

nc
FILE



EnerNOC, Inc
One Marina Park Drive
Suite 400
Boston, MA 02210

Tel: 617 224 9900
Fax: 617 224 9910
www.enernoc.com
info@enernoc.com

15
RECEIVED-DOCKETING DIV

VIA U.S. MAIL

2015 OCT 20 PM 3: 27

Public Utilities Commission of Ohio
Docketing Division
180 East Broad Street
13th Floor
Columbus, OH 43215-3793

PUCO

15-1776-GA-ABN

October 14, 2015

**RE: World Energy Solutions, Inc. Certified Retail Natural Gas Services (CRNGS)
Provider Broker, Certificate 06-121(5), Case no. 06-1363-GA-AGG
Abandonment Application**

To the Commission:

Pursuant to 4901:1-24-11, EnerNOC, Inc. ("EnerNOC"), on behalf of its wholly owned subsidiary, World Energy Solutions, Inc. ("World Energy"), hereby provides its Application to Abandon World Energy's CRNG Provider Broker Certificate. It is the intention of World Energy to merge with and into EnerNOC on or about January 1, 2016 with EnerNOC remaining as the surviving entity. Enclosed please find one original copy and ten copies of the Application and associated documents.

Please contact me if the Commission requires any additional information.

Sincerely,

Virginia Fuller
Manager of Regulatory Compliance
(617) 692-2629
vfuller@enernoc.com

Encls.

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician SW Date Processed OCT 21 2015

The Public Utilities Commission of Ohio

RETAIL NATURAL GAS SUPPLIER/GOVERNMENTAL AGGREGATOR FILING COVER FORM POST INITIAL CERTIFICATION

In the Matter of the Application of World Energy Solutions, Inc.)
)
)
 to:)

Case No. **06 - 1363 -GA- AGG**

Name of Applicant: **World Energy Solutions, Inc.**

Applicant's Address: **100 Front St., 20th Floor, Worchester, MA 01608**

Contact Person or Counsel: **Virginia Fuller, Manager of Regulatory Compliance** Telephone Number: **617-692-2629**

Mark the reason for filing this form, application, and supporting information. Check only one of the five main categories. Use separate copies of this form for each type of application.

1. ☐ Certification Renewal Application (to be filed 30 to 60 days prior to expiration of current certificate) per Rules 4901:1-27-09 and 4901:1-27-04 of the Ohio Administrative Code.
2. ☐ Notification of Material Change in Business (to be filed in the initial or most recent certification docket and within 30 days of the material change occurring) per Rule 4901:1-27-11 of the Ohio Administrative Code. Please check the following material change(s) that is(are) involved with this filing:
 - ☐ Change in ownership of five percent or more
 - ☐ Affiliation with public utility or change in affiliation with a public utility in this state
 - ☐ Retirement or other long-term changes to supply sources
 - ☐ Revocation, restriction, or termination of interconnection or service agreement with pipeline company or natural gas company
 - ☐ Fall of bond rating below BBB-
 - ☐ Fall of bond rating below Baa3
 - ☐ Filed or intend to file for some form of bankruptcy
 - ☐ Receipt of judgment, finding, or ruling that could affect fitness or ability to provide service
 - ☐ Other (please describe):
3. ☐ Certificate Transfer Application per Rule 4901:1-27-11 of the Ohio Administrative Code.
4. ☒ Abandonment Application (to be filed at least 90 days prior the effective date of the abandonment) per Rule 4901:1-27-12 of the Ohio Administrative Code. Please indicate which of the following two situations applies to the proposed abandonment:
 - ☐ Seek to abandon operations with no existing customers
 - ☒ Seek to abandon operations with existing customers *

* World Energy Solutions, Inc. has no existing retail energy supply customers.
5. ☐ Other application (please describe):

The Public Utilities Commission of Ohio

RETAIL NATURAL GAS SERVICE SUPPLIER/GOVERNMENTAL AGGREGATOR OPERATIONS AND CERTIFICATE ABANDONMENT APPLICATION

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - GENERAL INFORMATION

A-1 Applicant Information:

Name World Energy Solutions, Inc.

Address 100 Front St., 20th Floor, Worcester, MA 01608

Telephone # 888-363-7662 **Web site address** <http://www.worldenergy.com/>

PUCO Certification Case # 06-1363-GA-AGG **Certificate #** 06-121

A-2 Contact person for regulatory or emergency matters:

Name Virginia Fuller

Title Manager of Regulatory Compliance

Business Address One Marina Park Drive, Suite 400, Boston, MA 02210

Telephone # 617-692-2629

Fax # 617-692-2740

Email Address vfuller@enernoc.com

SECTION B - OPERATIONS/SERVICE INFORMATION

B-1 Date Applicant Will Cease Operations. Please see Exhibit B for World Energy's responses to B-1 through B-6.

B-2 Are customers being switched back to LDC ☐ or contracts assigned ☐?

B-3 If contracts were/will be assigned, to whom?

B-4 In which month's billing cycle will the customers be switched?

B-5 Indicate total number of Ohio customers currently served _____, and by service territory:

CGO

DEO

DUKE

VEDO

- B-6** Pursuant to Rule 4901:1-27-11 (B), applicant acknowledges that all contract terms and conditions were fulfilled prior to abandoning the operations provided under its certificate, or applicant has assigned such contracts to another retail natural gas supplier to fulfill the unexpired contract terms and conditions. YES ☐ NO ☐

SECTION C - EXHIBITS

- C-1** Exhibit C-1 "Notice to Director of the Consumer Services Department" provide a dated copy of the written notice that notified PUCO Department Director of Applicant's intent to assign customer contracts, pursuant to Rule 4901:1-29-10(D)(1)(a) of the Ohio Administrative Code.
- C-2** Exhibit C-2 "LDC Notice" provide a dated copy of the written notice provided to each LDC in each certified territory the CRNGS supplier operates of its intent to cease providing service pursuant to Rule 4901:1-27-11(B)(2) of the Ohio Administrative Code.
- C-3** Exhibit C-3 "Customer Notice" provide a dated copy of the notice that notified customers of the company's intent to abandon service pursuant to Rule 4901:1-27-11(B)(3); and if applicable, provide a dated copy of the notice that also notified customers of the company's intent to assign contracts to another supplier.
- C-4** Exhibit C-4 "Affidavit" provide a signed and notarized affidavit (*approved form attached herein*).

Applicant Signature:



Title: Assistant Secretary

Date: October 14, 2015

Exhibit B – World Energy’s Response to Sections B-1 through B-6

World Energy Solutions, Inc. (“World Energy”), intends to cease providing service as a CRNGS Provider Broker on or about January 1, 2016. It is the intention of World Energy to merge with and into EnerNOC, Inc. (“EnerNOC”) on or about January 1, 2016 with EnerNOC remaining as the surviving entity.

EnerNOC acquired World Energy on January 5, 2015 and a letter of notice was submitted to the Public Utilities Commission of Ohio on the same day. EnerNOC was granted its CRNGS Provider Broker (Certificate 12-269G (1), Case 12-2317-GA-AGG) on September 14, 2012, which certification shall continue to be maintained following the abandonment of World Energy’s CRNGS Provider Broker Certificate. As brokers and consultants, World Energy nor EnerNOC take title to energy and do not service customers as an energy supplier. Therefore, no energy supply service contracts will need to be transferred. Since World Energy will be fully integrated into EnerNOC, EnerNOC will not make any distinction between former World Energy customers and customers who contracted directly with EnerNOC. Additionally, all customers will receive the same service level and have access to EnerNOC’s toll free 1-800 number if they are unable to contact their dedicated account manager.

Exhibit C-1 "Notice to Director of the Consumer Services Department"



EnerNOC, Inc
One Marina Park Drive
Suite 400
Boston, MA 02210

Tel: 617 224 9900
Fax: 617 224 9910
www.enernoc.com
info@enernoc.com

VIA U.S. MAIL

Public Utilities Commission of Ohio
Director of the Consumer Services Department
180 East Broad Street
Columbus, OH 43215-3793

September 22, 2015

**RE: World Energy Solutions, Inc. Certified Retail Natural Gas Services (CRNGS)
Provider Broker, Certificate 06-121(5), Case no. 06-1363-GA-AGG
Notice of Abandonment of Registration**

To the Director of the Consumer Services Department:

Pursuant to 4901:1-27-12 (B), EnerNOC, Inc. ("EnerNOC"), on behalf of its wholly owned subsidiary, World Energy Solutions, Inc. ("World Energy"), hereby provides notice of World Energy's intent to cease providing service as a CRNGS Provider Broker on or about January 1, 2016. Also pursuant to Rule 4901:1-27-12, EnerNOC, on behalf of World Energy, will be submitting an Application to Abandon World Energy's CRNGS Provider Broker Certificate. It is the intention of World Energy to merge with and into EnerNOC on or about January 1, 2016 with EnerNOC remaining as the surviving entity.

EnerNOC acquired World Energy on January 5, 2015 and a letter of notice was submitted to the Public Utilities Commission of Ohio on the same day. EnerNOC was granted its CRNGS Provider Broker (Certificate 12-269G (1), Case 12-2317-GA-AGG) on September 14, 2012, which certification shall continue to be maintained following the abandonment of World Energy's CRNGS Provider Broker Certificate. As brokers and consultants, World Energy nor EnerNOC take title to energy and do not service customers as an energy supplier. Therefore, no energy supply service contracts will need to be transferred. Since World Energy will be fully integrated into EnerNOC, EnerNOC will not make any distinction between former World Energy customers and customers who contracted directly with EnerNOC. Additionally, all customers will receive the same service level and have access to EnerNOC's toll free 1-800 number if they are unable to contact their dedicated account manager.

Sincerely,

A handwritten signature in black ink, appearing to read "V. Fuller".

Virginia Fuller
Manager of Regulatory Compliance
(617) 692-2629
vfuller@enernoc.com

Exhibit C-2 “LDC Notice”



EnerNOC, Inc
One Marina Park Drive
Suite 400
Boston, MA 02210

Tel: 617 224 9900
Fax: 617 224 9910
www.enernoc.com
info@enernoc.com

VIA U.S. MAIL

Columbia Gas of Ohio, Inc.
290 W Nationwide Blvd
Columbus, OH 43215-2852

September 22, 2015

**RE: World Energy Solutions, Inc. Certified Retail Natural Gas Services Provider
(CRNGS) Broker, Certificate 06-121(5), Case no. 06-1363-GA-AGG
Notice of Abandonment of Registration**

Columbia Gas of Ohio, Inc.:

Pursuant to 4901:1-27-12 (2), EnerNOC, Inc. ("EnerNOC"), on behalf of its wholly owned subsidiary, World Energy Solutions, Inc. ("World Energy"), hereby provides notice of World Energy's intent to cease providing service as a CRNGS Provider Broker on or about January 1, 2016. Also pursuant to Rule 4901:1-27-12, EnerNOC, on behalf of World Energy, will be submitting an Application to Abandon World Energy's CRNGS Provider Broker Certificate. It is the intention of World Energy to merge with and into EnerNOC on or about January 1, 2016 with EnerNOC remaining as the surviving entity.

EnerNOC acquired World Energy on January 5, 2015 and a letter of notice was submitted to the Public Utilities Commission of Ohio on the same day. EnerNOC was granted its CRNGS Provider Broker (Certificate 12-269G (1), Case 12-2317-GA-AGG) on September 14, 2012, which certification shall continue to be maintained following the abandonment of World Energy's CRNGS Provider Broker Certificate. As brokers and consultants, World Energy nor EnerNOC take title to energy and do not service customers as an energy supplier. Therefore, no energy supply service contracts will need to be transferred. Since World Energy will be fully integrated into EnerNOC, EnerNOC will not make any distinction between former World Energy customers and customers who contracted directly with EnerNOC. Additionally, all customers will receive the same service level and have access to EnerNOC's toll free 1-800 number if they are unable to contact their dedicated account manager.

Sincerely,

A handwritten signature in black ink, appearing to read 'V. Fuller'.

Virginia Fuller
Manager of Regulatory Compliance
(617) 692-2629
vfuller@enernoc.com



EnerNOC, Inc
One Marina Park Drive
Suite 400
Boston, MA 02210

Tel: 617 224 9900
Fax: 617 224 9910
www.enernoc.com
info@enernoc.com

VIA U.S. MAIL

The East Ohio Gas Company
dba: Dominion East Ohio
1201 E 55th St
Cleveland, OH 44103

September 22, 2015

**RE: World Energy Solutions, Inc. Certified Retail Natural Gas Services Provider
(CRNGS) Broker, Certificate 06-121(5), Case no. 06-1363-GA-AGG
Notice of Abandonment of Registration**

Dominion East Ohio:

Pursuant to 4901:1-27-12 (2), EnerNOC, Inc. ("EnerNOC"), on behalf of its wholly owned subsidiary, World Energy Solutions, Inc. ("World Energy"), hereby provides notice of World Energy's intent to cease providing service as a CRNGS Provider Broker on or about January 1, 2016. Also pursuant to Rule 4901:1-27-12, EnerNOC, on behalf of World Energy, will be submitting an Application to Abandon World Energy's CRNGS Provider Broker Certificate. It is the intention of World Energy to merge with and into EnerNOC on or about January 1, 2016 with EnerNOC remaining as the surviving entity.

EnerNOC acquired World Energy on January 5, 2015 and a letter of notice was submitted to the Public Utilities Commission of Ohio on the same day. EnerNOC was granted its CRNGS Provider Broker (Certificate 12-269G (1), Case 12-2317-GA-AGG) on September 14, 2012, which certification shall continue to be maintained following the abandonment of World Energy's CRNGS Provider Broker Certificate. As brokers and consultants, World Energy nor EnerNOC take title to energy and do not service customers as an energy supplier. Therefore, no energy supply service contracts will need to be transferred. Since World Energy will be fully integrated into EnerNOC, EnerNOC will not make any distinction between former World Energy customers and customers who contracted directly with EnerNOC. Additionally, all customers will receive the same service level and have access to EnerNOC's toll free 1-800 number if they are unable to contact their dedicated account manager.

Sincerely,

A handwritten signature in black ink, appearing to read "Virginia Fuller".

Virginia Fuller
Manager of Regulatory Compliance
(617) 692-2629
vfuller@enernoc.com



EnerNOC, Inc
One Marina Park Drive
Suite 400
Boston, MA 02210

Tel: 617 224 9900
Fax: 617 224 9910
www.enernoc.com
info@enernoc.com

VIA U.S. MAIL

Duke Energy Ohio
139 E Fourth St
Cincinnati, OH 45202

September 22, 2015

**RE: World Energy Solutions, Inc. Certified Retail Natural Gas Services Provider
(CRNGS) Broker, Certificate 06-121(5), Case no. 06-1363-GA-AGG
Notice of Abandonment of Registration**

Duke Energy Ohio:

Pursuant to 4901:1-27-12 (2), EnerNOC, Inc. ("EnerNOC"), on behalf of its wholly owned subsidiary, World Energy Solutions, Inc. ("World Energy"), hereby provides notice of World Energy's intent to cease providing service as a CRNGS Provider Broker on or about January 1, 2016. Also pursuant to Rule 4901:1-27-12, EnerNOC, on behalf of World Energy, will be submitting an Application to Abandon World Energy's CRNGS Provider Broker Certificate. It is the intention of World Energy to merge with and into EnerNOC on or about January 1, 2016 with EnerNOC remaining as the surviving entity.

EnerNOC acquired World Energy on January 5, 2015 and a letter of notice was submitted to the Public Utilities Commission of Ohio on the same day. EnerNOC was granted its CRNGS Provider Broker (Certificate 12-269G (1), Case 12-2317-GA-AGG) on September 14, 2012, which certification shall continue to be maintained following the abandonment of World Energy's CRNGS Provider Broker Certificate. As brokers and consultants, World Energy nor EnerNOC take title to energy and do not service customers as an energy supplier. Therefore, no energy supply service contracts will need to be transferred. Since World Energy will be fully integrated into EnerNOC, EnerNOC will not make any distinction between former World Energy customers and customers who contracted directly with EnerNOC. Additionally, all customers will receive the same service level and have access to EnerNOC's toll free 1-800 number if they are unable to contact their dedicated account manager.

Sincerely,

A handwritten signature in black ink, appearing to read "V. Fuller".

Virginia Fuller
Manager of Regulatory Compliance
(617) 692-2629
vfuller@enernoc.com



EnerNOC, Inc
One Marina Park Drive
Suite 400
Boston, MA 02210

Tel: 617 224 9900
Fax: 617 224 9910
www.enernoc.com
info@enernoc.com

VIA U.S. MAIL

Vectren Energy Delivery of Ohio, Inc.
One Vectren Square
PO Box 209
Evansville, OH 47702-0209

September 22, 2015

**RE: World Energy Solutions, Inc. Certified Retail Natural Gas Services Provider
(CRNGS) Broker, Certificate 06-121(5), Case no. 06-1363-GA-AGG
Notice of Abandonment of Registration**

Vectren Energy Delivery of Ohio, Inc.:

Pursuant to 4901:1-27-12 (2), EnerNOC, Inc. ("EnerNOC"), on behalf of its wholly owned subsidiary, World Energy Solutions, Inc. ("World Energy"), hereby provides notice of World Energy's intent to cease providing service as a CRNGS Provider Broker on or about January 1, 2016. Also pursuant to Rule 4901:1-27-12, EnerNOC, on behalf of World Energy, will be submitting an Application to Abandon World Energy's CRNGS Provider Broker Certificate. It is the intention of World Energy to merge with and into EnerNOC on or about January 1, 2016 with EnerNOC remaining as the surviving entity.

EnerNOC acquired World Energy on January 5, 2015 and a letter of notice was submitted to the Public Utilities Commission of Ohio on the same day. EnerNOC was granted its CRNGS Provider Broker (Certificate 12-269G (1), Case 12-2317-GA-AGG) on September 14, 2012, which certification shall continue to be maintained following the abandonment of World Energy's CRNGS Provider Broker Certificate. As brokers and consultants, World Energy nor EnerNOC take title to energy and do not service customers as an energy supplier. Therefore, no energy supply service contracts will need to be transferred. Since World Energy will be fully integrated into EnerNOC, EnerNOC will not make any distinction between former World Energy customers and customers who contracted directly with EnerNOC. Additionally, all customers will receive the same service level and have access to EnerNOC's toll free 1-800 number if they are unable to contact their dedicated account manager.

Sincerely,

A handwritten signature in black ink, appearing to read "V. Fuller", with a long horizontal line extending to the right.

Virginia Fuller
Manager of Regulatory Compliance
(617) 692-2629
vfuller@enernoc.com

Exhibit C-3 “Customer Notice”

From: Tony Barnhart <tbarnhart@enernoc.com>
Sent: Thursday, January 08, 2015 12:03 PM
To: info
Subject: Proof - EnerNOC / World Energy Announcement

Dear Maya,

In follow up to our earlier letter announcing EnerNOC's intent to acquire World Energy, I wanted to let you know that the deal has been successfully completed. As of January 5, 2015, World Energy is now a part of EnerNOC, a leading provider of energy intelligence software (EIS).

What does this mean for you? In the short term, business continues as usual, and our commitment to exceptional customer service remains unwavering. It also means that we are now far better equipped to meet a broader scope of your energy management needs, giving you the software tools and services required to gain additional visibility into your energy costs and the insights needed to manage these costs, mitigate risk, and improve operational performance.

If you're not familiar with EnerNOC's suite of energy intelligence software and services, I'd encourage you to visit their website at www.enernoc.com or reach out to me directly. EnerNOC's energy intelligence software helps alleviate a broad range of challenges: from Energy Star compliance, to utility bill management, to peak demand management, to budget planning. EnerNOC's platform is designed to be your "one-stop-shop" for all your energy management needs.

In addition, we would like to personally invite you to attend EnerNOC's annual user conference this March 24-26th in Philadelphia, as our guest. You can register using the code specifically reserved for World Energy customers (**WES15**), which will waive the registration fee. In addition to providing valuable training sessions on EnerNOC's product suite, there are tracks specifically designed for energy management best practices led by some of the best practitioners in the world across a wide variety of industries. Information about the event is available at www.energysmartconference.com.

We look forward to continuing to serve you and providing you the very best in energy management as a vital part of EnerNOC. Should you have any questions, I would appreciate hearing from you.

Thank you for your business.

Sincerely,

Tony Barnhart / Senior Vice President, Sales
EnerNOC, Inc.

» World Energy is now part of EnerNOC! **Learn more about this exciting news.**

The Public Utilities Commission of Ohio

RETAIL NATURAL GAS SERVICE SUPPLIER/GOVERNMENTAL AGGREGATOR OPERATIONS AND CERTIFICATE ABANDONMENT AFFIDAVIT EXHIBIT C-4

AFFIDAVIT

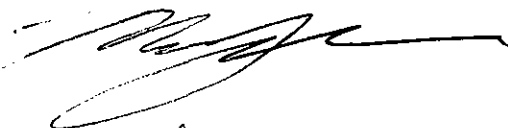
In the Matter of the Application of)
World Energy Solutions, Inc.) Case No. 06-1363-GA-AGG
To Abandon Retail Natural Gas Service Supplier)
Or Ohio Natural Gas Governmental Aggregation)
Operations and Certificate in Ohio.)

County of Suffolk
State of Massachusetts

Affiant, being duly sworn/affirmed according to law, deposes and says that:
He/She is the Assistant Secretary (Office of Affiant) of World Energy Solutions, Inc.
(Name of Applicant).

1. Affiant is authorized to and does make this affidavit for said Applicant.
2. Applicant herein attests under penalty of false statement that all statements made in the application are true and complete.
3. Affiant further sayeth naught.

Affiant Signature & Title



Assistant Secretary

Sworn and subscribed before me this 14th day of October Month 2015 Year

Signature of Official Administering Oath



Print Name and Title

Megan V. Albert,
Corporate Attorney

My commission expires on 09/01/2017

