



**Public Utilities
Commission**

PUCO USE ONLY		
Date Received	Case Number	Version
	15 - -EL-AGG	December 2014

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name US Grid Energy LLC
Address 18 Abbott Road, Hamilton, NJ 08690
Telephone # (609) 508-3076 Web site address (if any) www.usgridenergy.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name US Grid Energy LLC
Address 18 Abbott Road, Hamilton, NJ 08690
Telephone # (609) 508-3076 Web site address (if any) www.usgridenergy.com

A-3 List all names under which the applicant does business in North America

US Grid Wholesale
PJM Wholesale Brokers LLC

A-4 Contact person for regulatory or emergency matters

Name Michael Lento
Title Principal

Business address 18 Abbott Road, Hamilton, NJ 08690
Telephone # (609) 508-3076 Fax # (855) 277-6566
E-mail address michael.lento@usgridenergy.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Michael Lento
Title Principal
Business address 18 Abbott Road, Hamilton, NJ 08690
Telephone # (609) 508-3076 Fax # (855) 277-6566
E-mail address michael.lento@usgridenergy.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 18 Abbott Road, Hamilton, NJ 08690
Toll-free Telephone # (855) 756-7283 Fax # (855) 277-6566
E-mail address support@usgridwholesale.com

A-7 Applicant's federal employer identification number # 461437066

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input checked="" type="checkbox"/> Other <u>Foreign Limited Liability Company (LLC)</u> |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|---|---|--|--|--|
| <input checked="" type="checkbox"/> First Energy | | | | |
| <input checked="" type="checkbox"/> Ohio Edison | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Toledo Edison | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cleveland Electric Illuminating | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Duke Energy | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Monongahela Power | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> American Electric Power | | | | |
| <input checked="" type="checkbox"/> Ohio Power | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Columbus Southern Power | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Dayton Power and Light | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |

- A-10** Provide the approximate start date that the applicant proposes to begin delivering services
January 2013 per previous license 13-658E

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11** **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12** **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☐ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☐ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

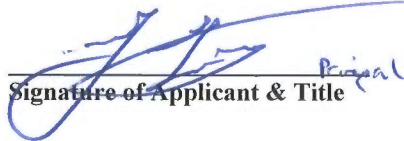
PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

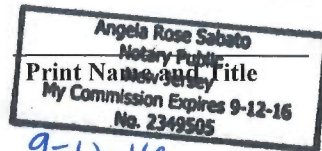
- C-3** **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5** **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6** **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7** **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8** **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.


Signature of Applicant & Title

Sworn and subscribed before me this 16th day of June, 2015
Month Year


Signature of official administering oath



My commission expires on 9-12-16

AFFIDAVIT

State of New Jersey :

(Town) ss.

County of Mercer :

Michael Lento, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Principal (Office of Affiant) of US Grid Energy LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

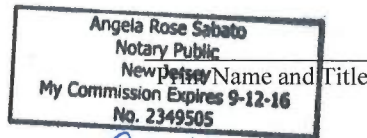
11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

[Signature]
Signature of Affiant & Title Principal

Sworn and subscribed before me this 16th day of June, 2015
Month Year

[Signature]
Signature of official administering oath



My commission expires on 9/12/16

Exhibit A-11

“Principal Officers, Directors & Partners”

Applicant, US Grid Energy LLC, is a sole proprietor led organization with Michael J Lento as the sole principal officer. See contact information as stated below.

Michael J Lento, Principal

18 Abbott Road

Hamilton, NJ 08690

michael.lento@usgridwholesale.com

#609-508-3076

Exhibit A-12

“Corporate Structure”

Applicant, US Grid Energy LLC, is a privately held limited liability company duly formed under the laws of the State of Delaware. It has no parent corporations, affiliates, predecessors, or subsidiaries.

Exhibit A-13

“Company History”

Since its inception, the Company has taken all necessary steps, led by their Principal Member, Michael Lento, to become a leader in the deregulated energy market focusing on surrounding the company with leading consultants in the industry, and managers with extensive experience in customer service and brokering both electricity and natural gas.

US Grid Energy LLC specializes in custom energy procurement and management programs for all size commercial and industrial facilities. Versed in the complexities of varying utility tariffs and state jurisdictions, US Grid Energy LLC helps clients understand energy costs, manage energy use, and control bills to maximize cost reduction.

Exhibit A-14
“Articles of Incorporation and Bylaws”

Delaware

PAGE 1

The First State


I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "PJM WHOLESALE BROKERS, LLC", FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "US GRID ENERGY, LLC", THE SEVENTEENTH DAY OF JUNE, A.D. 2013, AT 12:48 O'CLOCK P.M.



5243739 8320

130838519

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0556612

DATE: 07-01-13

Delaware

PAGE 1

The First State

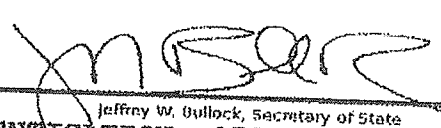
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "US GRID ENERGY, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FOURTH DAY OF JUNE, A.D. 2013.



5243739 8300

130809405

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0537373

DATE: 06-24-13

Exhibit A-15
“Secretary of State”



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COP
03/18/2013	201307400516	TRADE NAME/ORIGINAL FILING (RNO)	50.00	100.00	.00	.00	.0

Receipt

This is not a bill. Please do not remit payment.

PJM WHOLESALE BROKERS, LLC
MICHAEL LENTO
18 ABBOTT RD
HAMILTON, NJ 08690

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted**2182328**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

US GRID WHOLESALE

and, that said business records show the filing and recording of:

Document(s)

TRADE NAME/ORIGINAL FILING

Document No(s):

201307400516**Effective Date: 03/14/2013**

Date of First Use: 03/01/2013
Expiration Date: 03/14/2018

PJM POWER BROKERS, LLC (PJM
WHOLESALE BROKERS, LLC)
18 ABBOTT RD.
HAMILTON, OH 08690



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 18th day of March, A.D.
2013.

Ohio Secretary of State

Business Filing Portal

your **BUSINESS** begins here

[General Information](#) [Business Search](#) [UCC Search](#) [Trade Mark / Service Mark Search](#) [Prepayment Accounts](#) [Help](#)

Business Name
Business Name - Exact
Number Search
Agent/Contact Name
Prior Business Name

Corporation Details

Corporation Details		
Entity Number	2154435	
Business Name	US GRID ENERGY, LLC	
Filing Type	FOREIGN LIMITED LIABILITY COMPANY	
Status	Active	
Original Filing Date	11/30/2012	
Expiry Date		
Location:	County:	State: DELAWARE
Agent / Registrant Information		
NATIONAL REGISTERED AGENTS, INC. 1300 EAST NINTH STREET CLEVELAND, OH 44114 Effective Date: 02/06/2013 Contact Status: Active		
Filings		
Filing Type	Date of Filing	Document Number/Image
REG. OF FOR. PROFIT LIM. LIAB. CO.	11/30/2012	201233500844
AGENT ADDRESS CHANGE/LIMITED/LIABILITY/PARTNERS	02/06/2013	201303700038
TRADE NAME/ORIGINAL FILING	03/14/2013	201307400516
CORRECT REGISTRATION/FOREIGN LIMITED LIABILITY CO	06/28/2013	201317900984
Old Names		
Effective Date	Old Name	
06/28/2013	PJM POWER BROKERS, LLC (PJM WHOLESALE BROKERS, LLC)	

[Return To Search Page](#)

[Return To Search List](#)

[Printer Friendly Report](#)

Exhibit B-1

“Jurisdictions of Operations”

Applicant, US Grid Energy LLC, is currently filed to broker electric and natural gas in the following states:

- California
- Connecticut
- District of Columbia
- Delaware
- Illinois
- Maryland
- Maine
- Michigan
- New Hampshire
- New Jersey
- New York
- Pennsylvania
- Rhode Island
- Texas

Exhibit B-2

“Experience & Plans”

US Grid Energy LLC's mission is to assist residential, commercial and industrial consumers control energy costs and optimize budgets to achieve long-term energy goals. Our strategy since inception has been to service the below deregulated markets in all utility zones and have been doing so within the below states:

Connecticut, Delaware, District of Columbia, Illinois, Maine, Maryland, Michigan, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island and Texas.

US Grid Energy's marketing relies on strategic partnerships with experienced power marketers, third party suppliers and agents. The company recruits and employs industry experts to manage, train and drive business through a direct, internal sales force and exclusive channel partner relationships. All marketing is done in accordance with State and Federal rules, regulations, including, but not limited to, Do Not Call lists.

US Grid Energy LLC serves residential, commercial and industrial customers in the aforementioned jurisdictions, with no restriction on end-use customer size. Applicant plans on and currently has bilateral relationships and contractual agreements with licensed REPs, ESCOs, and the like to ensure reliability of service to their customers and the highest levels of customer service.

Exhibit B-3

“Summary of Experience”

As a leading energy broker within the competitive market, US Grid Energy LLC, tailors its approach to the unique operational needs of our customers' businesses and residences. Drawing on strategic supplier relationships, we develop and implement efficient, cost-effective energy programs for all customer classes and sizes.

Expertise includes:

- Residential Customers, where applicable
- Small and Mid-Size Retail Business
- Large Industrial and Manufacturing
- Governmental and Municipal Accounts
- National Accounts - Multi-State and Multi-Unit

US Grid Energy, LLC, is currently licensed and operating in the following states: Connecticut, Delaware, District of Columbia, Illinois, Maine, Maryland, Michigan, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island and Texas.

Michael J. Lento, Esquire

18 Abbott Rd., Hamilton, NJ 08690

Phone: (609) 508-3078

Email: mlento@kmhlawyers.com

Detail-oriented and analytical legal professional offering a solid track record of litigation and experience in various facets of law including personal injury, criminal, family, real estate, and workers' compensation. Motivated and articulate professional who thrives in a courtroom.

Key Competencies Include:

- Personal Injury Law
 - Trial Preparation
 - Litigation Strategy
 - Criminal Law
 - Energy Consulting
 - Research
 - Family Law
 - Discovery
 - Legal Briefs
 - Contract Law
 - Negotiations
 - Motions & Pleadings
-

EDUCATION

May 2002 Juror Doctorate
Widener School of Law, Wilmington, DE
Associate Articles Editor of Symposium Law Journal
Moe Levine Moot Court Honor Society

May 1996 BA Political Science
Pennsylvania State University, University Park

EXPERIENCE SUMMARY

US Grid Energy
Hamilton, NJ

Principal

Responsible for the operations and management of the entire energy brokerage business. Serving and maintaining customer relationships and existing relationships.

2013-Present

Kalavruzos, Mumola, Hartman & Lento, LLC
Hamilton, NJ

Equity Partner

September, 2008 – Present

Responsible for establishing and managing the entire Energy Advisement and Family Law practice including supervising on-staff attorneys and per diem attorneys. Drafted and argued motions, pleadings, and briefs. Represents a full and active client base consisting of Energy Advisement, Business, Criminal, Family, Contract and Personal Injury.

Daniel J. Graziano & Associates
Hamilton, NJ

Staff Attorney

October, 2005 – September, 2008

Practiced litigation for Family, Criminal Law, and Landlord/Tenant. Interpreted and applied laws, court decisions and other legal evidence in trial preparation, opinions and briefs. Provided legal and non-legal research and memorandum writing.

Superior Court of New Jersey
Chancery Division
Mercer County, NJ

Law Clerk for Judge Audrey P. Blackburn

September, 2002 – August, 2003

Assisted the Honorable Audrey P. Blackburn in crafting decisions for motions, trial opinions, managing and scheduling hearings and mediating cases.

ORGANIZATION / TRAINING / VOLUNTEER

Mercer County Bar Association

AOC Mediation & Conciliation Training

United States Peace Corps

Exhibit B-4

“Disclosure of Liabilities and Investigations”

Applicant, US Grid Energy LLC, does not have any past rulings, judgements, liabilities and/or investigations filed against them.

Exhibit B-5

“Disclosure of Consumer Protection Violations”

Is not applicable, Applicant selected “No” as answer to statement, Exhibit B-5.

Exhibit B-6

“Disclosure of Certification Denial, Curtailment, Suspension, or Revocation”

Applicant, US Grid Energy LLC, was licensed as a Electric broker/aggregator prior, starting in 2013, please reference 13-658E, and did not complete the renewal certification application by the due date and in accordance to OAC 4901:1-24-09. The individual that the renewal notice was sent to unfortunately did not forward the notification upon receipt which lead to the delay in the renewal application past the deadline.

Exhibit C-1

“Annual Reports”

Is not applicable, Applicant is not required and does not have Shareholders.

Exhibit C-2

“SEC Filings”

Is not applicable, Applicant is not required and does not have file 10-K/8-K Reports with the SEC.

Exhibit C-3

“Financial Statements”

Documentation submitted in connection with this Exhibit will be filed under seal, pursuant to Ohio Administrative Code 4901:1-24-08(A).

CONFIDENTIAL

Exhibit C-4

“Financial Arrangements”

Is not applicable to Applicant.

Exhibit C-5

“Forecasted Financial Statements”

Documentation submitted in connection with this Exhibit will be filed under seal, pursuant to Ohio Administrative Code 4901:1-24-08(A).

CONFIDENTIAL

Exhibit C-6

“Credit Rating”

Applicant's credit rating is good as rated by the appropriate agencies.

Exhibit C-7

“Credit Report”

Selected Company: PJM WHOLESALE BROKERS, LLC
D-U-N-S #: 07-881-5780

Overview

Business Summary

Company Name:	US GRID ENERGY, LLC
D-U-N-S #:	07-881-5780
DBA's:	N/A
Address:	18 Abbott Rd
City:	Hamilton
State:	NJ
Zip:	08690
Phone:	6095083078
Principal:	MICHAEL LENTO
Year Started:	2012
Employs:	7 which includes partners.
SIC Code(s):	49119904 Electric power broker 49240000 Natural gas distribution
NAICS Code(s):	221122 Electric Power Distribution 221210 Natural Gas Distribution
Legal Structure:	N/A

Special Events

There have been no special events reported to D&B for this company.

History and Operations

Officers and Directors

Current Officers

Name	Title
MICHAEL LENTO	MBR

Current Directors

No directors have been listed in this company report.

Company History

Provides electric services, specializing in electric power broker. Provides natural gas distribution.

Operations

Employees

7 which includes partners.

Facilities

Occupies premises in a building.

Location

There is currently no location information listed in this company report.

U.S. Branch

There are currently no U.S. branches listed in this company report.

U.S. Subsidiary

There are currently no U.S. subsidiaries listed in this company report.

International Branch

There are currently no international branches listed in this company report.

International Subsidiary

There are currently no international subsidiaries listed in this company report.

Payments

Payment Summary

	Total Received	Total Dollar Amount	Largest High Credit Payment summary	Within Terms	Days Slow			
					<31	31-60	61-90	91+
Other Categories								
Cash experiences	1	\$500	\$500					
Unknown	0	\$0	\$0					
Unfavorable comments	0	\$0	\$0					
Placed for collections with D&B:	0	\$0	\$0					
Other	0	N/A	\$0					
Total in D&B's file	1	\$500	\$500					

The highest Now Owes on file is \$0

The highest Past Due on file is \$0

There are 1 payment experience(s) in D&Bs file for the most recent 24 months, with 0 experience(s) reported during the last three month period.

Payment Details

Total (Last 12 Months): 1

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo.)
07/2014	(001)	\$500	--	--	Cash account	1 mo

Payments Detail Key: ■ 30 or more days beyond terms

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Finances

Key Financial Comparisons

	(S)	(S)	(S)
This Company's Operating Results Year Over Year			
Net Sales	NA	NA	NA
Gross Profit	NA	NA	NA
Net Profit	NA	NA	NA
Dividends / Withdrawals	NA	NA	NA
Working Capital	NA	NA	NA
This Company's Assets Year Over Year			
Cash	NA	NA	NA
Accounts Receivable	NA	NA	NA
Notes Receivable	NA	NA	NA
Inventories	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets	NA	NA	NA
Other Non Current	NA	NA	NA
Total Assets	NA	NA	NA
This Company's Liabilities Year Over Year			
Accounts Payable	NA	NA	NA
Bank Loan	NA	NA	NA
Notes Payable	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Long Term Debt	NA	NA	NA
Deferred Credit	NA	NA	NA
Net Worth	NA	NA	NA
Total Liabilities And Net Worth	NA	NA	NA

Balance Sheet

Fiscal Consolidated Statement Dated

We currently do not have any recent financial statement on file for this business.

Key Business Ratios

(Industry Median is based on this number of firms:)

	This Company	Industry Median	Industry Quartile
Solvency			
Quick Ratio	NA	NA	NA
Current Ratio	NA	NA	NA
Current Liabilities to Net Worth	NA	NA	NA
Current Liabilities to Inventory	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets to Net Worth	NA	NA	NA
Efficiency			
Collection Period	NA	NA	NA
Inventory Turn Over	NA	NA	NA
Sales to NWC	NA	NA	NA
Acct Pay to Sales	NA	NA	NA
Profitability			
Return on Sales	NA	NA	NA
Return on Assets	NA	NA	NA
Return on NetWorth	NA	NA	NA

Public Filings

Summary

This following public filing data includes both open and closed filings found in D&B's database on this company. It is for informational purposes only and is not the official record. Certified copies can be obtained from the official source.

Record Type	# of Records	Most Recent Filing Date
Government History	1	N/A

Details

Judgements

No judgments have been reported to D&B on this company.

Liens

No liens have been reported to D&B on this company.

Suits

No suits have been reported to D&B on this company.

UCC Filings

No UCC Filings have been reported to D&B on this company.

Bankruptcies

No bankruptcies have been reported to D&B on this company.

Government History

Borrower(Dir/Guar):	NO
Administrative Debt:	NO
Contractor:	NO
Grantee:	NO
Party excluded from federal program(s):	NO
Labor Surplus Area:	YES (2015)
Small Business:	N/A
8(A) Firm:	N/A



Exhibit C-8

“Bankruptcy Information”

US Grid Energy, LLC does not have any bankruptcy information within the last five most recent years to report.

Exhibit C-9

“Merger Information”

US Grid Energy, LLC does not have any dissolution or merger within the last five most recent years to report.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

6/26/2015 1:14:58 PM

in

Case No(s). 15-1204-EL-AGG

Summary: Application for Certification as a Competitive Retail Electric Aggregator/Power Broker electronically filed by Ms. Margeaux Kimbrough on behalf of US Grid Energy LLC