



<b>Original AGG Case Number</b>	<b>Version</b>
<b>11 - 2541-EL-AGG</b>	<b>August 2004</b>

# RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.

### A. RENEWAL INFORMATION

**A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address**

Legal Name **T.E.S. Energy Services, L.P.**  
Address **17480 Dallas Parkway, Suite 200, Dallas, TX 75287**  
PUCO Certificate # and Date Certified **11-361E (1); 5/2013 through 5/18/2015**  
Telephone # **(972) 447-0447** Web site address (if any) **www.tesenergyservices.com**

**A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio**

Legal Name **T.E.S. Energy Services, L.P.**  
Address **17480 Dallas Parkway, Suite 200, Dallas, TX 75287**  
Telephone # **(972) 447-0447** Web site address (if any) **www.tesenergyservices.com**

**A-3 List all names under which the applicant does business in North America**

<u>T.E.S. Energy Services, L.P.</u>	<u>TES Energy Services, LP</u>
<u>  </u>	<u>  </u>

#### A-4 Contact person for regulatory or emergency matters

Name Linda D. Graham  
 Title Office Manager  
 Business address 17480 Dallas Parkway, Suite 200, Dallas, TX 75287  
 Telephone # (972) 447-0447 Fax # (972) 447-0400  
 E-mail address (if any) linda@tesenergyservices.com

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name Linda D. Graham  
Title Office Manager  
Business address 17480 Dallas Parkway, Suite 200, Dallas, TX 75287  
Telephone # (972) 447-0447 Fax # (972) 447-0400  
E-mail address (if any) linda@tesenergyservices.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 17480 Dallas Parkway, Suite 200, Dallas, TX 75287  
Toll-free Telephone # (866) 948-5720 Fax # (972) 447-0400  
E-mail address (if any) linda@tesenergyservices.com

**A-7 Applicant's federal employer identification number # 200598068**

**A-8 Applicant's form of ownership (check one)**

- |  |  |
|--|--|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                 |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC)             |
| <input type="checkbox"/> Corporation                         | <input checked="" type="checkbox"/> Other <u>Limited Partnership</u> |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

**A-9 Exhibit A-9 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

**A-10 Exhibit A-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

**B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

**B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes  
xxxxxx

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3** **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

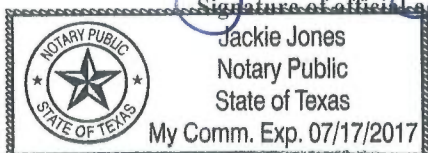
- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

  
Signature of Applicant & Title **PRESIDENT**

Sworn and subscribed before me this 1<sup>st</sup> day of April, 2015  
Month Year

  
Signature of official administering oath

Jackie Jones, Notary Public  
Print Name and Title



My commission expires on 7/17/2017

# **AFFIDAVIT**

State of Texas :

Dallas ss.  
(Town)

County of Collin :

Brian Markham, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of T.E.S. Energy Services, L.P. (Name of Applicant):

That he/she is authorized to and does make this affidavit for said Applicant.

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

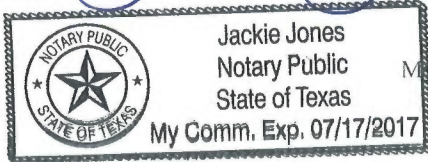
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Brian Markham  
Signature of Affiant & Title **PRESIDENT**

Sworn and subscribed before me this 1<sup>st</sup> day of April, 2015 Year  
Month

Jackie Jones  
Signature of official administering oath

Jackie Jones, Notary Public  
Print Name and Title



My commission expires on 7/17/2017

**Exhibit A-9**  
**“Principal Officers, Directors & Partners”**

T.E.S. ENERGY SERVICES, L.P.

Name	Address & Telephone
Kathi Teague, Board Member / Partner	17480 Dallas Parkway, Suite 200, Dallas, TX 75287
Deborah Teague, Board Member / Partner	17480 Dallas Parkway, Suite 200, Dallas, TX 75287
Jerry Gatti, Partner / VP	17480 Dallas Parkway, Suite 200, Dallas, TX 75287
Brian Markham, Partner / President	17480 Dallas Parkway, Suite 200, Dallas, TX 75287
Jim Bono, Partner / VP Sales	17480 Dallas Parkway, Suite 200, Dallas, TX 75287





Exhibit A-10  
"Corporate Structure"

Kathi Teague,  
Board Member /  
Partner

Deborah Teague,  
Board Member /  
Partner

Pat Teague, Co-  
CEO

Mike Teague, Co-  
CEO

Brian Markham,  
President /  
Partner

Jerry Gatti, VP /  
Partner

Jim Bono, VP  
Sales / Partner

T.E.S. Energy Services, L.P.  
"Limited Partnership"  
Address:  
17480 Dallas Parkway  
Suite 200  
Dallas, TX 75287  
Telephone: 972-447-0447



# Illuminated Partners, Inc., General Partner to T.E.S. Energy Services, L.P.

Exhibit A-10  
Company Structure

Address:  
17480 Dallas Parkway  
Suite 200  
Dallas, TX 75287  
  
Telephone:  
972-447-0447

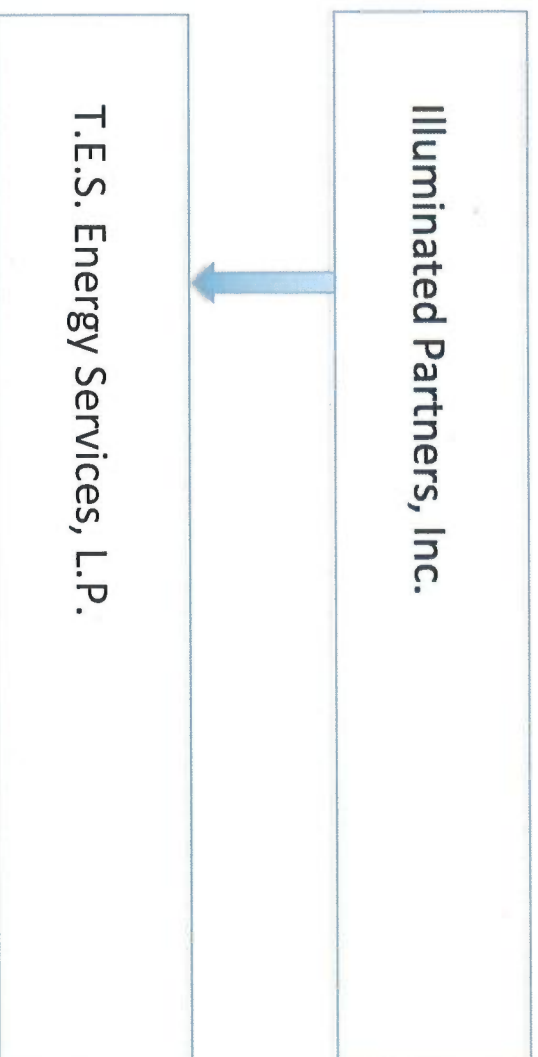


Exhibit A-10  
"Corporate Structure"

Illuminated Partners, Inc., General Partner to T.E.S. Energy Services, L.P.  
Officers

Illuminated Partners, Inc.  
"Corporation"

Address:  
17480 Dallas Parkway  
Suite 200  
Dallas, TX 75287

Telephone:  
972-447-0447

**Brian Markham,  
President**

**Jerry Gatti, Vice-  
President**

**Pat Teague,  
Secretary**

**Directors: Brian  
Markham, Jerry  
Gatti, Pat Teague**

## Exhibit B-1

### "JURISDICTIONS OF OPERATION" T.E.S. ENERGY SERVICES, L.P.

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Electric	License Required - License #	
Delaware	DE	Docket No. 11-146; Order No. 7937
District of Columbia (Washington DC)	DC	Case No. EA-11-3-5
Illinois	IL	Docket No. 11-0288
Maine	ME	Docket No. 2011-90
Maryland	MD	IR-2169
Massachusetts	MA	EB-187
New Jersey	NJ	EA-0132
Ohio	OH	11-361E(1)
Pennsylvania	PA	A-2010-2207746
Texas	TX	80081

Electric	License Not Required	
Arizona	AZ	
California	CA	
Connecticut	CT	
Michigan	MI	
New York	NY	
Rhode Island	RI	

## **Exhibit B-2 Experience & Plans**

### **T.E.S. ENERGY SERVICES, L.P.**

Other:

#### ***Products and services include:***

**Current Services:** Applicant is an energy consulting company of retail electric supply to end user commercial, industrial and residential customers. We work with businesses in the commercial, industrial and government entities to help them make informed decisions on their energy procurement throughout the deregulated territories.

#### ***Power Factor Correction***

- Service Entrance Capacitor Banks eliminate low power factor utility penalties
- Motor Capacitors also eliminate distribution losses and save kilowatt hours (energy)

#### ***Energy / Operational Savings***

- Lighting retrofits, on/off controls, daylight harvesting, skylights
- Identify incorrectly sized motors, overloaded equipment, loads that can be turned off
- Electrical capacity increases
- Adjustable speed drives
- High efficiency motors
- Identify compressed air leaks
- Air conditioning system desiccants
- Infrared inspection / scanning
- Predominant use studies to obtain sales tax exemption on gas and electric utility bills

#### ***Power Quality***

- Measurements/studies to identify the root cause(s) of problems
- Provide power conditioning and various equipment solutions
- UPS
- Voltage regulation
- Surge protection
- Harmonic filters and line reactors
- Dip Proofing inverters
- Programming AC/DC adjustable speed drives to eliminate nuisance tripping
- Single phasing protection
- Flicker, motor soft starters
- Protective device coordination
- "Ride thru" techniques to eliminate nuisance equipment/process shutdowns

#### ***Engineering / Projects***

- Studies
- Specialized design such as power measurement systems
- Specification preparation
- Turn key projects

**Exhibit B-3 " Disclosure of Liabilities and Investigations"**  
**T.E.S. ENERGY SERVICES, L.P.**

**T.E.S. ENERGY SERVICES, L.P. does not have any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.**

# Exhibit C-1 Annual Report

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-1 is not applicable. There are no annual reports to shareholders.

## Exhibit C-2 SEC Filings

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-2 is not applicable. As an energy consultant, we are not required to report to the SEC.



## Exhibit C-3

### Financial Statements

#### T.E.S. Energy Services, L.P.

Documentation submitted in connection with this Exhibit will be filed under seal, pursuant to Ohio Rev. Code 4901:1-24-08(A).

## Exhibit C-4 Financial Arrangements

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-4 is not applicable. Applicant is applying for electric broker license, only.

## Exhibit C-5

### Forecasted Financial Statements

#### T.E.S. Energy Services, L.P.

Documentation submitted in connection with this Exhibit will be filed under seal, pursuant to Ohio Rev. Code 4901:1-24-08(A).

Exhibit C-6  
Credit Rating  
T.E.S. Energy Services, L.P.

Please see attached.

Exhibit C-7  
Credit Report  
T.E.S. Energy Services, L.P.

Please see attached.

# Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"



Decide with Confidence

## TES ENERGY SERVICES, LP

D-U-N-S® 36-112-1366

Single  
17480 Dallas Pkwy Ste 200,  
Dallas, TX 75287

Phone 972 447-0447

## Comprehensive Report

Purchase Date: 04/01/2015  
Last Update Date: 03/28/2015  
Attention: PM

### Executive Summary

#### Company Info

Year Started 2003  
Control Year 2003

Employees 10

#### D&B Rating

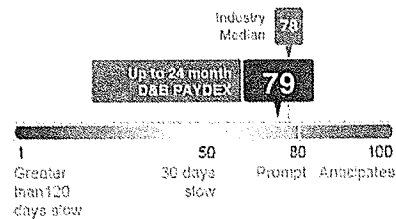
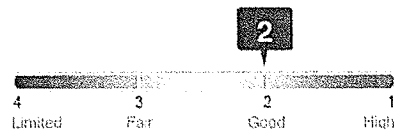
D&B Rating

1R2

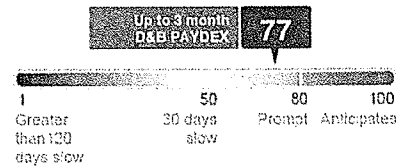
#### D&B PAYDEX®

Up to 24 month D&B PAYDEX

#### Composite Credit Appraisal

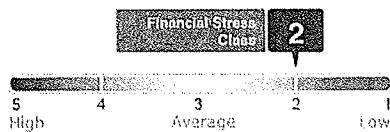


Up to 3 month D&B PAYDEX



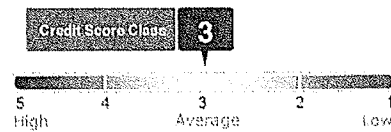
### Predictive Analytics

#### Financial Stress Class



The Financial Stress Class of 2 for this company shows that firms with this class had a failure rate of 0.09% (9 per 10,000).

#### Credit Score Class



The Credit Score class of 3 for this company shows that 5.80% of firms with this classification paid one or more bills severely delinquent.

Financial Stress Class	2	Credit Score Class	3
Financial Stress Score	1,511	Credit Score	501
Highest Risk	1,001	Highest Risk	101
Lowest Risk	1,875	Lowest Risk	670

### Credit Limit Recommendation

Risk Category

### D&B Viability Rating

D&B Viability Rating

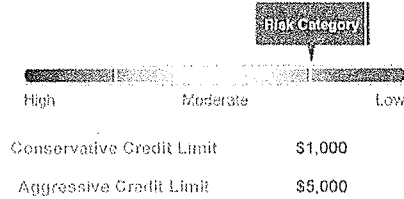


# Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"

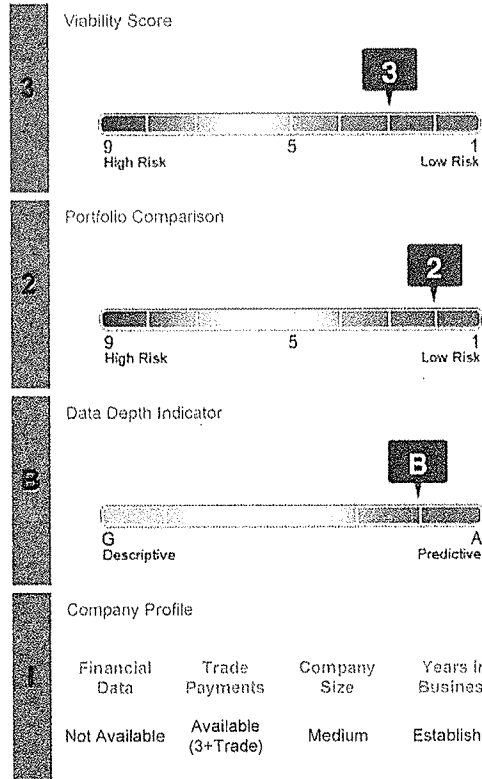


Decide with Confidence

Risk Category



D&B Viability Rating



## Business Information

### Business Summary

SIC 7539  
Electrical consulting services

NAICS 811118  
Other Automotive Mechanical and Electrical Repair and Maintenance

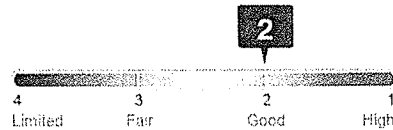
History Status CLEAR

### Credit Capacity Summary

D&B Rating

**1R2**

### Composite Credit Appraisal



Prior D&B Rating 1R2

Rating Date 02/08/2013

Payment Activity (based on 7 experiences) USD

Average High Credit \$500

Highest Credit 750

Total Highest Credit 2,550

### D&B Viability Rating

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will no longer be in business within the next 12 months.



# Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"



Decide with Confidence

3

## Viability Score

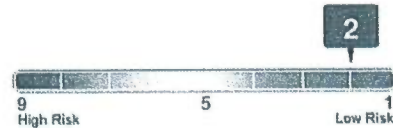


Compared to All US Businesses within D&B Database:

- Level of risk: **Low Risk**
- Businesses ranked 3 have a probability of becoming no longer viable: **3%**
- Percentage of businesses ranked 3: **15%**
- Across all US businesses, the average probability of becoming no longer viable: **14%**

2

## Portfolio Comparison



Compared to all Businesses within the same MODEL SEGMENT:

Model Segment: **Established Trade Payments**

- Level of risk: **Low Risk**
- Businesses ranked 2 within this model segment have a probability of becoming no longer viable: **3%**
- Percentage of businesses ranked 2 within this model segment: **16%**
- Within this model segment, the average probability of becoming no longer viable: **5%**

B

## Data Depth Indicator



Data Depth Indicator Details:

- ✓ Rich Firmographics
- ✓ Extensive Commercial Trading Activity
- ✓ Basic Financial Attributes

Greater data depth can increase the precision of the D&B Viability Rating assessment.

You have the ability to influence the confidence of the viability assessment by asking the business to report more information to D&B at

<https://update.dnb.com/Update/>

1

## Company Profile

Financial Data	Trade Payments	Company Size	Years in Business
Not Available	Available (3+Trade)	Medium	Established

Company Profile Details:

- Financial Data: **Not Available**
- Trade Payments: **Available (3+Trade)**
- Business Size: **Medium** (Employees: 10-49 or Sales: \$100K-\$499K)
- Years in Business: **Established (5+)**

# Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"



Decide with Confidence

## Business History

Officers  
JIM BONO, MEMBER;  
JERRY GATTI, MEMBER;  
MIKE TEAGUE, MEMBER;  
PAT TEAGUE, MEMBER

As of 03/28/2015

Business started 2003.

JIM BONO PARTNER. Antecedents are undetermined.

JERRY GATTI PARTNER. Antecedents are undetermined.

MIKE TEAGUE, PARTNER. Antecedents are undetermined.

PAT TEAGUE, PARTNER. Antecedents are undetermined.

## Business Registration

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF Apr 05 2013:

Registered Name	T. E. S. ENERGY SERVICES, L.P.	Registration ID	0800253124	Principals	
		Duration	PERPETUAL		
		Status	IN EXISTENCE		
Business Type	DOMESTIC LIMITED PARTNERSHIP	Where Filed	SECRETARY OF STATE, AUSTIN, TX	Name	Title
State of Incorporation	TEXAS	Registered Agent	DAVID E BRUSILOV 14755 PRESTON ROAD, SUITE 600, DALLAS, TX 752540000	ILLUMINATED PARTNERS, INC.	GENERAL PARTNER
Filing Date	10/02/2003			17480 DALLAS PARKWAY, #200, DALLAS, 752870000, TX	

## Government Activity Summary

Activity Summary	Possible candidate for socioeconomic program consideration		
Borrower	No	Labor Surplus Area	N/A
Administrative Debt	No	Small Business	YES (2015)
Grantee	No	Women Owned	N/A
Party Excluded from Federal Programs	No	Minority Owned	N/A
Public Company	N/A		
Contractor	No		
Importer/Exporter	N/A		

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

## Operations Data

As of 03/28/2015

Description: Provides business consulting services (100%).

Nonseasonal.

Employees: 10 which includes partners.

Facilities: Rents 2,500 sq. ft. in building.

Location: Suburban business section on main street.

## Industry Data

# Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"



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## SIC

Code	Description
75390300	Electrical services
87119905	Electrical or electronic engineering

## NAICS

Code	Description
811118	Other Automotive Mechanical and Electrical Repair and Maintenance
541330	Engineering Services

## Financial Statements

### Key Business Ratios (Based on 33 establishments)

D&B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance. To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

	This Business	Industry Median	Industry Quartile
<b>Profitability</b>			
Return on Sales	UN	2.4	UN
Return on Net Worth	UN	13.0	UN
<b>Short Term Solvency</b>			
Current Ratio	UN	1.4	UN
Quick Ratio	UN	0.8	UN
<b>Efficiency</b>			
Assets Sales	UN	35.4	UN
Sales / Net Working Capital	UN	12.8	UN
<b>Utilization</b>			
Total Liabs / Net Worth	UN	142.4	UN

### Most Recent Financial Statement

As of 03/28/2015

The name and address of this business have been confirmed by D&B using available sources.

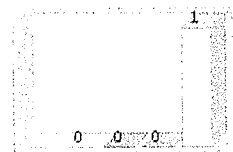
## Indicators

### Public Filings Summary

The following data includes both open and closed filings found in D&B's database on this company

Record Type	No. of Records	Most Recent Filing Date
Judgment	0	
Lien	0	
Suit	0	
UCC	1	06/12/2009

### Public Filings



Bankruptcy Judgment Lien Suit UCC

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

# Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"



Decide with Confidence

## Full Filings

### UCC Filings

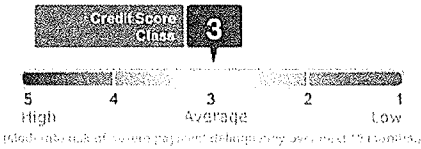
Collateral	Leased Equipment	Latest Info Received	06/22/2009
Filing No.	090016709769	Type	Original
Where Filed	SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX	Date Filed	06/12/2009
Secured Party	CHASE BANK, OKLAHOMA CITY, OK		
Debtor	TES ENERGY SERVICES		

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed. Additional UCC and SLJ filings for this company can be found by conducting a more detailed search in our Public Records Database.

## Commercial Credit Score

### Summary

Credit Score Class



### Incidence of Delinquent Payment

Among Companies with This Class	5.80%
Average Compared to All Businesses	10.20%
Credit Score Percentile	52
Credit Score	501
Number of Payment Experiences	7

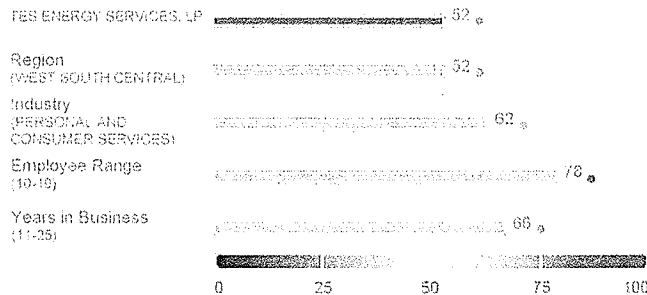
### Key Factors

- Recent high balance past due
- Higher risk industry based on delinquency rates for this industry
- Evidence of recent payment experiences paid later than 30 days
- Proportion of past due balances to total amount owing

### Notes:

- The Credit Score Class indicates that this firm shares some of the same business and payment characteristics of other companies with this classification. It does not mean the firm will necessarily experience delinquency.
- The Incidence of Delinquent Payment is the percentage of companies with this classification that were reported 91 days past due or more by creditors. The calculation of this value is based on D&B's trade payment database.
- The Credit Score Percentile reflects the relative ranking of a firm among all scorable companies in D&B's file.
- The Credit Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

### Credit Score Percentile Norms Comparison



- Similar risk compared to other companies in the same region.
- Higher risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Higher risk than other companies with a comparable number of years in business.

## Financial Stress Score

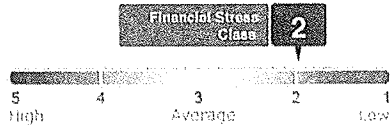


Decide with Confidence

## Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"

### Summary

Financial Stress Class



### Financial Stress Score Percentile

Financial Stress National Percentile	69
Financial Stress Score	1511
Probability of Failure with This Score	0.09%
Failure per 10K	9/10,000
Average Failure Rate within D&B database	0.48%
Failure per 10K	48/10,000
Number of Payment Experiences	7

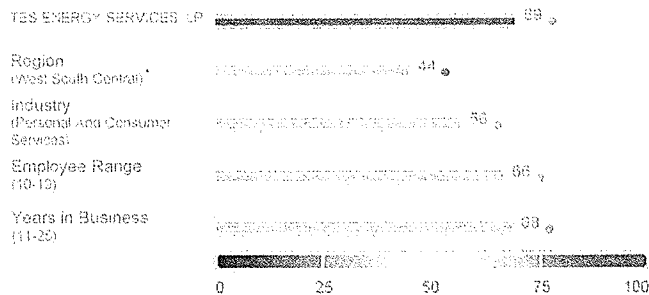
### Key Factors

- Low proportion of satisfactory payment experiences to total payment experiences.

### Notes:

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The probability of failure shows the percentage of firms in a given percentile that discontinue operations with loss to creditors. The average probability of failure is based on businesses in D&B's database and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&B's file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

### Financial Stress Percentile Comparison



- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

### Advanced Paydex + CLR

D&B PAYDEX®

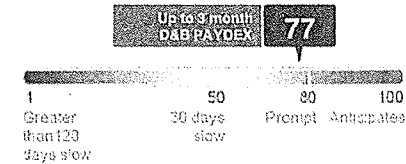
## Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"



Decide with Confidence

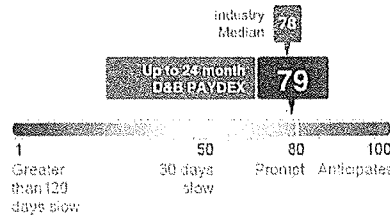
Shows the D&B PAYDEX scores as calculated up to 3 months and up to 24 months of payment experiences.

### Up to 3 month D&B PAYDEX



When weighted by dollar amount, payments to suppliers average 5 Days Beyond Terms. Based on payments collected over last 3 months.

### Up to 24 month D&B PAYDEX



When weighted by dollar amount, payments to suppliers average 2 days beyond terms. Based on payments collected up to 24 months.

When weighted by dollar amount, the industry average is 3 DAYS BEYOND terms.

High risk of late payment (average 30 to 120 days beyond terms)

Medium risk of late payment (average 30 days or less beyond terms)

Low risk of late payment (average prompt to 30+ days sooner)

Payment Trend	unchanged *	Total Payment Experiences for the HQ	7	Highest Now Owing	\$500
Payments Within Terms	91%	Total Placed for Collection	0	Highest Past Due	\$100
Average High Credit	\$500	Largest High Credit	\$750		

\* compared to payments three months ago

### Credit Limit Recommendation

Risk Category	Recommendation Date	04/01/2015
	Conservative Credit Limit	\$1,000
	Aggressive Credit Limit	\$5,000
Key Factor		
Risk is assessed using D&B's scoring methodology and is one factor used to create the recommended limits		

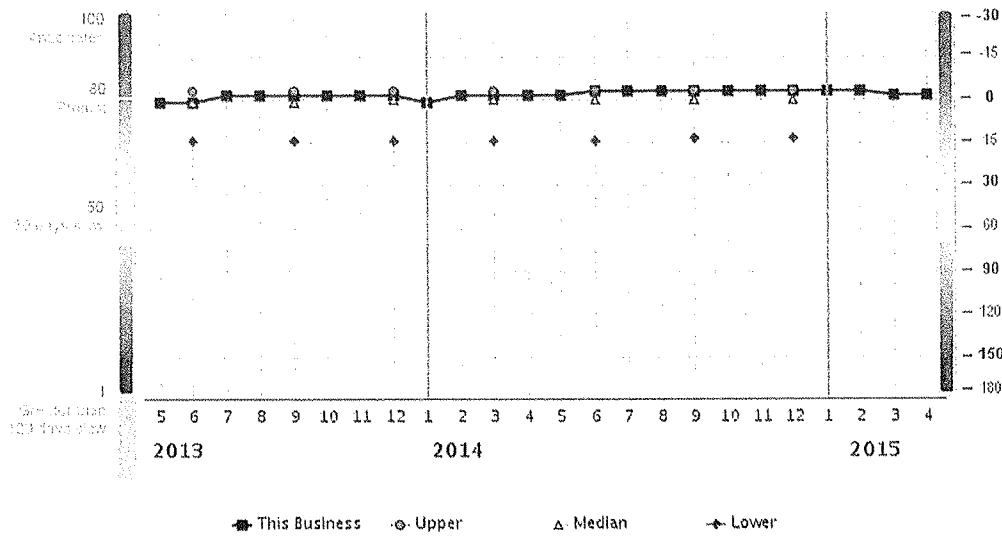
### PAYDEX Yearly Trend

Shows PAYDEX scores of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Electrical consulting services, based on SIC code 7539.

# Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"



Decide with Confidence



This Business	77	77	79	79	79	79	79	79	77	79	79	79	79	80	80	80	80	80	80	80	80	80	79	79
Industry Quartiles																								
Upper	80		80		80		80		80		80		80		80		80		80		80		80	
Median	77		77		78		78		78		78		78		78		78		78		78		78	
Lower	67		67		67		67		67		67		67		67		68		68		68		68	

## Note

- Current PAYDEX<sup>®</sup> for this Business is 79, or equal to 2 days beyond terms.
- The 24 month high paydex is 80.0, or equal to GENERALLY WITHIN terms.
- The 24 month low paydex is 77.0, or equal to 5 DAYS BEYOND terms.
- Industry upper quartile represents the performance of the payers in the 75th percentile.
- Industry lower quartile represents the performance of the payers in the 25th percentile.

## Payment Habits

Credit Extended	% of Payments Within Terms	No. of Payment Experiences	Total Amount USD
Over \$100,000	0%	0	\$0
50,000-100,000	0%	0	0
15,000-49,999	0%	0	0
5,000-14,999	0%	0	0
1,000-4,999	0%	0	0
Under 1,000	95%	5	2,500

Based on up to 24 months of payments

## Payment Summary

The Payment Summary section reflects payment information in D&B's file as of the date of this report.

There are 7 payment experiences in D&B's file, with 3 experiences reported during the last three month period. The highest Now Owes on file is \$500. The highest Past Due on file is \$100.

All Industries



# Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"



Decide with Confidence

Industries	Total Received	Total Amounts	Largest High Credit	Within Terms (%)	Days Slow (%)			
					0-30	31-60	61-90	90+
Public finance	2	\$1,250	\$750	100	0	0	0	0
Security broker/deal	1	750	750	100	0	0	0	0
Ret stationary	1	250	250	100	0	0	0	0
Whol office supplies	1	250	250	50	50	0	0	0

## Other Payment Categories

Category	Total Received	Total Dollar Amounts	Largest High Credit
Cash experiences	2	\$50	\$50
Payment record unknown	0	0	0
Unfavorable comments	0	0	0
Placed for Collection	0	0	0

## Detailed Payment History

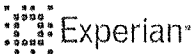
Date Reported	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last Sale within(months)
February 2015	Ppt	\$750	\$500	\$0	N30	1
	Ppt	250	250	0	N30	1
	Ppt-Slow 30	250	100	100	N30	1
July 2014	Ppt	500	0	0	N/A	1
	(005)	50	0	0	Cash account	1
May 2013	Ppt	750	0	0	N/A	1
	(007)	0	0	0	Cash account	6-12

Lines shown in red are 30 or more days beyond terms

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

## Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"

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### Report

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Search Inquiry: 851811870 / (My company)

### CreditScore<sup>SM</sup> Report

as of: 03/31/15 16:58 ET

#### T.E.S. Energy Services, L.P.

**Address:** 17480 Dallas Pkwy Ste 200  
Dallas, TX 75287-7304  
United States  
**Phone:** 972-447-0447  
**Website:** tesenergyservices.com

**Experian BIN:** 851811870

**Agent:** C T Corporation System  
**Agent Address:** 1999 Bryan ST Suite 900  
Dallas, TX

**Also is (or has been) operating as:**  
Tes Energy Services LP

**Key Personnel:** Mike Teague  
**SIC Code:** 4911-Electric Services  
8742-Management Consulting Services  
**NAICS Code:** 221122-Electric Power Distribution  
541610-Management Consulting Services  
**Business Type:** Partnership  
**Experian File Established:** October 2003  
**Experian Years on File:** 12 Years  
**Years in Business:** More than 12 Years  
**Total Employees:** 63

**Sales:** \$10,000,000  
**Filing Data Provided by:** Texas  
**Date of Incorporation:** 10/02/2003

**Payment Tradelines (see charts):** 1  
**UCC Filings:** 0

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines.

✓ **Businesses Scoring Worse:** 76%  
✓ **Bankruptcies:** 0  
✓ **Liens:** 0  
✓ **Judgments Filed:** 0  
✓ **Collections:** 0

#### Credit Summary

[Back to top](#)

#### Credit Ranking Score: 76

High Risk Low Risk

The objective of the Credit Ranking Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

#### Key Score Factors:

- Number of recently active commercial accounts.
- Ratio of total bal to total high bal across all comm accts.
- Length of time on experian's file.

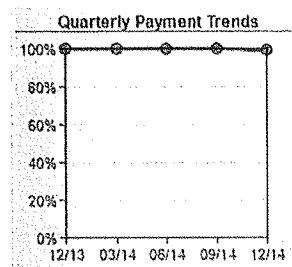
**Recommended Action:** Low Risk

## Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report"

### Payment Summary

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Insufficient information to produce  
Monthly Payment Trends  
chart.

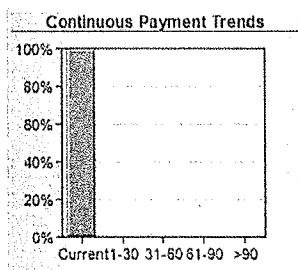


\*Percentage of on-time payments by quarter.

Insufficient information to produce  
Monthly Payment Trends  
table.

#### Quarterly Payment Trends - Recent Activity

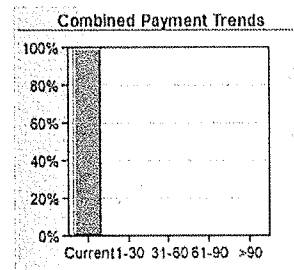
Date	Balance	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
12/13	\$20,900	100%	0%	0%	0%	0%
03/14	\$19,600	100%	0%	0%	0%	0%
06/14	\$18,600	100%	0%	0%	0%	0%
09/14	\$17,500	100%	0%	0%	0%	0%
12/14	\$16,400	99%	1%	0%	0%	0%



\*Continuous distribution with DBT.

Number of Accounts: 1  
Present Balance: \$14,800  
Highest Balance: \$21,700

Insufficient information to produce  
Newly Reported Payment Trends  
chart.



\*Combined distribution with DBT.

Number of Accounts: 1  
Present Balance: \$14,800  
Highest Balance: \$21,700

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Exhibit C-8  
“Bankruptcy Information”

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-8 is not applicable. No bankruptcy.

Exhibit C-9  
"Merger Information"

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-9 is not applicable. No mergers.

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**4/7/2015 4:16:11 PM**

**in**

**Case No(s). 11-2541-EL-AGG**

Summary: Application for Renewal Certification as a Competitive Retail Electric Aggregator/  
Power Broker electronically filed by Ms. Margeaux Kimbrough on behalf of T.E.S. Energy  
Services, LP