



## Public Utilities Commission

Original AGG Case Number	Version
13 -0535 -EL-AGG	August 2004

### RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.

#### A. RENEWAL INFORMATION

##### A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Titan Energy New England, Inc.  
Address 2275 Silas Deane Hwy, Rocky Hill, CT, 06067  
PUCO Certificate # and Date Certified 16-665E (1), April 3rd, 2013  
Telephone # (860) 436-2768 Web site address (if any) www.titanenergyne.com

##### A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Titan Energy New England, Inc.  
Address 2275 Silas Deane Hwy, Rocky Hill, CT, 06067  
Telephone # (860) 436-2768 Web site address (if any) www.titanenergyne.com

##### A-3 List all names under which the applicant does business in North America

Titan Energy New England, Inc.  
\_\_\_\_\_  
\_\_\_\_\_

##### A-4 Contact person for regulatory or emergency matters

Name Justin Kearney  
Title Director of Market Development & Support  
Business address 2275 Silas Deane Hwy, Rocky Hill, CT, 06067  
Telephone # (860) 436-2768 Fax # (860) 436-3459  
E-mail address (if any) justinkearney@titanenergyne.com

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name Brendan Kearney  
Title Manager, Customer Service  
Business address 2275 Silas Deane Hwy, Rocky Hill, CT, 06067  
Telephone # (860) 436-2768 Fax # (860) 436-3459  
E-mail address (if any) bkearney@titanenergyne.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 2275 Silas Deane Hwy, Rocky Hill, CT, 06067  
Toll-free Telephone # \_\_\_\_\_ Fax # (860) 436-3459  
E-mail address (if any) info@titanenergyne.com

**A-7 Applicant's federal employer identification number # 11-3651046**

**A-8 Applicant's form of ownership (check one)**

- |  |  |
|--|--|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                     |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation              | <input type="checkbox"/> Other _____                     |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-9 Exhibit A-9 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

**A-10 Exhibit A-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

**B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

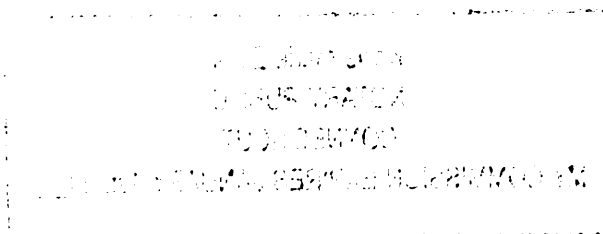
## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

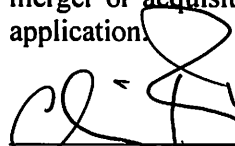
**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3** **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.



- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

 Vice President & General Mgr.  
Signature of Applicant & Title

Sworn and subscribed before me this 4<sup>th</sup> day of March, 2015.  
Month Year

  
Signature of official administering oath

Kellie Marie Zima  
Print Name and Title

My commission expires on Jan 1<sup>st</sup> 2019.

Kellie Marie Zima  
NOTARY PUBLIC  
CONNECTICUT  
MY COMMISSION EXPIRES JANUARY 1st, 2019

# **AFFIDAVIT**

State of Connecticut

Rocky Hill ss.  
(Town)

County of Hartford :

Christopher Hamm, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Vice-Pres. + General Mgr. (Office of Affiant) of Titan Energy NE (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant.

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

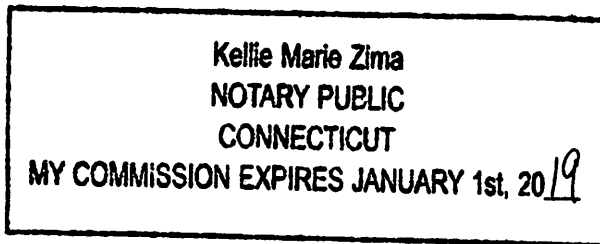
[Signature] Vice President and General Mgr.  
Signature of Affiant & Title

Sworn and subscribed before me this 4<sup>th</sup> day of March, 2015  
Month Year

[Signature]  
Signature of official administering oath

Kellie Marie Zima  
Print Name and Title

My commission expires on Jan 1<sup>st</sup> 2019.



# **Titan Energy - New England, Inc.**

## **Ohio Electricity Renewal Application**

### **Exhibits & Addendums**



**2015**

## SECTION A – APPLICANT INFORMATION & SERVICES

### Exhibit A-9 “Principle Officers, Directors & Partners”

#### Principle Officers:

- Christopher Ramm, Vice President & General Manager – 2275 Silas Deane Hwy, Rocky Hill, CT, 06067 –( 860)-436-2768 x 104.
- Jerry Hawkins, President – 8 Williams Path, Kingston, NH, 03848 – (603)-642-9591
- Michael Horton, Executive Vice President – 224 Seymour Rd, Woodbridge, CT, 06525 – (203)-464-3163
- Justin Kearney, Director of Market Development & Support – 2275 Silas Deane Hwy, Rocky Hill, CT, 06067 – (860)-436-2768 extension 105

### Exhibit A-10 “Corporate Structure”

- Titan Energy – New England, Inc. is a standalone C-Corp with no affiliates or subsidiaries, and is domiciled at 2275 Silas Deane Hwy, Rocky Hill, CT, 06067.



## SECTION B – APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

### Exhibit B-1 “Jurisdictions of Operation”

For electricity, Titan Energy – New England, Inc. operates under all deregulated utilities in the following states and commonwealths: Connecticut, Massachusetts, Rhode Island, New Hampshire, Maine, Illinois, Michigan, California, New Jersey, New York, Texas, and Washington DC.

### Exhibit B-2 “Experience & Plans”

Titan Energy - New England Inc. acts as a broker between the customer and the retail energy supplier. The customer contracts directly with the supplier, with Titan Energy as the agent of record under the specific contract. The supplier delivers the energy, bills the customer and handles customer service. Titan Energy – New England, Inc. collects a commission from the supplier as compensation.

### Exhibit B-3 “Disclosure of Liabilities and Investigations”

Titan Energy - New England, Inc. has no past or present judgments, liabilities, revocation of authority, regulatory investigations or other matters that would impair its operation in any way.

## **SECTION C – APPLICATION FINANCIAL CAPABILITY AND EXPERIENCE**

### **Exhibit C-1 “Annual Reports”**

Titan Energy – New England, Inc is a closely held business with no publicly traded shares. Titan Energy does not file annual reports.

### **Exhibit C-2 “SEC Filings”**

Titan Energy – New England, Inc is not required to file with the SEC

### **Exhibit C-3 “Financial Statements”**

As a privately held corporation, Titan Energy New England’s financial statements are proprietary, and will hereby be filed separately under seal and protective order to the Ohio PUCO.

### **Exhibit C-4 “Financial Arrangements”**

Titan Energy – New England, Inc does not have a credit rating granted by any of the credit agencies listed. Titan Energy New England is not taking title to the power.

### **Exhibit C-5 “Forecasted Financial Statements”**

As a privately held corporation, Titan Energy New England’s financial statements are proprietary, and will hereby be filed separately under seal and protective order to the Ohio PUCO.

#### Exhibit C-6 “Credit Rating”

Titan Energy – New England, Inc does have any financial arrangements to conduct CRES as a business activity, nor any credit agreements or bank commitments as it relates to such activities.

#### Exhibit C-7 “Credit Report”

Titan Energy – New England, Inc does not have a credit report filed with Experion, Dunn & Bradstreet or any other credit reporting agency at this time.

#### Exhibit C-8 “Bankruptcy Information”

Titan Energy – New England, Inc has no prior reorganizations, protections from creditors or any other form of bankruptcy filings.

#### Exhibit C-9 “Merger Information”

Titan Energy – New England, Inc. has no prior dissolution, merger of acquisition over the past five years.

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**3/5/2015 12:57:08 PM**

**in**

**Case No(s). 13-0535-EL-AGG**

Summary: Application Electricity Broker/Aggregator renewal Application for Titan Energy - New England, Inc. electronically filed by Mr. Justin Kearney on behalf of Kearney, Justin John Mr. and Titan Energy - New England, Inc.