

FILE

15- 1676-EL-A66

BIOFUELS TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062

September 22, 2015

Public Utilities Commission of Ohio  
Docketing Division  
180 East Broad Street  
Columbus, Ohio 43215-3793


RECEIVED-DOCKETING DIV  
2015 SEP 25 AM 11:19  
PUCO

Dear Sir or Madam:

Enclosed you will find the Certification Application For Electric Aggregators/Power Brokers for Biofuels Technology LLC d/b/a Energy Connection. We originally received a certificate (Certificate Number: 13-639E (1)) from the Public Utilities Commission of Ohio on March 5, 2013 however, because we were never informed that the Certificate expired on February 28, 2015, it was never renewed. Accordingly, we have enclosed the attached documentation to obtain a new Certificate.

If you have any questions or comments, please contact me at (847) 509-2290 or at [joel.birnbaum@gmail.com](mailto:joel.birnbaum@gmail.com).

Very truly yours,



Joel Birnbaum, CFO



# Public Utilities Commission

PUCO USE ONLY		
Date Received	Case Number	Version
	15-1676-EL-AGG	December 2014

15-1676-EL-AGG

## CERTIFICATION APPLICATION FOR ELECTRIC AGGREGATORS/ POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.

### A. APPLICANT INFORMATION

#### A-1 Applicant's legal name, address, telephone number and web site address

Legal Name BIOFUELS TECHNOLOGY LLC

Address 3501 WOODHEAD DRIVE, NORTHBROOK, IL 60062

Telephone # (847) 509-2290

Web site address (if any) energyconnections.site.com

#### A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name ENERGY CONNECTION

Address 3501 WOODHEAD DRIVE, NORTHBROOK, IL 60062

Telephone # (847) 509-2290

Web site address (if any) energyconnections.site.com

#### A-3 List all names under which the applicant does business in North America

ENERGY CONNECTION

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

#### A-4 Contact person for regulatory or emergency matters

Name MARK RICE

Title CHIEF EXECUTIVE OFFICER

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2015 SEP 25 AM 11:19  
PUCO

Business address 3501 WOODHEAD DRIVE, NORTHBROOK, IL  
Telephone # (847) 509-2290 Fax # (847) 509-2295  
E-mail address mark@energyconnections.site.com

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name MARK RICE  
Title CHIEF EXECUTIVE OFFICER  
Business address 3501 WOODHEAD DRIVE, NORTHBROOK, IL 60062  
Telephone # (847) 509-2290 Fax # (847) 509-2295  
E-mail address mark@energyconnections.site.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 3501 WOODHEAD DRIVE, NORTHBROOK, IL 60062  
Toll-free Telephone # (847) 509-2290 Fax # (847) 509-2295  
E-mail address mark@energyconnections.site.com

**A-7 Applicant's federal employer identification number # 261212890**

**A-8 Applicant's form of ownership (check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other _____                                |

**A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).**

- |   |   |  |  |  |
|---|---|--|--|--|
| <input checked="" type="checkbox"/> First Energy                    |   |  |  |  |
| <input checked="" type="checkbox"/> Ohio Edison                     | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Toledo Edison                   | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cleveland Electric Illuminating | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Duke Energy                     | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Monongahela Power               | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> American Electric Power         |   |  |  |  |
| <input checked="" type="checkbox"/> Ohio Power                      | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Columbus Southern Power         | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Dayton Power and Light          | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |

- A-10** Provide the approximate start date that the applicant proposes to begin delivering services  
September 15, 2015

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- A-11** **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12** **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

**B-4** **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-5** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No            ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No            ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

**C-9** **Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

\_\_\_\_\_  
**Signature of Applicant & Title**

Sworn and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_,  
Month Year

\_\_\_\_\_  
**Signature of official administering oath**

\_\_\_\_\_  
**Print Name and Title**

My commission expires on \_\_\_\_\_

\_\_\_\_\_

**BIOFUELS TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

**EXHIBIT A-11. Principal Officers, Directors, & Partners**

**MARK RICE  
CHIEF EXECUTIVE OFFICER  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

**ELLEN RICE  
CHIEF OPERATING OFFICER  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

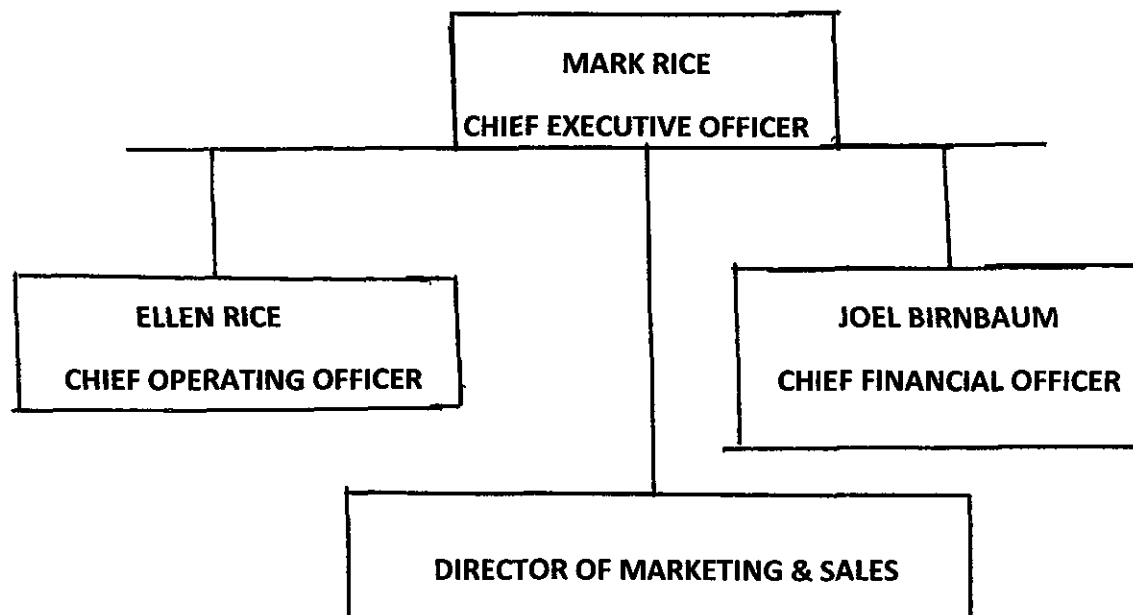
**JOEL BIRNBAUM  
CHIEF FINANCIAL OFFICER  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**



**BIOFUELS TECHNOLOGY LLC**  
**D/b/a ENERGY CONNECTION**  
**3501 WOODHEAD DRIVE**  
**NORTHBROOK, IL 60062**  
**(847) 509-2290**

### **EXHIBIT A-12. – Corporate Structure**

The Applicant is a small family owned company that, through its independent agents, acts as a broker for the provision of gas and electricity for its customers. The Applicant is managed by its Chief Executive Officer whose direct reports are the Chief Operating Officer, Chief Financial Officer and Director of Sales & Marketing. The Chief Operating Officer is in charge of pricing and communications with the energy suppliers that supply gas and electricity to the Company's customers. The Chief Financial Officer is responsible for all financial aspects of the Company.



**BIOFULES TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

**EXHIBIT A-13. – Company History**

**Biofuels Technology LLC d/b/a as Energy Connection is a privately held company that was previously engaged as a specialty chemical processing and trading company in the renewable and alternative fuel industry. In 2011 the Company formed Energy Connection to help residential and commercial customers reduce their energy bills using its network of independent agents. Energy Connection is the Company's primary source of revenues.**

**BIOFULES TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

**EXHIBIT A-14**

**ARTICLES OF INCORPORATION AND BYLAWS**

Form **LLC-5.5**

April 2007

Secretary of State Jesse White  
Department of Business Services  
Limited Liability Division  
501 S. Second St., Rm. 351  
Springfield, IL 62756  
217-524-8008  
www.cyberdriveillinois.com

Payment must be made by certified check,  
cashier's check, Illinois attorney's check,  
C.P.A.'s check or money order payable to  
Secretary of State.

Illinois  
Limited Liability Company Act  
Articles of Organization

**SUBMIT IN DUPLICATE**

Must be typewritten.

This space for use by Secretary of State.

Filing Fee: \$500

Approved: *JB*

FILE # **0235911-1**

This space for use by Secretary of State.

**FILED**

**OCT 10 2007**

**JESSE WHITE  
SECRETARY OF STATE**

1. Limited Liability Company Name: BIOFUELS TECHNOLOGY, LLC

The LLC name must contain the words Limited Liability Company, L.L.C. or LLC and cannot contain the terms Corporation, Corp., Incorporated, Inc., Ltd., Co., Limited Partnership or L.P.

2. Address of Principal Place of Business where records of the company will be kept: (P.O. Box alone or c/o is unacceptable.) \_\_\_\_\_

666 Dundee Road, Suite 1901, Northbrook, IL 60062

3. Articles of Organization effective on: (check one)

☒ the filing date

☐ a later date (not to exceed 60 days after the filing date): \_\_\_\_\_

Month, Day, Year

4. Registered Agent's Name and Registered Office Address:

Registered Agent: MS REGISTERED AGENT SERVICES INC.

First Name

Middle Initial

Last Name

Registered Office: 191 N WACKER DRIVE SUITE 1800

(P.O. Box alone or

Number

Street

Suite #

c/o is unacceptable.)

CHICAGO

60606

COOK

City

ZIP Code

County

5. Purpose(s) for which the Limited Liability Company is organized: (If more space is needed, attach additional sheets of this size.)

"The transaction of any or all lawful business for which Limited Liability Companies may be organized under this Act."

6. Latest date, if any, upon which the company is to dissolve: \_\_\_\_\_

(Leave blank if duration is perpetual.)

Month, Day, Year

LLC-5.5

7. (OPTIONAL) Other provisions for the regulation of the internal affairs of the Company: (If more space is needed, attach additional sheets of this size.)

8. The Limited Liability Company: (Check either a or b below.)  
a. ☒ is managed by the manager(s) (List names and business addresses.)

Mark Rice, 666 Dundee Road, Suite 1901, Northbrook, IL 60062  
Rob Coil, 666 Dundee Road, Suite 1901, Northbrook, IL 60062

- b. ☐ has management vested in the member(s) (List names and addresses.)

9. Name and Address of Organizer(s)

I affirm, under penalties of perjury, having authority to sign hereto, that these Articles of Organization are to the best of my knowledge and belief, true, correct and complete.

Dated October 10 2007  
Month & Day Year

1. M. Jackson  
Signature

MICHELLE JACKSON, Organizer  
Name (type or print)

\_\_\_\_\_  
Name If a Corporation or other Entity, and Title of Signer

2. \_\_\_\_\_  
Signature

\_\_\_\_\_  
Name (type or print)

\_\_\_\_\_  
Name If a Corporation or other Entity, and Title of Signer

1. 191 N WACKER DRIVE SUITE 1800  
Number Street

CHICAGO  
City/Town

ILLINOIS 60606  
State ZIP Code

2. \_\_\_\_\_  
Number Street

\_\_\_\_\_  
City/Town

\_\_\_\_\_  
State ZIP Code

Signatures must be in black ink on an original document. Carbon copy, photocopy or rubber stamp signatures may only be used on conformed copies.

Form **LLC-1.20**  
October 2008

Secretary of State Jesse White  
Department of Business Services  
Limited Liability Division  
Room 351 Howlett Building  
501 S. Second St.  
Springfield, IL 62756  
www.cyberdriveillinois.com

Payment must be made by business  
firm check payable to Secretary of  
State. (If check is returned for any  
reason this filing will be void.)

**Illinois**  
**Limited Liability Company Act**  
Application to Adopt, Change, Cancel  
or Renew an Assumed Name

Filing Fee - see note on reverse side

**SUBMIT IN DUPLICATE**

Must be typewritten

This space for use by Secretary of State.

Filing Fee: \$

Approved: *SW*

FILE # 0235911-1

This space for use by Secretary of State.

**FILED**

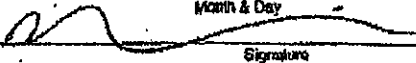
**FEB 25 2010**

**JESSE WHITE**  
**SECRETARY OF STATE**

1. Limited Liability Company Name: BIOFUELS TECHNOLOGY, LLC
2. State or Country under the laws of which the company is organized: (check one)  
☒ Illinois (domestic)    ☐ Foreign (specify): \_\_\_\_\_
3. Date organized (if an Illinois Limited Liability Company) or date authorized to transact business in Illinois (if a foreign Limited Liability Company): 10-10-2007
4. TO ADOPT: (a) The Limited Liability Company intends to adopt and transact business under the assumed name of: ENERGY CONNECTION  
(b) The right to use the assumed name shall be effective from the date this application is filed by the Secretary of State until 10/01, 20 15, the first day of the company's anniversary month in the next year, which is evenly divisible by five.
5. TO CHANGE: (a) The above-named Limited Liability Company intends to cease transacting business under the assumed name of: \_\_\_\_\_  
(b) and to commence transacting business under the new assumed name of: \_\_\_\_\_
6. TO CANCEL: The above-named Limited Liability Company intends to cease transacting business under the assumed name of: \_\_\_\_\_
7. TO RENEW: (a) The above-named Limited Liability Company intends to renew the assumed name of: \_\_\_\_\_  
(b) The right to use the assumed name shall be effective from the date this application is filed by the Secretary of State until \_\_\_\_\_, 20 \_\_\_\_\_, the first day of the company's anniversary month in the next year, which is evenly divisible by five.

LLC-1.20

8. The undersigned affirms, under penalties of perjury, having authority to sign hereto, that this Application to Adopt, Change, Cancel or Renew an Assumed Name is to the best of my knowledge and belief, true, correct and complete.

Dated JANUARY 1st, 2010  
Month & Day Year  
  
Signature  
MARK RICE, MANAGER  
Name and Title (type or print)

If applicant is a company or other entity, state name of company  
and indicate whether it is a member or manager of the LLC.

- NOTE: a. An assumed name may be adopted in five-year increments. The right to use an assumed name shall be effective from the date of filing by the Secretary of State until the first day of the anniversary month of the Limited Liability Company that falls within the next calendar year evenly divisible by 5.
- b. The filing fee to adopt an assumed name is \$150 for each year or part thereof ending in 0 or 5; \$120 for each year or part thereof ending in 1 or 6; \$90 for each year or part thereof ending in 2 or 7; \$60 for each year or part thereof ending in 3 or 8; or \$30 for each year or part thereof ending in 4 or 9.
- c. The fee to change an assumed name is \$100.
- d. The fee for canceling an assumed name is \$100.
- e. The fee to renew an assumed name is \$150. An assumed name may be renewed 60 days prior to the expiration of the right to use the assumed name, for a period of five years, by making an election to do so at the time of filing the Annual Report and by paying the renewal fees as prescribed by this Act.
- f. A penalty of \$100 will apply to any assumed name renewed on or after the first day of the company's anniversary month. If the assumed name is not renewed within the 60 days commencing with the first day of the company's anniversary month, the right to use the assumed name shall cease.



## OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

OCTOBER 10, 2007

0235911-1

MS REGISTERED AGENT SERV INC  
191 N WACKER DR #1800  
CHICAGO, IL 60606-0000

RE BIOFUELS TECHNOLOGY, LLC

DEAR SIR OR MADAM:

IT HAS BEEN OUR PLEASURE TO APPROVE AND PLACE ON RECORD THE ARTICLES OF ORGANIZATION THAT CREATED YOUR LIMITED LIABILITY COMPANY. WE EXTEND OUR BEST WISHES FOR SUCCESS IN YOUR NEW VENTURE.

THE LIMITED LIABILITY COMPANY MUST FILE AN ANNUAL REPORT PRIOR TO THE FIRST DAY OF ITS ANNIVERSARY MONTH NEXT YEAR. A PRE-PRINTED ANNUAL REPORT FORM WILL BE SENT TO THE REGISTERED AGENT AT THE ADDRESS SHOWN ON THE RECORDS OF THIS OFFICE APPROXIMATELY 60 DAYS PRIOR TO ITS ANNIVERSARY MONTH.

MANY SERVICES ARE NOW AVAILABLE ON-LINE AT [WWW.CYBERDRIVEILLINOIS.COM](http://WWW.CYBERDRIVEILLINOIS.COM). AMONG OTHER SERVICES AT THIS SITE, YOU MAY CHECK THE STATUS OF THIS COMPANY, PURCHASE A CERTIFICATE OF GOOD STANDING, OR EVEN FILE THE ANNUAL REPORT REFERRED TO IN THE PREVIOUS PARAGRAPH.

SINCERELY YOURS,

A handwritten signature in cursive script that reads "Jesse White".

JESSE WHITE  
SECRETARY OF STATE

DEPARTMENT OF BUSINESS SERVICES  
LIMITED LIABILITY COMPANY DIVISION  
TELEPHONE (217)524-8008

JW:LLC





**EIN Assistant**

Your Progress:    1. Identity ✓    2. Authenticate ✓    3. Addresses ✓    4. Details ✓    5. EIN (

**Congratulations! Your EIN has been successfully assigned.**

EIN Assigned: 26-1212890

Legal Name: BIOFUELS TECHNOLOGY LLC

The confirmation letter will be mailed to the applicant. This letter will be the applicant's official IRS notice and will contain important information regarding the EIN.

We strongly recommend you print this page for your records.

Click "Continue" to get additional information about using the new EIN.

[Continue >>](#)

**Help Topics**

**?** [Can the E  
before the  
letter is re](#)

**BIOFULES TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

**EXHIBIT A-15**

**SECRETARY OF STATE**

File Number

0235911-1



**To all to whom these Presents Shall Come, Greeting:**

*I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that I am the keeper of the records of the Department of Business Services. I certify that*

BIOFUELS TECHNOLOGY, LLC, HAVING ORGANIZED IN THE STATE OF ILLINOIS ON OCTOBER 10, 2007, AND HAVING ADOPTED THE ASSUMED NAME OF ENERGY CONNECTION ON FEBRUARY 25, 2010, APPEARS TO HAVE COMPLIED WITH ALL PROVISIONS OF THE LIMITED LIABILITY COMPANY ACT OF THIS STATE, AND AS OF THIS DATE IS IN GOOD STANDING AS A DOMESTIC LIMITED LIABILITY COMPANY IN THE STATE OF ILLINOIS.



**In Testimony Whereof,** I hereto set  
my hand and cause to be affixed the Great Seal of  
the State of Illinois, this 20TH  
day of JULY A.D. 2011

*Jesse White*



## OFFICE OF THE SECRETARY OF STATE

---

JESSE WHITE • Secretary of State

0235911-1

03/03/2010

MS REGISTERED AGENT SERV INC  
191 N WACKER DR #1800  
CHICAGO, IL 60606-0000

RE BIOFUELS TECHNOLOGY, LLC  
ASE: ENERGY CONNECTION

DEAR SIR OR MADAM:

APPLICATION TO ADOPT AN ASSUMED NAME HAS BEEN PLACED ON FILE AND THE  
LIMITED LIABILITY COMPANY CREDITED WITH THE REQUIRED FEE.

SINCERELY YOURS,

JESSE WHITE  
SECRETARY OF STATE  
DEPARTMENT OF BUSINESS SERVICES  
LIMITED LIABILITY DIVISION  
(217) 524-8008

JW:LLC

**BIOFULES TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

**Exhibit B-1 - Jurisdictions of Operation**

**The Company is licensed in the State of Illinois as an Agent, Broker and Consolidator (ABC).**

**BIOFULES TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

### **Exhibit B-2 – Experience & Plans**

**The Company began servicing customers through a strategic partnership with a licensed broker in the State of Illinois by sending referral business to the broker while learning all aspects of the energy brokerage business. Once it obtained this experience the Company filled and obtained a license in the State of Illinois.**

**The Company helps residential and business companies reduce their energy costs by working with customers and suppliers of energy to find the best RES and RES contract to fit their needs. In addition, the Company provides demand side energy management incorporating the full spectrum of energy services, including lighting retrofitting and demand response systems that monitor every aspect of the customer's energy consumption.**

**The Company has adopted all rules in accordance with the Commission's rules adopted pursuant to Section 4928.10 of the Revised Code.**

**BIOFULES TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

**Exhibit B-3 – Summary of Experience**

**Not Applicable**

**BIOFULES TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

**Exhibit B-4 – Disclosure of Liabilities and Investigations**

**None**



**BIOFULES TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

**Exhibit C-1 - Annual Reports**

**Not Applicable**

**The Company is a family owned Limited Liability Company and accordingly, does not file financial statements to shareholders or to any governmental agency.**

**BIOFULES TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
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**Exhibit C-2 – SEC Filings**

**Not Applicable**

**The Company is a family owned Limited Liability Company and accordingly, is not required to file financial statements with the SEC.**

**BIOFULES TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
3501 WOODHEAD DRIVE  
NORTHBROOK, IL 60062  
(847) 509-2290**

**Exhibit C-3 – Financial Statements**

**The Company is a family owned Limited Liability Company and does not have audited financial statements. Officer certified financial statements for December 2013 and 2014 are included in this Exhibit C-3.**

**The Company requests that the financial information included herein be kept confidential as its public release would be harmful to the security of the Company and is not public information elsewhere.**

9:40 AM  
09/18/15  
Accrual Basis

**Energy Connection**  
**Balance Sheet**  
**As of December 31, 2014**

	<u>Dec 31, 14</u>
<b>ASSETS</b>	
<b>Current Assets</b>	
Checking/Savings	
Biofuels Technology LLC	47,715.82
<b>Total Checking/Savings</b>	<u>47,715.82</u>
<b>Total Current Assets</b>	<u>47,715.82</u>
<b>TOTAL ASSETS</b>	<u><u>47,715.82</u></u>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Liabilities</b>	
<b>Current Liabilities</b>	
Other Current Liabilities	
Mark - Short Term Loan	-18,000.00
<b>Total Other Current Liabilities</b>	<u>-18,000.00</u>
<b>Total Current Liabilities</b>	<u>-18,000.00</u>
<b>Long Term Liabilities</b>	
Mark Rice - Loan	8,000.00
<b>Total Long Term Liabilities</b>	<u>8,000.00</u>
<b>Total Liabilities</b>	<u>-10,000.00</u>
<b>Equity</b>	
Member 1 Draw	-255,308.16
Member 1 Equity	18,177.54
Retained Earnings	162,604.36
Net Income	<u>132,242.08</u>
<b>Total Equity</b>	<u>57,715.82</u>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<u><u>47,715.82</u></u>

9:38 AM  
09/18/15  
Accrual Basis

**Energy Connection**  
**Profit & Loss**  
January through December 2014

	<u>Jan - Dec 14</u>
<b>Income</b>	
Biofuel Commissions	49,922.54
Misc. Income	5,463.42
Sales Income	623,313.16
Sales Income - Better Energy	1,000.00
	<hr/>
<b>Total Income</b>	<b>679,699.12</b>
	<hr/>
<b>Gross Profit</b>	<b>679,699.12</b>
<b>Expense</b>	
Accounting Fees	2,135.75
Automobile Expense	11,435.87
Charitable Contributions	400.00
Commission Payment	294,008.21
Computer and Internet Expenses	2,393.46
Dues and Subscriptions	214.00
Insurance Expense	26,015.88
Interest Expense	0.00
License & Registrations	1,297.40
Marketing	975.21
Meals and Entertainment	7,793.52
Miscellaneous Expense	2,247.03
Office Expense	24,920.15
Office Supplies	2,230.81
Payroll Expenses	145,099.00
Postage and Delivery	341.55
Professional Fees	570.00
Rent Expense	17,247.05
Telephone Expense	4,093.69
Travel & Entertainment	891.04
Travel Expense	834.42
Utilities	2,313.00
	<hr/>
<b>Total Expense</b>	<b>547,457.04</b>
	<hr/>
<b>Net Income</b>	<b>132,242.08</b>
	<hr/>

9:39 AM

09/18/15

Accrual Basis

**Energy Connection**  
**Balance Sheet**  
**As of December 31, 2013**

	<u>Dec 31, 13</u>
<b>ASSETS</b>	
<b>Current Assets</b>	
Checking/Savings	
Biofuels Technology LLC	65,186.24
<b>Total Checking/Savings</b>	<u>65,186.24</u>
<b>Total Current Assets</b>	<u>65,186.24</u>
<b>TOTAL ASSETS</b>	<u><u>65,186.24</u></u>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Liabilities</b>	
<b>Current Liabilities</b>	
Accounts Payable	
Accounts Payable	21,182.72
<b>Total Accounts Payable</b>	<u>21,182.72</u>
Other Current Liabilities	
Mark - Short Term Loan	-18,000.00
<b>Total Other Current Liabilities</b>	<u>-18,000.00</u>
<b>Total Current Liabilities</b>	3,182.72
<b>Long Term Liabilities</b>	
Mark Rice - Loan	8,000.00
<b>Total Long Term Liabilities</b>	<u>8,000.00</u>
<b>Total Liabilities</b>	11,182.72
<b>Equity</b>	
Member 1 Draw	-136,778.38
Member 1 Equity	28,177.54
Retained Earnings	45,722.42
Net Income	116,881.94
<b>Total Equity</b>	<u>54,003.52</u>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<u><u>65,186.24</u></u>

9:37 AM

09/18/15

Accrual Basis

**Energy Connection**  
**Profit & Loss**  
**January through December 2013**

	<u>Jan - Dec 13</u>
<b>Income</b>	
Biofuel Commissions	25,138.76
Sales Income	604,382.04
	<hr/>
<b>Total Income</b>	<b>629,520.80</b>
	<hr/>
<b>Gross Profit</b>	<b>629,520.80</b>
<b>Expense</b>	
Accounting Fees	2,825.00
Automobile Expense	9,122.91
Business Licenses and Permits	937.50
Commission Payment	302,343.85
Computer and Internet Expenses	3,366.86
Dues and Subscriptions	350.00
Insurance Expense	29,464.15
Legal Fees	500.00
License & Registrations	350.00
Marketing	400.00
Meals and Entertainment	8,636.90
Office Expense	24,805.91
Payroll Expenses	108,052.00
Postage and Delivery	530.69
Rent Expense	16,806.95
Telephone Expense	2,119.95
Utilities	2,026.19
	<hr/>
<b>Total Expense</b>	<b>512,638.86</b>
	<hr/>
<b>Net Income</b>	<b>116,881.94</b>
	<hr/>

**BIOFULES TECHNOLOGY LLC  
D/b/a ENERGY CONNECTION  
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**Exhibit C-4 – Financial Arrangements**

**None**



**BIOFULES TECHNOLOGY LLC  
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**Exhibit C-5 – Forecasted Financial Statements**

**None**

**The Company is a family owned Limited Liability Company and does not prepare forecasted financial statements.**

**BIOFULES TECHNOLOGY LLC  
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**Exhibit C-6 – Credit Rating**

**None**

**The Company is a family owned Limited Liability Company and has never obtained a credit rating.**

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**Exhibit C-7 – Credit Report**

**None**

**The Company is a family owned Limited Liability Company and has never obtained a credit report.**

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**Exhibit C-8 – Bankruptcy Information**

**None**

**BIOFULES TECHNOLOGY LLC  
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3501 WOODHEAD DRIVE  
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(847) 509-2290**

**Exhibit C-9 – Merger Information**

**None**

# **AFFIDAVIT**

State of ILLINOIS:

NORTHBRIDGE  
(Town)

County of COOK:

Mark Rice, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the CEO (Office of Affiant) of BIOFUELS TECHNOLOGY LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

  
Signature of Affiant & Title

Sworn and subscribed before me this 21st day of September 2015  
Month Year

  
Signature of official administering oath

ELYSE B. PERLEN, NOTARY  
Print Name and Title

My commission expires on \_\_\_\_\_

