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FILE **licensealogix**

15-0930-EL-AGG

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May 11, 2015

Public Utilities Commission of Ohio  
Docketing Division 13th Floor  
180 East Broad Street  
Columbus, OH 43215

Re: **Broadway Energy Group**  
**Certification Application for Aggregators/Power Broker**

To Whom It May Concern:

Enclosed please find an **Certification Application for Aggregators/Power Broker** for our client, **Broadway Energy Group**. Once the application has been processed, please forward evidence of approval to the mailing address on the application.

If there is any issue, or if you require any further information, please do not hesitate to contact me or my colleague, Kelly Konkus, at [kkonkus@licensealogix.com](mailto:kkonkus@licensealogix.com) or (800) 292-0909 x313.

Thank you,

**Shayna Desai**  
LicenseLogix  
140 Grand Street, Suite 300  
White Plains, NY 10601  
[sdesai@licensealogix.com](mailto:sdesai@licensealogix.com)  
(800) 292-0909 x303

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*The Public Utilities Commission of Ohio*

## **Filing Instructions for Aggregators/Power Brokers**

**I. Where To File:** Applications should be sent to: Public Utilities Commission of Ohio, Docketing Division 13<sup>th</sup> Floor, 180 East Broad Street, Columbus Ohio 43215-3793.

**II. What To File:** Applicant must submit one original notarized application signed by a principal officer and ten copies including all exhibits, affidavits, and other attachments. All attachments, affidavits, and exhibits should be clearly identified. For example, Exhibit A-12 should be marked "Exhibit A-12 'Corporate Structure.'" All pages should be numbered and attached in a sequential order.

**III. Which Forms To File:** Entities other than governmental aggregators that will aggregate customers or provide power-brokering services must file a "**Certification Application for Aggregators/Power Brokers**" form. Governmental aggregators must file an "**Certification Application for Governmental Aggregators**" form. If an aggregator will provide power marketing and/or retail electric generation services in addition to aggregation and power brokering services, it must file an "**Certification Application for Retail Generation Providers, Power Marketers, and Power Brokers**" form. The following definitions are provided to assist applicants in determining which form(s) to file:

**Aggregation** - combining the electric load of multiple retail customers through an agreement with the customers or formation of a governmental aggregation pursuant to Section 4928.20 of the Revised Code for the purpose of purchasing retail electric generation service on an aggregated basis.

**Aggregator** - a person who contracts with customers to combine the customers' electric load for the purpose of purchasing retail electric generation service on an aggregated basis. The term does not include a governmental aggregator.

**Governmental Aggregator** - the legislative authority of a municipal corporation, the board of township trustees of a township, or a board of county commissioners of a county that aggregates the citizens of a municipal corporation, township, or unincorporated areas of a county in accordance with Section 4928.20 of the Revised Code for the purpose of purchasing retail electric generation service on an aggregated basis.

**Power Broker** - a person who assumes the contractual and legal responsibility for the sale and/or arrangement for the supply of retail electric generation service to a retail customer without taking title to the power supplied.

**Power Marketer** - a person who assumes the contractual and legal responsibility for the sale and provision of retail electric generation service to a retail customer who had title to the electric power provided at some point during the transaction.

**IV. Application Form:** The application is available on the Commission's web site, [www.puco.ohio.gov](http://www.puco.ohio.gov) or directly from the Commission at: Public Utilities Commission of Ohio, Docketing Division 13<sup>th</sup> Floor, 180 East Broad Street, Columbus Ohio 43215-3793.

**V. Confidentiality:** If any of an applicant's answers require the applicant to disclose what the applicant believes to be privileged or confidential information not otherwise available to the public, the applicant should designate at each point in the application that the answer requires the applicant to disclose privileged and confidential information. Applicant must fully support its request to maintain confidentiality for the information it believes to be confidential or proprietary in a motion for protective order filed pursuant to Rule 4901-1-24 of the Ohio Administrative Code.

**VI. Commission Process for Approval:** An application for certification shall be made on forms approved and supplied by the Commission. The applicant shall complete the appropriate application form in its entirety and supply all required attachments, affidavits, and evidence of capability specified by the form at the time an application is filed. The Commission certification process begins when the Commission's Docketing Division receives and time/date stamps the application. An incomplete application may be suspended or rejected. An application that has been suspended as incomplete may cause delay in certification.

The Commission may approve, suspend, or deny an application within 30 days. If the Commission does not act within 30 days, the application is deemed automatically approved on the 31<sup>st</sup> day after the official filing date. If the Commission suspends the application, the Commission shall notify the applicant of the reasons for such suspension and may direct the applicant to furnish additional information. The Commission shall act to approve or deny a suspended application within 90 days of the date that the application was suspended. Upon Commission approval, the applicant shall receive notification of approval and a numbered certificate that specifies the service(s) for which the applicant is certified and the dates for which the certificate is valid.

Unless otherwise specified by the Commission, a competitive retail electric service provider's certificate is valid for a period of two years, beginning and ending on the dates specified on the certificate. The applicant may renew its certificate in accordance with Rule 4901:1-24-09 of the Ohio Administrative Code.

CRES (competitive retail electric service) providers shall inform the Commission of any material change to the information supplied in a certification application within thirty days of such material change in accordance with Rule 4901:1-24-10 of the Ohio Administrative Code.

**VII. Contractual Arrangements for Capability Standards:** If the applicant is relying upon contractual arrangements with a third-party(ies) to meet any of the certification requirements, the applicant must provide with its application all of the following:

- The legal name of the party(ies) it is contracting with;
- A statement that a valid contract exists between the applicant and the third-party(ies);
- A detailed summary of the contract(s) including all services provided thereunder;
- The documentation and evidence to demonstrate the contracting entity's capability to meet the requirements as if the contracting entity was the applicant.

**VIII. Questions:** Questions regarding filing procedures should be directed to Tamara Turkenton at (614) 995-7096 or [Tammy.Turkenton@puc.state.oh.us](mailto:Tammy.Turkenton@puc.state.oh.us). or Chuck Stockhausen at (614) 728-5049 or [Charles.Stockhausen@puc.state.oh.us](mailto:Charles.Stockhausen@puc.state.oh.us).

**IX. Governing Law:** The certification of competitive retail electric suppliers is governed by Chapter 4901:1-24 of the Ohio Administrative Code, Chapter 4901:1-21 of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.



*The Public Utilities Commission of Ohio*

PUCO USE ONLY		
Date Received	Case Number	Version
	-EL-AGG	August 2004

15-0930-EL-AGG

## **CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS**

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.**

### **A. APPLICANT INFORMATION**

#### **A-1 Applicant's legal name, address, telephone number and web site address**

Legal Name Broadway Energy Group  
Address 8506 Queens Blvd, Elmhurst, NY 11373  
Telephone # (718) 205-1800 Web site address (if any) WWW.BROADWAYENERGYGROUP.COM

#### **A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio**

Legal Name Broadway Energy Group  
Address 8506 Queens Blvd, Elmhurst, NY 11373  
Telephone # (718) 205-1800 Web site address (if any) WWW.BROADWAYENERGYGROUP.COM

#### **A-3 List all names under which the applicant does business in North America**

Broadway Energy Group  
\_\_\_\_\_  
\_\_\_\_\_

#### **A-4 Contact person for regulatory or emergency matters**

Name Tassan Jatikusuma  
Title Owner

Business address 8506 Queens Blvd, Elmhurst, NY 11373  
Telephone # (718) 205-1800 Fax # (212) 937-3813  
E-mail address (if any) tassan@broadwayenergygroup.com

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name Tassan Jatikusuma  
Title Owner  
Business address 8506 Queens Blvd, Elmhurst, NY 11373  
Telephone # (718) 205-1800 Fax # (212) 937-3813  
E-mail address (if any) tassan@broadwayenergygroup.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 8506 Queens Blvd, Elmhurst, NY 11373  
Toll-free Telephone # \_\_\_\_\_ Fax # (212) 937-3813  
E-mail address (if any) tassan@broadwayenergygroup.com

**A-7 Applicant's federal employer identification number # 26-4166993**

**A-8 Applicant's form of ownership (check one)**

- |  |  |
|--|--|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                     |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation              | <input type="checkbox"/> Other _____                     |

**A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).**

<input checked="" type="checkbox"/> First Energy				
<input checked="" type="checkbox"/> Ohio Edison	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> Toledo Edison	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> Cleveland Electric Illuminating	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> Cincinnati Gas & Electric	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> Monongahela Power	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> American Electric Power				
<input checked="" type="checkbox"/> Ohio Power	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> Columbus Southern Power	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial
<input checked="" type="checkbox"/> Dayton Power and Light	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Mercantile	<input checked="" type="checkbox"/> Industrial

- A-10 Provide the approximate start date that the applicant proposes to begin delivering services

MAY 2015

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

## **B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

**B-4** **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide. N/A

**B-5** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.



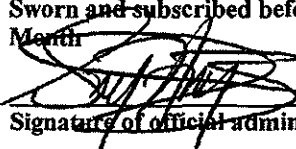
- C-3 **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

  
Signature of Applicant & Title

Sworn and subscribed before me this 6 day of May, 2015  
Month Year

  
Signature of official administering oath

Saira Hernandez, Accountant  
Print Name and Title

My commission expires on July 23, 2016

---

SAIRA LAURICE HERNANDEZ  
Notary Public, State of New York  
No. 01HE6268159  
Qualified in Queens County  
Commission Expires July 23, 2016

# **AFFIDAVIT**

State of New York :

Queens ss.  
(Town)

County of Queens :

Tassan Jafikusoma, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of Brooklyn Energy Group (Name of Applicant);

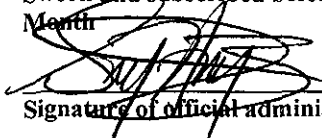
That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

 **PRESIDENT**  
Signature of Applicant & Title

Sworn and subscribed before me this 6 day of May, 2015  
Month Year

  
Signature of official administering oath

Saira Hernandez, Accountant  
Print Name and Title

My commission expires on July 23, 2016

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**SAIRA LAURICE HERNANDEZ**  
Notary Public, State of New York  
No. 01HE6266159  
Qualified in Queens County  
Commission Expires July 23, 2016

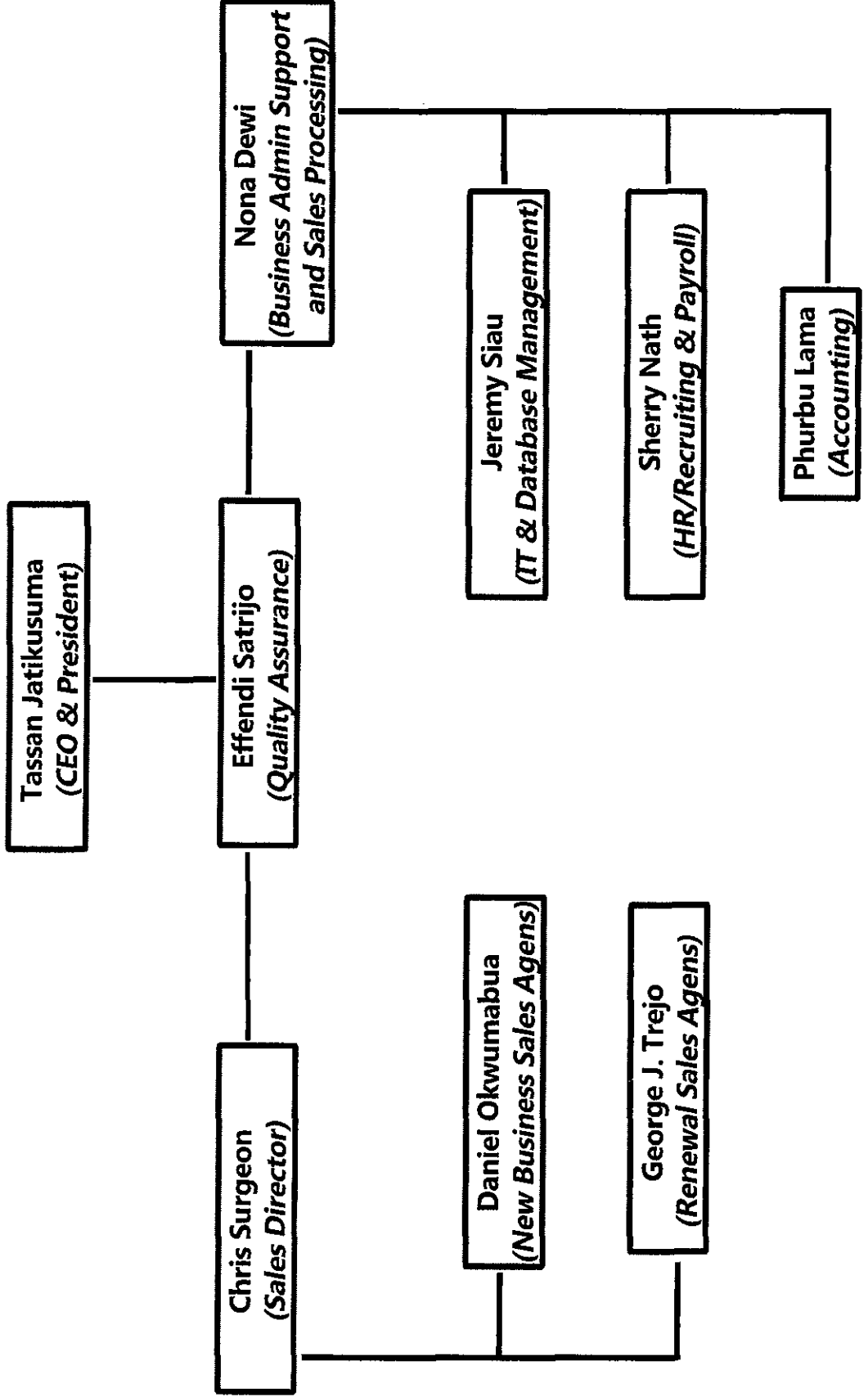
**Exhibit A-11, "Principal Officers, Directors & Partners"**

Tassan Jatikusuma  
Owner  
5212 Van Horn St, Apt 2A  
Elmhurst, NY 11373  
(347) 628-7236



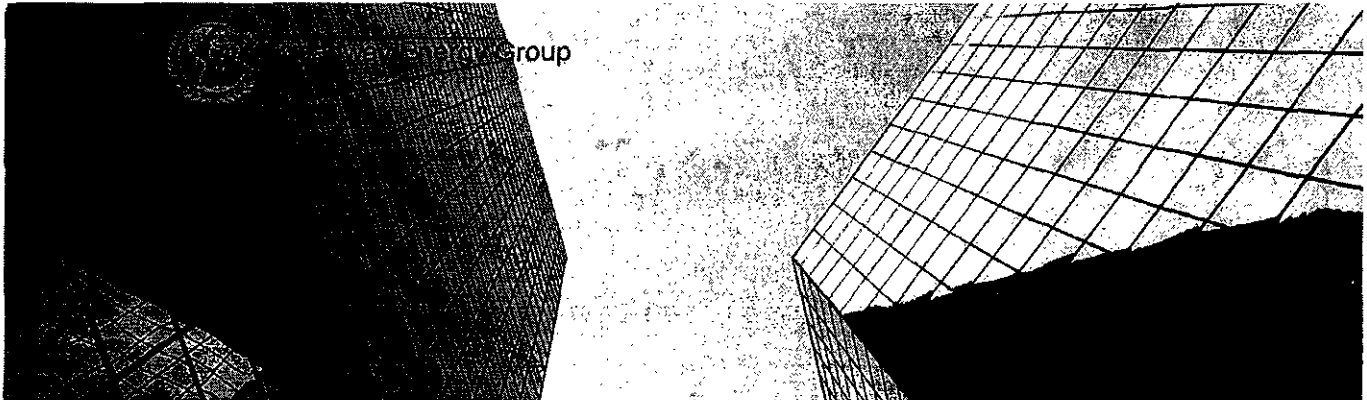
**BROADWAY ENERGY GROUP**  
We Tower Above the Rest for Energy Cost Savings

## Organization Chart



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Broadway Energy Group

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Broadway Energy group is an energy brokerage and consulting firm. Broadway energy group will help you find the best possible energy products and rates for your business.

We monitor energy market trends and product offerings from a variety of major competitive energy suppliers to help match you with the best product at the best price.

Broadway Energy consulting helps small businesses and larger commercial and industrial customers to find competitive energy rates and product options for customers in competitive energy supply markets in the market place. We approach our business with integrity, and our employees are a reflection of that principle.

By partnering with Broadway Energy Group, you will have the peace of mind that comes with knowing you have the best energy plan for your business, at the most competitive rate available.

#### Our services includes:

- A thorough review of your electric and gas bills for 12-36 months.
- A comprehensive building survey for manufacture.
- Procurement Services: negotiating contracts with leading electricity, natural gas and fuel oil suppliers to provide reliable energy at very competitive prices for our clients.
- Efficient Power Program for our clients.
- BEG FC
- Load Shedding Program
- Solar Energy
- Bill Audit
- Demand Response
- LED Light

Broadway Energy Group has the proven experience and is recognized as one of the predominant professional energy consultant.

#### Broadway Energy Group Corp.

8506 Queens Blvd  
Elmhurst, NY 11373  
Corporate Phone: 718-205-1600/1800  
Fax: 718-205-7300  
email: [info@broadwayenergygroup.com](mailto:info@broadwayenergygroup.com)



BBB Rating: A+





**Broadway Energy Group**  
We Lower Almost the Cost for Energy Cost Savings

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## ABOUT US

### Our business is putting your savings back into your business!

Broadway Energy Group is a New York City based National Consulting Firm. We are committed to providing businesses with clarity and insight in today's rapidly changing energy environment and the most reliable power supply at the lowest possible cost.

With well over 15 years combined experience in the market, our leadership has a unique perspective of where energy market costs impact your bottom line. Leveraging this knowledge has given us a unique niche in the marketplace, and allows us to deliver unparalleled service to over 1,000 commercial businesses throughout the deregulated United States. As a full service consultancy, we offer:

- GREEN, RENEWABLE RESOURCES
- SOLAR INNOVATIONS
- RATE REDUCTIONS
- ENERGY AUDITS CONDUCTED BY A TEAM OF THE TOP ENERGY ENGINEERS
- BUDGET CERTAINTY

In order to keep up with today's rapidly changing energy environment, each consultant is required to attend continuing education seminars and extensive in-house training. Only an established Firm, like BEG, can offer our clients the service and market experience required to proactively manage your energy needs.

### Broadway Energy Group Corp.

8506 Queens Blvd  
Elmhurst, NY 11373  
Corporate Phone:  
718-205-1600/1800  
Fax: 718-205-2300  
email:  
[info@broadwayenergygroup.com](mailto:info@broadwayenergygroup.com)



**BBB Rating: A+**  
As of 3/23/2015  
[Click for Review](#)





The company provides individualized energy supply options, structured products, and strategies that allow customers to manage their energy needs while capitalizing on opportunities resulting from deregulation.

Our team works for you to identify your energy requirements and match your business with the provider that best suits your energy consumption profile. Our programs are designed to have immediate and lasting impact on your bottom line; we will save you time and money TODAY and in the FUTURE!

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**Broadway Energy Group**  
We Take Action To Get You The Best Energy Cost Savings

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## OUR MISSION

**Our mission is working hard to assist business owners in reducing their energy bills.**

BEG works with the top Energy Suppliers in the industry to deliver maximum savings to our clients.

Who we are:

- \* An elite Brokerage Firm that can assist you with energy cost savings.
- \* An honest, dedicated Team of consultants who are always focused on meeting your needs.
- \* Professionals of the Energy Industry who have the knowledge, tools, and expertise in helping your organization.

Broadway Energy Group combines extensive knowledge of all facets of the energy industry with well over 20 years' experience, to deliver broad insight, budget certainty, and an overall savings on your company's bottom line.

## Broadway Energy Group Corp.

8506 Queens Blvd  
Elmhurst, NY 11373  
Corporate Phone:  
718-205-1600/1800

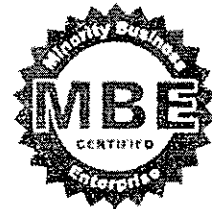
Fax: 718-205-2300

email:

[info@broadwayenergygroup.com](mailto:info@broadwayenergygroup.com)



**BBB Rating: A+**  
as of 3/23/2015  
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**Broadway Energy Group**  
We Tower Above the Rest for Energy Cost Savings

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## SERVICES

At Broadway Energy Group, your business will find educated consultants, personal service, aggressive pricing and the expertise of a national full service consultancy devoted to helping your organization navigate today's energy environment. We offer more solutions via auditing processes, evaluating and addressing usage patterns and much more. After implementing our full line of service, many clients experience an average total savings of 20%-40% off their initial energy costs.

### The Professionals of Broadway Energy Group:

- Review your electricity or gas bills for the most current rates and contract information.
- Research and analyze your business's current and historical energy usage.
- Analyze and evaluate the bids to recommend your best option.
- Explain every available energy option and why we recommend the energy product for your business.
- Assist clients in negotiating and executing contracts.
- Negotiate a contract with the best terms and conditions available in the marketplace on your behalf.
- Ensure your contract is properly executed and implemented by the chosen supplier.

Once you become a client, we contact you on a quarterly basis to review your accounts and answer any questions. Our commercial energy consultants will handle any and all matters related to your utility company such as billing issues, address changes, location drops/adds, etc.

### Broadway Energy Group Corp.

8506 Queens Blvd  
Elmhurst, NY 11373  
Corporate Phone:  
718-205-1600/1800  
Fax: 718-205-2300  
email:  
[info@broadwayenergygroup.com](mailto:info@broadwayenergygroup.com)



***STATE OF NEW YORK***  
***DEPARTMENT OF STATE***

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on November 12, 2014.

A handwritten signature in cursive script that reads "Anthony Giardina".

Anthony Giardina  
Executive Deputy Secretary of State

CERTIFICATE OF INCORPORATION

090107000691

OF

**BROADWAY ENERGY GROUP CORP.**

Pursuant to Section 402 of the Business Corporation Law

I, the undersigned, a natural person of at least 18 years of age, for the purpose of forming a corporation under Section 402 of the Business Corporation Law of the State of New York hereby certify:

FIRST: The name of the corporation is:

**BROADWAY ENERGY GROUP CORP.**

SECOND: The purpose of the corporation is to engage in the business of a broker for electrical energy as well as any lawful act or activity for which corporations may be organized under Article IV of the Business Corporation Law, except that it is not formed to engage in any act or activity requiring the consent or approval of any state official, department, board, agency or other body without such consent or approval first being obtained.

THIRD: The office of the corporation is to be located in the County of **QUEENS**, State of New York.

FOURTH: The aggregate number of shares which the corporation shall have the authority to issue is **TWO HUNDRED**, each of which shall be common stock with no par value.

FIFTH: The Secretary of State is designated as agent of the corporation upon whom process against it may be served. The post office address to which the Secretary of State shall mail a copy of any process against the corporation served upon him is:

**THE CORPORATION  
51-13 94TH STREET  
ELMHURST, NY 11373**

090107000691

SIXTH: No director of the corporation shall have personal liability to the corporation or to its shareholders for damages for any breach of duty in such capacity, provided, however, that the provision shall not eliminate or limit:

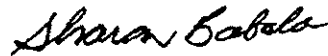
(a) the liability of any director of the corporation if a judgment or other final adjudication adverse to him establishes that his acts or omissions were in bad faith or involved intentional misconduct or a knowing violation of law or that he personally gained in fact a financial profit or other advantage to which he was not legally entitled or, with respect to any director of the corporation, that his acts violated Section 719 of the Business Corporation Law of the State of New York, or

(b) the liability of a director for any act or omission prior to the final adoption of this article.

SEVENTH: The holders of any of the corporation's equity shares shall be entitled to preemptive rights in accordance with the provisions of BCL section 622.

IN WITNESS WHEREOF, the undersigned incorporator has executed this certificate of incorporation.

1/7/09



Sharon Babala, Incorporator  
BlumbergExcelsior Corporate  
Services, Inc.  
52 South Pearl Street  
Albany, New York 12207

090107000691

Certificate of Incorporation

of

BROADWAY ENERGY GROUP CORP.

Pursuant to Section 402 of the Business Corporation Law

STATE OF NEW YORK  
DEPARTMENT OF STATE  
FILED JAN 07 2009  
TAX \$ 10.00  
BY: PLS  
Chae

BLU-39  
DRAW DOWN

FILED  
2009 JAN -7 PM 2:49

Filed By:  
BlumbergExcelsior Corporate Services, Inc.  
52 South Pearl Street, 2nd Floor  
Albany, NY 12207

2009 JAN -7 PM 12:14

RECEIVED

090107000763



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
01/22/2015	201502101675	FOREIGN FOR PROFIT CORPORATION - LICENSE (FLF)	125.00	0.00	0.00	0.00	0.00

**Receipt**

This is not a bill. Please do not remit payment.

LICENSELOGIX, LLC  
140 GRAND STREET  
SUITE 300  
WHITE PLAINS, NY 10601

**STATE OF OHIO  
CERTIFICATE**

**Ohio Secretary of State, Jon Husted**  
**2359357**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**BROADWAY ENERGY GROUP CORP.**

and, that said business records show the filing and recording of:

Document(s)

**FOREIGN FOR PROFIT CORPORATION - LICENSE**

Effective Date: 01/20/2015

Document No(s):

**201502101675**

Authorization to transact business in Ohio is hereby given, until surrender, expiration or cancellation of this license.



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
22nd day of January, A.D. 2015.

*Jon Husted*

**Ohio Secretary of State**



## We Tower Above The Rest For Energy Cost Savings

Broadway Energy Group is a New York City based National Consulting Firm. We are committed to providing businesses with clarity and insight in today's rapidly changing energy environment and the most reliable power supply at the lowest possible cost.



### BROADWAY ENERGY GROUP

With well over 20 years combined experience in the market, our leadership has a unique perspective of where energy market costs impact your bottom line. Leveraging this knowledge has given us a unique niche in the market place, and allows us to deliver unparalleled service to over 500 clients throughout the deregulated United States. As a full service consultancy, we offer:

- PROCUREMENT PROGRAM
- BILL AUDITS
- PLATFORM ENERGY BIDDING
- GOVERNMENT AGGREGATION
- SOLAR
- DEMAND RESPONSE
- CHP - FUEL CELL

In order to keep up with today's rapidly changing energy environment, each consultant attends continuing education seminars and extensive in-house training. Only an established firm, like us that can offer our clients the service and market experience required to proactively manage your energy needs.

The company provides individualized energy supply options, structured products, and strategies that allow customers to manage their energy needs while capitalizing on opportunities resulting from deregulation. Our team works for you to identify your energy requirements and match your business with the provider that best suits your energy consumption profile. Our programs are designed to have immediate and lasting impact on your bottom line; we will save you time and money TODAY and in the FUTURE!

We manage a competitive bidding process for client accounts with multiple reputable suppliers. This makes us capable of securing the lowest available market rates. Many of our clients experience total savings of well over 20%- 40% off their initial energy costs.

#### Clients that we serve:

- Trenton Board of Education Grant School
- Girl Scout Council of Greater New York
- Hudson City School District
- Whitney Museum
- Boscov's Mall
- Queens Crossing Malls
- Children's Institute
- Strand Book Store
- Bulova Watch
- Texas McDonald's
- Metro Car Wash
- Popeye's
- Subway

#### Type of businesses that we serve:

- \* Industrial / Manufacture
- \* School / University / College
- \* Airport / Transit
- \* Stadium
- \* Agriculture
- \* Mall / Shopping Center
- \* Hospital
- \* Casino
- \* Government / Town / County
- \* Municipality
- \* Non-profit

#### Tassan Jatikusuma

Chief Executive Officer

Phone: 718-205-1800/2200 Fax: 718-205-2300

Cell: 347-628-7236 E-fax: 212-937-3813

Email: Tassan@broadwayenergygroup.com

Website: www.broadwayenergygroup.com



### BROADWAY ENERGY GROUP

We Tower Above the Rest for Energy Cost Savings

85-06 Queens Blvd, Bsmt, Elmhurst, NY 11373  
116 N Washington Ave, Suite 1G, Scranton, PA 18503



# B-2 Experience & Plans + B-3 Summary of Experience



**TASSAN**  
**JATIKUSUMA**

## CONTACT ME

Mobile 347-628-7236  
Email tassan@broadwayenergygroup.com  
Address 52-12 Van Horn St., Apt 2A

## OBJECTIVE

Seeking a full time employment for a long term career in managerial position for hospitality and service industries where I can utilize my skills and knowledge to contribute to the company.

## SUMMARY OF QUALIFICATIONS

Professional who enjoys a challenge and work until job is completed. Proven team player with ability to initiate. Hard working self starter with leadership, communication and organizational skills. Proficient in Microsoft word, Excel, Outlook and Internet access

## WORK EXPERIENCE

### BROADWAY ENERGY GROUP / 05/01/2010 - Present

(Business Owner)

Manage company and doing marketing to increase sales and make company more profitable. Doing advertising, recruiting, hiring and training all the employee to work together as a team and doing a good job taking care the customers. Control all aspect of the business. Work together as a team with all the staff member. Work together with all the ESCO company with a good and honest relationship

### FOUNDATION ENERGY SERVICES / 11/01/2009 - 04/15/2010

(General Manager)

- Managed account executive as a team and work closely to achieve the target that given from the owner
- Performed general management task including recruiting, sales and training
- Respond daily to the principle of the company
- Doing Sales and closed the customers contract
- Planned, organized and executed sales.

### EXCELLENT DYNAMIC ENERGY / 12/01/2008 - 10/08/2009

(Regional Manager)

- Recruiting, training and hiring New Sales agent
- Handle all the sales aspect for New York City Region
- Develop relationship with the customers
- Provide excellent customers Services
- Executing all Sales/marketing initiatives and generated promotions throughout the Company

### US FIRST ENERGY / 01/04/2008 - 09/07/2008

(NY Region Top Producer / Area Manager)

- Breaking record all the time in the whole company for consistently closing deals
- Experience in Business to Business sales
- Responsible for training new Sales Agent on the field and perform superior presentation
- Supervise over 10 people in the office, including Sales Agent, Operations, Team Leader and HR
- Work closely with all sales agent as a team to reach company target weekly and monthly.
- Assist Regional Manager on daily basis and weekly basis

### MORE THAN FISH INC / 02/01/2002 - 11/01/2007

(Manager & Buyer)

- Control all aspects of the business including food cost, labor and overhead expenses to have a healthy bottom line
- Negotiate agreements with Vendors, Check on prices and get the best quality of seafood
- Provide excellent service to the clients and customers and following health code standards to provide safe and sanitary food and environment
- Place order and purchase directly fish from distributor and choose the best quality of raw fish and all kinds of seafood

## EDUCATION

2010 - Current  
1997 - 1998

**LONG ISLAND BUSINESS INSTITUTE** - Associate Degree  
**UBAYA UNIVERSITY** - Major in Economic Management

**LANGUAGE:** Malaysian, Indonesian, and English



# CHRIS SURGEON



## CONTACT ME

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- Mobile 646-597-1776
- Email [chriss@broadwayenergygroup.com](mailto:chriss@broadwayenergygroup.com)
- Address 234 Lorraine Ave, Mount Vernon, NY 10552



## SUMMARY

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I am a professional who tactically approaches every objective. I work extremely well with others to achieve a favorable result in every scenario

**Computer skills:** Microsoft Excel, Word and Outlook



## WORK EXPERIENCE

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Oct 1st, 2010 - Present / **Director of Sales at Broadway Energy Group**

- Identify key accounts to add to company portfolio quarterly
- Guide agents target markets to increase revenue
- Client relations
- Guide team members on updates and techniques to increase revenue

Jan 1st, 2007 - Nov 12th, 2009 / **Energy Broker at Foundation Energy Services**

- Manage accounts
- Planned organized and executed sales
- Reached and exceeded monthly quota

May 1st, 2005 - Nov 8th, 2007 / **Energy Broker at Just Energy/US Energy Savings**

- Handle all sales aspects
- Develop relationship with the clients to increase revenue
- Reach weekly quota of 6 closed (new) field accounts



## EDUCATION

---

2002 - 2003 Devry University



# MICHAEL POLICASTRO



## CONTACT ME

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Mobile 570-591-3514



Email [mikep1213@aol.com](mailto:mikep1213@aol.com)



Address 124 Arnold Ave, Scranton, PA 18505



## OBJECTIVE

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To obtain a challenging and responsible position in the financial industry where my experience, education and training skills will be effectively utilized in the realization of corporate goals while promoting personal and professional growth

**Skills and abilities:** I have a gift in sales which comes to me naturally. I have always exceeded my expectations with every company I have worked for and very confident that I would be a great asset to a company. I have the ability to manage and oversee a group of people for a company



## WORK EXPERIENCE

---

### **BROADWAY ENERGY GROUP - Queens, NY / 12/01/2010 - PRESENT**

Pennsylvania Manager and Energy Consultant

Supervise the work of agents that I hired and trained in Pennsylvania to ensure adherence to quality standards, deadlines and proper procedures, correcting errors or problems. Provide agents with guidance in handling difficult or complex problems and in resolving any situations that may occur. To guidance in handling difficult or complex problems and in resolving any situations that may occur. To train my agents to be successful and maximize profit for themselves and the company. Also closing deals on a daily basis for myself and becoming the most profitable agent in Pennsylvania for our company.

### **COMMONWEALTH FINANCIAL - Dickson City, PA / 10/01/2009 - 11/01/2010**

Debt Collector in Portfolio

I would contact people on a daily basis to notify them about their debt. I would guide them in the best possible way to close their account either by settlement or payment plan in a timely fashion. I would also use a program which is a skip tracing way to contact relatives to find the debtor.

### **OXFORD MANAGEMENT SERVICES - Scranton, PA / 06/01/2007 - 09/01/2009**

Debt Collector

I contacted individuals on a daily basis to notify them about their debt. I would guide them in the best possible way to close their account either by settlement or payment plan in a timely fashion.

### **DIAL AMERICA - Scranton, PA / 02/01/2005 - 01/15/2006**

Customer Service Representative

I made outbound calls to people in the United States to sell the product we had to offer them. To build a client base and maximize profit for myself and the company.

### **TELESPECTRUM - Dunmore, PA / 03/01/1999 - 02/01/2000**

Customer Service Representative

I made outbound calls to people in the United States to sell the product we had to offer them. To build a client base and maximize profit myself and the company.



## EDUCATION

---

### **KEYSTONE COLLEGE - LaPlume, PA / 09/01/2002 - 06/15/2005**

Major - Business Administration

I attended Keystone College and received an associates degree.

### **NORTH POCONO HIGH SCHOOL - Moscow, PA**

Academic Courses



# GEORGE JEAN TREJO



## CONTACT ME



Mobile 646-355-7193



Email trejojt@aol.com



Address 235 East 40th St. New York, NY 10016



## PROFILE

- Effective sales experience in negotiating deals.
- Excellent interpersonal and communication skills.
- Almost 4 years in the energy industry (Electricity & Gas).
- Maintain relationship with borrowers and closed many repeat clients.
- Auditor for energy - electricity, gas, water, etc. Receiving monies from states, utilities & suppliers on errors, overcharges, tax exemptions, etc.

**Languages:** English

**Computer:** Microsoft Excel and Word

**Referenced:** Provided upon request (Please keep information confidential at this time.)



## WORK EXPERIENCE

### **BROADWAY ENERGY GROUP (CONSULTANT & AUDITOR) / 2011 - PRESENT**

(Sales Consultant & Auditor)

- In the field + in office consultant
- Canvassed different boroughs for prospective clientele & called leads provided by the company & ones generated by myself
- Price deals with matrixes provided by suppliers
- Go over agreements in detail with prospective customers in order to finalize deals
- Maintain relationships with same customer for years

### **DISCOUNT HOME MORTGAGE (SALES CONSULTANT) / 2009-2010**

(Sales Consultant)

- Called leads provided by the company in addition to existing client lists
- Ordered and reviewed appraisals and titles
- Collected and prepared documentation
- Calculated DTI for submission to underwriting

### **APPROVED FUNDING (MORTGAGE BANKER) / 2008-2009**

(Mortgage Consultant)

- Called existing client list and some Real Estate Brokers, Lawyers and CPAs
- Ordered and reviewed appraisals and titles
- Collected and prepared documentation
- Calculated DTI for submission to underwriting

### **TRIBECA LENDING (MORTGAGE BANKER) / 2007-2008**

(Mortgage Consultant)

- Called leads provided by the company from television advertising along with existing client list
- Ordered and reviewed appraisals and titles
- Collected and prepared documentation
- Calculated DTI for submission to underwriting
- Became the 3rd top producer in the company within 7 months of joining the company

### **POWER EXPRESS (MORTGAGE BANKER) / 2006-2007**

(Mortgage Consultant)

- Called leads provided by the company from New York Times, New York Post, Daily News and high end magazines along with existing client lists
- Ordered and reviewed appraisals and titles
- Collected and prepared documentation
- Calculated DTI for submission to underwriting
- One of top producers in the company

### **EQUITY NOW (MORTGAGE BANKER) / 1997-2006**




(Sales Manager and Loan Officer)

- Called leads provided by the company from New York Times, New York Post and Daily News
- Collected and prepared documentation
- Collected and prepared documentation
- Calculated DTI for submission to underwriting
- Top loan officer all 9.5 years with the company
- Closed \$53 million in 2003
- Managed up to 6 loan officers from 1999 through 2003

# DANIEL OKWUMABUA

## CONTACT ME

---

 Mobile 718-717-2059  
 Email [danielo@broadwayenergygroup.com](mailto:danielo@broadwayenergygroup.com)  
 Address 8506 Queens Blvd, Elmhurst, NY 11373

## OBJECTIVE

---

To obtain a challenging and responsible position in the financial industry where my experience, education and training skills will be effectively utilized in the realization of corporate goals while promoting personal and professional growth

**Skills and abilities:** I have a gift in sales which comes to me naturally. I have always exceeded my expectations with every company I have worked for and very confident that I would be a great asset to a company. I have the ability to manage and oversee a group of people for a company

## WORK EXPERIENCE

---

### **BROADWAY ENERGY GROUP - Queens, NY / 12/01/2010 - PRESENT**

New York Manager and Energy Consultant

Supervise the work of agents that I hired and trained in New York to ensure adherence to quality standards, deadlines and proper procedures, correcting errors or problems. Provide agents with guidance in handling difficult or complex problems and in resolving any situations that may occur. To guidance in handling difficult or complex problems and in resolving any situations that may occur. To train my agents to be successful and maximize profit for themselves and the company. Also closing deals on a daily basis for myself and becoming the most profitable agent in New York for our company.

### **COMMONWEALTH FINANCIAL - Dickson City, PA / 10/01/2009 - 11/01/2010**

Debt Collector in Portfolio

I would contact people on a daily basis to notify them about their debt. I would guide them in the best possible way to close their account either by settlement or payment plan in a timely fashion. I would also use a program which is a skip tracing way to contact relatives to find the debtor.

### **OXFORD MANAGEMENT SERVICES - Scranton, PA / 06/01/2007 - 09/01/2009**

Debt Collector

I contacted individuals on a daily basis to notify them about their debt. I would guide them in the best possible way to close their account either by settlement or payment plan in a timely fashion.

### **DIAL AMERICA - Scranton, PA / 02/01/2005 - 01/15/2006**

Customer Service Representative

I made outbound calls to people in the United States to sell the product we had to offer them. To build a client base and maximize profit for myself and the company.

### **TELESPECTRUM - Dunmore, PA / 03/01/1999 - 02/01/2000**

Customer Service Representative

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## EDUCATION

---

### **KEYSTONE COLLEGE - LaPlume, PA / 09/01/2002 - 06/15/2005**

Major - Business Administration

I attended Keystone College and received an associates degree.

### **NORTH POCONO HIGH SCHOOL - Moscow, PA**

Academic Courses

## **REFERENCES**

**Paul Xenis** - President of Guest Supply (732) 690-6696

**Paul Goupis** - Vice President of Winston Hill Capital (570) 877-0050




**John Gentile** - Owner of RLE Enterprise (570) 840-2709



# EFFENDY SATRIJO

## CONTACT ME

---

-  Mobile 718-205-1600
-  Email david@broadwayenergygroup.com
-  Address 85-06 Queens Blvd, Elmhurst, NY 11373

## SUMMARY

---

I am a professional who tactically approaches every objective. I work extremely well with others to achieve a favorable result in every scenario

**Computer skills:** Microsoft Excel, Word and Outlook

## WORK EXPERIENCE

---

Oct 1st, 2010 - Present / **Quality Assurance at Broadway Energy Group**

- Manage and coordinates data collection
- Collects and creates reports
- Follows up on audit corrective action
- Project management
- Improving business productivity

Jan 1st, 2007 - Nov 12th, 2009 / **Energy Broker at Foundation Energy Services**

- Manage accounts
- Planned organized and executed sales
- Reached and exceeded monthly quota

May 1st, 2005 - Nov 8th, 2007 / **Energy Broker at Just Energy/US Energy Savings**

- Handle all sales aspects
- Develop relationship with the clients to increase revenue
- Reach weekly quota of 6 closed (new) field accounts

## EDUCATION

---

2000 - 2003 Devry University



**JEREMY**  
SIAU



## CONTACT ME

---



Mobile 347-439-1234



Email [jeremy@computergraphy.com](mailto:jeremy@computergraphy.com)



Address 5531 Junction Blvd 2nd FL, Elmhurst, NY 11373



## OBJECTIVE

---

Seeking a full time employment for a long term career in computerization technology where I can utilize my skills and knowledge to contribute to the company.

## SUMMARY OF QUALIFICATIONS

Professional who enjoys a challenge and work until job is completed.  
Proven team player with ability to initiate.



## SKILLS

---

- Computer hardware & software troubleshoot, fix, upgrade, build, and installation.
- Skilled in adobe photoshop, microsoft word, microsoft excel, microsoft powerpoint, microsoft outlook, adobe acrobat, adobe audition, adobe after effects, adobe premiere pro, adobe soundblaster, adobe flash, adobe encore, adobe illustrator, and adobe lightroom
- Microsoft server 2003, 2008, and 2012 networking and active directory.
- Microsoft windows XP, Vista, 7, and 8.
- Wireless and wired networking.
- Flyer, brochure, posters business card, banner, letterhead, logo, and other marketing material design and production.
- Database maker and organizer.
- Proficient in C+, HTML, PHP, Mysql, Wordpress and E-Commerce.
- Hard drive data recovery on PC and Mac.
- Creating, designing, and maintaining an existing or new website.



## WORK EXPERIENCE

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### **BROADWAY ENERGY GROUP / 11/01/2012 - current**

(Computer Science & Program Engineering)

- Creating and managing company online website
- Maintenance wired and wireless computer networking
- Creating and designing company marketing material
- Managing company database and backups
- Company research and development.

### **COMPUTERGRAPHY / 06/01/2006 - current**

(Head IT Technician - Owner)

- Controlling all aspects of the business

### **1234PHOTOGRAPHY / 03/06/2009 - current**

(Photographer/Cinematographer/Owner)

- Controlling all aspects of the business
- Managing all photo and video jobs.



## EDUCATION

---

2004 - 2006  
2002 - 2004

**Pensacola Christian College - Bachelor Degree**  
**St. Kristoforus II High School**

**LANGUAGE:** Bahasa Indonesia, Hakka (Chinese), and English.



**Nona Dewi**  
5113 94<sup>th</sup> Ave  
Elmhurst Ny 11373  
Mobile (347) 671-4037  
Home (917) 464-5710  
**nonadewi77@gmail.com**

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## WORK EXPERIENCE

### **Operation Manager at Broadway Energy Group** – Elmhurst, NY- Nov 2011- Present

Maintains office services by organizing office operations and procedures, preparing payroll, controlling correspondence, reviewing and approving supply requisitions; assigning and monitoring clerical functions. Provides historical reference by defining procedures for retention, protection, retrieval, transfer, and disposal of records. Maintains office efficiency by planning and implementing office systems, layouts, and equipment procurement. measuring results against standards, making necessary adjustments. following up on work results. Keeps management informed by reviewing and analyzing special reports, summarizing information, Preparing all paper work for sales agent needed and commissions. Oversee overall financial management, planning, systems and controls. Ensure daily prices and custodial files are implemented on a timely and accurate basis. Create and verify client performance files

### **Head Cashier at Sunac Fancyfood Gourmet** –New York, NY – June 2010 to Sept 2011

Inventory Store product, maintenance and order merchandise, Receive payment by cash, credit cards, vouchers, or automatic debits, Kept up courteous & respectable customer service by walk-ins or call-ins, Process merchandise returns and exchanges, Bag or wrap merchandise, Keep periodic balance sheets of amounts and numbers of transactions, Maintained a clean, neat, organized and safe work environment.

### **Head Cashier at Café 28 Deli Inc** –New York, NY – June 2010 – Oct 2005

Inventory Store product, maintenance and order merchandise, Issue receipts or refunds or credits or change due to customers, Bag or wrap or gift-wrap merchandise, Receive payment by cash, credit cards, vouchers, or automatic debits, Weigh items sold by weight in order to determine prices, Accept reservations or requests for take-out orders, Keep periodic balance sheets of amounts and numbers of transactions, Maintain clean and orderly checkout areas.

### **Restaurant Server at Shogun 22 Steakhouse** –Greenbrook, NJ – Oct 2005 – Aug 2003

Taking orders for food and drinks and delivering them to the kitchen, Collecting payments from customers, Cleaning tables after customers have finished their meals, Stocking work stations with silverware, napkins, and other supplies, Preparing tables for meals.

### **Marketing at Sutindo Chemical Indonesia LTD** –Balikpapan, Indonesia–Dec 2002-May 2003

Develop pricing strategies, balancing firm objectives and customer satisfaction, Identify, develop, and evaluate marketing strategy, based on knowledge of establishment objectives, market characteristics, and cost and markup factors, Negotiate contracts with vendors and distributors to manage product distribution, establishing distribution networks and developing distribution strategies

**Teller at Bali Bank Group** – Balikpapan, Indonesia – Dec 2002 – July 1996

Accept retail and/or commercial deposits, loan payments, process checking and savings account withdrawals, Cash checks, Maintain an adequate cash drawer at all times; this includes buying and selling currency from the vault as necessary, Balance cash drawer in accordance with Bank procedures and regulations including periodic batching of cashed checks, Process credit card cash advances, Assist in ordering, receiving, verifying, and distributing cash, Answer customer inquiries and refer customers to the proper service area for issues that cannot be resolved at the teller line, Providing additional products including Cashier's Checks, Personal Money Orders, Assist customers in accessing safety deposit boxes, May be responsible for bank opening and/or closing, Maintains the highest level of confidentiality with all information obtained, Promotes

**EDUCATION**

**High School Diploma**

School of Business – Balikpapan - Indonesia

1999- 2000

**SKILL**

- Ability to speak and write fluently in English and Indonesia would be an asset.
- Ability to manage staff in order to maximize productivity of office, effectively utilize skill base of staff, maintain internal controls and complete projects on time.
- Ability to develop and maintain positive working relationships with other team members Throughout the Laerdal organization.
- Advanced level knowledge of accounting systems.
- Strong computer skills: Word, Excel.
- Strong organizational skills.
- Skill to identify and resolve problems in a timely manner.
- Strong analytical skills.
- Ability to prioritize and plan work activities and use time efficiently.
- Detail oriented with attention to accuracy and thoroughness.
- Willing and able to adapt to changes in the work environment, manage competing demands and able to deal with frequent change, delays or unexpected events.
- Proven ability to maintain confidentiality.
- Flexibility to perform different tasks during the day.
- Available for help and support other team members in their daily activity.



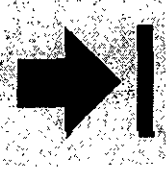
# BROADWAY ENERGY GROUP

We Tower Above the Rest for Energy Cost Savings

# BROADWAY ENERGY GROUP



Broadway energy group is an energy brokerage and consulting firm and one of the fastest growing energy broker in the country.



For over 8 years, we have saved money for a diverse range of clients by offering unsurpassed market intelligence, customized programs, smart and different range solutions and energy management.



Broadway Energy Group has direct access to over 20 Electric Supply Companies (ESCO) along with complete energy products ranging from LED Lights, Solar Energy, Co Generation (Fuel Cell), Load Shedding, Bill Audits, Government Aggregation, and Demand Response programs.



Broadway Energy Group offers a complete line of energy products to help small, medium and large businesses, industrial customers to Government facility and many others.

## JOIN US

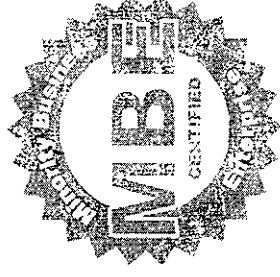
Start experiencing Broadway Energy Group's advantage and get advice in saving you money, contact us today.

Contact us online at [www.broadwayenergygroup.com](http://www.broadwayenergygroup.com)

Broadway Energy Group Corp. 85-06 Queens Blvd, Elmhurst, NY 11373 (718) 205-1600/1800



BBB Rating: A+  
as of 2/2/2015



## PROCUREMENT (ELECTRIC & GAS)

Our competitive supply arrangements provide better pricing, lower costs and offers flexible options for the customers in the utility. Customers are no longer subject to the utility and its variable rates and volatile electric and gas pricing mechanisms tied to the market. Broadway Energy Group provides several procurement methodologies which include a thorough complimentary analysis for all sites, up front recommendations and strategies, and efficient management of the entire procurement process at no added cost to the customers.

### FIXED PRICING:

**All-inclusive fixed price:** Broadway Energy Group will continue to offer its market leading “all inclusive” fixed pricing on short term agreement or long term agreement. Fixed price agreements are available to protect against price spikes and allow for accurate forecasting of electricity costs.

**Monthly Variable pricing:** Broadway Energy Group variable pricing is also available to for customers wishing to float the market month to month. This is a flexible plan that offers a market-based rate with no long term commitment. **Index pricing:** Broadway Energy Group provides the customers with floating market prices for the desired contract term. Index pricing contract are typically used when the market is in its overvalued stage and customers anticipate stable or falling prices. This pricing is ideal for the customer that wants to be “at the market” at all times.

**NYMEX settle + basis:** Broadway Energy Group utilizes the NYMEX price for the applicable month as determined on the last day of the NYMEX trading cycle, enabling the customers to float with market prices for the desired contract term.

**NYMEX trigger pricing:** Broadway Energy Group’s NYMEX trigger pricing offers the customers the option to lock future months pricing when deemed advantageous. Best triggered pricing when prices are in the lower historical range of the market.

## LL AUDIT (ELECTRIC & GAS)

Broadway Energy Group, your business will find educated consultants, personal service, honesty and the expertise of a professional full service consultancy devoted to helping your organization navigate today's energy environment. We offer more solutions via the auditing process, evaluating and addressing usage patterns and much more.



Our auditing process:

- ☒ Review your electricity and/or gas bills in order to determine if you are paying on a variable rate if your agreement expired.
- ☒ The process takes estimated 3 months for completion and then you will receive a check from the State, Utility or ESCO.
- ☒ Review your electricity and/or gas bills for up to 36 months for "unauthorized" charges by your Utility or ESCO.

# LL AUDIT (ELECTRIC & GAS)

NUFACTURERS/INDUSTRIAL COMPLEXES FOR TAX EXEMPT PURPOSES, ETC.

## Target markets

Bakeries

Industrial/Manufacturing  
agriculture such as Farms, Orchards

Government

Municipalities

Hospitals

Public Schools

Credit Unions

Assisted Living Facilities

Non Profits such as Churches

03130133  
DECEMBER 04, 2014  
\$7,349.13

State of New York  
COMPTROLLER STATE OF NEW YORK  
REFUND ACCOUNT

Check No. 20748663  
29-55  
213  
P  
KNOW YOUR ENDORSER

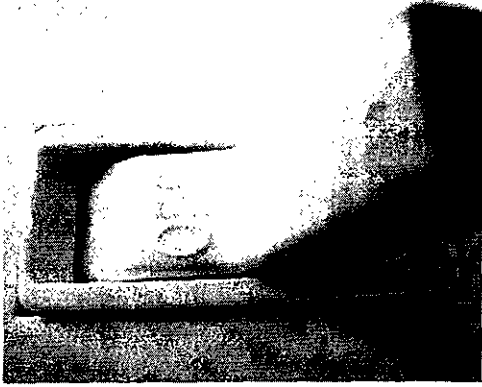
Pay to the Order of  
C/O BROADWAY ENERGY GROUP ATTN: TASSAN JATIKUSUMA  
85-06 QUEENS BOULEVARD  
ELMHURST  
NY 11373-4249

TAX01 ST701112  
KeyBank N.A.

Thomas P. DiNapoli  
State Comptroller

\$7,349.13

# DEMAND RESPONSE & LOAD SHEDDING



DEMAND RESPONSE is an incentive program operated by the state in which all utilities participate. The incentive program focuses on reducing demand during times when the power grid cannot handle the electric demand; usually during a prolonged heat wave.

It is much cheaper for the utility to pay end users for reduction in energy than it is to buy expensive power from Canada or construct power plants that only run 1% of the year.

DEMAND RESPONSE program is an insurance policy for the utilities, if they ever need to reduce demand they are a network of customers/ businesses that are willing to participate. Customers/businesses will still get paid for participation even if by chance we have a mild winter and the utility does not have to call a demand response event.

revenues are annually recurring and paid monthly and do not require any out of pocket investment from the customers/ businesses.



# DEMAND RESPONSE & LOAD SHEDDING

Programs process or requirements

Turning off unnecessary lighting, appliances and equipment

Raising the temperature of air – conditioning system

Using on-site generation that complies with state, city, and county regulations

Using building automation system

Install controller and meter in some part of the business area.

Benefits/advantages:

Provides a significant source of new revenue

Helps ensure local electric grid reliability

Benefits environment by reducing the need for new peaking power plants

Participating customers reduce their electricity usage at critical times on the electric system such as the hottest days and during contingencies

Customers will receive financial incentives for participating in demand response program

What resources are used for demand response?

- Manual or automatic load drop
- Energy management systems
- Load shedding schemes
- Lighting control schemes
- Backup generation
- Ice storage system

# LOAD SHEDDING

Load shedding is a cloud based software. It is an inexpensive service instrument that automates commercial building adaptive energy use behind – the – meter.

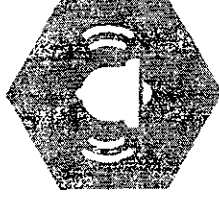
Utilizing rules-based management scenarios that automatically shed, shift and shape electrical loads without compromising facility comfort or performance.

Enabling customers to responsibly manage energy use by gaining granular, device-level visibility into energy costs, lowering energy spent, and generating revenue for capital improvements.



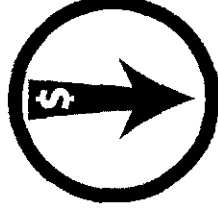
## Step 1: peak prediction

Market expert monitor grid consumption and weather to predict the peak setting hours of the year



## Step 2: notification

Email to customer on a day ahead and a day – of basis with 10 target notifications per year

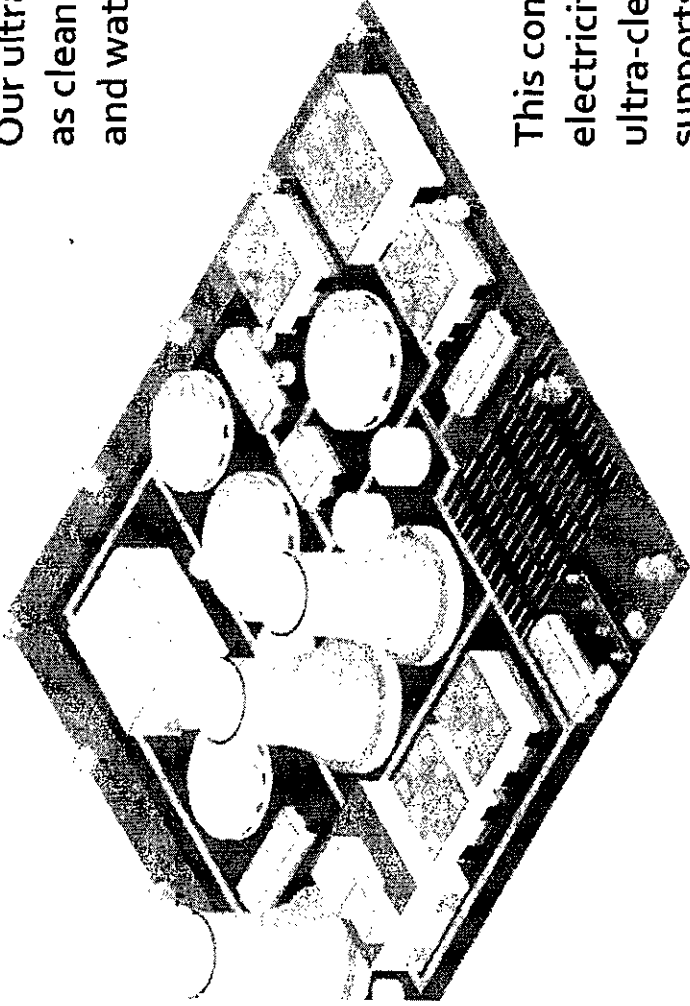


## Step 3: curtailment

Star G&E automation enables completely voluntary curtailment response

# JEL CELL

Our ultra-clean co-generation power plants convert fuels such as clean natural gas or renewable biogas into electricity, heat, and water through an electrochemical reaction.



This combustion-free power generation process creates electricity that is virtually absent of pollutants. Generating ultra-clean energy while producing virtually no pollutants supports customer sustainability goals and public health in neighboring communities.

# FUEL CELL

Summary;

Environmentally friendly power generation with virtually zero nitrogen oxide (NO<sub>x</sub>) that causes smog, sulfur dioxide (SO<sub>x</sub>) that contributes to acid rain, or particulate matter (PM<sup>10</sup>) that aggravates asthma, and the power is delivered with a low carbon footprint

Distributed power generation places power near where it is used, enhancing the resiliency of the grid

Continuous renewable power around the clock that is not reliant on weather or time of day

Highly efficient power generation process that is economical

Power plants are fully scale-able to your specific needs, financed, built, maintained and repaired at no out of pocket expense to the end user.

do provide a cost saving's analysis based on 12 months worth of utility billing at no obligation.

Specifically, the fuel cell co-generation plant is like having a small utility "without" the emissions and carbon footprint

**Appointment for Inspection**

**12 months electric bills for cost saving**

# GOVERNMENT AGGREGATION

## Local Community Buying Power

### What is Aggregation?

Aggregation is when a group of customers join together to form a single, larger customers that buys energy for its members. A large buying group may be able to get a discounted price for the group members than you can get on your own.

### What is Governmental Aggregation?

Some state laws allow for communities – such as townships, cities and counties – to form the aggregated buying groups on behalf of their citizens. The governmental aggregator chooses an outside supplier for all of the customer-members in its group. Aggregations can be formed to buy natural gas, electricity or both.

governmental aggregators buying electricity must be certified by the states. If an aggregator is going to provide natural gas and or electric buying services, it must be certified separately for each industry.

• key benefit to Government Energy Aggregation is the ability for residential and non-residential account holders to save money on their electric bills.

• based on current market conditions, individual accounts can potentially save 12% off of their entire bill, up to 60% or more off of the supply portion (only) of their bill. A large portion of those savings will find their way back to local economies and benefit the entire community!

# OLAR ENERGY

offer solar energy solutions for residential and commercial customers.  
act us for a complimentary consultation.

### **Exhibit B-3 “Disclosure of Liabilities and Investigations”**

N/A – Applicant has no existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact applicant’s financial or operational status or ability to provide services it is seeking to be certified to provide.

Broadway Energy Group Corp.  
85-06 Queens Blvd, Elmhurst, NY 11373  
Phone: 718-205-1800/1600 Fax: 212-937-3813  
contact@broadwayenergygroup.com  
www.broadwayenergygroup.com



**BROADWAY ENERGY GROUP**  
We Tower Above the Rest for Energy Cost Savings

C-1: **ANNUAL REPORTS**

To Whom It May Concern

Broadway Energy Group Inc has only one owner and no Share holder in the company.

His name: Tassan Jatikusuma (100% owner)

Because of that, we don't have Annual Reports to Share Holders

Any question, please give me a call at:

718-205-1800 or 347-628-7236

Thank you

Tassan Jatikusuma  
(owner)

(04/27/2015)



**Exhibit C-2 “SEC Filings”**

N/A – Applicant is a private corporation.

10:43 AM

04/08/15

Cash Basis

## BROADWAY ENERGY GROUP

## Balance Sheet

As of December 31, 2014

	Dec 31, 14	Dec 31, 13	\$ Change
<b>ASSETS</b>			
Current Assets			
Checking/Savings			
Chase 3739	6,980.99	8,989.22	-2,008.23
Chase Savings-1661	137.09	9.91	127.18
Total Checking/Savings	7,118.08	8,999.13	-1,881.05
Other Current Assets			
Loan to Broadway Energy Solut	0.00	86,427.26	-86,427.26
Loan to/from Chandra Jatikusuma	21,700.00	20,500.00	1,200.00
Loan to/from Efficient Power Co	0.00	6,000.00	-6,000.00
Loan to/from Henry Wiyanto	0.00	541.98	-541.98
Loan to/from J J & R Chropracto	7,500.00	7,500.00	0.00
Loan to/from Louis Borgella	0.00	500.00	-500.00
Loan to/from Samuel Leog	0.00	1,070.76	-1,070.76
Loan to/from Source Energy Part	0.00	350.00	-350.00
Loan to/from Wai Keng Ho	0.00	800.00	-800.00
Loan to/from Wang Jiao Yang	0.00	2,500.00	-2,500.00
Total Other Current Assets	29,200.00	126,190.00	-96,990.00
Total Current Assets	36,318.08	135,189.13	-98,871.05
Fixed Assets			
Accumulated Depreciation	-2,150.00	-2,042.00	-108.00
Furniture and Equipment	2,419.92	2,419.92	0.00
Total Fixed Assets	269.92	377.92	-108.00
Other Assets			
Investment in Broadway Energy S	50,000.00	0.00	50,000.00
Investment in Pattaya Thai Gril	20,910.00	0.00	20,910.00
Investment in Textnetworks	35,700.00	0.00	35,700.00
Loan to Father	3,000.00	3,000.00	0.00
Loan To/From Shareholders	5,081.36	5,081.36	0.00
Security Deposit	2,100.00	2,100.00	0.00
Security Deposit-conedison	1,000.00	1,000.00	0.00
Security Deposit-Source Energy	700.00	0.00	700.00
Total Other Assets	118,491.36	11,181.36	107,310.00
<b>TOTAL ASSETS</b>	<b>155,079.36</b>	<b>146,748.41</b>	<b>8,330.95</b>
<b>LIABILITIES &amp; EQUITY</b>			
Liabilities			
Current Liabilities			
Other Current Liabilities			
Chase 6254	0.00	-8,700.00	8,700.00
Payroll Tax Payable	-1,106.40	769.93	-1,876.33
Salaries Payable	8,495.00	5,934.73	2,560.27
Total Other Current Liabilities	7,388.60	-1,995.34	9,383.94
Total Current Liabilities	7,388.60	-1,995.34	9,383.94
Long Term Liabilities			
Loan From Capital Stack	0.00	17,674.50	-17,674.50
Loan From IOU Central	28,155.02	0.00	28,155.02
Loan From Snap Advances	18,034.15	0.00	18,034.15
Loan from Swift Financial	8,067.92	0.00	8,067.92
Total Long Term Liabilities	54,257.09	17,674.50	36,582.59
Total Liabilities	61,645.69	15,679.16	45,966.53

10:43 AM  
04/08/15  
Cash Basis

**BROADWAY ENERGY GROUP**  
**Balance Sheet**  
**As of December 31, 2014**

	<u>Dec 31, 14</u>	<u>Dec 31, 13</u>	<u>\$ Change</u>
Equity			
Retained Earnings	145,276.18	98,411.09	46,865.09
Shareholder Distributions	-108,154.14	-14,206.93	-93,947.21
Net Income	56,311.63	46,865.09	9,446.54
Total Equity	<u>93,433.67</u>	<u>131,069.25</u>	<u>-37,635.58</u>
TOTAL LIABILITIES & EQUITY	<u>155,079.36</u>	<u>146,748.41</u>	<u>8,330.95</u>

10:41 AM  
04/08/15  
Cash Basis

**BROADWAY ENERGY GROUP**  
**Profit & Loss**  
January through December 2014

	Jan - Dec 14	Jan - Dec 13	% of Income
<b>Ordinary Income/Expense</b>			
Income			
Job Income	706,980.59	643,308.13	100.0%
Total Income	706,980.59	643,308.13	100.0%
Cost of Goods Sold			
Job Materials Purchased	1,437.14	0.00	0.2%
Referral Fees	11,512.74	0.00	1.6%
Salaries Officer	20,300.00	7,000.00	2.9%
Subcontractors Expense	207,654.94	304,083.00	29.4%
Tools and Small Equipment	494.16	0.00	0.1%
Total COGS	241,398.98	311,083.00	34.1%
Gross Profit	465,581.61	332,225.13	65.9%
Expense			
Advertising & Promotion	4,200.25	3,867.24	0.6%
Auto and Truck Expenses			
Gas	4,194.16	4,096.78	0.6%
Lease	12,687.78	10,500.64	1.8%
Parking	595.84	436.25	0.1%
Rental	0.00	732.17	0.0%
Repairs	1,747.44	1,419.17	0.2%
Tolls	678.00	1,125.00	0.1%
Auto and Truck Expenses - Other	981.81	120.00	0.1%
Total Auto and Truck Expenses	20,885.03	18,430.01	3.0%
Bad Debt	3,000.00	3,550.00	0.4%
Bank Service Charges	1,822.96	1,219.48	0.3%
Commission	138,412.49	11,446.76	19.6%
Computer and Internet Expenses	455.02	4,440.65	0.1%
Credit Report	75.99	19.98	0.0%
Depreciation Expense	108.00	151.00	0.0%
Donations	1,134.39	0.00	0.2%
Dues & Subscriptions	710.71	450.35	0.1%
Gifts	340.29	0.00	0.0%
Income Tax			
City	-1,667.16	11,725.00	-0.2%
Federal	814.00	621.00	0.1%
State	25.00	425.00	0.0%
Total Income Tax	-828.16	12,771.00	-0.1%
Insurance Expense			
Car	4,257.31	991.93	0.6%
Disability	-58.30	-24.70	-0.0%
Health	0.00	392.76	0.0%
Insurance Expense - Other	5,318.35	12,175.44	0.8%
Total Insurance Expense	9,517.36	13,535.43	1.3%
Interest Expense	0.00	26,892.00	0.0%
License & Permits	6,222.40	213.31	0.9%
Office Exp	31,741.82	11,865.34	4.5%
Office Supplies			
Commuting Exp	60.00	120.00	0.0%
Office Supplies - Other	7,155.68	8,946.98	1.0%
Total Office Supplies	7,215.68	9,066.98	1.0%
Outside Service	0.00	26,172.16	0.0%
Payroll tax			
FICA	3,147.40	1,166.63	0.4%
FUTA	96.00	91.50	0.0%
SUTA	1,034.23	649.17	0.1%
Total Payroll tax	4,277.63	1,907.30	0.6%
Penalties & Fines	1,403.74	630.76	0.2%
Postage & Delivery	206.24	152.10	0.0%

10:41 AM  
04/08/15  
Cash Basis

**BROADWAY ENERGY GROUP**  
**Profit & Loss**  
January through December 2014

	Jan - Dec 14	Jan - Dec 13	% of Income
<b>Professional Fees</b>			
Accounting	4,140.00	7,055.00	0.6%
Attorney	11,312.50	14,458.50	1.6%
Consulting	20.39	0.00	0.0%
Payroll Services	686.50	665.00	0.1%
Real Estate	54.12	0.00	0.0%
Professional Fees - Other	4,581.00	0.00	0.6%
<b>Total Professional Fees</b>	<b>20,794.51</b>	<b>22,178.50</b>	<b>2.9%</b>
<b>Rent Expense</b>	<b>35,724.58</b>	<b>11,400.00</b>	<b>5.1%</b>
<b>Repairs and Maintenance</b>	<b>15,088.00</b>	<b>18,250.00</b>	<b>2.1%</b>
<b>Salary office</b>	<b>20,842.50</b>	<b>8,250.00</b>	<b>2.9%</b>
<b>Sanitation Expense</b>	<b>2,185.26</b>	<b>586.46</b>	<b>0.3%</b>
<b>Telephone Expense</b>			
Cable	568.12	46.51	0.1%
Fax	259.40	409.40	0.0%
Internet Exps	10.00	429.71	0.0%
Telephone Expense - Other	30,749.30	12,457.75	4.3%
<b>Total Telephone Expense</b>	<b>31,586.82</b>	<b>13,343.37</b>	<b>4.5%</b>
<b>Travel &amp; Entertainment</b>			
Hotel	3,328.00	1,273.68	0.5%
Meals and Entertainment ( 50% )	27,545.42	58,681.35	3.9%
Travel	416.86	294.26	0.1%
<b>Total Travel &amp; Entertainment</b>	<b>31,290.28</b>	<b>60,249.29</b>	<b>4.4%</b>
<b>Utilities</b>			
Electricity	4,209.21	3,899.28	0.6%
Water	260.45	421.34	0.0%
Utilities - Other	20,380.26	0.00	2.9%
<b>Total Utilities</b>	<b>24,849.92</b>	<b>4,320.62</b>	<b>3.5%</b>
<b>Total Expense</b>	<b>413,263.71</b>	<b>285,360.09</b>	<b>58.5%</b>
<b>Net Ordinary Income</b>	<b>52,317.90</b>	<b>46,865.04</b>	<b>7.4%</b>
<b>Other Income/Expense</b>			
Other Income			
Interest Income	3,993.73	0.05	0.6%
<b>Total Other Income</b>	<b>3,993.73</b>	<b>0.05</b>	<b>0.6%</b>
<b>Net Other Income</b>	<b>3,993.73</b>	<b>0.05</b>	<b>0.6%</b>
<b>Net Income</b>	<b>56,311.63</b>	<b>46,865.09</b>	<b>8.0%</b>

3:26 PM

05/09/14

Accrual Basis

**BROADWAY ENERGY GROUP**  
**Profit & Loss**  
 January through December 2013

	<u>Jan - Dec 13</u>
Ordinary Income/Expense	
Income	
Job Income	643,308.13
Total Income	643,308.13
Cost of Goods Sold	
Salaries Officer	7,000.00
Subcontractors Expense	304,083.00
Total COGS	311,083.00
Gross Profit	332,225.13
Expense	
Advertising & Promotion	3,867.24
Auto and Truck Expenses	18,430.01
Bad Debt	3,550.00
Bank Service Charges	1,219.48
Commission	11,446.76
Computer and Internet Expenses	4,440.65
Credit Report	19.98
Depreciation Expense	151.00
Dues & Subscriptions	450.35
Gifts	0.00
Income Tax	12,771.00
Insurance Expense	13,535.43
Interest Expense	26,892.00
License & Permits	213.31
Office Exp	11,865.34
Office Supplies	9,066.98
Outside Service	26,172.16
Payroll tax	1,907.30
Penalties & Fines	630.76
Postage & Delivery	152.10
Professional Fees	22,178.50
Rent Expense	11,400.00
Repairs and Maintenance	18,250.00
Salary office	8,250.00
Sanitation Expense	586.46
Telephone Expense	13,343.37
Travel & Entertainment	60,249.29
Utilities	4,320.62
Total Expense	285,360.09
Net Ordinary Income	46,865.04
Other Income/Expense	
Other Income	
Interest Income	0.05
Total Other Income	0.05
Net Other Income	0.05
Net Income	<u>46,865.09</u>

3:27 PM  
05/09/14  
Accrual Basis

# BROADWAY ENERGY GROUP

## Balance Sheet

As of December 31, 2013

	Dec 31, 13
<b>ASSETS</b>	
Current Assets	
Checking/Savings	
Chase 3739	8,989.22
Chase Savings-1661	9.91
Total Checking/Savings	8,999.13
Other Current Assets	
Loan to Broadway Energy Solut	86,427.26
Loan to/from Efficient Power Co	6,000.00
Loan to/from Henry Wiyanto	541.98
Loan to/from Louis Borgella	500.00
Loan to/from Samuel Leog	1,070.76
Loan to/from Source Energy Part	350.00
Loan to/from Wai Keng Ho	800.00
Loan to/from Wang Jiao Yang	2,500.00
Total Other Current Assets	98,190.00
Total Current Assets	107,189.13
Fixed Assets	
Accumulated Depreciation	-2,042.00
Furniture and Equipment	2,419.92
Total Fixed Assets	377.92
Other Assets	
Loan to Father	3,000.00
Loan To/From Shareholders	5,081.36
Security Deposit	2,100.00
Security Deposit-conedison	1,000.00
Total Other Assets	11,181.36
<b>TOTAL ASSETS</b>	<b>118,748.41</b>
<b>LIABILITIES &amp; EQUITY</b>	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Chase 6254	-8,700.00
Loan to/from Chandra Jatikusuma	-20,500.00
Loan to/from J J & R Chropracto	-7,500.00
Payroll Tax Payable	769.93
Salaries Payable	5,934.73
Total Other Current Liabilities	-29,995.34
Total Current Liabilities	-29,995.34
Long Term Liabilities	
Loan From Capital Stack	17,674.50
Total Long Term Liabilities	17,674.50
Total Liabilities	-12,320.84
Equity	
Retained Earnings	98,411.09
Shareholder Distributions	-14,206.93
Net Income	46,865.09
Total Equity	131,069.25
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<b>118,748.41</b>

Broadway Energy Group Corp.  
85-06 Queens Blvd, Elmhurst, NY 11373  
Phone: 718-205-1800/1600 Fax: 212-937-3813  
contact@broadwayenergygroup.com  
www.broadwayenergygroup.com



**BROADWAY ENERGY GROUP**  
We Tower Above the Rest for Energy Cost Savings

C-4:

**FINANCIAL ARRANGEMENTS**

To Whom It May Concern

For Financial Arrangement: Broadway Energy Group has been using Tassan Jatikusuma Personal Money when the first time starting the business.

Tassan Jatikusuma starting the business with His Financial of \$20,000 dollar on the year of 2011.

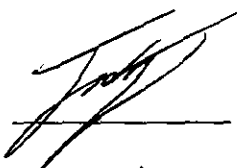
Any question, please give me a call at:

718-205-1800 or 347-628-7236

Thank you

Tassan Jatikusuma

(owner)

  
(04/27/2015)



# C-S Forecasted Financial Statements

5/24/2015  
Accrual Basis

## BROADWAY ENERGY GROUP Projected Profit & Loss January through December 2015

	Jan- Dec 15
<b>Ordinary Income/Expense</b>	
Job Income	843,308.13
Total Income	843,308.13
Cost of Goods Sold	
Salaries Officer	10,000.00
Subcontractors Expense	404,083.00
Total COGS	441,083.00
Gross Profit	402,225.13
<b>Expense</b>	
Advertising & Promotion	27,867.24
Auto and Truck Expenses	8,430.01
Bad Debt	550.00
Bank Service Charges	1,219.48
Commission	82,446.88
Computer and Internet Expenses	4,430.45
Credit Report	19.98
Depreciation Expense	151.00
Dues & Subscriptions	450.35
Gifts	0.00
Income Tax	26,771.00
Insurance Expense	7,535.43
Interest Expense	1,892.00
License & Permits	1213.31
Office Exp	8,865.34
Office Supplies	9,066.98
Outside Service	20,172.16
Payroll tax	2,007.30
Penalties & Fines	60.76
Postage & Delivery	6052.20
Professional Fees	12,178.50
Rent Expense	20,400.00
Repairs and Maintenance	2,250.00
Salary office	8,250.00
Sanitation Expense	586.46
Telephone Expense	13,343.37
Travel & Entertainment	50,049.29
Utilities	7,320.62

Total Expense	<u>323,580.11</u>
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Net Ordinary Income	78,645.02
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Other Income/Expense

Other Income

Interest Income	<u>0.05</u>
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Total Other Income	<u>0.05</u>
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Net Other Income	0.05
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Net Income	78,644.97
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# C-5 Forecasted Financial Statements

5/24/2015  
Accrual Basis

## BROADWAY ENERGY GROUP Projected Profit & Loss January through December 2016

	Jan- Dec 16
<b>Ordinary Income/Expense</b>	
Job Income	1,073,398.63
Total Income	1,073,398.63
Cost of Goods Sold	
Salaries Officer	20,000.00
Subcontractors Expense	510,212.00
Total COGS	530,212.00
Gross Profit	543,186.63
<b>Expense</b>	
Advertising & Promotion	35,556.10
Auto and Truck Expenses	10,170.00
Bad Debt	0.00
Bank Service Charges	500.00
Commission	120,808.51
Computer and Internet Expenses	1,021.45
Credit Report	19.98
Depreciation Expense	7.00
Dues & Subscriptions	650.70
Gifts	350.00
Income Tax	32,391.00
Insurance Expense	9,665.98
Interest Expense	908.09
License & Permits	4100.00
Office Exp	10,890.82
Office Supplies	9,708.21
Outside Service	24,200.61
Payroll tax	2,507.30
Penalties & Fines	0.00
Postage & Delivery	12143.40
Professional Fees	13,000.00
Rent Expense	30,400.00
Repairs and Maintenance	4,250.00
Salary office	20,250.00
Sanitation Expense	700.00
Telephone Expense	20,544.91
Travel & Entertainment	60,000.00
Utilities	9,320.62

Total Expense	<u>434,064.68</u>
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Net Ordinary Income	109,121.95
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Other Income/Expense

Other Income

Interest Income	<u>0.05</u>
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Total Other Income	<u>0.05</u>
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Net Other Income	0.05
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Net Income	109,121.90
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**Exhibit C-6 "Credit Rating"**

Applicant does not have a credit rating.

## C-7 Credit Report

Repeat these steps  
for each credit reportFill out  
a formPick the reports  
you wantReview your  
reports onlineGet your next  
report or finishHave questions?  
→ Contact us

Welcome, tassan jatikusuma Help

## Your Credit Score

Credit Report

Score

ID Theft

## TransUnion Personal Credit Score

tassan jatikusuma

## YOUR CREDIT SCORE

Your Score &amp; Grade

Score

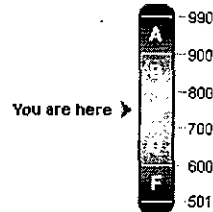
750

Grade

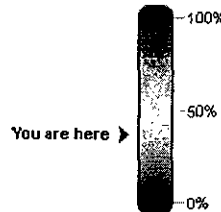
C

Created on  
12/19/2014Based on your TransUnion  
credit report, this is a depiction  
of your creditworthiness

## Score &amp; Grade Range

The numerical score ranges  
from 990 to 501 equaling  
grade ranges from A to F

## Where You Rank

Your credit ranks higher  
than 37% of the nation's  
population.

## About your TransUnion Personal Credit Score

Your TransUnion Personal Credit Score is displayed above, and was calculated with the VantageScore credit scoring formula. Your credit score is a snapshot of the contents of your credit report at the time the score was calculated. Using objective, impartial formulas to translate the contents of your credit report into a 3-digit score enables lenders to evaluate your application for credit in a fast, fair and more objective manner. Remember, we constantly update the information contained in your credit report, so your TransUnion Personal Credit Score only represents the score a lender would receive if they requested it today.

## Summary

Given that you have an average credit score, it may be difficult for you to qualify for the best credit offers. Prepare yourself to pay higher fees and interest rates, as well as make deposits and down payments. If you are applying for a credit card, be prepared for the possibility of lower or no lines of credit and high interest rates. To increase your borrowing power and credit score, prove that you are creditworthy by always paying your bills on time. Your credit score can see dramatic improvements over time.

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**3. The balances on your open accounts are too high in comparison to their credit limits.**

It is a good idea to use your accounts regularly, but remember to keep your balances low in comparison to your available credit limits. Having a high ratio of balances to credit limits on open accounts may be viewed negatively by lenders.

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**4. The maximum credit amount on your open bank credit card accounts is too low.**

Having credit available to you is a sign that you are able to manage your finances responsibly. Lenders usually like to see that consumers have a large amount of credit available to them.

**Note:** In addition to the factors listed above, the number of inquiries on your credit report has adversely affected your credit score.

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**Answers About Credit Scores****• How are credit scores used?**

A credit score is just one of several factors a company usually uses when deciding to extend credit, give insurance coverage or provide financial services to you. A variety of other factors will be considered, such as length of employment, income or previous experience with you. Depending on what you are applying for, different companies weigh each of these factors differently. By using a credit score, they can evaluate your application quickly, fairly and consistently.

**• How can I improve my credit score?**

A credit score is a snapshot of the contents of your credit report at the time it was calculated. Long-term, responsible credit behavior is the most effective way to improve future scores. Pay bills on time, lower balances and use credit wisely to improve your score over time. You should also review your credit report to ensure it is accurate.

**• How do inquiries affect my credit score?**

When your credit is checked by a business for the purpose of an application a "hard inquiry" appears on your credit report. These inquiries can affect your credit score; and typically they have only a small impact. Delinquencies, balances owed, and the length of time you have used credit are all more important. Inquiries have a greater impact if you have a limited credit history.

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**Additional Information**

The TransUnion Personal Credit Score is provided to help you better understand how lenders view your credit report. It is not an endorsement or a determination of your qualification for a loan. The VantageScore credit scoring model was used for this Score Analysis and is not necessarily the same scoring model that may be used by a lender. The resulting credit score may not be identical in every respect to any consumer credit score produced by any other company. Any credit information that has not yet been reported to TransUnion will not be reflected in your consumer disclosure or score. Also, some items disputed directly with creditors are not incorporated in the assessment of your credit score.

**Exhibit C-8 "Bankruptcy Information"**

N/A – Applicant has not made any reorganizations, protection from creditors, or any other form of bankruptcy filings in the past two years.