

FILE

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11-5838-EL-AGC

**Renewal Application for Aggregators/Power Brokers**

Avion Energy Group, LLC  
1475 Buford Drive, Suite 403-186, Lawrenceville, GA 30043  
(678) 697-7717 office | (877) 712-8466 toll-free | (770) 825-9012 fax | info@avionenergy.com

**Original version follows this page.**

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## Public Utilities Commission

| Original AGG<br>Case Number          | Version     |
|--------------------------------------|-------------|
| <del>12-422</del> -EL-AGG<br>11-5838 | August 2004 |

### RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.**

#### A. RENEWAL INFORMATION

##### A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Avion Energy Group, LLC

Address 1475 Buford Drive, Suite 403-186, Lawrenceville, GA 30043

PUCO Certificate # and Date Certified 12-422E (2) on January 27, 2014

Telephone # (678) 697-7717 Web site address (if any) www.avionenergy.com

##### A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Avion Energy Group, LLC

Address 1475 Buford Drive, Suite 403-186, Lawrenceville, GA 30043

Telephone # (678) 697-7717 Web site address (if any) www.avionenergy.com

##### A-3 List all names under which the applicant does business in North America

Avion Energy Group, LLC

Avion Energy

##### A-4 Contact person for regulatory or emergency matters

Name James (Jim) R. Charron

Title Executive Vice President

Business address 1475 Buford Drive, Suite 403-186, Lawrenceville, GA 30043

Telephone # (678) 687-0999

Fax # (770) 825-9012

E-mail address (if any) jim@avionenergy.com

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name James (Jim) R. Charron  
Title Executive Vice President  
Business address 1475 Buford Drive, Suite 403-186, Lawrenceville, GA 30043  
Telephone # (678) 687-0999 Fax # (770) 825-9012  
E-mail address (if any) jim@avionenergy.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 1475 Buford Dr, Ste 403-186, Lawrenceville, GA 30043  
Toll-free Telephone # (877) 712-8466 Fax # (770) 825-9012  
E-mail address (if any) info@avionenergy.com

**A-7 Applicant's federal employer identification number # 273095721**

**A-8 Applicant's form of ownership (check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other _____                                |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- A-9 Exhibit A-9 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-10 Exhibit A-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3** **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.



President

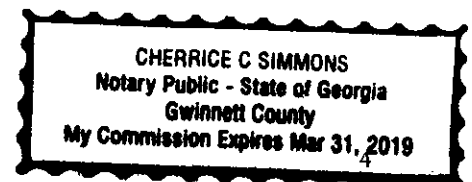
Signature of Applicant & Title

Sworn and subscribed before me this 26<sup>th</sup> day of September, 2015  
Month Year

  
Signature of official administering oath

Cherrice C. Simmons / Personal Banker  
Print Name and Title

My commission expires on March 31, 2019



# **AFFIDAVIT**

State of Georgia :

Lawrenceville ss.  
(Town)

County of Gwinnett :

Joseph Mittiga, Affiant, being duly sworn/affirmed according to law, deposes and says that:

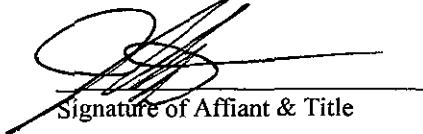
He/She is the President (Office of Affiant) of Avion Energy Group, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

  
\_\_\_\_\_  
Signature of Affiant & Title      President

Sworn and subscribed before me this 26<sup>th</sup> day of September, 2015  
Month      Year

  
\_\_\_\_\_  
Signature of official administering oath

Cherrice P. Simmons/Personal Barker  
\_\_\_\_\_  
Print Name and Title

**CHERRICE C SIMMONS**      My commission expires on March 31, 2019  
**Notary Public - State of Georgia**  
**Gwinnett County**  
**My Commission Expires Mar 31, 2019**

## **Exhibit A-9 "Principal Officers, Directors and Partners"**

### President

Joseph (Joe) M. Mittiga  
295 Leatherwood Court  
Lawrenceville, GA 30043  
678-665-8177

### Executive Vice President

James (Jim) R. Charron  
1264 Riverloch Way  
Lawrenceville, GA 30043  
678-687-0999

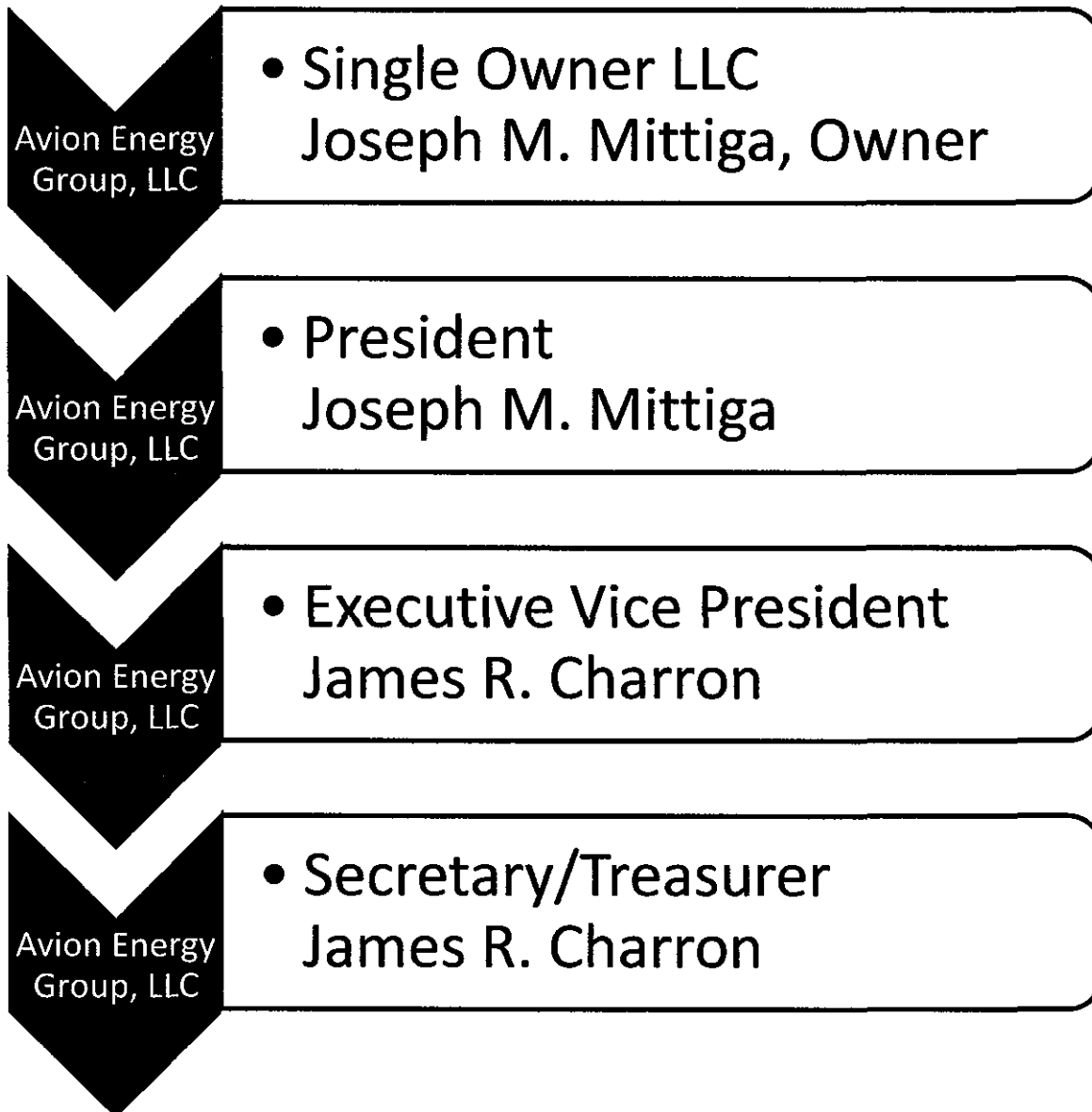
### Secretary/Treasurer

James (Jim) R. Charron  
1264 Riverloch Way  
Lawrenceville, GA 30043  
678-687-0999



### Exhibit A-10 "Corporate Structure"

Avion Energy Group, LLC was incorporated in the State of Georgia on July 22, 2010 as a single member LLC. Two individuals were appointed to manage the LLC.



## **Exhibit B-1 "Jurisdictions of Operation"**

Avion Energy Group is authorized to provide retail or wholesale electric services including aggregation services as follows:

### **Ohio**

Aggregator/broker of electricity under license number 12-422E(2)  
Aggregator/broker of natural gas under license number 13-328G(1)

### **Delaware**

Broker of electricity under Docket #13-338, Order #8451

### **Illinois**

Aggregator/broker of electricity under Docket # 13-0513

### **Maine**

Broker of natural gas under registration (listing available at  
[http://www.maine.gov/mpuc/natural\\_gas/gas\\_licensees.shtml](http://www.maine.gov/mpuc/natural_gas/gas_licensees.shtml))

### **Maryland**

Aggregator/broker of electricity under license number IR-3185  
Aggregator/broker of natural gas under license number IR-3184

### **Massachusetts**

Broker of electricity under license number EB-269  
Retail Agent for natural gas under license number RA-107

### **New Jersey**

Energy agent of electricity under registration number EA-0239  
Private aggregator of electricity under registration number PA-0137

### **Pennsylvania**

Broker/Marketer of electricity under Docket Number A-2013-2396660

Avion Energy Group does business in the following states that do not require licensure or registration:

|             |              |
|-------------|--------------|
| Arizona     | Michigan     |
| California  | Nevada       |
| Colorado    | New York     |
| Connecticut | Rhode Island |
| Florida     | Texas        |
| Georgia     |              |

Avion Energy Group has had no complaints.

## **Exhibit B-2 “Experience & Plans”**

### **Experience**

Avion Energy Group was formed in July 2010, and began serving customers in September of 2010.

Avion Energy has assisted electricity and natural gas consumers to find best pricing and switch to a different energy provider. Our customer base is diverse, mostly comprised of small to medium sized energy consumers covering a broad base of industries.

As of July 2015, Avion Energy has 66 contractual relationships with energy providers licensed to do business in 71 electric utilities and 151 natural gas utilities.

### **Plans**

The strategy deployed by Avion Energy includes:

- Apply for licensing in applicable deregulated energy states.
- Create relationships with licensed energy providers in every deregulated state.
- Recruit and train sales agents in all deregulated states.

Many consumers of electricity and natural gas are approached by a marketer/broker representing one energy provider. Avion Energy's approach is more consumer focused. We represent the consumer by gathering their historic usage data and then shopping their energy consumption among the energy providers. We then provide our findings and assist them should they decide to make a switch.

It is our intent to seek agreements with all the licensed energy providers in the State of Ohio. We will offer their pricing in an apple to apples comparison. We will point out differences in contracts to better meet our clients' needs and concerns.

Avion Energy will not take possession of the energy but will connect the energy consumer to a licensed energy provider. The responsibilities addressed in Chapter 4901:1-24 of the Ohio Administrative Code, Chapter 4901:1-21 of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code concerning the billing of consumers and customer inquiries and complaints will be met by the licensed energy provider. Avion Energy will not charge the consumer for its services. Avion Energy will be compensated by a broker fee paid by the seller (comparable to a buyer's agent in a real-estate transaction).

In order to establish long term relationships with our clients, our agents are trained to provide information, assist in educating the consumer, and follow up regularly with them handling all consumer inquiries and assisting with any complaints they may have with their energy provider.

### **Exhibit B-3 “Disclosure of Liabilities and Investigations”**

There are no existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact Avion Energy Group’s financial or operational status or ability to provide the services it is seeking to be certified to provide.

### **Exhibit C-1 “Annual Reports”**

Exhibit C-1 is not applicable. Avion Energy Group, LLC is a single member Limited Liability Company; it has no shareholders and is not required to file an annual report to shareholders.

## **Exhibit C-2 “SEC Filings”**

Avion Energy Group, LLC is a privately held company and not subject to SEC Filings.  
There is no parent company subject to SEC Filings.

### **Exhibit C-6 “Credit Rating”**

Avion Energy Group, LLC has not been in business long enough for Dun and Bradstreet to establish a Credit Rating. However, a Credit Report is attached in Exhibit C-7.

### **Exhibit C-7 "Credit Report"**

Avion Energy Group, LLC submits two Credit Reports following this cover sheet:

- Comprehensive Report by Dun and Bradstreet
- Commercial Credit Report by Equifax



# AVION ENERGY GROUP, LLC

D-U-N-S® 05-654-0076

Single  
295 Leatherwood Ct,  
Lawrenceville, GA 30043

Phone 678 697-7717

[jim@avionenergy.com](mailto:jim@avionenergy.com)

Purchase Date: 09/29/2015

Last Update Date: 07/04/2015

Attention: dc

## Executive Summary

### Company Info

Year Started 2010  
Employees 5

### Predictive Analytics

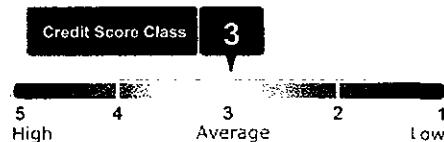
#### Financial Stress Class



The Financial Stress Class of 3 for this company shows that firms with this class had a failure rate of 0.24% (24 per 10,000).

Financial Stress Class 3  
Financial Stress Score 1,502  
Highest Risk 1,001  
Lowest Risk 1,875

#### Credit Score Class

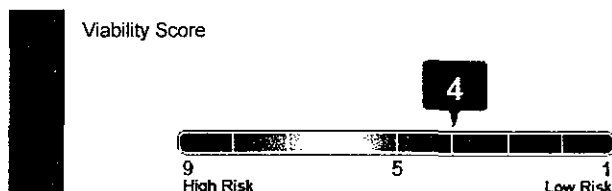


The Credit Score class of 3 for this company shows that 5.80% of firms with this classification paid one or more bills severely delinquent.

Credit Score Class 3  
Credit Score 521  
Highest Risk 101  
Lowest Risk 670

### D&B Viability Rating

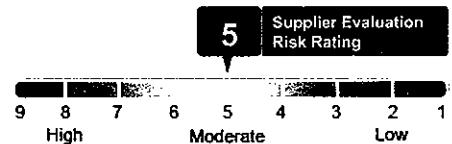
D&B Viability Rating



Portfolio Comparison

### Supplier Evaluation Risk Rating

Risk Rating

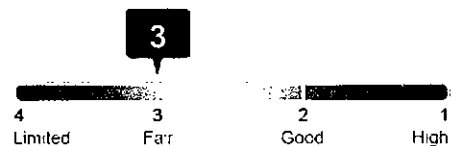


### D&B Rating

D&B Rating

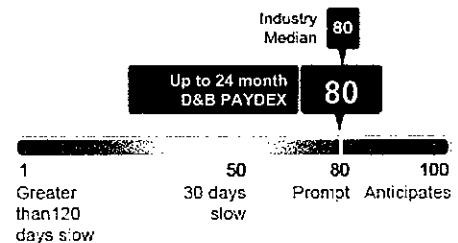
2R3

#### Composite Credit Appraisal



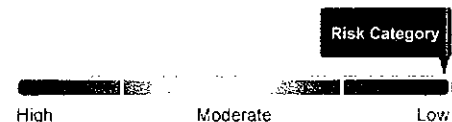
### D&B PAYDEX®

Up to 24 month D&B PAYDEX



### Credit Limit Recommendation

Risk Category

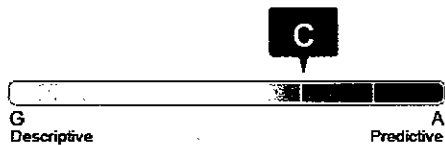


Conservative Credit Limit \$2,500  
Aggressive Credit Limit \$10,000

5



Data Depth Indicator



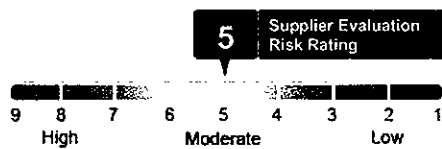
Company Profile

Financial Data Trade Payments Company Size Years in Business

Not Available Available (3+Trade) Small Established

## Supplier Risk Data

### Supplier Evaluation Risk Rating



### Probability of Ceased Operations/Becoming Inactive

The probability of ceased operations/becoming inactive indicates what percent of U.S. businesses is expected to cease operations or become inactive over next 12 months.

|   |      |
|---|------|
| Probability of Supplier Ceased Operations/Becoming Inactive         | 5.4% |
| Average Probability of Supplier Ceased Operations/Becoming Inactive | 5.6% |
| % of US Businesses with SER Rating                                  | 18%  |

### Supplier Risk Score Analysis

Key Commentary

The business has a Supplier Evaluation Risk (SER) Rating that shows:

- Higher risk industry based on inactive rate for this industry
- Limited business activity signals reported in the past 12 months
- Limited time under present management control

## Business Information

## Business Summary

SIC 7389  
Business services

NAICS 541990  
All Other Professional, Scientific, and Technical Services

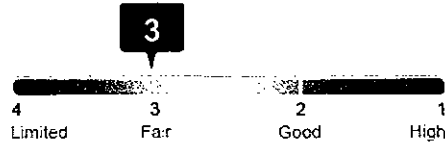
History Status CLEAR

## Credit Capacity Summary

D&B Rating

2R3

Composite Credit Appraisal



Prior D&B Rating 2R3

Rating Date 03/16/2015

Payment Activity (based on 4 experiences) USD

Average High Credit \$6,700

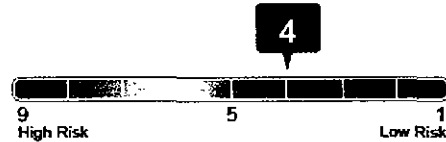
Highest Credit 20,000

Total Highest Credit 20,150

## D&B Viability Rating

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will no longer be in business within the next 12 months.

### Viability Score

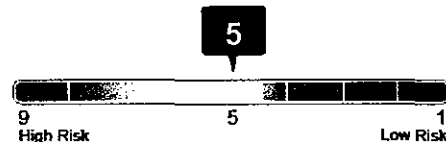


Compared to All US Businesses within D&B Database:

- Level of risk: **Low Risk**
- Businesses ranked 4 have a probability of becoming no longer viable: **5%**
- Percentage of businesses ranked 4: **14%**
- Across all US businesses, the average probability of becoming no longer viable: **14%**

5

### Portfolio Comparison

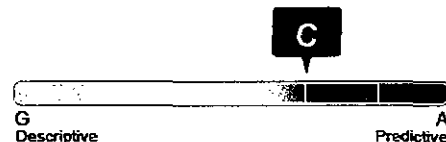


Compared to all Businesses within the same MODEL SEGMENT:

Model Segment: **Established Trade Payments**

- Level of risk: **Moderate Risk**
- Businesses ranked 5 within this model segment have a probability of becoming no longer viable: **5%**
- Percentage of businesses ranked 5 within this model segment: **11%**
- Within this model segment, the average probability of becoming no longer viable: **5%**

### Data Depth Indicator



Data Depth Indicator Details:

- ✓ Rich Firmographics
- ✓ Extensive Commercial Trading Activity
- ✗ No Financial Attributes

Greater data depth can increase the precision of the D&B Viability Rating assessment.

You have the ability to influence the confidence of the viability assessment by asking the business to report more information to D&B at

<https://update.dnb.com/i/Update/>

## Company Profile

| Financial Data | Trade Payments      | Company Size | Years in Business |
|----------------|---------------------|--------------|-------------------|
| Not Available  | Available (3+Trade) | Small        | Established       |

### Company Profile Details:

- Financial Data: **Not Available**
- Trade Payments: **Available** (3+Trade)
- Business Size: **Small** (Employees: <10 and Sales: <\$10K or Missing)
- Years in Business: **Established** (5+)

## Business History

Officers JOSEPH MITTIGA, MNG MBR

As of 07/04/2015

The Georgia Secretary of State's business registrations file showed that Avion Energy Group, LLC was registered as a limited liability company on July 22, 2010.

Ownership information provided verbally by Joseph Mittiga, Mng Mbr, on Mar 09 2011.

Business started 2010.

JOSEPH MITTIGA. 2010-present active here.

## Government Activity Summary

| Activity Summary                     |        | Possible candidate for socioeconomic program consideration |            |                                   |     |
|--------------------------------------|--------|--|------------|-----------------------------------|-----|
| Borrower                             | No     | Labor Surplus Area   | N/A        | Small Disadvantaged               | No  |
| Administrative Debt                  | No     | Small Business   | YES (2015) | HUB-Zoned Certified               | No  |
| Grantee                              | No     | Women Owned  | N/A        | Historically Under Utilized       | No  |
| Party Excluded from Federal Programs | No     | Minority Owned   | N/A        | Veteran Owned                     | No  |
| Public Company                       | N/A    | Disadvantaged Business Enterprise                          | No         | Vietnam Veteran Owned             | No  |
| Congressional District               | 10, 07 | Ethnicity Classification                                   | N/A        | Disabled Owned                    | No  |
| Contractor                           | No     |  |            | Historical College Classification | N/A |
| Importer/Exporter                    | N/A    |  |            |                                   |     |

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

## Operations Data

As of 07/04/2015

Description: Operates business services, specializing in energy brokers' services (100%).

Terms are undetermined. Sells to commercial concerns.

Employees: 5 which includes partners.

Facilities: Rents 1,500 sq. ft. in on 1st floor of a two story building.

Location: Central business section on main street.

## Industry Data

### SIC

| Code     | Description       |
|----------|-------------------|
| 73891700 | Brokers' services |

### NAICS

| Code   | Description  |
|--------|--|
| 541990 | All Other Professional, Scientific, and Technical Services |

## Federal Information

### Reported US Government Contract Actions

After a search of our files, we find that no government activity has been reported in this section.

### Reported Federal Loans and Loan Guarantees

After a search of our files, we find that no government activity has been reported in this section.

### Claims, Fees, Fines, Overpayments, Penalties and Other Misc. Reported Debts to Federal Agencies

After a search of our files, we find that no government activity has been reported in this section.

### Reported Party Excluded From Federal Program(s)

After a search of our files, we find that no government activity has been reported in this section.

### Reported U.S. Government Grants Awards

After a search of our files, we find that no government activity has been reported in this section.

## Financial Statements

### Key Business Ratios (Based on 14 establishments)

D&B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance. To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

|                             | This<br>Business | Industry<br>Median | Industry<br>Quartile |
|-----------------------------|------------------|--------------------|----------------------|
| Profitability               |                  |                    |                      |
| Return on Sales             | UN               | 6.4                | UN                   |
| Return on Net Worth         | UN               | 8.7                | UN                   |
| Short Term Solvency         |                  |                    |                      |
| Current Ratio               | UN               | 2.0                | UN                   |
| Quick Ratio                 | UN               | 0.7                | UN                   |
| Efficiency                  |                  |                    |                      |
| Assets Sales                | UN               | 307.0              | UN                   |
| Sales / Net Working Capital | UN               | 3.6                | UN                   |
| Utilization                 |                  |                    |                      |
| Total Liabs / Net Worth     | UN               | 117.0              | UN                   |

### Most Recent Financial Statement

As of 07/04/2015

Repeated attempts to contact business were unsuccessful.

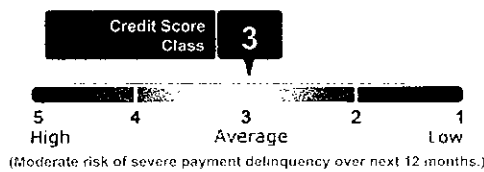
## Indicators

A check of D&B's public records database indicates that no filings were found for AVION ENERGY GROUP LLC, 295 Leatherwood Ct, Lawrenceville, GA. D&B's extensive database of public record information is updated daily to ensure timely reporting of changes and additions. It includes business-related suits, liens, judgments, bankruptcies, UCC financing statements and business registrations from every state and the District of Columbia, as well as select filing types from Puerto Rico and the U.S. Virgin Islands. D&B collects public records through a combination of court reporters, third parties and direct electronic links with federal and local authorities. Its database of U.S. business-related filings is now the largest of its kind.

## Commercial Credit Score

### Summary

Credit Score Class



### Incidence of Delinquent Payment

|                                    |        |
|------------------------------------|--------|
| Among Companies with This Class    | 5.80%  |
| Average Compared to All Businesses | 10.20% |
| Credit Score Percentile            | 65     |
| Credit Score                       | 521    |
| Number of Payment Experiences      | 4      |

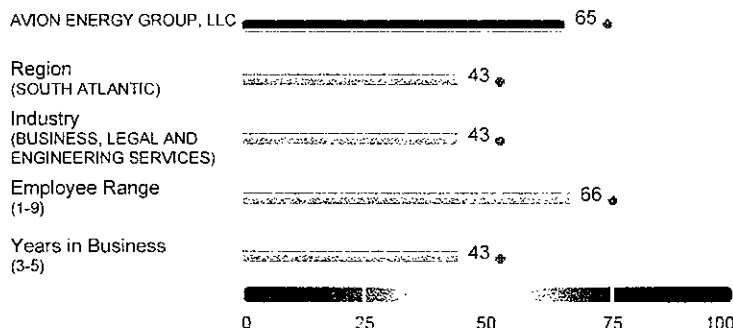
### Key Factors

- Limited number of satisfactory payment experiences
- Higher risk industry based on delinquency rates for this industry
- Higher risk region based on delinquency rates for this region
- Limited business activity signals reported in the past 12 months
- Limited time under present management control
- Decreasing trend in reported number of payment experiences

### Notes:

- The Credit Score Class indicates that this firm shares some of the same business and payment characteristics of other companies with this classification. It does not mean the firm will necessarily experience delinquency.
- The Incidence of Delinquent Payment is the percentage of companies with this classification that were reported 91 days past due or more by creditors. The calculation of this value is based on D&B's trade payment database.
- The Credit Score Percentile reflects the relative ranking of a firm among all scorable companies in D&B's file.
- The Credit Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

### Credit Score Percentile Norms Comparison

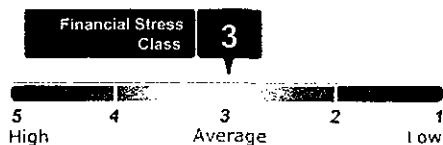


- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

## Financial Stress Score

## Summary

### Financial Stress Class



## Financial Stress Score Percentile

|  |           |
|--|-----------|
| Financial Stress National Percentile     | 64        |
| Financial Stress Score                   | 1502      |
| Probability of Failure with This Score   | 0.24%     |
| Failure per 10K                          | 24/10,000 |
| Average Failure Rate within D&B database | 0.48%     |
| Failure per 10K                          | 48/10,000 |
| Number of Payment Experiences            | 4         |

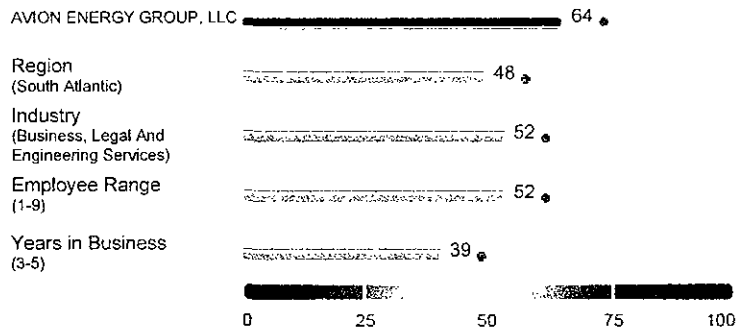
## Key Factors

- Limited time under present management control
- Higher risk legal structure.

## Notes:

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The probability of failure shows the percentage of firms in a given percentile that discontinue operations with loss to creditors. The average probability of failure is based on businesses in D&B's database and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&B's file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

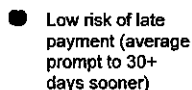
## Financial Stress Percentile Comparison



- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

## Advanced Paydex + CLR

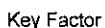
### D&B PAYDEX®



When weighted by dollar amount, the industry average is **GENERALLY WITHIN** terms.

\* compared to payments three months ago

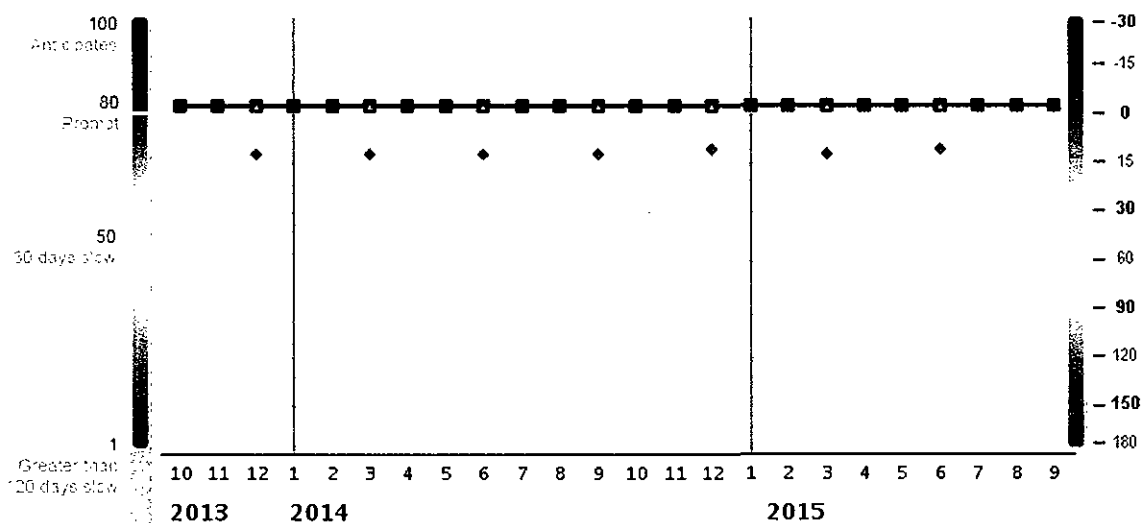
00/00/2015



### PAYDEX Yearly Trend

Christian Föppe: [christian.foepp@uni-wuerzburg.de](mailto:christian.foepp@uni-wuerzburg.de) | 1994 - 2024 | 26.03.2024 | 44 Jahre





|               | 2013 | 2014 | 2015 |
|---------------|------|------|------|
| This Business | 80   | 80   | 80   |
| Upper         | 80   | 80   | 80   |
| Median        | 80   | 80   | 80   |
| Lower         | 69   | 69   | 70   |

Note

- Current PAYDEX® for this Business is 80, or equal to generally within terms.
- The 24 month high paydex is 80.0, or equal to GENERALLY WITHIN terms.
- The 24 month low paydex is 80.0, or equal to GENERALLY WITHIN terms.
- Industry upper quartile represents the performance of the payers in the 75th percentile.
- Industry lower quartile represents the performance of the payers in the 25th percentile.

Payment Habits

| Credit Extended | % of Payments Within Terms | No. of Payment Experiences | Total Amount USD |
|-----------------|----------------------------|----------------------------|------------------|
| Over \$100,000  | 0%                         | 0                          | \$0              |
| 50,000-100,000  | 0%                         | 0                          | 0                |
| 15,000-49,999   | 100%                       | 1                          | 20,000           |
| 5,000-14,999    | 0%                         | 0                          | 0                |
| 1,000-4,999     | 0%                         | 0                          | 0                |
| Under 1,000     | 100%                       | 2                          | 100              |

Based on up to 24 months of payments

Payment Summary

The Payment Summary section reflects payment information in D&B's file as of the date of this report.

There are 4 payment experiences in D&B's file, with 1 experiences reported during the last three month period. The highest Now Owes on file is \$0. The highest Past Due on file is \$0.

All Industries

| Industries            | Total Received | Total Amounts | Largest High Credit | Within Terms (%) | Days Slow (%) |       |       |     |
|-----------------------|----------------|---------------|---------------------|------------------|---------------|-------|-------|-----|
|                       |                |               |                     |                  | 0-30          | 31-60 | 61-90 | 90+ |
| Lithographic printing | 2              | \$100         | \$50                | 100              | 0             | 0     | 0     | 0   |
| Natural gas distrib   | 1              | 20,000        | 20,000              | 100              | 0             | 0     | 0     | 0   |

Other Payment Categories

| Category               | Total Received | Total Dollar Amounts | Largest High Credit |
|------------------------|----------------|----------------------|---------------------|
| Cash experiences       | 1              | \$50                 | \$50                |
| Payment record unknown | 0              | 0                    | 0                   |
| Unfavorable comments   | 0              | 0                    | 0                   |
| Placed for Collection  | 0              | 0                    | 0                   |

**Detailed Payment History**

| Date Reported | Paying Record | High Credit | Now Owes | Past Due | Selling Terms | Last Sale within(months) |
|---------------|---------------|-------------|----------|----------|---------------|--------------------------|
| July 2015     | (001)         | \$50        | \$0      | \$0      | Cash account  | 1                        |
| August 2014   | Ppt           | 50          | 0        | 0        | N/A           | 1                        |
|               | Ppt           | 50          | 0        | 0        | N/A           | 4-5                      |
| December 2013 | Ppt           | 20,000      | 0        | 0        | N/A           | 6-12                     |

Lines shown in red are 30 or more days beyond terms

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

# EQUIFAX Commercial Credit Report

## BUSINESS AT A GLANCE

Confirmation # 3238034809

### COMPANY IDENTIFICATION

Inquiry Made On : 08/26/2013  
EFX ID Number : 644023611

**AVION ENERGY GROUP, LLC**  
1475 Buford Dr Ste 403-186  
Lawrenceville, GA 30043-3798

Tax Id Number : XXXXX\*

### PUBLIC RECORDS SUMMARY

| Type         | # | Most Recent Date Filed |
|--------------|---|------------------------|
| Bankruptcies | 0 | None Reported          |
| Judgements   | 0 | None Reported          |
| Liens        | 0 | None Reported          |

### FILE HIGHLIGHTS

| Overall File Content           | Financial     | Non Financial |
|--------------------------------|---------------|---------------|
| Number Of Accounts             | 2             | 0             |
| Credit Active Since            | 01/02/2013    | None Reported |
| Number Of Charge Offs          | 0             | 0             |
| Total Past Due                 | None Reported |               |
| Most Severe Status             | Current       | None Reported |
| Single Highest Credit Extended | \$ 15,000     | None Reported |
| Total Current Credit Exposure  | \$ 20,000     | None Reported |

## CUSTOMER SERVICE

If you have any questions regarding information contained in this report, please contact:  
Equifax Commercial Solutions, P.O. Box 740249, Atlanta, GA 30374-0249 or Telephone: 1-800-727-8495.

## NOTICE

For security reasons, a portion of displayed account numbers have been suppressed on this report.  
The suppressed portion of the account number is indicated with an asterisk ( \* ).  
The last 4 digits of Tax Id numbers have been masked with an asterisk ( \* ).

# DETAILED ACCOUNT INFORMATION

Commercial card 1659\*6726

## < Account Highlights >

| Account Number    | Type Of Account     | Current Status            | Date Reported        | Date Opened      |
|-------------------|---------------------|---------------------------|----------------------|------------------|
| 1659*6726         | Commercial card     | Current                   | 07/31/2013           | 01/02/2013       |
| Date Closed       | Reason Closed       | High Credit/Orig Loan Amt | Current Credit Limit | Total Balance    |
|                   |                     | \$ 0                      | \$ 5,000             | \$ 0             |
| Payment Periods   | Paid On Time        | Count 1-30 Days           | Count 31-60 Days     | Count 61-90 Days |
| 0                 | 24                  | 0                         | 0                    | 0                |
| Count 91-120 Days | Count Over 120 Days |                           |                      |                  |
| 0                 | 0                   |                           |                      |                  |

Account Comments: None Reported

## < Account Details >

| Contributor Information | Active                 | Maturity/Expiration Date      | Payment Amount    | Payment Frequency             | Payment Type           | Last Payment Amount | Last Payment Date | Original Credit Limit |
|-------------------------|------------------------|-------------------------------|-------------------|-------------------------------|------------------------|---------------------|-------------------|-----------------------|
| Financial               | Yes                    |                               | \$ 0              | Monthly                       | Principal and Interest |                     |                   |                       |
| Secured                 | Collateral Information | Charge Off Date               | Charge Off Amount | Charge Off Source             |                        |                     |                   | Charge Off Recoveries |
| Unsecured               |                        |                               |                   |                               |                        |                     |                   |                       |
| Num of Guarantors       | Govt Guaranteed        | Government Guaranteed Program | Govt Guaranteed % | Liability Type                |                        |                     |                   |                       |
| 1                       | No                     |                               | 50 %              | Limited liability corporation |                        |                     |                   |                       |

## < Current Trade And History >

None Reported

Open ended credit line 1659\*5176

## < Account Highlights >

| Account Number    | Type Of Account        | Current Status            | Date Reported        | Date Opened      |
|-------------------|------------------------|---------------------------|----------------------|------------------|
| 1659*5176         | Open ended credit line | Current                   | 07/31/2013           | 01/04/2013       |
| Date Closed       | Reason Closed          | High Credit/Orig Loan Amt | Current Credit Limit | Total Balance    |
|                   |                        | \$ 14,680                 | \$ 15,000            | \$ 14,243        |
| Payment Periods   | Paid On Time           | Count 1-30 Days           | Count 31-60 Days     | Count 61-90 Days |
| 0                 | 24                     | 0                         | 0                    | 0                |
| Count 91-120 Days | Count Over 120 Days    |                           |                      |                  |
| 0                 | 0                      |                           |                      |                  |

Account Comments: None Reported

## < Account Details >

| Contributor Information | Active                 | Maturity/Expiration Date      | Payment Amount    | Payment Frequency             | Payment Type           | Last Payment Amount | Last Payment Date | Original Credit Limit |
|-------------------------|------------------------|-------------------------------|-------------------|-------------------------------|------------------------|---------------------|-------------------|-----------------------|
| Financial               | Yes                    |                               | \$ 287            | Monthly                       | Principal and Interest | \$ 316              | 07/19/2013        |                       |
| Secured                 | Collateral Information | Charge Off Date               | Charge Off Amount | Charge Off Source             |                        |                     |                   | Charge Off Recoveries |
| Unsecured               |                        |                               |                   |                               |                        |                     |                   |                       |
| Num of Guarantors       | Govt Guaranteed        | Government Guaranteed Program | Govt Guaranteed % | Liability Type                |                        |                     |                   |                       |
| 1                       | No                     |                               | 50 %              | Limited liability corporation |                        |                     |                   |                       |

### **Exhibit C-8 “Bankruptcy Information”**

There have been no reorganizations, protection from creditors or any other form of bankruptcy filings by Avion Energy Group, LLC in the current year or within the two most recent years preceding the application.

There is no parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant.

### **Exhibit C-9 “Merger Information”**

Avion Energy Group, LLC is a newly formed Limited Liability Company; it has not been a party to any dissolution or merger or acquisition within the five most recent years preceding the application.