean all of them.

### 1.14H Interconnection Request:

A Generation Interconnection Request, a Transmission Intercomection Request andior an IDR Transfer Agreement.

### 1.14H. 01 Interconnection Service:

The physical and electrical intercomection of the Customer Facility with the Transmission System pursuant to the terms of Part IV and Part VI and the Intercomection Service Agreement entered into pursuant thereto by Interconnection Customer, the Interconnected Transmission Owner and Transmission Provider.

### 1.141 Interconnection Service Agreement:

An agreement among the Transmission Provider, an Intercomection Customer and an Interconected Transmission Owner regarding intercomection under Pait IV and Part VI of the Tariff.

### 1.14J Interconnection Studies:

The Interconnection Feasibility Study, the System Impact Study, and the Facilities Study described in Part IV and Part VI of the Tariff.

### 1.15 Interruption:

A reduction in non-firm transmission service due to economic reasons pursuant to Section 14.7.

### 1.15A List of Approved Contractors:

A list developed by each Transmission Owner and published in a PIM Manual of (a) contractors that the Transmission Owner considers to be qualified to install or construct new facilities and/or upgrades or modifications to existing facilities on the Transmission Owner's system, provided that such contractors may include. but need not be limited to, contractors that, in addition to providing construction services, also provide design andor other construction-ielated services. and (b) manufactures or vendors of major transmission-related equipment (e.g., high-voltage transfomers, transmission line, circuit breakers) whose products the Transmission Owner considers acceptable for installation and use on its system.

### 1.16 Load Ratio Share:

Ratio of a Transmission Customer's Network Load to the Transmission Provider's total load.

### 1.17 Load Shedding:

The systematic reduction of system demand by temporarily decreasing load in response to transmission system or area capacity shortages, system instability, or voltage control considerations under Pari II or Part III of the Tariff.

### 1.17A Local Upgrades:

Modifications or additions of facilities to abate any local thermal loading, voltage, short circuit, stability or similar engineering problem caused by the interconnection and delivery of generation to the Transmission System. Local Upgrades shall inchude:
(i) Direct Comection Local Upgrades which are Local Upgrades that only serve the Customer Intercomection Facility and have no impact or potential impact on the Transmission System until the final tie-in is complete; and
(ii) Non-Direct Comection Local Upgrades which are parallel flow Local Upgrades that are not Direct Connection Local Upgrades.

### 1.17B Long-lead Project:

"Long-lead Project" shall have the same meaning provided in the Operating Agreement.

### 1.18 Long-Term Firm Point-To-Point Transmission Service:

Firm Poiut-To-Point Transmission Service under Part II of the Tariff with a term of one year or more.

### 1.18A [RESERVED]

### 1.18A.01 [RESERVED]

### 1.18A. 02 Material Modification:

Any modification to an Intercomection Request that has a material adverse effect on the cost or timing of Intercomection Studies related to, or any Network Upgrades or Local Upgrades needed to accommodate, any Intercomection Request with a later Queue Position.

### 1.18A. 03 Maximum Facility Output:

The maximum (not nominal) net electrical power output in megawatts, specified in the Intercomection Service Agreement, after supply of any parasitic or host facility loads, that a Generation Interconnection Customer's Customer Facility is expected to produce, provided that the specified Maximm Facility Output shall not exceed the output of the proposed Customer Facility that Transmission Provider utilized in the System Impact Study.

### 1.18B Merchant A.C. Transmission Facilities:

Merchant Transmission Facilities that are altemating current (A.C.) transmission facilities, other than those that are Controllable A.C. Merchant Transmission Facilities.

### 1.18C Merchant D.C. Transmission Facilities:

Direct current (D.C.) transmission facilities that are interconnected with the Transmission System pursuant to Part IV and Part VI of the Tariff.

### 1.18D Merchant Network Upgrades:

Merchant A.C. Transmission Facilities that are additions to, or modifications or replacements of, physical facilities of the Interconnected Transmission Owner that, on the date of the pertinent Transmission Intercomection Customer's Intercomection Request, are part of the Transmission System or are included in the Regional Transmission Expansion Plan.

### 1.18E Merchant Transmission Facilities:

A.C. or D.C. transmission facilities that are interconnected with or added to the Transmission System pursuant to Part IV and Pant VI of the Taniff and that are so identified on Attachment $T$ to the Tariff, provided, however, that Merchant Transmission Facilities shall not include (i) any Custoner Interconnection Facilities, (ii) any physical facilities of the Transmission System that were in existence on or before March 20, 2003 ; (iii) any expansions or enhancements of the Transmission System that are not identified as Merchan Transmission Facilities in the Regional Transmission Expansion Plan and Attachment T to the Tariff, or (iv) any transmission facilities that are inchuded in the rate base of a public utility and on which a regulated return is eamed.

### 1.18F Merchant Transmission Provider:

An Interconnection Customer that (1) owns, controls, or controls the rights to use the transmission capability of, Merchant D.C. Transmission Facilities and/or Controllable A.C. Merchant Transmission Facilities that comnect the Transmission System with another control area, (2) has elected to receive Transmission Injection Rights and Transmission Withdrawal Rights associated with such facility pursuant to Section 36 of the Tariff, and (3) makes (or will make) the transmission capability of such facilities available for use by third parties under terms and conditions approved by the Commission and stated in the Tariff, consistent with Section 38 below.

### 1.18G Metering Equipment:

All metering equipment installed at the metering points designated in the appropriate appendix to an Intercomection Service Agreement.

### 1.18G.01 Multi-Driver Project:

"Multi-Driver Project" shall have the same meaning provided in the Operating Agreement.

### 1.19 Native Load Customers:

The wholesale and retail power customers of a Transmission Owner on whose behalf the Transmission Owner, by statute, franchise, regulatory requirement, or contract, has undertaken an obligation to construct and operate the Transmission Owner's system to meet the reliable electric needs of such customers.

### 1.19A NERC:

The North Amexican Electric Reliability Council or any successor thereto.

### 1.19B Neutral Party:

Shall have the meawng provided in Section 9.3(v).

### 1.20 Network Customer:

An entity receiving transmission service pusuant to the terms of the Transmission Provider's Networl Integration Transmission Service under Part III of the Tariff.

### 1.21 Network Integration Transmission Service:

The transmission service provided under Part III of the Tariff.

### 1.22 Network Load:

The load that a Network Customer designates for Network Integration Transmission Service under Part III of the Tariff. The Network Customer's Network Load shall include all load (including losses) served by the output of any Network Resources designated by the Network Customer. A Network Customer may elect to designate less than its total load as Network Load but may not designate only part of the load at a discrete Point of Delivery. Where an Eligible Customer has elected not to designate a particular load at discrete points of delivery as Network Load, the Eligible Customer is responsible for making separate arrangements under Part II of the Tariff for any Point-To-Point Transmission Service that may be necessary for such nondesignated load.

## 1. 23 Network Operating Agreement:

An executed agreement that contains the terms and conditions under which the Network Customer shall operate its facilities and the technical and operational matters associated with the implementation of Network Integration Transmission Service under Part III of the Tariff.

### 1.24 Network Operating Committee:

A group made up of representatives from the Network Customer(s) and the Transmission Provider established to coordinate operating criteria and other fechnical considerations required for implementation of Network Integration Transmission Service under Pari III of this Tariff.

### 1.25 Network Resource:

Any designated generating resource owned, purchased. or leased by a Network Customer under the Network Integration Transmission Service Taniff. Network Resources do not include any resource, or any portion thereof, that is committed for sale to third parties or otherwise cannot be called upon to meet the Network Customer's Network Load on a non-intermptible basis, except for purposes of fultilling obligations under a reserve sharing program.

### 1.26 Network Upgrades:

Modifications or additions to transmission-related facilities that are integrated with and supponf the Tranmission Provider's overall Transmission System for the general benefit of all users of such Transmission System. Network Upgrades shall include:
(i) Direct Connection Network Cpgrades which are Network Upgrades that only serve the Customer Intercomection Facility and have no impact or potential impact on the Transmission System until the final tie-in is complete; and
(ii) Non-Direct Comection Network Upgrades which are parallel flow Network Upgrades that are not Direct Connection Network Upgrades.

### 1.26A New PM Zone(s):

The Zone included in this Tariff, along with applicable Schedules and Attachments, for Commonwealth Edison Company, The Dayton Power and Light Company and the AEP East Operating Companies (Appalachian Power Company, Cohmbus Southern Power Company, Indiana Michigan Power Company, Kentucky Power Company, Kingsport Power Company, Ohio Power Company and Wheeling Power Company).

### 1.26B New Service Customers:

All customers that submit an Interconnection Request, a Completed Application, or an Upgrade Request that is pending in the New Services Queue.

### 1.26C New Service Request:

An Interconnection Request, a Completed Application, or an Upgrade Request.

### 1.26D New Services Queue:

All Intercomection Requests, Completed Applications, and Upgrade Requests that are received within each three-month period ending on January 31, April 30, July 31, and October 31 of each year shall collectively comprise a New Services Queue.

### 1.26E New Services Queue Closing Date:

Each January 31, April 30, July 3b, and October 31 shall be the Queue Closing Date for the New Services Queue comprised of Intercomection Requests, Completed Applications, and Upgrade Requests received during the three-month period ending on such date.

### 1.26F Nominal Rated Capability:

The nominal maximum rated capability in megawatts of a Transmission Intercomection Customer`s Customer Facility or the nominal increase in transmission capability in megawatts of the Transmission System resulting from the intercomection or addition of a Transmission Interconnection Customer's Customer Facility, as determined in accordance with pertinent Applicable Standards and specified in the Interconnection Service Agreement.

### 1.27 Non-Firm Point-To-Point Transmission Service:

Point-To-Point Transmission Service under the Tariff that is reserved and scheduled on an asavailable basis and is subject to Curtailment or Interruption as set forth in Section 14.7 under Part II of this. Tariff. Non-Firm Point-To-Point Transmission Service is available on a standalone basis for periods ranging from one hour to one month.

### 1.27.01 Non-Firm Sale:

An energy sale for which receipt or delivery may be intempted for any reason or no reason, without liability on the part of either the buyer or seller.

### 1.27A Non-Firm Transmission Withdrawal Rights:

The rights to schedule energy withdrawals from a specified point on the Transmission System. Non-Firm Transmission Withdrawal Rights may be awarded only to a Merchant D.C. Transmission Facility that comects the Transmission System to another control area. Withdrawals scheduled using Non-Firm Transmission Withdrawal Rights have rights similar to those under Non-Fim Point-to-Point Transmission Service.

### 1.27A. 01 Nonincumbent Developer:

"Nonincunbent Developer" shall have the same meaning provided in the Operating Agreement.

### 1.27AA Non-Retail Behind The Meter Generation:

Behnd the Meter Generation that is used by muncipal electric systems, electric cooperatives, or electric distribution companies to serve load.

### 1.278 Noa-Zone Network Load:

Network Load that is located outside of the PJM Region.
1.27 C Office of the Interconnection:

Office of the Intercomection shall have the meaning set forth in the Operating Agreement.

### 1.28 Open Access Same-Time Information System (OASIS):

The information system and standards of conduct contained in Part 37 and Part 38 of the Commission's regulations and all additional requirements implemented by subsequent Commission onders dealing with OASIS.

### 1.28A Operating Agreement of the PJM Interconnection, L.L.C. or Operating Agreement:

That agreement dated as of April 1, 1997 and as amended and restated as of Jwe 2, 1997 and as amended from time to time thereafter, among the members of the PTM Intercomection, L.L.C.

### 1.28A. 01 Option to Build:

The option of the New Service Customer to build certain Customer-Funded Upgrades, as set forth in, and subject to the terms of, the Construction Service Agreement.

### 1.28B Optional Interconnection Study:

A sensitivity analysis of an Intercomection Request based on assumptions specified by the Interconnection Customer in the Optional Interconnection Study Agreement.

### 1.28C Optional Interconnection Study Agreement:

The form of agreement for preparation of an Optional Intercomection Study, as set forth in Attachment $\mathrm{N}-3$ of the Taniff.

### 1.29 Part I:

Tariff Definitions and Common Service Provisions contained in Sections 2 through 12.

### 1.30 Part II:

Tariff Sections 13 through 27 pertaining to Point-To-Point Transmission Service in conjunction with the applicable Common Service Provisions of Part I and appropriate Schedules and Attachments.

### 1.31 Part III:

Tariff Sections 28 through 35 pertaining to Network Integration Transmission Service in conjunction with the applicable Common Service Provisions of Part I and appropriate Schedules and Aftachments.

### 1.31A PartIV:

Tariff Sections 36 through 112 pertaining to generation or merchant transmission intercomection to the Transmission System in conjunction with the applicable Common Service Provisions of Part I and appropriate Schedules and Attachments.

### 1.31B Part V:

Tariff Sections 113 through 122 pertaining to the deactivation of generating units in conjunction with the applicable Common Service Provisions of Part I and appropriate Schedules and Attachments.

### 1.31C Part VI:

Tariff Sections 200 throngh 237 pertaining to the queuing stody, and agreements relating to New Service Requests, and the rights associated with Customer-Funded Upgrades in conjunction with the applicable Common Service Provisious of Part I and appropiate Schedules aud Attachments.

### 1.32 Parties:

The Transmission Provider. as administrator of the Tariff, and the Transmission Customer receiving service under the Tariff. PMSettlement shall be the Counterparty to Transmission Customers.

### 1.32.01 PJM:

PM Intercomection, L.L.C.

### 1.32A PJM Administrative Service:

The services provided by PM pursuant to Schedule 9 of this Taniff.

### 1.32B PJM Control Area:

The Control Area that is recognized by NERC as the PJM Control Area.

### 1.32C PJM Interchange Energy Market:

The regional competitive market administered by the Transmission Provider for the purchase and sale of spot electric energy at wholesale interstate commerce and related services as more fully set forth in Attachment K - Appendix to the Tariff and Schedule 1 to the Operating Agreement.

### 1.32D PMM Manuals:

The instructions, rules, procedures and guidelines established by the Transmission Provider for the opeation, plaining, and accounting requirements of the PMM Region and the PM Interchange Energy Market.

### 1.32 E PMA Region:

Shall have the meaning specified in the Operating Agreement.

### 1.32F [RESERVED]

### 1.32.F. 01 PJMSettlement:

PJM Settlement, Inc. (or its successor).
1.32G [RESERVED]
1.33 Point(s) of Delivery:

Point(s) on the Transmission Provider's Transmission System where capacity and energy transmitted by the Transmission Provider will be made available to the Receiving Party under Part II of the Tariff. The Point(s) of Delivery shall be specified in the Service Agreement for Long-Term Fim Point-To-Point Transmission Service.

### 1.33A Point of Interconnection:

The point or points, shown in the appropriate appendix to the Intercomection Service Agreement and the Intercomection Constuction Service Agreement, where the Customer Interconnection Facilities intercomect with the Transmission Owner Interconnection Facilities or the Transmission System.

### 1.34 Point(s) of Receipt:

Point(s) of intercomection on the Transmission Provider's Transmission System where capacity and energy will be made available to the Transmission Provider by the Delivering Party under Patt II of the Tariff. The Point(s) of Receipt shall be specified in the Service Agreement for Long-Term Fim Point-To-Point Transmission Service.

### 1.35 Point-To-Point Transmission Service:

The reservation and transmission of capacity and energy on either a fim or non-fim basis from the Point(s) of Receipt to the Point(s) of Delivery under Part II of the Tariff.

### 1.36 Power Purchaser:

The entity that is purchasing the capacity and energy to be transmitted under the Tariff.

### 1.36.01 PRD Curve:

PRD Curve shall have the meaning provided in the Reliability Assurance Agreement.

### 1.36.02 PRD Provider:

PRD Provider shall have the meaning provided in the Reliability Assurance Agrement.

### 1.36.03 PRD Reservation Price:

PRD Reservation Price shall have the meaning provided in the Reliability Assurance Agreement.

### 1.36.04 PRD Substation:

PRD Substation shall have the meaning provided in the Reliability Assurance Agreement.

### 1.36.05 Pre-Confirmed Application:

An Application that commits the Eligible Customer to execute a Service Agreement upon receipt of notification that the Transmission Provider can provide the requested Transmission Service.

### 1.36A Pre-Expansion PJM Zones:

Zones included in this Tariff, along with applicable Schedules and Attaciments, for certain Transmission Owners - Atlantic City Electric Company, Baltimore Gas and Electric Company, Delmarva Power and Light Company. Jersey Central Power and Light Company, Metropolitan Edison Company, PECO Energy Company, Pennsylvania Electric Company, Pennsylvania Power \& Light Group, Potomac Electric Power Company. Public Service Electric and Gas Company, Allegheny Power, and Rockland Electric Company.

### 1.36A. 01 Price Responsive Demand:

Price Responsive Demand shall have the meaning provided in the Reliability Assuance Agreement.

### 1.36A.02 Project Financiag:

Shall mean: (a) one or more loans, leases, equity and/or debt financings, together with all modifications, renewals, supplements, substitutions and replacements thereof, the proceeds of which are used to finance or refinance the costs of the Customer Facility, any alteration, expansion or improvement to the Customer Facility, the purchase and sale of the Customer Facility or the operation of the Customer Facility; (b) a power purchase agreement pursuant to
which Intercomection Customer's obligations are secured by a mortgage or other lien on the Customer Facility; or (c) loans and/or debt issues secured by the Customer Facility.

### 1.36A. 03 Project Finance Entity:

Shall mean: (a) a holder, tmstee or agent for holders, of any component of Project Financing; or (b) any purchaser of capacity andor energy produced by the Custoner Facility to which Intercomection Customer has granted a mortgage or other lien as security for some or all of Interconnection Customer's obligations under the corresponding power purchase agreement.

### 1.36A.03a Proportional Multi-Driver Project:

"Proportional Multi-Driver Project" shall have the same meaning provided in the Operating Agreement.

### 1.36 A .04 Public Policy Objectives:

"Public Policy Objectives" shall have the same meaning provided in the Operating Agreement.

### 1.36A.05 Public Policy Requirements:

"Public Policy Requirements" shall have the same meaning provided in the Operating Agreenent.

### 1.36B Queue Position:

The prionity assigned to an Intercomection Request, a Completed Application, or an Uprade Request pursuant to applicable provisions of Part VI.

### 1.36C Reasonable Efforts:

With respect to any action required to be made, attempted, or taken by an Interconnection Party or by a Construction Party under Part IV or Part VI of the Tariff, an Intercomnection Service Agreement, or a Construction Service Agreement, such efforts as are timely and consistent with Good Utility Practice and with efforts that such party would undertake for the protection of its own interests.

### 1.37 Receiving Party:

The entity receiving the capacity and energy transmitted by the Transmission Provider to Point(s) of Delivery.

### 1.37A. 01 Regional Entity:

Shall have the same meaning specified in the Operating Agreement.

### 1.37A Regional Transmission Expansion Plan:

The plan prepared by the Office of the Intercomection pursuant to Schedule 6 of the Operating Agreement for the enhancement and expansion of the Transmission System in order to meet the demands for firm transmission service in the PMM Region.

### 1.38 Regional Transmission Group (RTG):

A voluntary organization of transmission owners, transmission users and other entities approved by the Commission to efficiently coordinate trasmission planning (and expansion), operation and use on a regional (and interregional) basis.

### 1.38.01 Regulation Zone:

Any of those one or more geographic areas, each consisting of a combination of one or more Control Zone(s) as designated by the Office of the Interconnection in the PJM Mamals, relevant to provision of, and requirements for, regulation service.

### 1.38.01A Relevant Electric Retail Regulatory Authority:

An entity that has jurisdiction over and establishes prices and policies for competition for providers of retail electric service to end-customers, such as the city council for a municipal utility, the governing board of a cooperative utility, the state public utility commission or any other such entity.

### 1.38A Reliability Assurance Agreement:

The Reliability Assurance Agreement Among Load Serving Entities in the PNM Region, Rate Schedule No. 44, dated as of May 28, 2009, and as amended from time to time thereafter.

### 1.38B [RESERVED]

### 1.38C Required Transmission Enhancements:

Enhancements and expansions of the Transmission System that (1) a Regional Transmission Expansion Plan developed pursuant to Schedule 6 of the Operating Agreement or (2) any joint plaming or coordination agreement between PJM and another region or transmission planing authority set forth in Schedule 12-Appendix B ("Appendix B Agreement") designates one or more of the Transmission Owner(s) to construct and own or finance. Required Transmission Enbancements shall also include enhancements and expansions of facilities in another region or plaming authority that meet the definition of transmission facilities pursuant to FERC's Uniform System of Accounts or have been classified as transmission facilities in a ruling by FERC addressing such facilities constructed pursuant to an Appendix B Agreement cost responsibility for which has been assigned at least in part to PJM pursuant to such Appendix B Agreement.
1.38C. 01 Reserve Sub-zone:

Any of those geographic areas wholly contained within a Reserve Zone, consisting of a combination of a portion of one or more Control Zone(s) as designated by the Office of the Intercomection in the PJM Manuals, relevant to provision of, and requirements for, reserve service.

### 1.38D Reserve Zone:

Any of those geographic areas consisting of a combination of one or more Control Zone(s), as designated by the Office of the Interconnection in the PIMManuals, relevant to provision of, and requirements for, reserve service.

### 1.39 Reserved Capacity:

The maximum amont of capacity and energy that the Transmission Provider agrees to transmit for the Transmission Customer over the Transmission Provider's Transmission System between the Point(s) of Receipt and the Point(s) of Delivery under Pat II of the Tariff. Reserved Capacity shall be expressed in terms of whole megawatts on a sixty (60) minute interval (commencing on the clock hour) basis.

### 1.39A Schedule of Work:

Shall mean that schedule attached to the Interconnection Construction Service Agreement setting forth the timing of work to be performed by the Constructing Entity pursuant to the Interconnection Construction Service Agreement, based upon the Facilities Study and subject to modification, as required, in accordance with Transmission Provider's scope change process for interconnection projects set forth in the PMM Mamals.

### 1.39B Scope of Work:

Shall mean that scope of the work attached as a schedule to the Intercomection Construction Service Agreement and to be performed by the Constructing Entity(ies) pursuant to the Intercomection Construction Service Agreement, provided that such Scope of Wok may be modified, as required, in accordance with Transmission Provider's scope change process for interconnection projects set forth in the PTM Manuals.

### 1.39C Secondary Systems:

Control or power circuits that operate below 600 volts, AC or DC , including, but not limited to, any hardware, control or protective devices, cables, conductors, electric raceways, secondary equipnent panels, transducers, batteries, chargers, and voltage and current transformers.

### 1.39D Security:

The security provided by the New Service Customer pursuant to Section 212.4 or Section 213.4 of the Taiff to secure the New Service Customer's responsibility for Costs under the

Intercomection Service Agreement or Upgrade Construction Service Agreement and Section 217 of the Tariff.

### 1.40 Service Agreement:

The initial agreement and any amendments or supplements thereto entered into by the Transmission Customer and the Transmission Provider for service under the Tariff.

### 1.41 Serrice Commencement Date:

The date the Transmission Provider begins to provide service pursuant to the terms of an executed Service Agreement, or the date the Tramsmission Provider begins to provide service in accordance with Section 15.3 or Section 29.1 under the Tariff.

### 1.42 Short-Term Firm Point-To-Point Transmission Service:

Firm Point-To-Point Transmission Service under Part II of the Taniff with a term of less than one year.

### 1.42.001 Short-term Project:

"Short-term Project" shall have the same meaning provided in the Operating Agreement.

### 1.42a Site:

All of the real propenty, including but not limited to any leased real property and easements, on which the Customer Facility is situated and/or on which the Customer Interconnection Facilities are to be located.

### 1.42B Small Generation Resource

An Intercomection Customer's device of 20 MW or less for the production and/or storage for later injection of electricity identified in an Intercomection Request, but shall not include the Interconnection Customer's Interconuection Facilities. This term shall include Energy Storage Resources, as defined in Attachment $K$ of this Agreement, and/or other devices for storage for later injection of energy.

### 1.42.01 Small Inverter Facility:

An Energy Resource that is a certified small inverter-based facility no larger than 10 kW .

### 1.42.02 Small Inverter ISA:

An agreement among Transmission Provider, Interconnection Customer, and Intercomected Transmission Owner regarding interconnection of a Small Inverter Facility under section 112B of Part IV of the Tariff.

### 1.42A [RESERVED]

### 1.428 [RESERVED]

### 1.42C [RESERVED]

### 1.42D State:

The term "state" shall mean a state of the United States or the District of Columbia.

### 1.42D.01 Switching and Tagging Rules:

The switching and tagging procedures of Intercomected Transwission Owners and Intercomection Customer as they may be amended from time to time.

### 1.42E [RESERYED]

1.42F System Condition:

A specified condition on the Transmission Provider's system or on a neighboring system, such as a constrained transmission element or flowgate, that may trigger Curtailment of Long-Term Fim Point-to-Point Transmission Service using the curtailment prionity pursuant to Section 13.6. Such conditions must be identified in the Transmission Customer's Service Agreement.

### 1.43 System Impact Study:

An assessment by the Transmission Provider of (i) the adequacy of the Transmission System to accommodate a Completed Application, an Intercomection Request or an Upgrade Request, (ii) whether any additional costs may be incured in order to provide such tansmission service or to accommodate an Interconnection Request, and (iii) with respect to an Interconnection Request, an estimated date that an Intercomnection Customer's Customer Facility can be intercomected with the Transmission System and an estimate of the Interconnection Customer's cost responsibility for the intercomection; and (iv) with respect to an Upgrade Request, the estimated cost of the requested system upgrades or expansion, or of the cost of the system upgrades or expansion. necessary to provide the requested incremental rights.

### 1.43.01 System Protection Facilities:

The equipment required to protect (i) the Transmission System, other delivery systems andor other generating systems connected to the Transmission System from faults or other electrical disturbance occuring at or on the Customer Facility, and (ii) the Customer Facility from faults or other electrical system distubance occuring on the Transmission System or on other delivery systems and/or other generating systems to which the Transmission System is directly or indirectly comected. System Protection Facilities shall include such protective and regulating devices as are identified in the Applicable Technical Requirements and Standards or that are
required by Applicable Laws and Regulations or other Applicable Standards, or as are otherwise necessary to protect personnel and equipment and to minimize deleterious effects to the Transmission System arising from the Customer Facilify.

### 1.43A Tariff:

This document, the "PMM Open Access Transmission Tariff."

### 1.44 Third-Party Sale:

Any sale for resale in interstate conmerce to a Power Purchaser that is not designated as part of Network Load under the Network Integration Transmission Service but not inchoding a sale of energy through the PMM Interchange Energy Market established under the PM Operating Agreement.

### 1.45 Transmission Customer:

Any Eligible Customer (or its Designated Agent) that (i) executes a Service Agreement, or (ii) requests in witing that the Transmission Provider file with the Commission. a proposed unexecuted Service Agreement to receive transmission service under Part II of the Taniff. This term is used in the Part I Common Service Provisions and in Part VI to include customers receiving transmission service under Pat II and Part III of this Taniff.

### 1.45.01 Transmission Facilities:

Transmission Facilities shall have the meaning set forth in the Operating Agreement.

### 1.45A Transmission Injection Rights:

Capacity Transmission Imjection Rights and Energy Transmission Injection Rights.

### 1.45B Transmission Interconnection Customer:

An entify that submits an Intercomection Request to infercomect or add Merchant Transmission Facilities to the Transmission System or to increase the capacity of Merchant Transmission Facilities intercomected with the Transmission System in the PJM Region.

### 1.45C Transmission Interconnection Facilities Study:

A Facilities Study related to a Transmission Interconuection Request.

### 1.45D Transmission Interconnection Feasibility Study:

A study conducted by the Transmission Provider in accordance with Section 36.2 of the Tariff.

### 1.45E Transmission Interconnection Request:

A request by a Transmission Intercomection Customer pursuant to Part IV of the Tariff to intercomect or add Merchant Transmission Facilities to the Transmission System or to increase the capacity of existing Merchant Transmission Facilities intercomected with the Transmission System in the PIM Region.

### 1.45F Transmission Owner:

Each entity that owns, leases or otherwise has a possessory interest in facilities used for the transmission of electric energy in interstate commerce under the Taniff. The Transmission Owners are listed in Attachment $L$.

### 1.45G Transmission Owner Attachment Facilities:

That portion of the Transmission Owner Intercomection Facilities comprised of all Attachment Facilities on the Intercomected Transmission Owner's side of the Point of Intercomection.

### 1.45H Transmission Owner Interconnection Facilities:

All Intercomection Facilities that are not Customer Intercomection Facilities and that, after the transfer under Section 5.5 of Appendix 2 to Attachment $P$ of the PJM Tariff to the Intercomected Transmission Owner of title to any Transmission Owner Interconnection Facilities that the Interconnection Customer constructed, are owned, controlled, operated and maintained by the Intercomected Transmission Owner on the Interconnected Transmission Owner's side of the Point of Intercomection identified in appendices to the Intercomection Service Agreement and to the Interconnection Construction Service Agreement, including any modifications, additions or upgrades made to such facilities and equipment, that are necessary to physically and electrically intercomect the Customer Facility with the Transmission System or infercomected distribution facilities.

### 1.45I Transmission Owner Upgrade:

"Transmission Owner Upgrade" shall have the same meaning provided in the Operating Agreement.

### 1.46 Transmission Provider:

The Transmission Provider shall be the Office of the Intercomection for all pupposes, provided that the Transmission Owners will have the responsibility for the following specified activities:
(a) The Office of the Intercomection shall direct the operation and coordinate the maintenance of the Transmission System, except that the Transmission Owners will continue to direct the operation and mantenauce of those transmission facilities that are not listed in the PTM Designated Facilities List contained in the PMM Manual on Transmission Operations:
(b) Each Transmission Owner shall physically operate and maintain all of the facilities that it owns; and
(c) When studies conducted by the Office of the Interconnection indicate that enhancements or modifications to the Transmission System are necessary, the Transmission Owners shall have the responsibility, in accordance with the applicable terms of the Tariff, Operating Agreement and/or the Consolidated Transmission Owners Agreement to construct, own, and finance the needed facilities or enhancements or modifications to facilities.

### 1.47 Transmission Provider's Monthly Transmission System Peak:

The maximm firm usage of the Transmission Provider's Transmission System in a calendar montil.

### 1.48 Transmission Service:

Point-To-Point Transminssion Service provided under Pant II of the Taniff on a firm and non-firm basis.

### 1.48A Transmission Service Request:

A request for Fim Point-To-Point Transmission Service or a request for Network Integration Transmission Service.

### 1.49 Transmission System:

The facilities controlled or operated by the Transmission Provider within the PJM Region that are used to provide trausmission service under Part II and Part ill of the Taniff.

### 1.49A Transmission Witherawal Rights:

Firm Transmission Withdrawal Rights and Non-Firm Transmission Withdrawal Rights.

### 1.49A.01 Upgrade Construction Service Agreement:

That agreement entered into by a New Service Customer (other than an Interconnection Customer whose project includes genetation capability or Merchant Transmission Facilities other than Merchant Network Upgrades). a Transmission Owner, and the Transmission Provider, pursuant to Subpart B of Part VI of the Tariff, and in the form set forth in Attachment GG of the Tatiff.

### 1.49A.02. Upgrade Customer:

A customer that submits an Upgrade Recquest.

### 1.49A. 03 Upgrade-Related Rights:

Incremental Auction Revenue Rights, Incremental Available Transfer Capability Revenue Rights. Increnetal Deliverability Rights, and meremental Capacity Transfer Rights (as defined in Section 2.35 of Aftachment DD of the Tariff).

### 1.49A.04 Upgrade Request:

A request pursuant to Section 7.8 of Schedule 1 of the Operating Agreement, submitted in the form prescribed in Aftachment EE of the Tariff. for evaluation by the Transmission Provider of the feasibility and estimated costs of, (a) a particular proposed Customer-Funded Upgrade or (b) the Customer-Funded Upgrades that would be needed to provide the Incremented Auction Revenue Rights specified in the request.

### 1.49B [RESERVED]

1.49C [RESERVED]
1.49D [RESERVED]
1.49E [RESERVED]
1.49F [RESERVED]
1.49G Wholesale Transaction:

As used in Part IV, means any transaction involving the transmission or sale for resale of electricity in interstate commerce that utilizes any portion of the Transmission System.
1.49H Zone:

An area within the PMM Region, as set forth in Attachment $I$.

### 1.50 Zone Network Load:

Network Load that is located inside of the area comprised of the PJM Region.

## APPENDIX 2

STANDARD TERMS AND CONDITIONS FOR INTERCONNECTIONS

### 1.1 Commencement Date:

The effective date of an Intercomection Service Agreement shall be the date provided in Section 4.0 of the Intercomection Service Agreement. Interconnection Service under this Interconnection Service Agreement shall commence upon the satisfaction of the conditions precedent set forth in Section 1.2 below.

### 1.2 Conditions Precedent:

The following conditions must be satisfied prior to the commencement of Intercomection Service under this Intercomection Service Agreement:
(a) This Intercomection Service Agreement, if filed with FERC, shall have been accepted for filing by the FERC:
(b) All recurements for Intial Operation as specified in Section 1.4 below shall have been met and Initial Operation of the Customer Facility shall have been completed.
(c) Intercomection Customer shall be in compliance with all Applicable Technical Requirements and Standads for intercomection under the Taniff (as detemined by the Transmission Provider).

### 1.3 Term:

This Interconnection Service Agreement shall remain in full force and effect until it is termilated in accordance with Section 16 of this Appendix 2.

### 1.4 Initial Operation:

The following requirements shall be satisfied prior to Initial Operation of the Customer Facility:
1.4.1 The construction of all Intercomection Facilities necessary for the interconnection of the Customer Facility has been completed;
1.4.2 The Intercomected Transmission Owner has accepted any Interconnection Facilities and/or Merchant Network Upgrades constructed by Interconnection Customer pursuant to the Interconnection Construction Service Agreement;
1.4.3 The Intercomection Customer and the Intercomected Transmission Owner have all necessary systems and persomel in place to allow for parallel operation of their respective facilities;
1.4.4 The Intercomected Transmission Owner has received all applicable documentation for the Intercomection Facilities and/or Merchant Network Upgrades built by the Interconnection Customer, certified as correct, including, but not limited to, access to the field copy of marked-
up drawings reflecting the as-built condition, pre-operation test reports, and iustruction books; and
1.4.5 Intercomection Customer shall have received any necessary authorization from Transmission Provider to synchronize with the Transmission System or to energize, as applicable per the determination of Transmission Provider, the Customer Facility and Interconnection Facilities.

### 1.4A Limited Operation:

If any of the Transmission Owner Intercomection Facilities are not reasonably expected to be completed prior to the Interconnection Customer's planned date of Initial Operation, and provided that the Intercomected Transmission Owner has accepted the Customer Interconnection Facilities pursuant to the Intercomection Construction Service Agreement, Transmission Provider shall, upon the request and at the expense of Interconnection Customer, perform appropriate power flow or other operating studies on a timely basis to determine the extent to which the Customer Facility and the Customer Interconnection Facilities may operate prior to the completion of the Transmission Owner Intercomection Facilities consistent with Applicable Laws and Regulations, Applicable Reliability Standards, Good Utility Practice, and the Intercomection Service Agreement. In accordance with the results of such studies and subject to such conditions as Transmission Provider determines to be reasonable and appropriate, Transmission Provider shall (a) permit Intercomection Customer to operate the Customer Facility and the Customer Intercomection Facilities, and (b) grant Intercomection Customer limited, interim Interconmection Rights conmensurate with the extent to which operation of the Customer Facility is permitted.

### 1.5 Survival:

The Interconnection Service Agreement shall continue in effect after termination to the extent necessary to provide for final billings and payments; to permit the determination and enforcement of liability and indemnification obligations arising from acts or events that occurred while the Intercomection Service Agreement was in effect; and to permit each Intercomection Party to have access to the real property, including but not limited to leased property and easements of the other Interconnection Parties pursuant to Section 16 of this Appendix 2 to disconnect, remove or salvage its own facilities and equipment.

## 2 Interconnection Service

### 2.1 Scope of Service:

Interconnection Service shall be provided to the Interconnection Customer at the Point of Interconnection (a), in the case of interconnection of the Customer Facility of a Generation Intercomection Customer, up to the Maximm Facility Outpat, and (b), in the case of intercomection of the Customer Facility of a Transmission Interconnection Customer, up to the Nominal Rated Capability. The location of the Point of Intercomection shall be mutually agreed by the Interconnected Entities, provided. however, that if the Interconnected Entities are unable
to agree on the Point of Intercomection, the Transmission Provider shall determine the Point of Intercomection, provided that Transmission Provider shall not select a Point of Interconnection that would impose excessive costs on either of the Interconnected Entities and shall take material system reliability considetations into account in such selection. Specifications for the Customer Facility and the location of the Point of Interconnection shall be set forth in an appendix to the Interconnection Service Agreement and shall conform to those stated in the Facilities Study.

### 2.2 Non-Standard Terms:

The standard tems and conditions of this Appendix 2 shall not apply, to such extent as Transmission Provider determines to be reasonably necessary to accommodate such circumstances, in the event that the Intercomection Customer acquires an ownership interest in facilities which, under the standard terms and conditions of the Intercomection Construction Service Agreement would be pat of the Transmission Owner Intercomection Facilities. In such circumstances and to the extent determined by Transmission Provider to be reasonably mecessary, non-standard tems and conditions muttally agreed upon by all Intercomection Paties shall apply, subject to FERC and any other necessary regulatory acceptance or approval. In addition, a Generation Intercomection Customer that acquires an ownership interest in such facilities shall become, and shall remain for so long as it retains such interest, a signatory to the Consolidated Transmission Owners Agreement.

### 2.3 No Transmission Services:

The execution of an Intercomection Service Agreement does not constitute a request for transmission service, or entitle Interconnection Customer to receive fransmission service, under Part II or Part III of the Tariff. Nor does the execution of an Intercomection Service Agreement obligate the Intercomected Transmission Owner or Transmission Provider to procure, supply or deliver to Interconnection Customer or the Customer Facility any energy. capacity, Ancillary Services or Station Power (and any associated distribution services).

### 2.4 Use of Distribution Facilities:

To the extent that a Generation Intercomection Customer uses distribution facilities for the purpose of delivering energy to the Trausmission System, Intercomection Service under this Tariff shall include the construction and/or use of such distribution facilities. In such cases, to such extent as Transmission Provider determines to be reasonably necessary to accommodate such circumstances, the Intercomection Service Agreement may include non-standard terms and conditions mutually agreed upon by all Interconnection Parties as needed to conform with Applicable Laws and Regulations and Applicable Standards relating to such distribution facilities.

### 2.5 Election by Behind The Meter Generation:

In the event that a Generation Intercomection Customer's Customer Facility is Behind The Meter Generation, the Generation Intercomection Customer may elect from time to time, subject
to the terms of this section, whether to operate all or a portion of its Customer Facility's generating capacity as a Capacity Resounce under the Tariff and the Operating Agreement.

### 2.5.1 Capacity Resource Election:

The Generation Intercomection Customer may elect to operate all or a portion of its Customer Facility as a Capacity Resonce only to the extent that the Intercomection Service Agreement grants Capacity Interconnection Rights. Such an election may include all or any portion of the Customer Facility's capacity for which Capacity Intercomection Rights have been granted.

### 2.5.2 Timing and Duration of Election:

The Generation Interconnection Customer shall make an initial election under this section no later than 30 days prior to the commencement of Intercomection Service. Thereafter, the Generation Interconnection Customer may make the election authorized by this Section 2.5 only once in each calendar year and must notify Transmission Provider of such an election no later than May 1, and no sooner than March 15, of each year. Each such election shall be effective commencing on Jume 1 following Transmission Provider's receipt of notice of the election. An election woder this Section 2.5 shall remain in effect unless and until the Generation Interconnection Customer modifies or terminates it in a subsequent election made in accordance with the terms of this section.

## 3 Modification Of Facilities

### 3.1 General:

Subject to Applicable Laws and Regulations and to any applicable requirements or conditions of the Tariff and the Operating Agreement, either Interconnected Entity may undertake modifications to its facilities. In the event that an Interconnected Entity plans to undertake a modification that reasonably may be expected upon completion to have a permanent material impact on the other Intercomected Entity's facilities, that Trterconnected Entity, in accordance with Good Utility Practice, shall provide the other Interconnection Parties with sufficient information regarding such modification, so that the other Interconnection Parties may evaluate the potential impact of such modification prion to commencement of the work. The Interconnected Entity desiring to perform such modification shall provide the relevant drawings, plans, and specifications to the other Intercomection Parties at least ninety days, or such shorter period to which the Intercomection Parties receiving the information may agree (which agreement shall not unreasonably be withheld, conditioned, or delayed). in advance of the begiming of the work. The Intercomection Customer stall notify Transmission Provider and Intercomected Transmission Owner of the proposed modifications and Transmission Provider shall provide, within sixty days of receipt of the relevant drawings and specifications (or within such other time upon which the Intercomection Parties may agree), an estimate of any modifications to the Transmission System that would be necessary to accommodate the proposed modifications by Interconection Customer and a good faith estimate of the costs thereof.

### 3.2 Interconnection Request:

This Section 3 shall not apply to any proposed modifications by Intercomection Customer to its facilities for which Intercomection Custoner must make an Intercomection Request under the Tariff. In such circumstances, the Intercomection Customer and Transmission Provider shall follow the requirements of Subpart A of Part IV of the Tanff.

### 3.3 Standards:

Any additions, modifications, or replacements made to an Intercomected Entity's facilities shall be constucted and operated in accordance with Good Utility Practice, Applicable Standards and Applicable Laws and Regulations.

### 3.4 Modification Costs:

Unless otherwise required by Applicable Laws and Regulations or this Appendix 2 and, with respect to a Transmission Interconnection Customer, subject to the terms of Section 236.2 of the Tariff:
(a) Intercomection Customer shall not be responsible for the costs of any additions, modifications, or replacements that the Intercomected Transmission Owner in its discretion or at the direction of Transmission Provider makes to the Interconnection Facilities or the Transmission System in order to facilitate the interconnection of a third party to the Interconnection Facilities or the Transmission System, or to provide transmission service under the Taniff to a thim party.
(b) Intercomection Customer shall be responsible for the costs of any additions, modifications; or replacements to the Intercomection Facilities or the Transmission System that are required, in accord with Good Utility Practice and/or to maintain compliance with Applicable Laws and Regulations or Applicable Standards, in order to accommodate additions, modifications, or replacements made by Intercomection Customer to the Customer Facility or to the Customer Interconnection Facilities.
(c) Intercomection Customer shall be responsible for the costs of any additions, modifications, or replacements to the Customer Intercomection Facilities or the Customer Facility that are required, in accord with Good Utility Practice and or to maintain compliance with Applicable Laws and Regulations or Applicable Standards, in order to accommodate additions, modifications, or replacements that Transmission Provider or the Interconnected Transmission Owner makes to the Transmission System or to the Transmission Owner Intercomection Facilities, but only to the extent that Transmission Provider's or the Intercomected Transmission Owner's changes to the Transmission System of the Transmission Owner Intercomection Facilities are made pursuant to Good Utility Practice and/or to maintain compliance with Applicable Laws and Regulations or Applicable Standards.

## 4 Operations

### 4.1 General:

Each Intercomected Entity shall operate, or shall cause operation of, its facilities in a safe and reliable manner in accord with (i) the terms of this Appendix 2; (ii) Applicable Standards; (iii) applicable rules, procedures and protocols set forth in the Tariff and the Operating Agreement, as any or all may be amended frou time to time: (iv) Applicable Laws and Regulations, and (v) Good Utility Practice.

### 4.1.1 Interconnection Customer Drawings:

Within one hundred twenty (120) days after the date of Initial Operation, unless the Interconnection Parties agree on another mutually acceptable deadline. the Interconnection Customer shall deliver to the Transmission Provider and the Interconnected Transmission Owner final, "as-built" drawings, information and documents regarding the Customer Intercomection Facilities, including, as and to the extent applicable: a one-line diagran, a site plan showing the Customer Facility and the Customer Intercomection Facilities, plan and elevation drawings showing the layout of the Customer Intercomection Facilities, a relay functional diagran, relaying AC and DC schematic wiring diagrams and relay settings for all facilities associated with the Intercomection Customer's step-up transfomers, the facilities comecting the Customer Facility to the step-up iransformers and the Customer Intercomection Facilities, and the impedances (determined by factory tests) for the associated step-up transfomers and the Customer Facility. As applicable, the Intercomection Customer shall provide Transmission Provider and the Intercomected Transmission Owner specifications for the excitation system, automatic voltage regulator, Customer Facility control and protection settings, transformer tap settings, and communications.

### 4.2 Operation of Merchant Network Upgrades:

Unless otherwise provided in the Interconnection Service Agreement, the Intercomected Transmission Owner that owns Transmission System facilities to which any Merchant Network Upgrades are connected shall operate such Merchant Network Upgrades (a) on behalf and at the expense of the Intercomection Customer that constructed or caused construction of the pertinent Merchant Network Upgrades and (b) in accordance with this Appendix 2 and with an agreement between the Intercomected Transmission Owner and the Intercomection Custoner regarding such operation.

### 4.3 Interconnection Customer Obligations:

Interconnection Customer shall obtain Transmission Provider's approval prior to either synchronizing with the Transmission System or energizing, as applicable per the determination of Transmission Provider, the Customer Facility or, except in an Emergency Condition, disconnecting the Customer Facility from the Transmission System, and shall coordinate such syachronizations, energizations, and discomections with the Interconnected Transmission Owner.

## 4.4 [Reserved.]

### 4.5 Permits and Rights-of-Way:

Each Intercomected Eutity at its own expense shall maintain in full force and effect all permits, licenses, rights-of-way and other authorizations as may be required to maintain the Customer Facility and the Interconnection Facilities that the entity owns, operates and maintains and, upon reasonable request of the other Intercomected Entity, shall provide copies of such permits, licenses, rights-of-way and other authorizations at its own expense to the requesting party.

### 4.6 No Ancillary Services:

Except as provided in Section 4.7 of this Appendix 2, nothing in this Appendix 2 is intended to obligate the Interconnection Customer to supply Ancillary Services to either Transmission Provider or the Interconnected Transmission Owner.

### 4.7 Reactive Power

### 4.7.1 Reactive Power Desiga Criteria

### 4.7.1.1 New Facilities:

For all new generating facilities to be intercomected pursuant to the Tariff, other than windpowered and other nou-synchronous generation facilities, the Generation Intercomection Customer shall design its Customer Facility to maintain a composite power delivery at continuons rated power output at a power factor of at least 0.95 leading to 0.90 lagging. For all new wind-powered and other non-synclronous generation facilities the Generation Interconnection Customer shall design its Customer Facility with the ability to maintain a composite power delivery at a power factor of at least 0.95 leading to 0.95 lagging under conditions in which a wind-powered generation facility's real power output exceeds 25 percent of its contimous rated power output and, for all other now-synchronous generation facilities, across the full range of continuous rate power output. For all wind-powered and other nonsynchronous generation facilities entering the New Service Quene on or after May 1, 2015, the power factor requirement shall be measured at the generator's terminals. For new generation resources of more than 20 MW , other than wind-powered and other non-synclaonous generating facilities, the power factor requirement shall be measured at the generator's terminals. For new generation resources of 20 MW or less, and all wind-powered and other non-syuchronous generation facilities entering the New Service Queue prior to May 1, 2015, the power factor requirement shall be measured at the Point of Intercomection. Any different reactive power desigu criteria that Transmission Provider determines to be appropriate for a wind-powered or other non-synchronous generation facility shall be stated in the Intercomection Service Agreement. A Transmission Interconnection Customer intercomecting Merchant D.C. Transmission Facilities and or Controllable A.C. Merchant Transmission Facilities shall design its Customer Facility to maintain a power factor at the Point of Interconnection of at least 0.95 leading and 0.95 lagging, when the Customer Facility is operating at any level within its approved operating range.

### 4.7.1.2 Increases in Generating Capacity or Energy Output:

All increases in the capacity or energy output of any generation facility interconnected with the Transmission System, other than wind-powered and other non-synchronous generating facilities, shall be designed with the ability to mannain a composite power delivery at continuous rated power output at a power factor for all incremental MW of capacity or energy output, of at least 1.0 (unity) to 0.90 lagging. Wind-powered generation facilities and other non-syachronous generation facilities entering the New Service Queue on or after May 1. 2015, shall be designed with the ability to maintain a composite power delivery at a power factor for all incremental MW of capacity or energy output, of at least 0.95 leading to 0.95 lagging under conditions in which a wind-powered generation facility's real power output exceeds 25 percent of its contimous rated power output and, for all other non-synchronous generation facilities, across the full range of contimons rated power output. Wind-powered generation facilities and other non-synchronous generation facilities entering the New. Service Queue prior to May 1,2015 shall be desighed with the ability to maintain a composite power delivery at contimous rated power ont at a power factor for all incremental MW of capacity of energy output of at least 1.0 (unity) to 0.95 lagging. The power factor requirement associated with increases in capacity or energy output of more than 20 MW to synchronous generation facilities and increases to wind and non-syachronous generation facilities intercomected with the Transmission System shall be measured at the generator's terminals. The power factor requirement associated with increases in capacity or energy output of 20 MW or less to synchronous generation facilities intercomected to the Transmission System shall be measured at the Point of Interconnection.

### 4.7.2 Obligation to Supply Reactive Power:

Intercomnection Customer agrees, as and when so directed by Transmission Provider or when so directed by the Interconnected Transmission Owner acting on behalf or at the direction of Transmission Provider, to operate the Customer Facility to produce reactive power within the design limitations of the Custoner Facility pursuant to voltage schedules, reactive power schedules or power factor schedules established by Transmission Provider or, as appropriate, the Intercomected Transmission Owner. Transmission Provider shall maintain oversight over such schedules to ensure that all sources of reactive power in the PM Region, as applicable, are treated in an equitable and not unduly discriminatory mamer. Interconnection Customer agrees that Transmission Provider and the Intercomected Transmission Owner, acting on behalf or at the direction of Transmission Provider, may make changes to the schedules that they respectively establish as necessary to mantain the reliability of the Transmission System.

### 4.7.3 Deviations from Schedules:

In the event that operation of the Customer Facility of an Interconnection Customer causes the Transmission System or the Interconnected Transmission Owner's facilities to deviate from appropriate voltage schedules andor reactive power schedules as specified by Transmission Provider or the Intercomected Transmission Owner's operations control center (acting on behalf or at the direction of Transmission Provider), or that otherwise is inconsistent with Good Utility Practice and results in an unreasonable deterioration of the quality of electric service to other customers of Transmission Provider or the Interconnected Transmission Owner, the Interconnection Customer shall, upon discovery of the problem or upon notice from

Transmission Provider or the Intercomected Transmission Owner, acting on behalf or at the direction of Transmission Provider, take whatever steps are reasonably necessary to alleviate the situation at its expense, in accord with Good Utility Practice and within the reactive capability of the Customer Facility. In the event that the Interconnection Customer does not alleviate the situation within a reasonable period of time following Transmission Provider's or the Intercomnected Transmission Owner's notice thereof, the Interconnected Transmission Owner, with Transmission Provider's approval, upon notice to the Interconnection Customer and at the Intercomection Customer's expense, may take appropriate action, including installation on the Transmission System of power factor comection or other equipment, as is reasonably required, consistent with Good Utility Practice, to remedy the situation cited in Transmission Provider's or the Intercomected Transmission Owner's notice to the Interconnection Customer under this section.

### 4.7.4 Payment for Reactive Power:

Any payments to the Intercomection Customer for reactive power shall be in accordance with Schedule 2 of the Tariff.

### 4.8 Under-and Over-Frequency Conditions:

The Transmission System is designed to automatically activate a load-shed program as required by NERC and each Applicable Regional Eutity in the event of an under-frequency system disturbance. A Generation Intercomection Customer shall implement under-frequency and over-frequency relay set points for the Customer Facility as required by NERC and each Applicable Regional Entity to ensure "ride through" capability of the Transmission System. The response of a Generation Interconnection Customer's Customer Facility to frequency deviations of predetermined magnitudes, both moder-frequency and over-frequency deviations shall be studied and coordinated with the Transmission Provider in accordance with Good Utility Practice. The temm "ride through" as used herein shall mean the ability of a Generation Interconnection Customer's Customer Facility to stay connected to and synchronized with the Transmission System during system disturbances within a range of under-frequency and overfrequency conditions, in accordance with Good Utility Practice.

### 4.9 Protection and System Quality

### 4.9.1 System Protection:

Interconnection Customer shall, at its expense. install, operate and maintain such System Protection Facilities as may be required in comection with operation of the Customer Facility and the Customer Interconnection Facilities consistent with Applicable Technical Requirements and Standards. Interconnected Transmission Owner shall install any System Protection Facilities that may be required, as detemmined by Transmission Provider, on the Transmission Owner Intereomection Facilities or the Transmission System in comection with the operation of the Customer Facility and the Customer Intercomection Facilities. Responsibility for the cost of any System Protection Facilities required on the Transmission Owner Interconnection Facilities or the Transmission System shall be allocated as provided in Section 217 of the Tariff.

### 4.9.2 Power Quality:

The Customer Facility and Customer Interconnection Facilities shall not cause excessive deviations from the power quality criteria set forth in the Applicable Techical Requirements and Standards.

### 4.10 Access Rights:

Each Intercomected Entity shall provide the other Interconected Entity access to areas under its control as reasonably necessary to permit the other Intercomected Entity to perform its obligations under this Appendix 2, including operation and maintenance obligations. An Intercomected Entify that obtains such access shall comply with all safety nules applicable to the area to which access is obtained. Each Intercomected Entity agrees to inform the other Intercomected Entity's representatives of safety rules applicable to an area.

### 4.11 Switching and Tagging Rules:

The Interconnected Entities shall comply with applicable Switching and Tagging Rules in obtaining clearances for work or for switching operations on equipment. Such Switching and Tagging Rules shall be developed in accordance with OSHA standards codified at 29 C.F.R. Part 1910, or successor standards. Each Intercomected Entity shall provide the other Interconnected Entify a copy of its Switching and Tagging Rules that are applicable to the other Interconnected Entity's activities.

### 4.12 Communications and Data Protocol:

The Interconnected Entities shall comply with any communications and data protocol that the Transmission Provider may establish.

### 4.13 Nuclear Generating Eacilities:

In the event that the Customer Facility is a nuclear generating facility, the Intercomection Parties shall agree to such non-standard terms and conditions as are reasonably necessary to accomnodate the Interconnection Customer's satisfaction of Nuclear Regulatory Commission requirements relating to the safety and reliability of operations of such facilities.

## 5 Maintenance

### 5.1 General:

Each Interconnected Entity shall maintain, or shall cause the maintenance of, its facilities in a safe and reliable manner in accord with (i) the terms of this Appendix 2; (ii) Applicable Standards; (iii) applicable rules, procedures and protocols set forth in the Tariff and the Operating Agreement, as any or all may be amended from time to time; (iv) Applicable Laws and Regulations, and (v) Good Utility Practice.

### 5.2 Maintenance of Merchant Network Upgrades:

Unless otherwise provided in the Intercomection Service Agreement, the Interconnected Transmission Owner that owns Transmission System facilities to which any Merchant Network Upgrades are comected shall maintain such Merchant Network Upgrades (a) on behalf and at the expense of the Intercomection Customer that constructed or caused construction of the pertinent Merchant Network Upgrades and (b) in accordance with this Appendix 2 and with an agreement between the Interconnected Transmission Owner and the Intercomection Customer regarding such maintenance.

### 5.3 Outage Authority and Coordination

### 5.3.1 Coordination:

The Intercomection Parties agree to confer regularly to coordinate the plaming, scheduling and performance of preventive and corrective maintenance on the Customer Facility, the Customer Intercomection Facilities and any Atachment Facilities owned by the Interconnected Transmission Owner.

### 5.3.2 Authority:

Each Intercomected Entity may, in accordance with Good Utility Practice, remove from service its facilities that may affect the other Intercomected Entity's facilities in order to perform maintenance or testung or to install or replace equipment. Except in the event of an Emergency Condition, the Interconnection Customer proposing to remove such facilities from service shall provide prior notice of such activities to the Transmission Provider and the Intercomected Transmission Owner, and the Inferconnected Entities shall coordinate all scheduling of planned facility ontages with Transmission Provider, in accordance with applicable sections of the Operating Agreement, the PJM Manuals and any other applicable operating guidelines or directives of the Transmission Provider. Subject to the foregoing, the Intercomected Entity scheduling a facility outage shall use Reasonable Efforts to coordinate such outage with the other Interconnected Entity's scheduled outages.

### 5.3.3 Outages Required for Maintenance:

Subject to any necessaty approval by Tansmission Provider, each Interconnected Entity shall provide necessary equipment outages to allow the other Interconnected Entity to perform periodic maintenance, repair or replacement of its facilities and such outages shall be provided at mutually agreeable times, unless conditions arise which an Interconnected Entity believes, in accordance with Good Utility Practice, may endanger persons or property.

### 5.3.4 Rescheduling of Planned Outages:

To the extent so provided by the Tariff, the Operating Agreement, and the PJM Manuals, an Intercomected Entify may seek compensation from Transmission Provider for any costs related
to rejection by Transmission Provider of a request of such Intercomected Entity for a planned maintenance outage.

### 5.3.5 Outage Restoration:

If au outage on an Intercomected Entity's facilities adversely affects the other Intercomected Entity's facilities, the Intercomected Entity that owns or controls the facility that is out of service shall use Reasonable Efforts to restore the facility to service promptly.

### 5.4 Inspections and Testing:

Each Intercomected Entity shall perform routine inspection and testing of its facilities and equipment in accordance with Good Utility Practice as may be necessary to ensure the continued interconnection of the Customer Facility with the Transmission System in a safe and reliable mamer. Each Intercomected Entity shall have the right, upon advance written notice, to request reasonable additional testing of an Intercomected Entity's facilities for good cause, as may be in accordance with Good Utility Practice.

### 5.5 Right to Observe Testing:

Each Interconnected Entity shall notify the other Intercomected Entity in advance of its performance of tests of its portion of the Interconnection Facilities or of any Merchant Network Upgrades. The other Intercomected Entity shall, at its own expense, have the right to observe such testing.

### 5.6 Secondary Systems:

Each Interconnected Entity agrees to cooperate with the other in the inspection, maintenance, and testing of those Secondary Systems directly affecting the operation of an Intercomected Entity's facilities and equipment which may reasonably be expected to affect the other Interconnected Entity's facilities. Each Inercomected Entity shall provide advance notice to the other Intercomected Entity before undertaking any work on such equipment, especially in electrical circuits involving circuit breaker trip and close contacts, current transformers, or potential transformers.

### 5.7 Access Rights:

Each Interconnected Entity shall provide the other Interconnected Entity access to areas under its control as reasonably necessary to permit the other Infercomected Entity to perform its obligations wnder this Appendix 2, including operation and maintenance obligations. An Interconnected Entity that obtains such access shall comply with all safety rules applicable to the area to which access is obtained. Each Interconnected Entity agrees to inform the other Interconmected Entity's representatives of safety rules applicable to an area.

### 5.8 Observation of Deficiencies:

If an Interconnection Party observes any Abnomal Condition on, or becomes aware of a lack of scheduled maintenance and testing with respect to, an Interconmection Party's facilities and equipment that might reasonably be expected to adversely affect the observing Intercomection Party's facilities and equipment, the observing Interconnection Party shall provide prompt notice under the circumstances to the appropriate Interconnection Party, and such Intercomection Party shall consider such notice in accordance with Good Utility Practice. Any Intercomection Party's review, inspection, and approval related to the other Interconnection Party's facilities and equipment shall be limited to the purpose of assessing the safety, reliability, protection and control of the Transmission System and shall not be construed as confirming or endorsing the design of such facilities and equipment. or as a warranty of any type, including safety, durability or reliability thereof. Notwithstanding the foregoing. the observing Intercomection Party shall have no liability whatsoever for failure to give a deficiency notice to the other Intercomection Party and the Interconnected Entity that own the relevant Interconnection Facilities shall remain fully liable for ifs failure to determine and correct deficiencies and defects in its facilities and equipment.

## 6 Emergency Operations <br> 6.1 Obligations:

Subject to Applicable Laws and Regulations, each Intercomection Party shall comply with the Emergency Condition procedures of NERC, the Applicable Regional Entity, Transmission Provider, the Interconnected Transmission Owner and Intercomection Customer.

### 6.2 Notice:

Each Intercomection Party shall notify the other parties promptly when it becomes aware of an Emergency Condition that may reasonably be expected to affect operation of the Customer Facility, the Customer Interconnection Facilities, the Transmission Owner Intercomection Facilities, or the Transmission System. To the extent information is known, the notification shall describe the Emergency Condition, the extent of the damage or deficiency, the expected effect on the facilities and/or operation thereof, its anticipated duration and the corrective action taken andor to be taken. The initial notice shall be followed as soon as practicable with written notice.

### 6.3 Immediate Action:

An Intercomection Party becoming aware of an Emergency Condition may take such action, including discomection of the Customer Facility from the Transmission System, as is reasonable and necessary in accord with Good Utility Practice (i) to prevent, avoid, or mitigate mury or danger to, or loss of, life or property; (ii) to preserve the reliability of, in the case of Intercomection Customer, the Customer Facility, or, in the case of Transmission Provider or the Intercomected Transmission Owner, the Transmission System and interconnected subtransmission and distribution facilities; or (iii) to expedite restoration of service. Unless, in Interconnection Customer's reasonable judgment, immediate action is required to prevent imminent loss of life or property, Intercomection Customer shall obtain the consent of Transmission Provider and the Intercomected Transmission Owner prior to performing any manual switching operations at the Customer Facility or the Generation Intercomection

Facilities. Each Interconnection Party shall use Reasonable Efforts to minimize the effect of its actions during an Emergency Condition on the facilities and operations of the ofher Interconnection Panties.

### 6.4 Record-Keeping Obligations:

Each futercomection Party shall keep and mantain records of actions taken during an Emergency Condition that may reasonably be expected to affect the other parties' facilities and make such records available for audit in accordance with Section 19.3 of this Appendix 2.

## 7 Safety

### 7.1 General:

Each Infercomected Entity shall perform all work under this Appendix 2 that may reasonably be expected to affect the other Intercomected Entity in accordance with Good Utility Practice and all Applicable Laws and Regulations pertaining to the safety of persons or property. An Intercomected Entity performing work within the bomdaries of the other Interconnected Entity's facilities must abide by the safety rules applicable to the site. Each party agrees to inform the other party's representatives of applicable safety rules that must be obeyed on the premises.

### 7.2 Envirommental Releases:

Each Interconnected Entity shall notify the other Interconnection Parties, first orally and promptly thereafter in writing, of the release of any Hazardous Substances, any asbestos or lead abatement activities, or any type of remediation activities, related to the Customer Facility or the Intercomection Facilities, any of which may reasonably be expected to affect one or both of the other parties. The notifying party shall (i) provide the notice as soon as possible; (ii) make a good faith effort to provide the notice within twenty-four (24) hours after the party becomes aware of the occurrence; and (iii) promptly fumish to the other parties copies of any publicly available reports filed with any governmental agencies addressing such events.

## 8 Metering

### 8.1 General:

Intercomection Customer shall have the right to install, own, operate, test and maintain the recessary Metering Equipmeat. In the event that Interconnection Customer exercises this option, the Intercomected Transmission Owner shall have the right to install its own check meter(s), at its own expense, at or near the location of the Metering Equipment. If both Intercomection Customer and Interconnected Transmission Owner install meters, the meter installed by the Intercomection Customer shall control unless it is determined by testing to be inaccurate. If the Interconnection Customer does not exercise the option provided by the first sentence of this section, the Interconnected Transmission Owner shall have the option to install, own, operate, test and maintain all necessary Metering Equipment at Interconnection Customer's expense. If
the Intercomected Transmission Owner does not exercise this option, the Intercomection Customer shall install, own, operate, test and maintain all necessary Metering Equipment. Transmission Provider shall determine the location where the Metering Equipment shall be installed. after consulting with Intercomection Customer and the Intercomected Transmission Owner. All Metering Equipment shall be tested prion to any operation of the Customer Facility. Power flows to and from the Customer Facility shall be compensated to the Point of Intercomection, or, upon the mutal agreement of the Interconnected Transmission Owner and the intercomection Customer, to another location.

### 8.2 Standards:

All Metering Equipment installed pursuant to this Appendix 2 to be used for biling and payments shall be revenue quality Metering Equipment and shall satisfy applicable ANSI standards and Transmission Provider's metering standards and requirements. Nothing in this Appendix 2 precludes the use of Metering Equipment for any retail services of the Interconmected Transmission Owner provided, however, that in such circunstances Applicable Laws and Regulations shall control.

### 8.3 Testing of Metering Equipment:

The Intercomected Entity that, pursuant to Section 8.1 of this Appendix 2, owns the Metering Equipment shall operate, maintain, inspect and test all Metering Equipment upon installation and at least once every two years thereafter. Upon reasonable request by the other Intercomected Entity, the owner of the Metering Equipment shall hispect or test the Metering Equipment more frequently than every two years, but in no event more frequently than three times in any 24 month period. The owner of the Metering Equipment shall give reasonable notice to the Interconnection Parties of the time when any inspection or test of the owner's Metering Equipment shall take place, and the other parties may have representatives present at the test or inspection. If Metering Equipment is found to be inaccurate or defective, it shall be adjusted. repaired or replaced in order to provide accurate metering. Where the Interconnected Transmission Owner owns the Meterug Equipment, the expense of such adjustment, repain or replacement shall be bome by the Interconnection Customer, except that the Intercomection Customer shall not be responsible for such expenses where the inaccuracy or defect is caused by the Interconnected Transmission Owner. If Metering Equipment fails to register, or if the measurement made by Metering Equipment during a test varies by more than one percent from the measurement made by the standard meter used in the test, the owner of the Metering Equipment shall inform Transmission Provider, and the Transmission Provider shall inform the other Intercomected Entity, of the need to correct all measurements made by the inaccurate meter for the period during which the inaccurate measurements were made, if the period can be determined. If the period of inaccurate measurement camot be determined, the correction shall be for the period mmediately preceding the test of the Metering Equipment that is equal to onehalf of the time from the date of the last previous test of the Metering Equipment, provided that the period subject to correction shall not exceed nine (9) months.

### 8.4 Metering Data:

At Interconnection Customer's expense, the metered data shall be telemetered (a) to a location designated by Transmission Provider; (b) to a location designated by the Interconnected Transmission Owner, unless the Intercomected Transmission Owner agrees otherwise; and (c) to a location designated by Interconnection Customer. Data from the Metering Equipment at the Point of Interconnection shall be used, under nomal operating conditions, as the official measurement of the amount of energy delivered from or to the Customer Facility to the Point of Interconnection, provided that the Transmission Provider's nules applicable to Station Power shall control with respect to a Generation Infercounection Customer's consumption of Station Power.

### 8.5 Communications

### 8.5.1 Interconnection Customer Obligations:

Interconuection Customer shall install and maintain satisfactory operating communications with Trammission Provider's system dispatcher or its other designated representative and with the Intercomected Transmission Owner. Interconnection Customer shall provide standard voice line, dedicated voice line and facsimile communications at its Customer Facility control room through use of the public telephone system. Interconnection Customer also shall provide and maintain bachup communication links with both Transmission Provider and Interconnected Transmission Owner for use during abnormal conditions as specified by Transmission Provider and Intercomected Transmission Owner; respectively. Intercomection Customer further shall provide the dedicated data circuit(s) necessary to provide Intercomection Customer data to the Transmission Provider and Interconnected Transmission Owner as necessary to conform with Applicable Technical Recquirements and Standards.

### 8.5.2 Remete Terminal Unit:

Unless otherwise deemed umecessary by Transmission Provider and Intercomected Transmission Owner, as indicated in the Interconnection Service Agreement, prior to any operation of the Customer Facilify, a remote terminal unit, or equivalent data collection and transfer equipment acceptable to the Intercomnection Parties, shall be installed by Intercomection Customer, or by the Intercomected Transmission Owner at Intercomection Customer's expense, to gather accumulated and instantaneous data to be telemetered to the location(s) designated by Transmission Provider and Intercomected Transmission Owner through use of a dedicated point-to-point data circuit(s) as indicated in Section 8.5.1 of this Appendix 2. Instantaneons, bidirectional real power and, with respect to a Generation Interconnection Customer's Customer Facility, reactive power flow information, must be telemetered directly to the location(s) specified by Transmission Provider and the Intercomected Transmission Owner.

### 8.5.3. Phasor Measurement Units (PMUs):

An Intercomection Customer entering the New Services Queue on or after October 1, 2012 with a proposed new Customer Facility that has a Maximum Facility Output equal to or greater than 100 MW shall install and maintain, at its expense, phasor measurement units (PMUs). PMUs shall be installed on the Customer Facility low side of the generator step-up transformer, unless it
is a non-synchronous gemeration facility, in which case the PMUs shall be installed on the Customer Facility side of the Point of Intercomection. The PMUs must be capable of performing phasor measurements at a minimum of 30 samples per second which are synchronized via a high-accuracy satellite clock. To the extent Interconection Customer installs similar quality equipment, such as relays or digital fault recorders, that can collect data at least at the same rate as PMUs and which data is synchronized via a high-accuracy satellite clock such equipment would satisfy this requirment. As provided for in the PM Manuals, an Intercomection Customer shall be required to install and maintain, at its expense. PMU equipment which includes the communication circuit capable of carrying the PMU data to a local data concentrator, and then transporting the information continuonsly to the Transmission Provider; as well as store the PMU data locally for thirty days. Interconnection Customer shall provide to Transmission Provider all necessary and requested information through the Transmission Provider synchrophasor system, including the following: (a) gross MW and MVAR measured at the Customer Facility side of the generator step-up transformer (or, for a non-synchronous generation facility, to be measured at the Customer Facility side of the Point of Intercomection): (b) generator terminal voltage; (c) generator temmal frequency; and (d) generator field voltage and current, where available. The Transmission Provider will install and provide for the ongoing support and maintenance of the network commmications linking the data concentrator to the Transmission Provider. Additional details regarding the requirements and guidelines of PMU data and telecommunication of such data are contained in the PTM Manuals.

## 9 Force Majeure

### 9.1 Notice:

An Intercomection Party that is mable to carry out an obligation imposed on it by this Appendix 2 due to Force Majeure shall notify the other parties in writing or by telephone within a reasonable time after the occurrence of the cause relied on.

### 9.2 Duration of Force Majeure:

An Intercomection Paty shall not be responsible, or considered to be in Breach or Default under this Intercomection Service Agreement, for any non-performance, any interruption or failure of service, deficiency in the quality or quantity of service, or any other failure to perform any obligation hereunder to the extent that such failure or deficiency is due to Force Majeure. An Intercomection Patty shall be excused from whatever performance is affected only for the duration of the Force Majeure and while the Interconnection Party exercises Reasonable Efforts to alleviate such situation. As soon as the non-performing Interconnection Party is able to resume performance of its obligations excused because of the occurrence of Force Majeure, such Interconnection Party shall resume performance and give prompt notice thereof to the other parties.

### 9.3 Obligation to Make Payments:

Any Interconnection Party's obligation to make payments for services shall not be suspended by Force Majewe.

### 9.4 Definition of Force Majeure:

For the purposes of this section, an event of force majeure shall mean any cause beyond the control of the affected Intercomection Party or Construction Party, including but not restricted to, acts of God, flood, drought, earthquake, storm, fire, lightning, epidemic, war, riot, civil disturbance or disobedience. labor dispute, labor or material shortage, sabotage, acts of public eneny, explosions, orders, regulations or restrictions imposed by govermmental, military, or lawfully established civilian authorities, which, in any of the foregoing cases, by exercise of due diligence such party could not reasonably have been expected to avoid, and which, by the exercise of due diligence, it has been mable to overcome. Force majeure does not include (i) a failure of performance that is due to an affected party's own negligence or intentional wrongdoing; (ii) any removable or remediable causes (other than settlement of a strike of labor dispute) which an affected party fails to remove or remedy within a reasonable time; or (iii) economic hardship of an affected party.

## 10 Charges

### 10.1 Specified Charges:

If and to the extent required by the Interconnected Transmission Owner, after the Initial Operation of the Customer Facility. Intercomection Custoner shall pay one or more of the types of recuming charges deseribed in this section to compensate the Intercomected Tramsmission Owner for costs incurred in performing certain of its obligations under this Appendix 2. All such charges shall be stated in Schedule E of the Intercomnection Service Agreement. Interconnected Transmission Owuer shall provide Transmission Provider and Intercomection Customer with appropriate cost data, schedules andor written testimony in support of any charges under this section in such mamer and at such time as to allow Transmission Provider to include such materials in its filing of the Interconnection Service Agreement with the FERC. Transmission Provider will deliver a copy of such filing to Interconnection Customer. Permissible charges under this section may include:
(a) Administration Charge - Any such charge may recover only the costs and expenses incurred by the Interconnected Transmission Owner in connection with administrative obligations such as the prepatation of bills, the processing of Customer Facility-specific data on energy delivered at the Point of Intercomection and costs incurred in similar types of administrative processes related to Interconnection Customer's Intercomnection Service. An Administration Charge shall not be permited to the extent that the Interconnected Transmission Owner's other charges to the Intercomection Customer under the same Intercomection Service Agreement include an allocation of Interconmected Transmission Owner's administrative and general expenses and/or other corporate overhead costs.
(b) Metering Charge - Any such charge may recover only the Interconnected Transmission Owner's costs and expenses associated with operation, maintenance, inspection, testing and canying or capital replacement charges for any Metering Equipment that is owned by the Interconnected Transmission Owner.
(c) Telemetering Charge - Any such charge may recover only the Intercomected Transmission Owner's costs and expenses associated with operation, maintenance, inspection, testing, and carrying or capital replacement charges for any telemetering equipment that is owned by the Intercomected Transmission Owner and that is used exclusively in conjunction with Intercomection Service for the Intercomection Customer.
(d) Customer Facility Operations and Mantenance Charge - Any such charge may recover only the Interconnected Transmission Owner's costs and expenses associated with operation, maintenance, inspection, festing, modifications, taxes and carrying or capital replacement charges for Attachment Facilities related to the Interconnection Customer's Intercomection Service and that are owned by the Intercomected Transmission Owner, provided that
(i) any such charge shall exclude costs and expenses associated with Transmission Owner Interconnection Facilities owned by the Intercomected Transmission Owner that are radial line facilities that serve load in addition to an Interconnection Customer: and
(ii) except as otherwise provided by Applicable Laws and Regulations, any such charge may include only an allocated share, derived in accordance with the allocations coutained in the Facilities Study. of costs and expenses associated with Transmission Owner Interconnection Facilities owned by the Interconnected Transmission Owner that are radial line facilities that serve more than one Intercomection Customer. At the discretion of the affected Intercomected Entities, a Customer Facility Operations and Maintenance Charge authorized under this section may apply on a per-incident basis or on a monthly or other periodic basis.
(e) Other Charges - Any other charges applicable to the Intercomection Customer, as mutually agreed upon by the Intercomection Customer and the Intercomected Transmission Owner and as accepted by the FERC as part of an Interconnection Service Agreement.

### 10.2 FERC Filings:

To the extent required by law or regulation, each Intercomection Party shall seek FERC acceptance or approval of its respective charges or the methodology for the calculation of such charges.

## 11 Security, Billing And Payments

### 11.1 Recurring Charges Pursuant to Section 10:

The following provisions shall apply with respect to recurring charges applicable to Intercomection Service after Initial Operation of the Customer Facility pursuant to Section 10 of this Appendix 2.

### 11.1.1 General:

Except as, and to the extent, otherwise provided in the Intercomnection Service Agreement, billing and payuxent of any recuring charges applicable to Intercomection Service after Initial Operation of the Customer Facility pursuant to Section 10 of this Appendix 2 shall be in accordance with Section 7 of the Tariff. The Intercomected Transmission Owner shall provide Transmission Provider with all necessary information and supporting data that Transmission Provider may reasonably require to administer billing for and payment of applicable charges under this Appendix 2. Transmission Provider shall remit to the Intercomected Transmission Owner revenues received in payment of Interconnected Tramsmission Owner's clarges to Intercomection Customer under this Appendix 2 upon Transuission Provider's receipt of such revenues. At Transmission Provider's reasonable discretion, charges to Intercomection Customer and remittances to Intercomected Transmission Owner under this Appendix 2 may be netted against other amounts owed by or to sucl parties under the Tariff.

### 11.1.2 Billing Disputes:

In the event of a billing dispute between Transmission Provider and Interconnection Customer. Transmission Provider shall contime to provide intercomection service under this Appendix 2 as long as Interconnection Customer (i) continues to make all payments not in dispute, and (ii) pays to Transmission Provider or into an independent escrow account the portion of the invoice in dispute, pending resolution of such dispute. If Interconnection Customer fails to meet these two requirements for contimuation of service, then Transmission Provider shall so inform the Interconnection Parties and may provide notice to Interconnection Customer of a Breach pursuant to Section 15 of this Appendix 2. Within thity days after the resolution of the dispute, the Interconnection Party that owes money to the other Interconnection Party shall pay the amount due with interest calculated in accord with Section 11.4.

### 11.2 Costs for Transmission Owner Interconnection Facilities and/or Merchant Network Upgrades:

The following provisions shall apply with respect to charges for the Costs of the Interconnected Transmission Owner for which the Interconnection Customer is responsible.

### 11.2.1 Adjustments to Security:

The Security provided by Intercomection Customer at or before execution of the Intercomection Service Agreement (a) shall be reduced as portions of the work on required Local Upgrades and/or Network Upgrades is completed, and/or (b) shall be increased or decreased as required to reflect adjustments to Intercomection Customer's cost responsibility, as determined in accordance with Section 217, to correspond with changes in the Scope of Work developed in accordance with Transmission Provider's scope change process for interconnection projects set forth in the PMM Manuals.

### 11.2.2 Invoice:

The Interconocted Transmission Owner shall provide Transmission Provider a quarterly statement of the Intercomected Transmission Owner's scheduled expenditures during the next three months for, as applicable (a) the design, engineering and construction of, and/or for other charges related to, construction of the Intercomection Facilities and/or Merchant Network Upgrades for which the Intercomected Transmission Owner is responsible under the Intercomection Service Agreement and the Intercomnection Construction Service Agreement, or (b) in the event that the Intercomection Customer exercises the Option to Build pursuant to Section 3.2.3.1 of Appendix 2 of the form of Intercomection Construction Service Agreement (set forth in Attachment $P$ to the Tariff). for the Transmission Owner's Costs associated with the Intercomection Customer's building Attachment Facilities, Local Upgrades, and Network Upgrades (including both Direct Comection Network Upgrades. Direct Comection Local Upgrades, Non-Direct Cormection Network Upgrades and Non-Direct Comection Local Upgrades), including but not limited to Costs for tie-in work and Cancellation Costs. Provided, however, such Transmission Owner Costs may inchude oversight costs (i.e. costs incurred by the Transmission Owner when engaging in oversight activities to satisfy itself that the Interconnection Customer is complying with the Transmission Owner's standards and specifications for the construction of fachities) only if the Transmission Owner and the Intercomection Customer mmtually agree to the inclusion of such costs under the Option to Build pursuant to the provisions of Section 3.3.3.1 of Appendix 2 of the form of Interconnection Constuction Service Agreement (set forth in Attachment $P$ to the Tarifif). Transmission Provider shall bill Interconnection Customer on behalf of the Intercomected Transmission Owner, for the Interconnected Transmission Owner's expected Costs during the subsequent three months. Interconnection Customer shall pay each bill within twenty (20) days after receipt thereof. Upon receipt of each of Interconnection Customer's payments of such bills, Transmission Provider shall remburse the Interconnected Transmission Owner. Intercomection Customer may request that the Transmission Provider provide a quarterly cost reconciliation. Such a quaterly cost reconciliation will have a one-quarter lag, e.g. reconciliation of costs for the first calendar quarter of work will be provided at the start of the third calendar quarter of work. provided, however, that Section 11.23 of this Appendix 2 shall govern the timing of the final cost reconciliation upon completion of the work.

### 11.2.3 Final Invoice:

Within 120 days after the Interconnected Transmission Owner completes construction and installation of the Intercomection Facilities and/or Merchant Network Upgrades for which the Intercomected Transmission Owner is responsible under the Intercomection Service Agreement and the Intercomection Construction Service Agreement, Transmission Provider shall provide Intercomection Customer with an accounting of, and the appropriate Construction Party shall make any payment to the other that is necessary to resolve, any difference between (a) Intercomection Customer's responsibility under the Tariff for the actual Cost of such facilities, and (b) Intercomection Customer's previous aggregate payments to Transmission Provider for the Costs of such facilities. Notwithstanding the foregoing, however, Transmission Provider shall not be obligated to make any payment to either the Interconnection Customer or the Intercomected Transmission Owner that the preceding sentence requires it to make unless and until the Transmission Provider has received the payment that it is required to refund from the Construction Party owing the payment.

### 11.2.4 Disputes:

In the event of a billing dispute between any of the Construction Parties. Transmission Provider and the Interconnected Transmission Owner shall contime to perform their respective obligations pursuant to this Interconnection Service Agreement and any related Interconnection Construction Service Agreements so long as (a) Intercomection Customer continues to make all payments not in dispute, and (b) the Security held by the Transmission Provider while the dispute is pending exceeds the amount in dispute, or (c) Intercomection Customer pays to Transmission Provider or into an independent escrow account the portion of the invoice in dispute, pending resolution of such dispute. If Intercomection Customer fails to meet any of these requirements. then Transmission Provider shall so inform the other Construction Parties and Transmission Provider or the Interconnected Transmission Owner may provide notice to Interconnection Customer of a Breach pursuant to Section 15 of this Appendix 2.

### 11.3 No Waiver:

Payment of an invoice shall not relieve Interconnection Customer from any other responsibilities or obligations it has moder this Appendix 2, nor shall such payment constitute a waiver of any clains arising hereunder.

### 11.4 Interest:

Interest on any unpaid amounts shall be calculated in accordance with the methodology specified for interest on refunds in the FERC's regulations at 18 C.F.R. \& 35.19a(a)(2)(iii). Interest on delinquent amounts shall be calculated from the due date of the bill to the date of payment.

### 12.0 Assignment

### 12.1 Assignment with Prior Consent:

Except as provided in Section 12.2 to this Appendix 2, no Interconnection Party shall assign its rights or delegate its duties, or any part of such rights or duties, under the Interconnection Service Agreement without the written consent of the other Interconnection Parties, which consent shall not be unreasonably withheld, conditioned, or delayed. Any such assigmient or delegation made without such written consent shall be null and void. An Interconnection Party may make an assigment in comection with the sale, merger, or transfer of a substantial portion or all of its properties including the Intercomection Facilities which it owns, so long as the assignee in such a sale, merger, or transfer assumes in writing all rights, duties and obligations arising under this Intercomection Service Agreement. In addition, the Interconnected Transmission Owner shall be entitled, subject to Applicable Laws and Regulations, to assign the Interconnection Service Agreement to any Affiliate or successor that owns and operates all or a substantial portion of the Intercomected Transmission Owner's transmission facilities.

### 12.2 Assignment Without Prior Consent

### 12.2.1 Assignment to Owners:

Interconnection Customer may assign the Intercomection Service Agreement without the Interconnected Transmission Owner's or Transmission Provider's prior consent to any Affiliate or person that purchases or otherwise acquires, directly or indirectly, all or substantially all of the Customer Facility and the Customer Interomection Facilities, provided that prior to the effective date of any such assigment, the assignee shall demonstrate that, as of the effective date of the assigument, the assignee has the tecimical and operational competence to comply with the requirements of this Interconnection Service Agreement and assumes in a writing provided to the Intercomected Transmission Owner and Transmission Provider all nghts, duties, and obligations of Intercomection Customer arising under this Interconnection Service Agreement. However, any assigment described herein shall not relieve or discharge the Interconnection Customer from any of its obligations heremder absent the written consent of the Transmission Provider, such consent not to be umeasonably witheld, conditioned or delayed.

### 12.2.2 Assignment to Lenders:

Intercomection Customer may, without the consent of the Transmission Provider or the Interconnected Transmission Owner, assign the Interconnection Service Agreement to any Project Finance Entity(ies). provided that such assigment does not alter or diminish Interconnection Customer's duties and obligations under this Infercomection Service Agreement. If Intercomection Customer provides the Intercomected Transmission Owner with notice of an assigument to any Project Finance Entity(ies) and identifies such Project Finance Entities as contacts for notice purposes pursuant to Section 21 of this Appendix 2, the Transmission Provider or Intercomected Transmission Owner shall provide notice and reasonable opportuity for such entity(ies) to cure any Breach under this Intercomection Service Agreement in accordance with this Intercomection Service Agreement. Transmission Provider or Interconnected Transmission Owner shall, if requested by such lenders, provide such customary and reasonable documents, including consents to assignment, as may be reasonably requested with respect to the assigment and status of the Interconnection Service Agreement, provided that such documents do not alter or diminish the rights of the Transmission Provider or Intercomected Transmission Owner under this Intercomection Service Agreement, excepf with respect to providing notice of Breach to a Project Finance Entity. Upon presentation of the Transmission Provider andor the Intercomected Transmission Owner's invoice therefor. Interconnection Customer shall pay the Transmission Provider and/or the Interconnected Transmission Owner's reasonable documented cost of providing such documents and certificates. Any assignment described herein shall not relieve or discharge the Intercomection Customer from any of its obligations hereunder absent the witten consent of the Interconnected Transmission Owner and Transmission Provider.

### 12.3 Successors and Assigns:

This Intercomection Service Agreement and all of its provisions are binding upon, and inure to the benefit of, the Intercomection Parties and their respective successors and permitted assigns.

## 13 Insurance

### 13.1 Required Coverages For Generation Resources Of More Than 20 Megawatts or Merchant Transmission Facilities:

Each Interconnected Entity shall mantain insurance as described in paragraphs A through E below. All insurance shall be procured from insurance companies rated "A-". VII or better by AM Best and authorized to do business in a state or states in which the Interconnection Facilities are located. Failure to mantain required insurance shall be a Breach of the Infercomection Service Agreement.
A. Workers Compensation insurance with statutory limits, as required by the state and or jurisdiction in which the work is to be performed, and employer's liability msurance with limits of not less than one million dollars $(\$ 1,000,000.00)$.
B. Commercial General Liability Insurance andor Excess Liability Insurance coveriug liability arising out of premises, operations, personal imury, advertising, products and completed operations coverage, independent contractors coverage, liability assumed under an insured contract, coverage for pollution to the extent nomally available and punitive damages to the extent allowable under applicable law, with limits of not less than one million dollars ( $\$ 1,000,000$ ) per occurrence/one million dollars ( $\$ 1,000,000$ ) general aggregate/one million dollars ( $\$ 1,000,000$ ) products and completed operations aggregate.
C. Business Commercial Automobile Liability Insurance for coverage of owned and non-owned and hired vehicles, trailers or semi-trailers designed for travel on public roads, with a minimuin, combined single limit of one million dollars ( $\$ 1,000,000$ ) each accident for bodily injury, including death, and property damage.
D. Excess and/or Umbrella Liability Insurance with a limit of liability of not less than twenty million dollars ( $\$ 20,000,000.00$ ) per occurrence. These limits apply in excess of the employer's liability, conmercial general liability and business/commercial automobile liability coverages described above. This requirement can be met alone or via a combination of primary, excess and/or umbrella insurance.
E. Professional Liability Insurance providing errors, omissions and/or malpractice coverage in the amount of five million dollars ( $\$ 5,000,000$ ) per occurrence/aggregate. Coverage shall be provided for the Intercomected Entity's duties, respousibilities and performance outhed in this Appendix 2, the Intercomection Service Agreement, and if applicable, the Intercomection Construction Service Aoreement.

An Intercomected Entity may meet the Professional Liability Insurance requirements by requing third-party contractors, designers, of engineers, or other parties that are responsible for design work associated with the transmission facilities or Intercomoction Facilities necessary for the interconnection to procure professional liability insurance in the amounts and upon the terms prescribed by this section $13.1(\mathrm{E})$, and providing evidence of such insuance to the other Interconnected Entity. Such insurance shall be procured from companies rated "A-," VII or better by AM Best and authorized to do business in a state or states in which the Interconnection

Facilities are located. Nothing in this section relieves the Intercomected Entity from complying with the insurance requirements. In the event that the policies of the designers, engineers. or other parties used to satisfy the Inferconnected Entity's insurance obligations under this section become invalid for any reason, including but not limited to, (i) the policy(ies) lapsing or otherwise terminating or expiring; (ii) the coverage limits of such policy(ies) are decreased; or (iii) the policy(ies) do not comply with the terms and conditions of the Tariff; Intercomected Entity shall be required to procure imsurance sufficient to meet the requirements of this section, such that there is no lapse in insurance coverage. Notwithstanding the foregoing, in the event an Interconnected Entity will not design or construct or cause to design or constuct any new transmission facilities or Intercomection Facilities, Transmission Provider, in its discretion, may waive the requirement that an Intercomected Entity maintain the Professional Liability Insurance pursuant to this section.

### 13.1A. Required Coverages For Generation Resources Of 20 Megawatts Or Less:

Each Intercomected Entity shall mantain the types of insurance as described in section 13.1 paragraphs A through $E$ in an amount sufficient to insure against all reasonably foreseeable direct liabilities given the size and nature of the generating equipment being intercomected, the intercomection itself, and the characteristics of the system to which the intercomection is made. Additional insurance may be required by the Interconnection Customer, as a function of owning and operating a generating facility. All insurance shall be procured from insurance companies rated "A-", VII or better by AM Best and authorized to do business in a state of states in which the Intercomection Facilities are located. Failure to maintain required insurance shall be a Breach of the Interconnection Service Agreement.

### 13.2 Additional Insureds:

The Commercial General Liability, Business/Commercial Automobile Liability and Excess and/or Umbrella Liability policies procured by each Intercomnected Entity (the "Insuring Intercomected Entity") shall include each other Intercomection Party (the "Insured Interconnection Patty"), and its respective officers, agents and employees as additional insureds, providing all standard coverages and covening liability of the Insured Interconnection Party anising out of bodily injury and/or property damage (including loss of use) in any way comected with the operations. performance, or lack of performance under this Interconnection Service Agreement.

### 13.3 Other Required Terms:

The above-mentioned insurance policies (except workers' compensation) shall provide the following:
(a) Each policy shall contain provisions that specify that it is primary and non contributory for any liability arising out of that party's negligence, and shall apply to such extent without consideration for other policies separately carried and shall state that each insured is provided coverage as though a separate policy had been issued to each, except the insurer's
liability shall not be increased beyond the amount for which the insurer would have been liable had only one insured been covered. Each Insuring Intercomected Entity shall be responsible for its respective deductibles or retentions.
(b) If any coverage is written on a Claims First Made Basis, continuous coverage shall be maintained or an extended discovery period will be exercised for a peniod of not less than two (2) years after termination of the Interconnection Service Agreement.
(c) Provide for a waiver of all rights of subrogation which the Insuring Interconnected Entity's insurance carrier might exercise against the Insured Intercomection Party.

### 13.3A No Limitation of Liability:

The requirements contained berein as to the types and limits of all insurance to be maintained by the Intercomected Entities are not intended to and shall not in any mamer, limit or qualify the liabilities and obligations assumed by the Interconnection Parties under the Intercomection Service Agreement.

### 13.4 Self-Insurance:

Notwithstanding the foregoing, each Interconmected Entity may self-insure to meet the minimum insurance requirements of this Section 13 of this Appendix 2 to the extent it maintains a selfinsurance program, provided that such Intercomected Entity's senior secured debt is rated at investment grade or better by Standard \& Poor's and its self-insurance program meets the minimum insurance requirements of this Section 13. For any period of time that an Interconnected Entity's senior secured debt is umated by Standard \& Poor's or is rated at less than investment grade by Standard \& Poor's, such Paty shall comply with the insurance requirements applicable to it moder this Section 13. In the event that an Intercomected Entity is permitted to self-insure pursuant to this section. it shall notify the other Interconnection Parties that it meets the requirements to self-insure and that its self-insurance program meets the minimum insurance requirements in a manner consistent with that specified in Section 13.5 of this Appendix 2.

### 13.5 Notices; Certificates of Insurance:

All policies of insurance shall provide for thirty days prior writen notice of cancellation or material adverse change. If the policies of insurance do not or camot be endorsed to provide thinty days prior notice of cancellation or material adverse change, each Intercomnected Entity shall provide the other Interconnected Entities with thirty days prior written notice of cancellation or material adverse change to any of the insurance required in this agreement. Each Interconnected Entity shall provide the other with certificates of insurance prior to Initial Operation of the Customer Facility and thereafter at such time intervals as they shall mutually agree upon, provided that such interval shall not be less than one year. All certificates of insurance shall indicate that the certificate holder is included as an additional insured under the Commercial General Liability, Business/Commercial Automobile Liability and Excess and/or

Umbrella Liability coverages. and that this insurance is primary with a waiver of subrogation included in favor of the other Intercomected Entities.

### 13.6 Subcontractor Insurance:

In accord with Good Utility Practice, each Intercomected Entity shall require each of its subcontractors to maintain and provide evidence of insurance coverage of types, and in amounts, commensurate with the risks associated with the services provided by the subcontractor. Bonding of contractors or subcontractors shall be at the hiring Intercomected Entity's discretion. but regardless of bonding, the hiring principal shall be responsible for the performance or nonperformance of any contractor or subcontractor it hires.

### 13.7 Reporting Incidents

The Interconnection Parties shall report to each other in writing as soon as practical all accidents or occorrences resulting in injuries to any person, including death. and any property damage arising ont of the Intercomection Service Agreement.

## 14 Indemnity

### 14.1 Indemity:

Each Interconnection Party shall indemnify and hold harmless the other Intercomection Parties, and the other Intercomection Parties' officers, shareholders, stakeholders, members, managers, representatives, directors, agents and employees, and Affiliates, from and against any and all loss, liability, damage, cost or expense to third parties, iucluding damage and liability for bodily injuy to or death of persons, or damage to properity or persons (including reasonable attomeys' fees and expenses, litigation costs, consultant fees, investigation fees, sums paid in settlements of claims, penalties or fines imposed under Applicable Laws and Regulations, and any such fees and expenses incurred in enforing this indemity or collecting any sums due hereunder) (collectively, "Loss") to the extent anising out of, in commection with, or resulting from (i) the indemnifying Intercomection Party's breach of any of the representations or warranties made in, or failure of the indemnifying Intercomection Parify or any of its subcontractors to perform any of its obligations under, this Intrcomection Service Agreement (including Appendix 2). or (ii) the negligence or wilful misconduct of the indemmifying Interconnection Party or its contractors: provided, however, that no Intercomection Party shall have any indemnification obligations under this Section 14.1 in respect of any Loss to the extent the Loss results from the negligence or willful misconduct of the Intercomection Party seeking indemnity.

### 14.2 Indemnity Procedures:

Promptly after receipt by a Person entitled to indennity ("Indemnified Person") of any claim or notice of the commencement of any action or administrative or legal proceeding or investigation as to which the indemnity provided for in Section 14.1 may apply, the Indemmified Person shall notify the indemififying Intercomection Party of such fact. Any failure of or delay in such notification shall not affect an Intercomection Party's indemnification obligation unless such
failure or delay is materially prejudicial to the indemuifying Interconnection Party. The Indemnified Person shall cooperate with the indemnifying Intercomection Party with respect to the matter for which indennification is clamed. The indemnifying Intercomection Party shall have the right to assume the defense thereof with counsel designated by such indemnifying Interconnection Party and reasonably satisfactory to the Indemnified Person. If the defendants in any such action include one or more Indemnified Persons and the indemnifying Intercomection Party and if the Indemmified Person reasonably concludes that there may be legal defenses available to it andor other Indemuified Persons which are different from or additional to those available to the indemnifying Intercomection Party, the Indemnified Person shall have the night to select separate counsel to assent such legal defenses and to otherwise participate in the defense of such action on its own behalf. In such instances, the indemnifying Interconnection Party shall only be required to pay the fees and expenses of one additional attorney to represent anr Indemnified Person or Indemnified Persons having such differing or additional legal defenses. The Indemnified Person shall be entitled, at its expense, to participate in any action, suit or proceeding, the defense of which has been assumed by the indemnifying Intercomection Party. Notwithstanding the foregoing, the indemmifying Interconnection Party (i) shall not be entitled to assume and control the defense of any such action, suit or proceedings if and to the extent that, in the opinion of the Indemnified Person and its counsel, such action, suit or proceeding involves the potential imposition of criminal liability on the Indemnified Person, or there exists a conflict or adversity of interest between the Indemnified Person and the indennifying Intercomection Party, in such event the indemnifying Intercomection Party shall pay the reasonable expenses of the Indemmified Person, and (ii) shall not settle or consent to the entry of any judgment in any action, suit or proceeding without the consent of the Indemmiffed Person, which shall not be umeasonably witheld, conditioned or delayed.

### 14.3 Indemnified Person:

If an Indemnified Person is entitled to indemnification under this Section 14 as a result of a claim by a thid party, and the indemnifying Interconnection Party fails, after notice and reasonable opportunity to proceed under Section 14.2 of this Appendix 2, to assume the defense of such claim, such Indemnified Person may at the expense of the indemnifying Intercomection Party contest. settle or consent to the entry of any judgment with respect to, or pay in full, such claim.

### 14.4 Amount Owing:

If an indemnifying Interconnection Party is obligated to indemnify and hold any Indemuified Person harmless under this Section 14, the amount owing to the Indemnified Person shall be the amount of such Indemnified Person's actual Loss, net of any insurance or other recovery.

### 14.5 Limitation on Damages:

Except as otherwise provided in this Section 14, the liability of an Intercomnection Party under this Appendix 2 shall be limited to direct actual damages, and all other damages at law are waived. Under no circumstances shall any Interconmection Party or its Affiliates, directors, officers, employees and agents, or any of them, be liable to another Interconnection Party, whether in tort, contract or other basis in law or equity for any special, indirect punitive,
exemplary or consequential damages, including lost profits. The limitations on damages specified in this Section 14.5 are without regard to the cause or causes related thereto, including the negligence of any Intercomection Party, whether such negligence be sole, joint or concurrent, or active or passive. This limitation on damages shall not affect any Interconnection Party's nights to obtain equitable relief as otherwise provided in this Appendix 2. The provisions of this Section 14.5 shall survive the termination or expiration of the Intercomection Service Agreement.

### 14.6 Limitation of Liability in Event of Breach:

An Interconnection Party ("Breaching Party") shall have no liability hereunder to the other Intercomection Parties, and the other Intercomection Parties hereby release the Breaching Party, for all clams or damages that either of them incurs that are associated with any interruption in the availability of the Customer Facility, Intercomection Facilities, Transmission System or Intercomection Service or damages to an Interconnection Party's facilities, except to the extent such internption or damage is caused by the Breaching Party's gross negligence or wilful misconduct in the performance of its obligations under this Interconnection Service Agreement (including Appendix 2).

### 14.7 Limited Liability in Emergency Conditions:

Except as otherwise provided in the Tariff or the Operating Agreement, no Intercomection Party shall be Liable to any other Intercomection Party for any action that it takes in responding to an Emergency Condition, so long as such action is made in good faith. is consistent with Good Utility Practice and is not contrary to the directives of the Transmission Provider or of the Interconnected Transmission Owner with respect to such Emergency Condition. Notwithstanding the above, Interconnection Customer shall be liable in the event that it fails to comply with any instuctions of Transmission Provider or the Interconnected Transmission Owner related to an Emergency Condition.

## 15 Breach, Care And Default

### 15.1 Breach:

A Breach of this Interconnection Service Agreement shall include:
(a) The failure to pay any amount when due;
(b) The failure to comply with any material fem or condition of this Appendix 2 or of the other portions of the Interconnection Service Agreement, including but not limited to any material breach of a representation, warmaty or covenant (other than in subsections (a) and (c)(e) of this Section) made in this Appendix 2;
(c) Assignment of the Interconnection Service Agreement in a mamer inconsistent with its terms:
(d) Failure of an Intercomection Party to provide access rights, or an Intercomection Party's attempt to revoke or terminate access rights, that are provided under this Appendix 2; or
(e) Failure of an Intercomection Party to provide information or data required to be provided under this Appendix 2 to another Intercomection Party for such other Interconnection Party to satisfy its obligations under this Appendix 2.

### 15.2 Continned Operation:

In the event of a Breach or Default by either Interconnected Entity, and subject to termination of the Interconnection Service Agreement under Section 16 of this Appendix 2. the Interconnected Entities shall contime to operate and maintain, as applicable, such DC power systems, protection and Metering Equipment. telemetering equipment, SCADA equipment, transformers, Secondary Systems, communications equipment, building facilities, software, documentation, structural components, and other facilities and apputenances that are reasonably necessary for Transmission Provider and the Intercomected Trausmission Owner to operate and maintain the Transmission System and the Transmission Owner Interconnection Facilities and for Interconnection Customer to operate and maintain the Customer Facilify and the Customer Intercomection Facilities, in a safe and reliable manmer.

### 15.3 Notice of Breach:

An. Intercomection Parfy not in Breach shall give written notice of an event of Breach to the Breaching Party, to Transmission Provider and to other persons that the Breaching Party identifies in writing to the other Intercomection Party in advance. Such notice shall set forth, in reasonable detail, the nature of the Breach, and where known and applicable, the steps necessary to cure such Breach. In the event of a Breach by Intercomection Customer, Transuission Provider and the Intercomected Transmission Owner agree to provide notice of such Breach, at the same time and in the same manner as its notice to Interconnection Customer, to any Project Finance Entity provided that the Intercomection Customer has provided the notifying Intercomection Party with notice of an assigument to such Project Finance Entity(ies) and identifies such Project Finance Entity(ies) as contacts for notice purposes pursuant to Section 21 of this Appendix 2.

### 15.4 Cure and Default:

An Interconnection Party that commits a Breach and does not take steps to cure the Breach pursuant to this Section 15.4 is in Default of this Appendix 2 and of the Interconnection Service Agreement.

### 15.4.1 Cure of Breach:

Except for the event of Breach set forth in Section 15.1(a) above, the Breaching Intercomection Party (a) may cure the Breach within thirty days from the receipt of such notice; or (b) if the Breach cannot be cured within thirty (30) days, may commence in good faith all steps that are reasonable and appropriate to cure the Breach within such thirty day time period and thereafter
diligently pursue such action to completion. In an event of Breach set forth in Section 15.1 (a), the Breaching Intercomection Party may cure the Breach within five (5) days from the receipt of notice of the Breach.

### 15.5 Right to Compel Performance:

Notwithstanding the foregoing, upon the occurence of an event of Default, a non-Defaulting Intercomection Paty shall be entitled to (a) commence an action to require the Defaulting Intercomnection Party to remedy such Default and specifically perform its duties and obligations hereunder in accordance with the terms and conditions hereof, (b) withhold payments, (c) suspend performance hereunder, and (d) exercise such other rights and remedies as it may have in equity or at law; provided, however, that the Transmission Provider shall not terminate the Intercomection Service Agreement due to the failure of Intercomection Customer to make a payment hereunder unless such failure could reasonably be expected to have a material adverse effect on the Interconnected Transmission Owner.

### 15.6 Remedies Cumulative:

Subject to Section 20.1, no remedy conferred by any provision of this Appendix 2 is intended to be exchusive of any other remedy and each and every remedy shall be cumulative and shall be in addition to every other remedy given hereunder or now or hereafter existing at law or in equity or by statute or otherwise. The election of any one or more remedies shall not constitute a waiver of the right to pursue other available remedies.

## 16 Termination

### 16.1 Termination:

This Intercomection Service Agreement and Intercomection Service under this Intercomection Service Agreement may be terminated by the following means:

### 16.1.1 By Mntual Consent:

Intercomection Service may be terminated as of the date on which the Interconnection Parties mutnally agree to terminate the Interconnection Service Agreement.

### 16.1.2 By Intercannection Customer:

Intercomection Customer may unilaterally terminate the Interconmection Service Agreement pursuant to Applicable Laws and Regulations upon providing Transmission Provider and the Intercomected Transmission Owner sixty (60) days prior writen notice thereof, provided that Infercomection Customer is not then in Default under the Intercomection Service Agreement.

### 16.1.3 Upon Default of Interconnection Customer:

Transmission Provider may terminate the Interconmection Service Agreement upon the Default of Intercomection Customer of its obligations wader the Intercomection Service Agreenent by providing Intercomection Customer and the Intercomected Transmission Owner prior writen notice of termination; provided, however, that Transmission Provider shall not terminate the Intercomection Service Agreement due to the failure of Intercomection Customer to make a payment hereunder unless such failure could reasonably be expected to have a material adverse effect on the Interconnected Transmission Owner.

### 16.2 Disposition of Facilities Upon Termination

### 16.2.1 Disconnection:

Upon termination of the Intercomection Service Agreement in accordance with this Section 16, Transmission Provider and/or the Interconnected Transmission Owner shall, in coordination with Intercomection Customer, physically disconnect the Customer Facility from the Transmission System, except to the extent otherwise allowed by this Appendix 2.

### 16.2.2 Network Facilities:

At the time of termination, the Transmission Provider and the Intercomected Entities shall keep in place any portion of the Interconnection Facilities and/or of any Merchant Network Upgrades that the Transmission Provider deems necessary for the safety, integrity andor reliability of the Transmission System. Otherwise, Transmission Provider may, in its discretion, within 30 days following termination of Interconnection Service, require the removal of all or any part of the Intercomection Facilities or of any Merchant Network Upgrades.
16.2.2.1 In the event that (i) the Interconnection Service Agreement and Intercomection Serrice under this Appendix 2 are terminated and (ii) Transmission Provider determines that some or all of the Interconnection Facilities or of any Merchant Network Upgrades that are owned by the Interconnection Customer are necessary for the safety, integrity andor reliability of the Transmission System, Interconnection Customer, subject to Applicable Laws and Regulations, shall transfer to the Intercomected Transmission Owner title to the Interconnection Facilities or Merchant Netwonk Upgrades that Transmission Provider has determined to be necessary for the safety, integrity and/or reliability of the Transmission System.
16.2.2.2 In the event that removal of some or all of the Intercomection Facilities or any Merchant Network Upgrades is necessary to maintain compliance with Applicable Standards, Intercomection Customer shall be responsible for the costs of any such removal. Intercomection Customer shall have the right to take or retain title to equipnent and/or facilities that are removed pursuant to this section; altematively, in the event that the Intercomection Customer does not wish to retain title to removed equipment and/or facilities that it owns, the Interconnected Transmission Owner may elect to pay the Interconnection Customer a muttally agreed amount to acquire and own such equipment and/or facilities.

### 16.2.3 Request for Disposition Determination:

Intercomection Customer nay request a deternimation from the Transmission Provider whether any Intercomection Facilities or any Merchant Network Upgrades will be removed in the event of any temination of Interconmection Service to the Customer Facility within the following year. Transmission Provider shall respond to that request no later than sixty ( 60 ) days after receipt.

### 16.3 FERC Approyal:

Notwithstanding any other provision of this Appendix 2 , no termination hereunder shall become effective until the Interconnected Entities and/or Transmission Provider have complied with all Applicable Laws and Regulations applicable to such termination, including the filing with the FERC of a notice of termination of the Interconnection Service Agreenent, and acceptance of such notice for filing by the FERC.

### 16.4 Survival of Rights:

Temmation of this Intercomection Service Agreement shall not relieve any Intercomection Party of any of its liabilities and obligations arising under this Interconnection Service Agreement (inchding Appendix 2) prior to the date on which termination becones effective, and each Interconnection Party may take whatever judicial or administrative actions it deems desirable or necessary to erforce its rights heremder. Applicable provisions of this Appendix 2 will continue in effect after termination to the extent necessary to provide for final billings, billing adjustments, and the determination and enforcement of hability and indemnification obligations arising from eveuts or acts that occurred while the Intercomection Service Agreement was in effect.

## 17 Confidentiality:

Information is Confidential Information only if it is clearly designated or marked in writing as confidential on the face of the document, or, if the information is conveyed orally or by inspection. if the Interconnection Party providing the information orally informs the Interconnection Party receiving the information that the information is confidential. If requested by any Intercomection Party, the disclosing Intercomection Party shall provide in writing the basis for asserting that the information referred to in this section warrants confidential treatment, and the requesting futercomection Party may disclose such writing to an appropriate Govermmental Authority. Any Interconnection Party shall be responsible for the costs associated with affording confidential treatment to its information.

### 17.1 Term:

During the temm of the Intercomection Service Agreement, and for a period of three (3) years after the expiration or termination of the Interconnection Service Agreement, except as otherwise provided in this Section 17, each Intercomection Party shall hold in confidence, and shall not disclose to any person, Confidential Information provided to it by any other Intercomection Party.

### 17.2 Scope:

Confidential Information shall not include information that the receiving Interconnection Party can demonstrate: (i) is generally available to the public other than as a result of a disclosure by the receiving Intercomection Party; (ii) was in the lawful possession of the receiving Interconmection Party on a non-confidential basis before receiving it from the disclosing Intercomection Party; (iii) was supplied to the receiving Interconnection Party without restriction by a third party. who, to the knowledge of the receiving Intercomection Party, after due inquiry, was under no obligation to the disclosing Intercomection Party to keep such information confidential; (iv) was independently developed by the receiving Interconnection Party without reference to Confidential Information of the disclosing Intercomection Party: (v) is, of becomes, publicly hown, through no wrongful act or omission of the receiving Interconnection Party or breach of this Appendix 2: or (vi) is required, in accordance with Section 17.7 of this Appendix 2. to be disclosed to any Govermmental Authonity or is otherwise required to be disclosed by law or subpoena, or is necessary in any legal proceeding establishing rights and obligations under the Interconnection Service Agreement. Information designated as Confidential Infomation shall no longer be deemed confideritial if the Interconnection Party that designated the information as confidential notifies the other Intercomection Parties that it no longer is confidential.

### 17.3 Release of Confidential Information:

No Intercomection Party shall disclose Confidential Infornation to any other person, except to its Affiliates (limited by the Commission's Standards of Conduct requirements), subcontractors, employees, consultants or to parties who may be or considering providing financing to or equity participation in Intercomection Customer or to potential purchasers or assignees of Interconnection Customer, on a need-to-know basis in connection with the Interconnection Service Agreement, unless such person has first been advised of the confidentiality provisions of this Section 17 and has agreed to comply with such provisions. Notwithstanding the foregoing, an Interconnection Party providing Confidential Information to any person shall remain primarily responsible for any release of Confidential Information in contravention of this Section 17.

### 17.4 Rights:

Each Intercomection Party retains all rights, title, and interest in the Confidential Information that it discloses to any other Interconection Party. An Interconnection Party's disclosure to another Interconnection Party of Confidential Tuformation shall not be deemed a waiver by any Interconnection Party or any other person or entity of the right to protect the Confidential Information from public disclosure.

### 17.5 No Warranties:

By providing Confidential Information, no Interconnection Party makes any warranties or representations as to its accuracy or completeness. In addition, by supplying Confidential Information, no Interconnection Party obligates itself to provide any particular information or

Confidential Information to any other Intercomection Party nor to enter into any further agreements or proceed with any other relationship or joint venture.

### 17.6 Standard of Care:

Each Intercomection Party shall use at least the same standard of care to protect Coufidential Information it receives as the Intercomection Party uses to protect its own Confidential Information from unauthorized disclosure, publication or dissemination. Each Intercomection Party may use Confidential Infomation solely to fulfill its obligations to the other Intercomection Parties under the Intercomection Service Agreement or to comply with Applicable Laws and Regulations.

### 17.7 Order of Disclosure:

If a Goverumental Authority with the right, power, and apparent authority to do so requests or requires an Intercomection Party. by subpoena, oral deposition, interrogatories, requests for production of documents, administrative order, or otherwise, to disclose Confidential Information, that Intercomection Party shall provide the Intercomection Party that provided the information with prompt prior notice of such request(s) or requirement(s) so that the providing Intercomection Party may seek an appropriate protective order or waive compliance with the terms of this Appendix 2 or the Interconnection Service Agreement. Notwithstanding the absence of a protective order or agreement. or waiver, the Interconnection Party that is subjected to the request or order may disclose such Conifdential Information which, in the opinion of its counsel, the Intercomection Party is legally compelled to disclose. Each Interconnection Party shall use Reasonable Efforts to obtain reliable assurance that confidential treatment will be accorded any Confidential Information so fumished.

### 17.8 Termination of Interconnection Service Agreement:

Upon termination of the Interconnection Service Agreement for any reason, each Intercomection Party shall, within ten (10) calendar days of receipt of a writen request from another party, use Reasonable Efforts to destroy, erase, or delete (with such destruction, erasure and deletion centified in writing to the requesting party) or to retum to the other party, without retaining copies thereof, any and all witten or electronic Confidential Information received from the requesting party.

### 17.9 Remedies:

The Intercomection Parties agree that monetary damages would be inadequate to compensate an Intercomection Party for another Intercomection Party's Breach of its obligations under this Section 17. Each Interconnection Party accordingly agrees that the other Interconnection Parties shall be eutitled to equitable relief, by way of injunction or otherwise, if the first Intercomection Party breaches or threatens to breach its obligations under this Section 17, which equitable relief shall be granted without bond or proof of damages, and the receiving Interconnection Party shall not plead in defense that there would be an adequate remedy at law. Such remedy shall not be deemed to be an exclusive remedy for the breach of this Section 17 , but shall be in addition to all
other remedies available at law or in equity. The Interconnection Parties further ackuowledge and agree that the covenants contained herein are necessary for the protection of legitimate business interests and are reasonable in scope. No Intercomection Party, however, shall be liable for indirect. incidental or consequential or punitive damages of any nature or kind resulting from or arising in comection with this Section 17.

### 17.10 Disclosure to FERC or its Staff:

Notwithstanding anything in this Section 17 to the contrary, and pursuant to 18 C.F.R. § 1 b .20 , if FERC or its staff, during the course of an investigation or otherwise, requests information from one of the Intercomection Parties that is otherwise required to be maintained in confidence pursuant to this Interconnection Service Agreement, the Intercomection Party, shall provide the requested information to FERC or its staff, within the time provided for in the request for information. In providing the information to FERC or its staff, the Interconnection Party must, consistent with 18 C.F.R. § 388.122 , request that the information be treated as confidential and non-public by FERC and its staff and that the information be witheld from public disclosure. Intercomection Parties are prohibited from notifying the other Intercomection Parties prior to the release of the Confidential Information to the Commission or its staff. An Intercomection Patty shall notify the other Interconnection Parties to the Intercomection Service Agreement when it is notified by FERC or its staff that a request to release Conffdential Information has been received by FERC, at which time any of the Interconnection Parties may respond before such information would be made public, pursuant to 18 C.F.R. § 388.112.

### 17.11

Subject to the exception in Section 17.10 of this Appendix 2, no Interconnection Party shall disclose Confidential Information of another Interconnection Party to any person not employed or retained by the Intercomection Party, except to the extent disclosure is (i) required by law; (ii) reasonably deemed by the disclosing Interconnection Party to be required in connection with a dispute between or among the Interconnection Parties, or the defense of litigation or dispute; (iii)_ otherwise permitted by consent of the Intercomection Party that provided such Confidential Information, such consent not to be uneasonably witheld: or (iv) necessary to fulfill its obligations under this Interconnection Service Agreement or as a transmission service provider or a Control Area operator including disclosing the Confidential Information to an RTO or ISO or to a regional or national reliability organzation. Prior to any disclosures of another Intercomection Party's Confidential Information under this subparagraph, the disclosing Intercomection Party shall promptly notify the other Interconnection Parties in writing and shall assert confidentiality and cooperate with the other Interconnection Parties in seeking to protect the Confidential Information from public disclosure by confidentiality agreement, protective order or other reasonable measures.

### 17.12

This provision shall not apply to any imformation that was or is hereafter in the public domain (except as a result of a Breach of this provision).

### 17.13 Return or Destruction of Confidential Information:

If an Interconnection Party provides any Confidential Infomation to another Intercomection Party in the course of an audit or inspection, the providing Interconnection Party may request the other party to return or destroy such Confidential Information after the temmation of the andit period and the resolution of all matters relating to that audit. Each Intercomection Party shall make Reasonable Efforts to comply with any such requests for return or destruction within ten days of receiving the request and shall certify in writing to the other Interconnection Party that it has complied with such request.

## 18 Subcontractors

### 18.1 Use of Subcontractors:

Nothing in this Appendix 2 shall prevent the Interconection Parties from utilizing the services of subcontractors as they deem appropriate to perform their respective obligations hereunder, provided. however, that each Interconnection Paty shall require its subcontractors to comply with all applicable terms and conditions of this Appendix 2 in providing such services.

### 18.2 Responsibility of Principal:

The creation of any subcontract relationship shall not relieve the hiring Interconnection Party of any of its obligations under this Appendix 2. Each Intercomection Party shall be fully responsible to the other Interconnection Parties for the acts andor omissions of any subcontractor it hires as if no subcontract had been made.

### 18.3 Indemnification by Subcontractors:

To the fullest extent permitted by law, an Intercomection Party that uses a subcontractor to carry out any of the Interconnection Party's obligations under this Appendix 2 shall require each of its subcontractors to indemmify, hold hammess and defend each other Intercomection Party, its representatives and assigns from and against any and all claims and/or liability for damage to property, injury to or death of any person, including the employees of any Interconnection Party or of any Affiliate of any Intercomection Party, or any other liability incurred by the other Intercomection Party or any of its Affiliates, including all expenses, legal or otherwise, to the extent caused by any act or omission, negligent or otherwise, by such subcontractor andor its officers, directors, employees, agents and assigns, that anises out of or is comnected with the operation of the facilities of either Interconected Entity described in this Appendix 2; provided, however, that no Intercomection Party or Affliate thereof shall be entitled to indemnity under this Section 18.3 in respect of any injury, loss, or damage to the extent that such loss, injury, or damage results from the negligence or willful misconduct of the Intercomection Party or Affiliate seeking indemnity.

### 18.4 Subcontractors Not Beneficiaries:

No subcontractor is intended to be, or shall be deemed to be, a third-pary beneficiary of an Interconnection Service Agreement.

## 19 Information Access And Audit Rights

### 19.1 Information Access:

Consistent with Applicable Laws and Regulations, each Interconnection Party shall make available such information and/or documents reasonably requested by another Intercomection Party that are necessary to (i) werify the costs incurred by the other Intercomection Party for which the requesting Intercomection Party is responsible under this Appendix 2 and (ii) carry out obligations and responsibilities under this Appendix 2, provided that the Intercomection Parties shall not use such information for pumposes other than those set forth in this Section 19.1 and to enforce their rights under this Appendix 2.

### 19.2 Reporting of Non-Force Majeure Events:

Each Intercomection Party shall notify the other Intercomection Parties when it becomes aware of its imability to comply with the provisions of this Appendix 2 for a reason other than an event of force majeure as defined in Section 9.4 of this Appendix 2. The parties agree to cooperate with each other and provide necessary information regarding such inability to comply, including, but not limited to, the date, duration, reason for the inability to comply, and corrective actions taken or plamed to be taken with respect to such inability to comply. Notwithstanding the foregoing, notification, cooperation or imformation provided mader this Section shall not entitle the receiving Intercomection Party to allege a cause of action for anticipatory breach of the Intercomection Service Agreement.

### 19.3 Audit Rights:

Subject to the requirements of confidentiality under Section 17 of this Appendix 2, each Interconnection Party shall have the right, during nomal business hours, and upon prior reasonable notice to the pertinent other Intercomection Party, to audit at its own expense the other Intercomection Party's accounts and records pertaining to such Interconnection Party's performance and/or satisfaction of obligations arising under this Appendix 2. Any andit authorized by this Section shall be performed at the offices where such accounts and records are maintained and shall be limited to those portions of such accounts and records that relate to obligations under this Appendix 2. Any request for audit shall be presented to the Intercomection Patty to be audited not later than twenty-four months after the event as to which the audit is sought. Each Infercomection Party shall preserve all records held by it for the duration of the audit period.

## 20 Disputes

### 20.1 Submission:

Any claim or dispute that any Intercomection Party may have against another arising out of the Intercomection Service Agreement may be submitted for resolution in accordance with the dispute resolution provisions of the Tariff.

### 20.2 Rights Cnder The Federal Power Act:

Nothing in this Section shall restrict the rights of any Interconnection Party to file a complaint with FERC under relevant provisions of the Federal Power Act.

### 20.3 Equitable Remedies:

Nothing in this Section shall prevent any Intercomection Party from pursuing or seeking any equitable remedy available to it cunder Applicable Laws and Regulations.

## 21 Notices

### 21.1 General:

Any notice, demand or request required or permitted to be given by any Interconnection Party to another and any instrument required or permitted to be tendered or delivered by any Interconection Pafty in writing to another may be so given, tendered or delivered, by recognized national courier, or by depositing the same with the United States Postal Service with postage prepaid, for delivery by certified or registered mail, addressed to the Intercomection Party, or personally delivered to the Interconnection Party, at the address specified in the Intercomection Service Agreement. Such notices, if agreed to by the Intercomection Parties, may be made via electronic means, with e-mail contimation of delivery.

### 21.2 Emergency Notices:

Moreover, notwithstanding the foregoing, any notice hereunder conceming an Emergency Condition or other occurence requing prompt attention, of as necessary during day-to-day operations, may be made by telephone or in person, provided that such notice is confirmed in writing promptly thereafter. Notice in an Emergency Condition, or as necessary during day-today operations, shall be provided (i) if by the Interconnected Transmission Owner, to the shif supervisor at, as applicable, a Generation Intercomection Customer's Customer Facility or a Transmission Interconnection Customer's control center; and (ii) if by the Interconnection Customer, to the shift supervisor at the Interconnected Transmission Owner's transmission control center.

### 21.3 Operational Contacts:

Each Interconnection Party shall designate, and provide to eacls other Intercomection Party contact information conceming, a representative to be respousible for addressing and resolving operational issues as they arise during the term of the Interconnection Service Agreement.

## 22 Miscellaneous

### 22.1 Regulatory Filing:

In the event that this Interconnection Service Agreement contains any terms that deviate materially from the form included in Attachment $O$ of the Tariff, Transmission Provider shall file the Interconmection Service Agreement on behalf of itself and the Interconnected Transmission Owner with FERC as a service schedule under the Tariff within thiry days after execution. Intercomection Customer may request that any information so provided be subject to the confidentiality provisions of Section 17 of this Appendix 2. An Intercomection Customer shall have the right, with respect to any Imtercomection Service Agreement tendered to it, to request (a) dispute resolution under Section 12 of the Tariff or, if concerning the Regional Transmission Expausion Plan, consistent with Schedule 5 of the Operating Agreement, or (b) that Transwission Provider file the agreement unexecuted with the Commission. With the fling of any unexecuted Intercomection Service Agreement, Transmission Provider may, in its discretion, propose to FERC a resolution of any or all of the issues in dispute between or among the Intercomnection Parties.

### 22.2 Waiver:

Any waiver at any time by an Intercomection Panty of its rights with respect to a Breach or Defanlt under this Intercomection Service Agreement or with respect to any other matters anising in comection with this Appendix 2, shall not be deemed a waiver of continuing waiver with respect to any subsequent Breach or Default or other matter.

### 22.3 Amendments and Rights Under the Federal Power Act:

This Intercomection Service Agreement may be amended or supplemented only by a written instrument duly executed by all Interconnection Parties. An amendment to the Intercomection Service Agreement slall become effective and a part of this Interconnection Service Agreement upon satisfaction of all Applicable Laws and Regulations. Notwithstanding the foregoing, nothing contained in this Intercomection Service Agreement shall be construed as affecting in any way any of the rights of any Intercomection Party with respect to changes in applicable rates or charges under Section 205 of the Federal Power Act and/or FERC's rules and regulations thereunder, or any of the rights of any Intercomection Party under Section 206 of the Federal Power Act and/or FERC's rules and regulations thereunder. The terms and conditions of this Intercomection Service Agreement and every appendix referred to therein shall be amended, as mutually agreed by the Intercomection Parties, to comply with changes or alterations made necessary by a valid applicable order of any Governmental Authority having jurisdiction hereof.

### 22.4 Binding Effect:

This Interconnection Service Agreement, including this Appendix 2, and the rights and obligations thereunder shall be binding upon, and shall imure to the benefit of, the successors and assigns of the Intercomnection Parties.

### 22.5 Regulatory Requirements:

Each Intercomection Party's performance of any obligation under this Intercomection Service Agreement for which such party requires approval or authorization of any Govemmental Authonty shall be subject to its receipt of such required approval or authorization in the form and substance satisfactory to the receiving Interconmection Party, or the Intercomection Party making any required filings with or providing notice to, such Goveramental Authorities, and the expiration of any time period associated therewith. Each Intercomection Party shall in good faith seek. and shall use Reasonable Efforts to obtain, such required authonizations or approvals as soon as reasonably practicable.

## 23 Representations And Warranties

### 23.1 General:

Eack Interconnected Entity hereby represents, warrants and covenants as follows with these representations, waranties, and covenants effective as to the Intercomected Entity during the time the Intercomection Service Agreement is effective:

### 23.1.1 Geod Standing:

Such Intercomected Entity is duly organized or formed, as applicable, validly existing and in good standing under the laws of its State of organization or formation, and is in good standing under the laws of the respective State(s) in which it is incorporated and operates as stated in the Intercomection Service Agreement.

### 23.1.2 Authority:

Such Intercomected Entity has the right, power and authority to enter into the Interconnection Service Agreement. to become a party hereto and to perform its obligations hereunder. The Interconnection Service Agreement is a legal, valid and binding obligation of such Interconnected Entity, enforceable against such Interconnected Entity in accordance with its terms, except as the enforceability thereof may be limited by applicable bankuptcy, insolvency, reorganization or other similar laws affecting creditors' rights generally and by general equitable principles (regardless of whether enforceability is sought in a proceeding in equity or at law).

### 23.1.3 No Conflict:

The execution, delivery and performance of the Interconnection Service Agreement does not violate or conflict with the organizational or formation documents, or bylaws or operating agreement, of the Interconnected Entity, or with any judgment, license, permit, order, material agreement or instrument applicable to or binding upon the Intercomected Entity or any of its assets.

### 23.1.4 Consent and Approzal:

Such Intercomected Entity has sought or obtained, or, in accordance with the Intercomection Service Agreement will seek or obtain, each consent, approval, authorization order, or acceptance by any Govermmental Authonity in connection with the execution. delivery and performance of the Intercomection Service Agreement and it will provide to any Govermmental Authonty notice of any actions under this Appendix 2 that are required by Applicable Laws and Regulations.

## 24 Tax Liability

### 24.1 Safe Tarbor Provisions:

This Section 24.1 is applicable only to Generation Intercomection Customers. Provided that Intercomection Customer agrees to conform to all requirements of the Intemal Revenue Service ("IRS") (e.g., the "safe liarbor" provisions of $\mathbb{R S}$ Notices 2001-82 and 88-129) that would confer nontaxable status on some or all of the transfer of property, including money, by Intercomection Custoner to the Intercomected Transmission Owner for payment of the Costs of construction of the Transmission Owner Interconnection Facilities, the Intercomnected Transmission Owner, based on such agreement and on current law, shall treat such transfer of property to it as nontaxable income and, except as provided in Section 24.4.2 below, shall not include income taxes in the Costs of Transmission Owner Intercomection Facilities that are payable by Intercomection Customer under the Interconnection Service Agreement or the Intercomection Construction Service Agreement. Interconmection Customer shall document its agreement to conform to IRS requirements for such non-taxable status in the Interconnection Service Agreement, the Interconnection Construction Service Agreement, and/or the Interim Intercomection Service Agreement.

### 24.2 Tax Indemnity:

Interconmection Customer shall indennify the Tnterconnected Transmission Owner for any costs that Interconnected Transmission Owner incurs in the event that the RS and/or a state department of revenue (State) determines that the property, including money, transferred by Interconnection Customer to the Interconnected Transmission Owner with respect to the construction of the Transmission Owner Intercomection Facilities and/or any Merchant Network Upgrades is taxable income to the Intercomected Transmission Owner. Interconnection Customer shall pay to the Intercomected Transmission Owner, on demand, the amount of any income taxes that the IRS or a State assesses to the Intercomected Transmission Owner in comection with such transfer of property and/or money, plus any applicable interest and/or penalty charged to the Interconnected Transmission Owner.. In the event that the Interconnected Transmission Owner chooses to contest such assessment, either at the request of Interconnection Customer or on its own behalf, and prevails in reducing or eliminating the tax; interest and/or penalty assessed against it, the Intercomected Transmission Owner shall refund to Intercomection Customer the excess of its demand payment made to the Interconnected Transmission Owner over the amount of the tax, interest and penalty for which the Intercomnected Transmission Owner is finally determined to be liable. Intercomection Customer's tax indemnification obligation under this section shall survive any termination of the Interconnection Service Agreement or Intercomection Construction Service Agreement.

### 24.3 Taxes Other Than Income Taxes:

Upon the timely request by Fiterconnection Customer, and at Intercomection Customer's sole expense, the Interconnected Transmission Owner slall appeal, protest, seek abatement of, or otherwise contest any tax (other tilan federal or state income tax) assented or assessed against the Interconnected Transmission Owner for which Intercomection Customer may be required to reimburse Transmission Provider under the terms of this Appendix 2 or Part VI of the Tariff. Intercommection Customer shall pay to the Intercomected Transmission Owner on a periodic basis, as invoiced by the Intercomected Transmission Owner, the Interconnected Transmission Owner's documented reasonable costs of prosecuting such appeal, protest, abatement, or other contest. Interconmection Customer and the Iutercomected Transmission Owner shall cooperate in good faith with respect to any such contest. Unless the payment of such taxes is a prerequisite to an appeal or abatement or camot be deferred, no amount shall be payable by Interconnection Customer to the Infercomected Transmission Owner for such contested taxes until they are assessed by a final, non-appealable order by any cout or agency of competent jurisdiction. In the event that a tax payment is withheld and ultimately due and payable after appeal, Interconnection Customer will be responsible for all taxes. interest and penalties, other than penalties attributable to any delay caused by the Intercomnected Transmission Owner.

### 24.4 Income Tax Gross-Up

### 24.4.1 Addifional Security:

In the event that Intercomection Customer does not provide the safe harbor documentation required under Section 24.1 prior to execution of the Intercombection Service Agreement, within 15 days after such execution, Transmission Provider shall notify Intercomection Customer in writing of the amount of additional Security that Intercomection Customer must provide. The amount of Secunty that a Transmission Inferconnection Customer must provide initially pursuant to this Intercomection Service. Agreement shall include any amounts described as additional Security under this Section 24.4 regarding income tax gross-up.

### 24.4.2 Amount:

The required additional Security shall be in an amount equal to the amomit necessary to gross up fully for currently applicable federal and state income taxes the estimated Costs of Local Upgrades and Network Upgrades for which Interconnection Customer previously provided Security. Accordingly, the additional Security shall equal the amount necessaty to increase the total Security provided to the amount that would be sufficient to permit the Intercomected Transmission Owner to receive and retain, after the payment of all applicable income taxes ("Current Taxes") and taking into account the present value of future tax deductions for depreciation that would be available as a result of the anticipated payments or property transfers (the "Present Vahe Depreciation Amount"), an amount equal to the estimated Costs of Local Upgrades anid Network Upgrades for which Interconnection Customer is responsible under the Interconnection Service Agreement. For this purpose, Current Taxes shall be computed based on the composite federal and state income tax rates applicable to the Interconnected Transmission

Owner at the time the additional Secmity is received, detemined using the highest marginal rates in effect at that time (the "Current Tax Rate"), and (ii) the Present Value Depreciation Amount shall be computed by discounting the Interconnected Transmission Owner's anticipated tax depreciation deductions associated with such payments or property transfers by its current weighted average cost of capital.

### 24.4.3 Time for Payment:

Interconnection Customer must provide the additional Security, in a form and with terms as required by Sections 212.4 of the Tariff, within 15 days after its receipt of Transmission Provider's notice under this section. The requirement for additional Security under this section shall be treated as a milestone included in the Intercomection Service Agreement pursuant to Section 217.5 of the Tariff.

### 24.5 Tax Status:

Each Party shall cooperate with the other to maintain the other Party's tax status. Nothing in this Interconnection Service Agreement or Part VI of the Tanff is intended to adversely affect any Intercomected Transmission Owner's tax exempt status with respect to the issuance of bonds inchading, but not limited to, local fumshing bonds.

## SCHEDULE A

## CUSTOMER FACILITY LOCATION/SITE PLAN



## SCHEDULE B

## SINGLE-LINE DLAGRAM



## SCHEDULE C

## LIST OF METERING EQUIPMENT

## PJM Requirements:

Interconmection Customer shall install the necessary equipment to provide "Revenue Metering (KWH, KVARH)" and real time data (KW, KVAR) for the Interconnection Customer's Customer Facility that comply with the requirements set forth in PJM Manuals M-01 and M14D, and Sections 8.1 through 8.5 of Appendix 2 to this ISA.

## Interconnected Transmission Owner Requirements

Metering shall comply with the revenue metering requirements contained in the "Duke Energy Midwest Engineering Guide - Intercomection Metering" document. The link to that can be found in section 7 of the "Requirements for Connection of Facilities to the Duke Energy Midwest Transmission System" found at the following link:
(httolpim.complanning/desion-engineering/to-tech-standards/deok aspx).
In the event of any conflict between the Intercomected Transmission Owner standards and the PMM standards, the PMM standards shall control.

## SCHEDULED

## APPLICABLE TECHNICAL REQUIREMENTS AND STANDARDS

The Intercomection Customer will be required to comply with the following Duke Energy Ohio Requirments for Generation Interconnection Customers: "Requirements for Comection of Facilities to the Duke Energy Midwest Transmission System," that is posted on the PJM website at: $\mathrm{lttp} / / \mathrm{www}$ pim.com/plaming/design-engineering/to-tech-staudards/deok.aspx.

Applicable Techmical Requirements and Standards are set forth in AEP's document entitled "Requirements for Comection of New Facilities or Changes to Existing Facilities Connected to the AEP Transmission System," that is posted on the PJM website at: http://www.pjm.cons/plaming/design-engineering/to-tech-standards/private-aep.aspx.

## SCHEDULE E

## SCHEDULE OF CHARGES

None

## SCHEDULE

## SCHEDILE OF NON-STANDARD TERMS \& CONDITIONS

None

## SCHEDULE G

## INTERCONNECTION CUSTOMER'S AGREEMENT TO CONFORM WITH IRS SAFE HARBOR PROVISIONS FOR NON-TAXABLE STATUS

As provided in Section 24.1 of Appendix 2 to this ISA and subject to the requirements thereof, Intercomection Customer represents that it meets all qualifications and requirements as set forth in Section 118 (a) and 118 (b) of the Internal Revenue Code of 1986, as amended and interpreted by Notice 88-129, 1988-2 C.B. 541, and as amplified and modified in Notices 90-60, 1990-2 C.B. 345, and 2001-82, 2001-2 C.B. 619 (the "IRS Notices"). Interconmection Customer agrees to conform with all requirements of the safe harbor provisions specified in the $\mathbb{R S}$ Notices, as they may be amended, as required to confer non-taxable status on some or all of the transfer of property, including money, by Intercomection Customer to Interconnected Transmission Owner with respect to the payment of the Costs of construction and installation of the Transmission Owner Intercomection Facilities andor Merchant Network Upgrades specified in this ISA

Nothing in Intercomection Customer's agreement pursuant to this Schedule $G$ shall change Intercomection Customer's indemnification obligations under Section 24.2 of Appendix 2 to this ISA.

## SCHEDULEH

## INTERCONNECTION REQURREMENTS FOR A

 WIND GENERATION FACILITYNot Required

# This foregoing document was electronically filed with the Public Utilities 

## Commission of Ohio Docketing Information System on

## 9/29/2015 2:17:09 PM

in

## Case No (s). 14-0534-EL-BGN

Summary: Correspondence of NTE Ohio, LLC in Compliance with Opinion, Order and Certificate electronically filed by Teresa Orahood on behalf of Sally Bloomfield



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BRICKER \& ECKLER LIP 100 South Third Street Columbus, OH 43215-4291 MAIN: 614.227 .2300 FAX: 614.227.2390
wuw.bricker.com intoobricker.com

October 5, 2015

Ms. Darcy McNeal
Administration/Docketing
Public Utilities Commission of Ohio
180 East Broad Street, $11^{\text {th }}$ Floor
Colobus, OH 43215-3793
Re: NTE Ohio, LLC,
OPSB Case No. 14-534-EL-BGN
Dear Ms. McNeal:
After a preconstruction meeting held on September 22, 2015, the Ohio Power Siting Board ("Board") Staff issued a letter authorizing NTE Ohio, LLC ("NTE") to commence construction of the facility. In part, this authorization requires NTE to provide the Board notice of the start and completion dates of its construction activities.

As approved by Staff, construction of the facility commenced today, October 5 , 2015.

If you have any questions please call at the number listed above.
Sincerely.


Sally W. Bloomfield
ce: Grant Keto

This foregoing document was electronically filed with the Public Utilities

## Commission of Ohio Docketing Information System on

10/5/2015 9:34:32 AM
in

## Case No(s). 14-0534-EL-BGN

Summary: Notice of NTE Ohio, LLC that Construction of the Facility has Commenced electronically filed by Teresa Orahood on behalf of Sally Bloomfield

 accordance with the respective state's own established process. contains a milestone for the generator to execute, separately, an interconnection agreement with the local electric distribution company in Participation Agreement defines the terms and conditions under which PJM wholesale power market participation will be conducted. It also

 to participate in PJM's wholesale power market. However, they may not be under Federal Energy Regulatory Commission jurisdiction must execute an Interconnection Service Agreement. Generators at local distribution or sub-transmission voltage levels may also request Generators at transmission level voltages that request interconnection with PJM, and want to participate in PJM's wholesale power markets,

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12／02／2004 LaSalle 138 kV
11／22／2004 Frackville－Hauto $\# 369 \mathrm{kV}$
11／01／2004 Beaver Valley
10／13／2004 North Haverhill 69 kV
10／11／2004 Wolf Hills 138 kV
10／11／2004 Grangston 138 kV
09／28／2004 Monterey 69 kV
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 08/29/2005 Frackville-Hauto \#3



 07/26/2005 Hays Mill - Lookout 115kV
 07/07/2005 University Park 07/06/2005 Cook 345 kV
 06/06/2005 Honey Brook 12kV 06/06/2005 Providence Heights \#1 138kV 05/31/2005 Mountaineer 765 kV
06/02/2005 McGirr-Mendota 05/31/2005 Mountaineer 765kV
NYZL SOU」 s00Z/81/G0
05/16/2005 Normandy 138 kV 05/12/2005 Pine Grove 69 kV $.05 / 12 / 2005$ N. Salisbury 25 kV
 05/04/2005 Lakehurst 34.5 kV .


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01/31/2006 New Baltimore 115kV (Stony Cree
01/31/2006 Gavin $\# 1765 \mathrm{kV}$ 01/31/2006 B
 01/27/2006 Greenville 69 kV
01/27/2006 Stuart 345 kV .
 $01 / 16 / 2006$ City of Columbus
$01 / 23 / 2006$ Lena 138 kV 01/16/2006 City of Columbus 01/13/2006 Weyerhaeuser 115kV 01/12/2006 West Kingsport 138kV 12/22/2005 Bremo 230kV 12/22/2005 Nelson - Lee Co. EC 345kV 12/08/2005 Laurel - Sussex 69 kV
12/20/2005 Washington Landfill
12/20/2005 Pleasantville

12/07/2005. White Oak 11/22/2005 Mehoopany 115kV 11/18/2005 Winchester 34.5 kV 11/07/2005 McGirr-Mendota 10/28/2005 Johnstown Altoona 230kV 10/26/2005 Nelson-Electric Junction 345kV 10/19/2005 Bath County




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 06/23/2006 Summit-West Fall 115 kV $06 / 20 / 2006$ Quad City 345 kV 06/20/2006 Dresden 345kV 06/20/2006 Dresden 345kV 06/20/2006 Peach Bottom $06 / 14 / 2006$ North Lebanon 13.2kV 06/09/2006 Elizabethtown $06 / 07 / 2006$ Clinch River 138kV 05/08/2006 Kewanee 138 kV $04 / 28 / 2006$ Philipsburg - Tyrone Noth 115 kV 04/11/2006 Wagner 34kV 04/07/2006 Frackville-Shennandoah 69 kV 03/20/2006 Columbia 34.5kV 03/17/2006 Holtwood 03/08/2006 Moser 34.5 kV $02 / 23 / 2006$ Keystone 345 kV 02/21/2006 Emporia $02 / 13 / 2006$ Olive-Dequine 345 kV 02/07/2006. Olive-Dequine 345 kv













01／08／2007 Big Sandy 138 kV
$01 / 11 / 2007$ Chesterfield 230 kV
$01 / 12 / 2007$ Fairlawn 138 kV
$01 / 18 / 2007$ Indian River 230 kV
 12／20／2006：South Reading 69kV $\begin{array}{cc}\vec{N} & \vec{N} \\ \text { 号 } \\ ⿳ 亠 口 冋 口\end{array}$ 12／06／2006 Haviland－Milan 138kV
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$10 / 31 / 2006$ ：Nelson 345 kV
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$07 / 31 / 2006$ Quinton 12 kV















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AHGEE zumbeg－an！10 800Z／ZO／10 12／28／2007 Potter－Gold 115 kV 08／15／2007 Kent－Harrington 69 kV 12／19／2007 Linwood 230kV 12／17／2007 Hunlock Creek 69 kV 12／12／2007 Buchanan Hydro－Niles 69 kV 12／03／2007 Keystone 500kV 08／15／2007 Laurel－Sussex 69kV 12／03／2007 Keystone 500kV 11／29／2007 Archbaid 69kV 11／21／2007 Essex 230kV 11／15／2007 Gosport 115kV $11 / 13 / 2007$ Sunbury 69 kV $11 / 13 / 2007$ Sunbury 69 kV

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09/21/2007 Sherman Ave 09/21/2007 Cumbertand 138 kV
 09/21/2007 Red Lion 500kV 09/21/2007 Hay Road 09/21/2007 Steel City $09 / 19 / 2007$ Kearny 138 kV
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 05/22/2008 Harwood-E. Palmerton 230 kV
$06 / 05 / 2008$ Four Mile Ridge Wind 138 kV
$06 / 13 / 2008$ East Lima-Marysville 345 kV
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 04/29/2008 Ladysmith 230kV 04/22/2008 Killen 345kV 04/18/2008 Paper Tap 69kV 04/14/2008 York 115 kV 04/09/2008 Honey Brook 04/07/2008 Carlks Corner 69 kV 04/02/2008 Ada-Dunkirk 69kV 03/26/2008 Calumet 03/24/2008 Reichs Ford Landfill 03/18/2008 Frederick County VA Regional Lan $\begin{aligned} & \text { dfill }\end{aligned}$
 10/24/2007 Cook-Palesades 345kV 10/16/2007 Bradford 34.5 kV















 07/31/2009. Pilesgrove Township 12kV 07/29/2009 Clayville 12kV AYG VE peoy ulpzunow 600z/8Z/LO 07/24/2009 White Oak 07/20/2009 Pittsgrove 07/14/2009 Front Royal 500kV 07/08/2009 Vienna 06/30/2009 Turnpike 13.8 kV 06/18/2009 Mansfield-S. Troy 115kV 05/29/2009 Plainsboro \& Devils Brook 13kV 05/28/2009 East Leipsic 138kV 04/29/2009. Pumphrey 115kV 04/27/2009 PSE\&G Area SDJEM MION 600Z/91/70 04/09/2009 Limerick 04/09/2009 Limerick 04/09/2009 LaSalle 2 04/09/2009 LaSalle 1 04/06/2009 Bridge 12 kV 03/23/2009 Cape May County 12kV 02/26/2009 Haviland 138 kV

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 10／30／2012 Deptford 13kV А 400 S әиет รәроиу $z 10 z / 6 z / 01$

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 2 08/14/2013 Rock Springs 500kV 08/01/2013 Florey Knob 34.5kV
 07/05/2013 Lake Erie Wind 69kV 05/20/2013 Springdale 3, 4, 5 04/30/2013 Nyswaner 25kV 04/30/2013 Bergen 230kV 04/30/2013 Belleville-Rutland 138 kV 04/30/2013 Valley-Raccoon 138kV 04/30/2013 Rock Springs 500kV 04/29/2013 Beckjord 2MW-2 04/29/2013 Beckjord 2MW-1 04/26/2013 Kendall IV .04/26/2013 Kendall III 04/26/2013 Kendall II :04/26/2013 Kendall I 04/26/2013 Beaverbrook 13kV 04/19/2013 Tait 69kV $04 / 10 / 2013$ W.H. Zimmer Station
$04 / 12 / 2013$ Ashton 480 V 03/29/2013 George Washington 138 kV
$04 / 10 / 2013$ W.H. Zimmer Station
 $03 / 12 / 2013$ Pioneer Crossing 69 kV
$03 / 13 / 2013$ Rockawalkin 69 kV 03/05/2013 Milford 138 kV 02/28/2013 Kearny 02/28/2013 Bergen




















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(as of 5aplembar 11. 2016)




FUTURE DEACTIVATIONS

| Unit | Capacity | Trans Zone | $\begin{array}{r} \text { Age } \\ \text { (Years) } \end{array}$ | $\begin{array}{r} \text { Official } \\ \text { Owner } \\ \text { Request } \end{array}$ | Requested Deactivation Date | Projected Deactivation Date | PJM Reliability Status ${ }^{\text {4 }}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Yorktown 1 | 159 | DOM | 54 | 11/15/2011 | 12/31/2014 | $\begin{array}{r} 12 / 31 / 2014 \\ 3 / 31 / 2016 \\ \hline \end{array}$ | Reliability Analysis complete. Impacts identified. Unit will stay in service until March 31, 2016 to support transmission outages in area to install needed upgrades. |
| Yorktown 2 | 165 | Dom | 53. | 10/11/2012 | 12/31/2014 | $\begin{array}{r} 12 / 31 / 2014 \\ 3 / 31 / 2016 \\ \hline \end{array}$ | Reliability analysis complete. No new reliability impacts identified. Previously identified baseline upgrades are still needed. Unit will stay in service until March 31, 2016 to support transmission outages in area to install needed upgrades. |
| BL England Diesel(s) $\{I C 1, I C 2, I C 3, I C 4\}$ | 8 | AE | 51 | $\begin{array}{r} 1 / 7 / 2013 \\ 01 / 552015 \\ \hline \end{array}$ | $\begin{array}{r} 10 / 1 / 2015 \\ 05 / 31 / 2016 \\ \hline \end{array}$ | $\begin{array}{r} 10 / 1 / 2015 \\ 05 / 31 / 2016 \\ \hline \end{array}$ | No reliability impacts - with request to transter CIRs to Y3-001. ©l: <br>  <br>  <br>  |
| Riverside 4 | 76 | BGE | 62 | $\begin{array}{r} 11 / 30 / 2013 \\ 4 / 17 / 2014 \\ \hline \end{array}$ | $\begin{aligned} & 6 / 1 / 2016 \\ & 6 / 1 / 2015 \\ & \hline \end{aligned}$ | 6/1/2016 | Reliability analysis complete. No issues identified. On 4/17/2014 Riverside submitted an updated deactivation notice with a new deactivation date of $6 / 1 / 2015$. New reliability analysis complete. No issues identified. Gen owner will keep unit operating until 6/1/2016. |
| Dickerson 1 | 182 | PEPCO | 54 | $\begin{array}{r} 11 / 29 / 2013 \\ 5 / 2 / 2014 \\ 4 / 30 / 2015 \\ \hline \end{array}$ | $\begin{aligned} & 5 / 31 / 2017 \\ & 5 / 31 / 2018 \\ & 5 / 31 / 2019 \\ & \hline \end{aligned}$ | $\begin{aligned} & 5 / 31 / 2017 \\ & 5 / 31 / 2018 \\ & 5 / 31 / 2019 \end{aligned}$ | Reliability analysis complete. Impacts identified. Upgrades expected to be completed in 2 nd quarter of 2017. On $5 / 2 / 2014$ PJM received an updated deactivation notice with a new deactivation date of $5 / 31 / 2018$. New reliability analysis complete. Upgrades identified and will not be completed unts June 2020. Interim measures have been identified for 2018-2020 time period and unit can deactivate as requested on $5 / 31 / 2018$. On 4/30/2015 PIM received an updated deactivation notice with a new deactivation date of $5 / 31 / 2019$. New reliability analysis underway. |
| Dickerson 2 | 182 | PEPCO | 53 | $\begin{array}{r} 11 / 29 / 2013 \\ 5 / 2 / 2014 \\ 4 / 30 / 2015 \\ \hline \end{array}$ | $\begin{aligned} & 5 / 31 / 2017 \\ & 5 / 31 / 2018 \\ & 5 / 31 / 2019 \\ & \hline \end{aligned}$ | $\begin{aligned} & 5 / 31 / 2017 \\ & 5 / 31 / 2018 \\ & 5 / 31 / 2019 \\ & \hline \end{aligned}$ | Reliability analysis complete. Impacts identified. Upgrades expected to be completed in 2nd quarter of 2017. On 5/2/2014 PJM received an updated deactivation notice with a new deactivation date of $5 / 31 / 2018$. New reliability analysis complete. Upgrades identified and will not be completed until June 2020. Interim measures have been identified for 2018-2020 time period and unit can deactivate as requested on $5 / 31 / 2018$. On 4/30/2015 PJM received an updated deactivation notice with a new deactivation date of $5 / 31 / 2019$. New reliability analysis underway. |
| Dickerson 3 | 182 | PEPCO | 51 | $\begin{array}{r} 11 / 29 / 2013 \\ 5 / 2 / 2014 \\ 4 / 30 / 2015 \\ \hline \end{array}$ | $\begin{aligned} & 5 / 31 / 2017 \\ & 5 / 31 / 2018 \\ & 5 / 31 / 2019 \end{aligned}$ | $\begin{aligned} & 5 / 31 / 2017 \\ & 5 / 31 / 2018 \\ & 5 / 31 / 2019 \\ & \hline \end{aligned}$ | Reliability analysis complete. Impacts identified. Upgrades expected to be completed in 2 nd quarter of 2017. On 5/2/2014 PJM received an updated deactivation notice with a new deactivation date of $5 / 31 / 2018$. New reliability analysis complete. Upgrades identified and will not be completed until June 2020. Interim measures have been identified for 2018-2020 time period and unit can deactivate as requested on $5 / 31 / 2018$. On 4/30/2015 PJM received an updated deactivation notice with a new deactivation date of 5/31/2013. New reliability analysis underway. |
| Chalk Point 1 | 337 | PEPCO | 49 | $\begin{array}{r} 11 / 29 / 2013 \\ 5 / 2 / 2014 \\ 4 / 30 / 2015 \\ \hline \end{array}$ | $\begin{aligned} & 5 / 31 / 2017 \\ & 5 / 31 / 2018 \\ & 5 / 31 / 2019 \end{aligned}$ | $\begin{aligned} & 5 / 31 / 2017 \\ & 5 / 31 / 2018 \\ & 5 / 31 / 2019 \\ & \hline \end{aligned}$ | Reliability analysis complete. impacts identified. Upgrades expected to be completed in 2nd quarter of 2017. On $5 / 2 / 2014$ PJM received an updated deactivation notice with a new deactivation date of $5 / 31 / 2018$. New reliability analysis complete. Upgrades identified and will not be completed until June 2020. Interim measures have been identified for 2018-2020 time period and unit can deactivate as requested on $5 / 31 / 2018$. On 4/30/2015 PJM received an updated deactivation notice with a new deactivation date of $5 / 31 / 2019$. New reliability analysis lunderway. |
| Chalk Point 2 | 341 | PEPCO | 48 | $\begin{array}{r} 11 / 29 / 2013 \\ 5 / 2 / 2014 \\ 4 / 30 / 2015 \\ \hline \end{array}$ | $\begin{aligned} & 5 / 31 / 2017 \\ & 5 / 31 / 2018 \\ & 5 / 31 / 2019 \end{aligned}$ | $\begin{aligned} & 5 / 31 / 2017 \\ & 5 / 31 / 2018 \\ & 5 / 31 / 2019 \end{aligned}$ | Reinability analysis complete. Impacts identified. Upgrades expected to be completed in 2nd quarter of 2017. On $5 / 2 / 2014$ PJM seceived an updated deactivation notice with a new deactivation date of $5 / 31 / 2018$. New reliability analysis complete. Upgrades identified and will not be completed until June 2020. Interim measures have been identified for 2018-2020 time period and unit can deactivate as requested on $5 / 31 / 2018$. On 4/30/2015 PJM received an updated deactivation notice with a new deactivation date of 5/31/2019. New reliability anatysis underway. |
| Mckee 1 | 17 | DPL. | 52 | 2/19/2014 | 5/31/2017 | 5/31/2017 | Reliability analysis complete. No impacts identified. |
| McKee ? | 17 | OPL | 52 | 2/19/2014 | 5/31/2017 | 5/31/2017 | Reliability analysis complete. No impacts identified. |
| Dale 3 | 74 | EKPC | 56. | 3/27/2014 | 4/16/2015 | 4/16/2016 | Reliability analysis complete. No impacts identified. Dale U3 requested, and was granted, a compliance extension from Kentucky. Unit will now deactivate on $4 / 16 / 16$. |
| Dale 4 | 73 | EKPC | 53. | 3/27/2014 | 4/16/2015 | 4/16/2016 | Reliability analysis complete. No impacts identified. Dale U4 requested, and was granted, a compliance extension from Kentucky. Unit will now deactivate on 4/16/16. |


| Unit | Capacity | $\begin{gathered} \text { Trans } \\ \text { Zone } \end{gathered}$ | $\begin{array}{r} \text { Age } \\ \text { (Years) } \end{array}$ | $\begin{array}{r} \text { Official } \\ \text { Owner } \\ \text { Request } \end{array}$ | Requested Deactivation Date | Projected Deactivation Date | PJM Reliability Status ${ }^{1}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & \text { Sayonne Cogen Plant } \\ & \text { (CC) } \end{aligned}$ | 163 | PSEG | 12 | 11/17/2014 | 11/1/2018 | 11/1/2018 | Reliability analysis complete. Impact identified. Upgrade expected to take approximately 4 years to complete. Generator can deactivate as scheduled on November 1, 2018. |
| Burger EMD | 7 7 | ATSI | 42 | $\begin{array}{r} 12 / 1 / 2014 \\ 6 / 19 / 2015 \\ \hline \end{array}$ | $\begin{aligned} & 5 / 31 / 2016 \\ & 9 / 18 i 2015 \\ & \hline \end{aligned}$ | $\begin{aligned} & 5 / 31 / 2016 \\ & 9 / 18 / 2015 \\ & \hline \end{aligned}$ | Reliability analysis complete. No impacts identified. On 6/19/2015 FE submitted an updated deactivation notice with a new deactivation date of September 18, 2015. Updated analysis had impacts identified. TO estimates one year to complete required upgrades. Interim measures identified and generator can deactivate as scheduled on 9/18/2015. |
| Sewaren 1 | 103 | PSEG | 66 | 4/8/2015 | 11/1/2017 | 11/1/2017 | Reliability Analysis underway. PSEG contemplating re-use of Capacity Rights for a new generation project. |
| Sewaren 2 | 118 | PSEG | 66 | 4/8/2015 | 11/1/2017 | 11/1/2017 | Reliability Analysis underway. PSEG contemplating re-use of Capacity Rights for a new generation project. |
| Sewaren 3 | 106 | PSEG | 66 | 4/8/2015 | 11/1/2017 | 11/1/2017 | Reliability Analysis underway. PSEG contemplating re-use of Capacity Rights for a new generation project. |
| Sewaren 4 | 124 | PSEG | 66 | 4/8/2015 | 11/1/2017 | 11/1/2017 | Reliability Analysis underway. PSEG contempiating re-use of Capacity Rights for a new generation project. |
| MH50 Marcus Hook Cogen | 50 | PECO | 27 | 5/8/2015 | 5/13/2019 | 5/13/2019 | Reliability analysis complete. One impact identified, existing baseline upgrade, expected to be completed by 2019. Unit expected to deactivate as scheduled. |
| Wagner 2 | 135 | BGE | 56 | 6/16/2015 | 6/1/2020 | 6/1/2020 | Reliability analysis complete, No impacts identified. |
| Arnold (Green Mountain) Wind Farm | 0.7 | PenElec | 15 | 8/7/2015 | 11/5/2015 | 11/5/2015 | 10 MW energy. Reliability analysis underway. Re-use interconnection for Z1-066. |
| TOTAL: 2619.7 |  |  |  |  |  |  |  |

Note (1): PJM Reliability Status column also contains iinks to additional information for requests with reliability issues posted to the PJM website.

Vorys, Ster, Seymour and Pase Lip Legal Counsel

RECEIVED-DOCKETHGDA:
20150CT-5 PM 5: 07 PUCK

October 5, 2015

Ms. Baccy F. McNeal, Secretary
Public Utilities Commission of Ohio
180 E. Broad St., 11th Floor
Columbus, OH 43215-3793

Re: Case No. 15-1716-EL-BGN
Pre-Application Notification Letter
Dear Ms. McNeal:
Pursuant to Rule 4906-5-08(A) of the Ohio Administrative Code, South Field Energy LLC files this Pre-Application Notification Letter with the Ohio Power Siting Board regarding its proposed South Field Energy electric generation facility.

The South Field Energy electric generation facility will be a natural gas powered 1,100 megawatt combined-cycle electric generating facility located in Yellow Creek Township, Columbian County, Ohio, approximately 3 miles northwest of the Village of Wellsville, with access from Hibbetts Mill Road via State Route 45. The facility has a footprint of approximately 20 acres and will be located within 150 acres of privately owned land, which is adjacent to a roughly 20 acre site available for construction staging and laydown.

The purpose of the proposed project is to generate electricity for delivery and sale to the interstate transmission grid. The proposed project will utilize two of General Electric's highly flexible and efficient gas turbines, with each turbine having a heat recovery steam generator (HRSG) and a steam turbine generator. Each turbine will have dual fuel capabilities, meaning they are operable with both natural gas and, in times of shortages, ultra-low sulfur diesel.

The public informational meeting will be held from 6:00 PM to 8:00 PM on Tuesday, October 20, 2015 at Wellsville High School, located at 1 Bengal Blvd., Wellsville, OH 43968.

[^1]Ms. Barcy F. McNeal
October 5, 2015
Page 2

The following is a list of the currently anticipated waivers from the Board's rules that South Field Energy LLC will be seeking for the electric generating facility:

1. Rule 4906-13-03(A) and (B), request a waiver of an extensive site selection study; the applicant will provide discussion of its site selection process and the key attributes met by selecting the site and
2. Rule 4906-13-04(D)(2), request a waiver from filing PJM system impact studies along with the application.

Thank you for your cooperation in this matter.


Michael J. Settineri
Vorys, Sater, Seymour and Pease LLP
52 East Gay Street
Columbus, Ohio 43215
Attorneys for South Field Energy LLC
Via Electronic Falling2Ms. Barcy McNeal
Administration/Docketing
Ohio Power Siting Board
180 East Broad Street, $11^{\text {th }}$ Fl
Columbus, Ohio $43215-3793$ 180 East Broad Street, 11 ${ }^{\text {th }}$ Floor
$\qquad$ Re: Oregon Clean Energy, LLC, Oregon Clean Energy, LLC,
OPSB Case No. 15-853-EL-BGA

## Dear Ms. McNeal:

 Oregon Clean Energy, LLC's ("OCE") certificated generation plant.
If you have any questions please call at the number listed above.

## 蓇 <br> pally-7 Bloossifuex Sally W. Bloomfield

Sally W. Bloomfield
Attachment
$\begin{array}{ll}\text { Cc: } & \begin{array}{l}\text { Chris Cunningham (w/Attachment) } \\ \text { Grant Zeto (w/Attachment) }\end{array}\end{array}$


June 19, 2015 -- Steam Turbine Pedestal - Preparing to pour turbine operating floor


Photo from the top of the turbine pedestal looking at the foundations for the Combustions
Turbines and Hear Recovery Steam Generators (HRSG). The BP refinery is in the background.


52 East Gay Street

Mr. James O'Dell
Ohio Power Siting Board
180 East Broad Street, 6th Floor
Columbus, Ohio 43215-3793

Re: Commencement of Construction for Phase II of 345 kV Interconnection Correspondence regarding ODOT Permit Case No.: 14-0591-EL-BLN

Dear Mr. O'Dell:
As you are aware, Carroll County Energy LLC ("CCE") recently held a preconstruction conference related to Phase II of the transmission line that will interconnect the CCE generation facility with the existing American Electric Power Canton Central - Tidd 345 $\mathbf{k V}$ transmission line. Please be advised that CCE anticipates commencing construction on Phase II of the transmission line on Thursday October 1, 2015.

Also, for your records, please find enclosed a permit from the Ohio Department of Transportation allowing for work within the State Highway Right of Way. This permit was necessary to allow for the installation of a temporary field drive to support the Phase II construction.

By copy to Ms. Barcy McNeal, a copy of this correspondence will be filed on the docket in the above-referenced proceedings.

Please call me or Amy Frazier, Associate General Counsel and Manager, Environmental Permitting, Advanced Power Services (NA) Inc. at 617-456-2209 if you have any questions regarding this correspondence.

Very truly yours,


Michael J. Settineri

## MJS/vssp

Enclosure
cc: Ms. Barcy McNeal

Attachment 1

## OHIO DEPARTMENT OF TRANSPORTATION

District 11 • 2201 Reiser Ave. - New Philadelphia, Oho 44663 • (330) 339-6633
John R. Kasich, GOVERNOR • Jerry Wray, Director - LLOYD Macadam, P.E., P.S., District Deputy Director

September 24, 2015

## Rusty Vance

Kenny Construction Company
2107 Farmbury Drive
Reynoldsburg, OH 43068
Re: Permit \# 11-2015-0644 - CAR-9-16.64土
Dear Mr. Vance:
Enclosed herewith is the approved permit to perform work within the State Highway Right of Way. Please read it carefully and comply with all the provisions. Any and all costs involved with this project and its maintenance shall be borne by the applicant or his successors in title.

The Federal Highway Administration and the State of Ohio will be saved harmless from any claims arising as a result of granting this permit. This permit is granted and enforced under Article 5515.01 of the Ohio Revised Code and will be revoked any time work is found to be non-compliant with the conditions contained in this permit.

The Ohio Department of Transportation's Highway Manager for the county in which this work is to be performed has been notified that this permit has been granted. Prior to starting any work in the State's right of way, please contact Vince Carter, Carroll County Manager, Ohio Department of
Transportation, Carroliton, OH Phone 330-627-4660 (or by e-mail)
D11.Permits.CarrollCounty@dot.state.oh.us.
Failure to do so will result in the revocation of this permit.
Respectfully,


Lloyd MacAdam, P.E., P.S.
District 11 Deputy Director

## LM/clm

Enclosures
c:
Carroll Co.
File

## State of Ohio <br> Department of Transportation <br> Permit

| Offer Use Only |
| :--- |
| County or |
| Jurisdiction_CAR |
| Rte $\log$ Pt 16.68 |
| Acct |

Rte $2 \log$ PI 16.68
AccCat
[1] Subject to all terms, conditions, and restrictions printed, written below and on the reverse side hereof, or attached,

## Name Kemp Construction Company $\mathrm{c} / \mathrm{o}$ Rusty Vance

Address 2107 Fambury Drive Reynoldsburx 0H43068
Phone (614) 530-7422 is hereby granted a permit under Section 5515.01 and 5515.02 of Ohio Revised Code, and permission to perform work necessary in the manner described and at the location indicated in the following or as attached to this permit. Install a teriporasy field drive on the West side of State Route 9 in Carol County. The drive is located approximately 1.1 miles South of State Route 171 and State Route 9 intersection. The drive opening shall be constructed as per ODOT standard madyay drawing BP-4.1. The apron profile and neyement build up shall be constructed as per ODOT L \& D Mamba, volume 1. section 803.2 and section 805.2 ind Figure 401-2R. All work shall comply with State and Federal guidelines and in no way should the work adversely effect the raveling public. The field drive shall be removed and restored to its original or better: condition when work is complete. Flagers to be used when sight is an issue. "See Additional Permit Requirements".
[2] This permit shall be in the possession of employees on site at all times who are in charge of the work and shall be shown, upon request, to any employee of the Department of Transportation.
[3] No work authorized by this permit shall begin unit the permittec has contacted and received instructions from
Vince Carter Carroll County Manager Phone 330-627-4660

## (Authorized ODOT Employee)

NOTE: Any work performed by the permitee may be stopped if the above requirements are not met.
[4] To the extent applicable, this permit shall be void if the work described herein does not comply with the conditions, terms, and requirements applicable to this permit, and if the work is not completed by $6 / 23 / 2016$
[5] All work requiring persons or vehicles within ODOT right of way shall comply with all applicable requirements of the Ohio Manual of Uniform Traffic Control Devices and Item 614 (Maintaining Traffic) of the Construction and Material Specifications, latest editions. Failure to comply with these requirements will be cause for immediate revocation or suspension of the permit until the proper traffic control devices have been provided.
[6] The permitter accepts the conditions, terms, and requirements printed, written on, or attached to this permit and understands that failure to comply fully with those conditions, terms, and requirements or any change in the use of this permit inconsistent with its terms and conditions will be considered a violation and cause for suspension, revocation, or annulment of the permit thereby rendering the permit illegal and subject to appropriate Department action, up to an including removal of the installation, if applicable, at the permitted's. expense.
[7] Performance Bond Required? Yes $\square$ No $\boxtimes$
Surety Company $\qquad$
Effective Date 09/8/2015 Expiration Date 06/23/2016 Amount S $\qquad$

Permitter: N/A
Date:
Director:

Date:

(See Other Side)

## General Provisions Applicable to All Permits <br> (Sections 5515.01 and 5515.02 of O.R.C.)

[1] This permit is not a substitute for satisfying the rights or obligations of any other party who may have an interest in the underlying fee interest
[2] The granting of this permit does not convey to the permittee or to the property served any rights, vitle, or interest in state highway rights of way or in the design or operation of the state highway; or in any way abridge the right of the Director of the Departmiem of Transportation in his jurisdiction over state highways. If, in the process of any future work or for the benefit of the traveling public, it becomes necessary, in the opinion of the Director of Transportation to order the removal, reconstruction, relocation, or repair of any of the fixtures, or work performed under this permit, said removal, reconstruction, relocation, or repair shall be wholly at the expense of the owners thereof or the permitee and be made as directed by the Director of Transportation. Such changes in the state highway design or operation, necessary for improved safety and operation or for the benefit of the traveling public, shall not require a permit modification since the permit confers no private rights to the permittee over the control of the state highway.
[3] The District Deputy Director acts for and on behalf of the Director in issuing and carrying out the provisions of all permits. The District Deputy Director has full authority to ensure that all provisions of the permit are met and to reject any materials, design, and workmanship that do not meet applicable Departonent standards. The District Deperty Director, at hisher discretion, may require a performance bond or certified check as a prerequisite to the issuance of a permit.
[4] Faihure on the part of the permittee to comply fully with the provisions and conditions of the permit will be cause for suspension, revocation, or annulment of the permit thereby rendering the permit illegal and subject to appropriate Departmental action. By accepting the permit, the permittec agrees to comply with all conditions, terms, and restrictions printed or written on or attached to the permit. If the permittee performs any work contrary to the conditions of the permit or to the instructions of the District Deputy Director and, after due notice, fails to correct the problem, the Department of Transportation may, with or without notice, conrect such work and the permittee shall reimburse the Department for the costs.
[5] The permittee shall indemnify and hold harmless the State of Ohio, Department of Transportation, its officers, representatives and assigns, from any and all loss, liability, damages, litigation costs, and claims for injury or death to any person, property, or business caused by or resulting from any act, omission, event, constquence, or occurrence, negligent or otherwise of the permittee, his employees, or assigns as a result of the issuance of this permit.
[6] All work authorized under the permit shall be performed to the Department's satisfaction, and the entire expense shall be borme by the permittee. No work shall be performed until the permittee has contacted the Department's appointed representative named on the permit and received instructions. The Department's representative may inspect all work covered by the permit, or the Department reserves the right, during the time any or all of the work is being performed, to appoint an inspector over the work who shall represent the interest of the State on the work and any compensation arranged for shall be paid wholly by the permit holder. Work not in compliance shall be halted and the District Deputy Director shall be notified of the cause. The permittee shall be notified of the Department's action and its causes, and given an opportunity to correct the problem.
[7] Failure to complete all work within the time specified on the permit stall void the permit, thereby making the permit illegal and subject to appropriate Departmental action. The permittee may request an extension in writing from the District Office, explaining why the extension is necessary and when the work is expected to be completed.
[8] All work infringing on the pavement or shoulders shall comply with applicable standards and requirements regarding traffic control devices. Failure to comply will be cause for revocation or suspension of the permit. Any closure of lanes or shoulders shall be described in terms of location, duration, time of day, etc. Such work shall not begin until all traffic control devices are in place.
[9] If any grading, sidewalk, or other work allowed by a permit interferes with the drainage of the highway in any way, such catch basins and outlets as necessary shall be constructed to take proper care of said drainage.
[10] Upon completion of the work, the permittee shall leave the highway clean of all rubbish, excess materials, temporary structures and equipment, and all parts of the highway shall be left in a condition acceptable to the Department. Upon satisfactory completion of the work authorized by the permit, the Department's appointed representative shall complete the Pernit Inspection Certificate, Form No. MR 678 certifying that the permittee has complied with the terms of the permit.
[11] Except as herein authorized, no excavation shall be made or obstacle placed within the limits of the highway so as to interfere with the travel over the road.
[12] All pole lines are to be built in accordance with Rule 4901:3-1-08. of Ohio Administrative Code promulgated and enforced by the Public Utilities Commission of Ohio.
[13] The permittee shall comply with the Air Pollution requirements of Rule 3745-17-08 of the Ohio Administrative Code promulgated and enforced by the Ohio Environmental Protection Agency.
[14] The permittee certifies that he or she is fully authorized to sign this permit. This permit shall apply to and be binding upon the permittee and his/her successors in interest. No change in ownership of the underlying property or of the facility owned by permittee shall in any way alter the permitter's obligations under this permit.
[15] The permittee(s) for herself/himseiffthemselves/itself, her/his/their/its personal representatives, and her/his/their/its successors in interest and assigns, as a part of the consideration hereof, do/does hereby covenant and agroe that:
(I) No person on the grounds of race, color, national origin, sex, age, or disability shall be excluded from participation in, be denied the benefits of, or be otherwise subjected to discriminstion in the use of the above described property.
(2) In the construction of any improvements on, over, or under the above described property and the furnishing of services thereon, no person on the grounds of race, color, national origin, sex, age, or disability shall be excluded from the participation in, be denied the benefits of, or be othervise subjected to discrimination.
(3) The above described property shall be used in a manner that at all times is in compliance with all other reguirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. DOT, Subtitle A, Office of the Secretary, Part 21, Nondiscrimination in Federally-assisted programs of the U.S. DOT - Effectuation of Title VI of the Civil Rights Act of 1964, and as said Regulations may be amended.
(4) In the event that this instrument grants a lease, license, or permit and any of the above nondiscrimination covenants is breached, then the State of Ohio, Department of Transportation, shall have the unfettered right to terminate the lease, license or permit and to re-enter and repossess the above-described property and hold the same as if said lease, license or permit had never been made or issued.
(5) In the event that this instrument grants a fee or easement interest and any of the above nondiscrimination coverants is breached, the State of Ohio, Department of Transportation, shall have the unfettered right to re-enter the above described property, and said progerty will thereupon revert to and vest in and become the absolute property of the State of Ohio and its successors and assigns for the use and benefit of the Department of Transportation.
(6) In the event that this instrument grants a lease, fee or easement interest, all of the foregoing nondiscrimination covenants shall be and are covenants running with the land.

Permit No. 11-2015-0644

## Additional Permit Requirements

This permit is valid only within the limits of right-of-way of this state route. Permits for that portion of your facilities located along county or township right-of-way must be obtained from the appropriate authorities. A copy of your permit is to be on-site at all times while working.

## All work to be performed at no cost to the State or Federal Highway Administration.

As per the email dated 9/22/15 Kenny Construction and the Carroll Energy project manager has agreed that the TCP should be constructed before the lane widening project is complete. Kenny Construction agreed to coordinate with the Carroll County Energy EPC Contractor(responsible for the road widening) to ensure that the road widening will take precedence and that construction and use of the TCE will be scheduled such that it will not interfere with the road widening, or access to the Carroll County Energy project site,

The Permittee is responsible for maintaining the integrity of the Edge of Pavement at all times during and after work is complete. If there is any damage to this State Route it will be the permittees responsibility to repair.

F-01 To assure the proper installation, the Ohio Department of Transportation County Manager or his representative must be notified a minimum of 24 hours prior to any work being started and must be present to approve grades, location and material used.

F-02 All work on State Right of Way to be performed in a manner satisfactory to the Ohio Department of Transportation.

F-03 There is to be NO parking of equipment, service vehicles, erecting of lights, or placing of advertising devices within the state highway right-of-way. Similarly, no equipment, service vehicles, devices or structures are permitted to overhang the state highway.

F-04 Permittee to furnish all labor, material and equipment necessary to complete and maintain the project.
F-05 Any mud or debris that accumulates on the highway as a result of this project (i.e., from tire tracks, equipment, etc.) is to be removed immediately at the Permittee's expense.

F-06 The Permittee is responsible for complying with any/all applicable state and/or federal environmental laws including, but not limited to, obtaining any necessary Section $404 \& 401$ waterway permits prior to performing any work within the state right-of-way.

F-07 All work requiring men or vehicles on the pavement or shoulders shall comply fully with the Ohio Manual of Uniform Traffic Control Devices (see highlighted link below) for Construction and Maintenance Operations and Item 614 (Maintaining Traffic) in the State of Ohio Department of Transportation Construction and Material Specifications Manual. Failure to comply with this requirement will be just cause for immediate suspension of this permit until such time the proper traffic control is in place.
http://www.dot.state.oh.us/Divisions/Engineering/Roadway/DesignStandardsfraffic/OhioMUTCD/Pages/OMUT CD2012 current_default.aspx

F-08 The use of the pavement, land or berm for depositing any excavated materials will not be permitted.
F-09 If required, a valley gutter across driveways will be constructed to conform with existing drainage conditions. Construction of the drive must not interfere with the existing roadside drainage.

F-10 Drive approaches will slope down and away from the through pavement edge at a minimum of $1.6 \%$ as per Location and Design Manual Vol. 1, Section 400, Figure 401-2E. The profile of the driveway must be a minimum of
$1^{\prime \prime}$ per foot down and away from the pavement edge to the ditch line. No surface water will be allowed to drain onto the highway pavement.

F-11 The type and thickness of the driveway surface shall be a minimum of 8 " of aggregate. Driveway composition will meet the exjsting full depth payement (normally the white line) and be in accordance with the Location \& Design Manual, refer to Section 805.2.

F-12 A 3:1 slope is to be maintained from the edge of the driveway to the flow line of the ditch.

F-13 The Permittee is held responsible for all public and private utility coordination and relocation required in the performance of the work.

F-14 All public and private property, including highway fence, that is disturbed by the contractor will be repaired to a condition equal to or better than the original condition, including sidewalks and driveways.

F-15 All areas where the vegetation has been injured, disturbed or destroyed by this installation will be fertilized, seeded and mulched. All restoration work is to be completed within 30 days after completing work.

F-16 The permittee shall take any and all appropriate measures to limit soil erosion during and after construction authorized herein. As such, he shall be fully accountable to the Ohio EPA, the Soil Conservation Service and other appropriate agencies for any violation or disregard of the applicable governing standards and regulations related to the protection and conservation of soils that are affected by this permitted work.

F-17 The Permittee agrees that the State of Ohio, Department of Transportation, and Federal Highway Administration shall be saved harmless from any and all claims or damages, public or private, arising from or growing out of the issuance of this permit.

F-18 Work is not to be performed during inclement weather conditions (i.e., ice, snow, fog, heavy rain storms, etc.). Additionally, work is not to start until one (1) hour after sunrise and is to cease one (1) hour before sunset.

F-19 NOTICE: Failure to notify the Ohio Department of Transportation County Manager or his representative a minimum of 24 hours prior to any work being started and again upon completion of the project will void this permit.

Failure to comply with these terms will result in the revocation of the permit and subsequent removal of the driveway.

IF, WITHIN SEVEN (7) DAYS OF COMPLETION OF WORK AND INSPECTION OF THE PROJECT BY AN O.D.O.T. REPRESENTATIVE, THE PERMTT REQUIREMENTS HAVE NOT BEEN FULFILLED BY THE PERMITTEE, O.D.O.T. MAY REMOVE THE DRIVEWAY AND/OR DRAINAGE PIPE AT THE PROPERTY OWNER'S EXPENSE AND NO FUTURE PERMITS FOR THIS TYPE OF WORK WILL BE ISSUED TO YOU.

THE FUTURE MAINTENANCE OF ANY WORK PERMITTED HEREIN SHALL BE THE RESPONSIBILITY AND AT THE EXPENSE OF THE PERMITTEE.

This foregoing document was electronically filed with the Public Utilities

## Commission of Ohio Docketing Information System on

## 9/30/2015 8:05:57 PM

in

Case No(s). 14-0591-EL-BLN

Summary: Correspondence Regarding Phase II Construction electronically filed by Mr. Michael J. Settineri on behalf of Carroll County Energy LLC

## FILE



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Michael J. Scttitcri
52 East Gay Street
P.O. Box 1008

Columbus. Ohio 43216-1008

August 17, 2015

Mr. James O'Dell
Ohio Power Siting Board
180 East Broad Street, 6th Floor
Columbus, Ohio 43215-3793

## Re: Letter of Notification of Compliance for the Carroll County Energy LLC \& Preconstruction Conference for Phase I of Natural Gas Pipeline <br> Case No.: 13-2425-GA-BNR

Dear Mr. O'Dell:
As you are aware, Carroll County Energy LLC ("CCE") is currently constructing a natural gas powered combined cycle electric generating facility (the "Facility"). To supply the Facility with natural gas, CCE will construct a natural gas pipeline (the "Pipeline"), as certificated in Case No. 13-2425-GA-BNR. As indicated in prior correspondence, CCE will construct the Pipeline in two (2) phases ("Phase I" and "Phase II," respectively). Phase I encompasses the portion of the Pipeline east of Ohio State Route 9 over land currently disturbed for construction of the Facility, and consists of the installation of a section of the Pipeline along the main access road into the Facility. CCE anticipates commencing construction on Phase I of the Pipeline on August 17, 2015, upon submittal of this correspondence.

On Friday, August 14, 2015, CCE conducted the Phase I preconstruction conference for the Pipeline with the Board's Staff in attendance. The conference included a presentation of the schedule of construction activities and related permit requirements. CCE will hold a second preconstruction conference at a later date for the Phase II work and will provide notice to Staff.

With regard to the Pipeline, the Board's February 3, 2014 Staff Report of Investigation issued in Case No. 13-2425-GA-BNR (the "Staff Report") established certain conditions that are to be met. Condition I to the Staff Report provides in part that:

The Applicant shall obtain and comply with all applicable permits and authorizations as required by federal and state entities for any activities where such permit or authorization is required. Copies of such permits and This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business. Technician SAMO Date Processed AUG 172015

Mr. James O'Dell
August 17, 2015
Page 2
authorizations, including all supporting documentation shall be provided to Staff.

Please note, CCE previously submitted (i) both the NPDES Construction Site Stormwater General Permit and Stormwater Pollution Prevention Plan on March 17, 2015 in the Facility proceeding, Case No. 13-1752-EL-BGN; (ii) the Ohio Environmental Protection Agency Division of Surface Water approval of the Notice of Intent Form for General Permit Authorization to Discharge Hydrostatic Test Water on August 11, 2015 in the Pipeline proceeding, Case No.: 13-2425-GA-BNR; (iii) the United States Fish and Wildlife Service endangered species review on March 17, 2015 in the Facility proceeding, Case No. 13-1752-ELBGN; (iv) the Driveway Permit on March 17, 2015 in the Facility proceeding, Case No. 13-1752-EL-BGN; and (v) the Nationwide Permit \#39 for Ohio and 401 Water Quality certification on March 17, 2015 in the Facility proceeding, Case No. 13-1752-EL-BGN.

By copy to Ms. Barcy McNeal, a copy of this correspondence will be filed on the docket in the above-referenced proceeding.

Please call me or Amy Frazier, Associate General Counsel and Manager, Environmental Permitting, Advanced Power Services (NA) Inc. at 617-456-2209 if you have any questions regarding this correspondence.


MJS/vssp
cc: Ms. Barcy McNeal

## $C_{E N B C R C O}$

## Smart American Energy

## Construction

Carroll County Energy LLC is pleased to announce the start of construction of its 700-megawatt natural gas fired electric generation facility to be constructed approximately 2.5 miles north of Carrollton on the east side of State Route 9 (Kensington Rd.).

Carroll County Energy has chosen Bechtel Power, Corp, a world class construction contractor, to build the facility. Bechtel brings expertise gained from its experience at the forefront of the power industry for more than 60 years, including extensive work in natural gas fired power generation projects. Construction is expected to begin shortly and continue through December 2017. At the peak of construction activity, up to 700 workers will be employed at the site.

The major phases of the approximately 32 month construction period are discussed below.
Earthwork (April 2015 - September 2015) - Activities to include mobilization, site preparations, earthwork and fencing.
Underground Utilities (August 2015 - December 2015) - Installation of underground utilities on site, including gas and water pipelines.

Offsite Utility Interconnections (October 2015 - October 2016) - Construction of interconnections into the electrical transmission system, the interstate gas pipeline system and the Village of Carroliton Water Treatment Plant.

Foundations (December 2015 - July 2016) - Pouring of foundations for major equipment including Combustion Turbines, Steam Turbine, Air Cooled Condenser, Heat Recovery Steam Generators and Switchyard.

Equipment Installation (May 2016 - August 2017) - Installation of major equipment including Combustion Turbines, Steam Turbine, Air Cooled Condenser, Heat Recovery Steam Generators and Switchyard.

Startup \& Commissioning (December 2016 - December 2017) - Testing of all major systems and interconnections. After completion of testing, start of commercial operations.

## Complaints

We are very aware that a construction project of this magnitude may create some disturbances or inconveniences for local residents due to construction activities. Therefore Carroll County Energy wants to make sure our neighbors can get in contact with us to resolve any complaints in a timely manner.

You can use the below phone number or email address to contact Carroll County Energy about any construction related issues.

Complaint Resolution Hotline: 330-681-0408

Complaint Resolution Email: inquiries@carrolicountyenergy.com
Carroll County Energy is genuinely grateful for the support of the Carroll County community during the development process of this major project. As we embark on construction, we desire to continue our role as a responsible member of the Carroll County community to earn your continued support. Therefore, please do not hesitate to reach out to us to communicate any concerns or issues that arise.

## © 2015 Carroll County Energy

Terms \& Conditions | Legal Information

Carroll County Energy is a subsidiary of Advanced Power, a leading energy development company based in Boston, Massachusetts. Advanced Power's management has developed more than 9,400 megawatts of power generation projects worldwide.


[^0]:    ${ }^{1}$ The CCE Generation Facility was certificated by the OPSB on April 28, 2014 (Case No. 13-1752-EL-BGN).

[^1]:    This is to certify that the traces apocarp are an
    
    
    

