Andre T. Porter, Chairman

Commissioner

Asim Z. Haque Lynn Slaby M. Beth Trombold Thomas W. Johnson

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number: 09-152E (4)

Issued Pursuant to Case Number(s):

09-351-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to The Utilities Group, Inc. whose office or principal place of business is located at 11260 Chester Rd., Ste. 540, Cincinnati, Ohio 45246 to provide aggregation and power broker services within the State of Ohio effective June 10, 2015.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01–15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

> This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: June 11, 2015

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

real, Secretary

Tanowa M. Troupe, Acting Secretary Felecia D. Burdett, Acting Secretary

Certificate Expires: June 10, 2017

This is to cortify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

____Date Processed_JUN 11 **2015** (614) 466-3016 Technician_

180 East Broad Street Columbus, Ohio 43215-3793

CRES AUTOMATIC CASE ACTION FORM

Case No. 09-351-EL-AGG
Date Sent: June 11, 2015
Effective Date of Certificate: June 10, 2015
Certificate Expires: June 10, 2017
Company Name and Company Name d/b/a: The Utilities Group, Inc.
□ Renewal
Action Needed:
Issue Certificate Number to: at (address):
⊠Certified To Provide the Following Services:
 □ Retail Generation □ Aggregation □ Power Marketer □ Power Broker □ Governmental Aggregation
Renew Certificate No. 09-152E (3) to 09-152E (4)
Revise Certificate No to (check all applicable):
Reflect name change from to Reflect address change from to
Add new service offering to certificate: Retail Generation Aggregator Power Marketer Power Broker Natural Gas Governmental Aggregator
Correct Administrative Error Reflect Change of Ownership to:
 ☐ Cancel Certificate No. ☐ Protect Un-redacted copies until ☐ Close Case File, Case Withdrawn at Applicant's Request ☐ Close Case File

Service Notice For Case: 09-0351-EL-AGG

CASE NUMBER:

09-0351-EL-AGG

CASE DESCRIPTION:

THE UTILITIES GROUP INC

DATE OF SERVICE:

6/11/2015

DOCUMENT SIGNED ON: 6 / 11 / 2015

Sign Here:

8mo

APPLICANT

PARTY OF RECORD

THE UTILITIES GROUP INC CEO SCHUTZMAN, THOMAS J. ATTORNEY

AT LAW

COUNSEL FOR THE UTILITIES GROUP,

INC.

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CRESTVIEW HILLS,KY 41017

Phone:859-344-0828

ATTORNEY

PARTY OF RECORD

ATTORNEY

none

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Public Utilities Commission of Ohio

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