

FILE

TEXAS
ENERGY
OPTIONS

July 20, 2015

Public Utilities Commission of Ohio
Attn: Docketing Division
11th Floor
180 East Broad Street
Columbus, Ohio 43215

Re: Case # 15-1293-EL-AGG

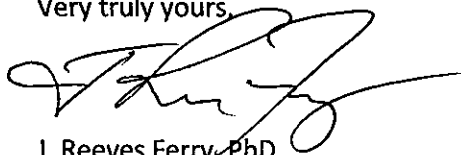
Gentlemen:

Pursuant to your request in the email dated July 14, 2015 from the Public Utilities Commission of Ohio – Service Monitoring and Enforcement Department (copy attached), we enclose the following revised sections to our Ohio CRES application:

- Section A-9
 - *All Distribution Utility boxes checked*
- Section B-5 "Disclosure of Consumer Protection Violations"
 - Box checked "NO"
- Section B-6 "Disclosure of Certification Denial, Curtailment, Suspension or Revocation"
 - Box checked "NO"

Please feel free to contact me if there are any other questions or missing items related to our Ohio CRES application.

Very truly yours,



J. Reeves Ferry, PhD
President

JRF/srd

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This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician MN Date Processed **JUL 23 2015**

Business address 3350 Hwy 6 South, Suite 404 Sugar Land, TX 77478
Telephone # (281) 242-0655 Fax # (866) 317-9302
E-mail address (if any) jay@txenergyoptions.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Jay Reeves Ferry
Title President
Business address 3350 Hwy 6 South, Suite 404 Sugar Land, TX 77478
Telephone # (281) 242-0655 Fax # (866) 317-9302
E-mail address (if any) jay@txenergyoptions.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 3350 Hwy 6 South, Suite 404 Sugar Land, TX 77478
Toll-free Telephone # (866) 317-9302 Fax # (822) 317-9302
E-mail address (if any) jay@txenergyoptions.com

A-7 Applicant's federal employer identification number # 94417647

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|---|--------------------------------------|--|--|--|
| <input checked="" type="checkbox"/> First Energy | | | | |
| <input checked="" type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Duke Energy | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> American Electric Power | | | | |
| <input checked="" type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. **APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

From: craig.smith@puc.state.oh.us
Sent: Tuesday, July 14, 2015 8:03 AM
To: jay@txenergyoptions.com
Subject: Your Ohio CRES application is incomplete

Mr. Ferry:

Upon an initial review of Sections A and B of your Ohio CRES application, there are some incomplete sections. In Section A-9, the distribution utility was not identified only the customer class. Please resubmit this page with the boxes in front of the distribution utility checked. Section B-5, "Disclosure of Consumer Protection Violations" was not checked yes or no. Please resubmit this page with the appropriate box checked. Section B-6, "Disclosure of Certification Denial, Curtailment, suspension, or revocation" was not checked yes or no. Please resubmit this page with the appropriate box checked. Please file these completed pages with our Docketing Division as additional information in Case No. 15-1293-EL-AGG. Docketing's address is:

11th Floor
180 East Broad Street
Columbus, Ohio 43215

Craig Smith
Public Utilities Commission of Ohio
Service Monitoring and Enforcement Department
Utility Specialist
(614) 644-5480
PUCO.ohio.gov

