

FILE



**Mablock Consulting, LLC**  
**dba Lock Group**  
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Electricity Brokerage & Consulting  
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January 16, 2015

Public Utilities Commission of Ohio  
Docketing Division  
180 E. Broad Street  
Columbus, Ohio 43215-3793

RE: Case Number 14-2303-GA-AGG

To Whom It May Concern,

Please see enclosed requested *Amended* information for Case Number **14-2303-GA-AGG** on exhibits C-3 and C-5. If you have any questions, I can be reached at (972) 241-1970.

Sincerely,

Kerrie Hammonds  
Admin/Customer Service  
[kerrieh@lockgroup.com](mailto:kerrieh@lockgroup.com)

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January 16, 2015

## **AMENDED – (Case #: 14-2303-GA-AGG)**

### **C. Applicant Financial Capability and Experience** **EXHIBITS C-1 thru C-9**

(Mablock Consulting, LLC dba Lock Group is applying for an Aggregator/Consulting License in the State of Ohio. The company is a Sole Proprietor and therefore will not include the following Exhibits: C-1, C-2, C-4, and C-6 thru C-9.)

#### **Exhibit C-3 “Financial Statements”**

Please see attached 2 years of Financial Statements.  
(2012 and 2013)

#### **Exhibit C-5 “Forecasted Financial Statements”**

Please see attached 2015 and 2016 Forecasted Financial Statements.

**Financial Statements of**

**The Lock Group**

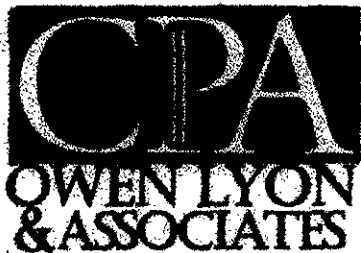
**For Period Ended**

**December 31, 2012**

**Owen Lyon & Associates, PC  
385 West Main Street  
Lawsville, TX 75057**

**972-317-0529**

**UNAUDITED – See Accountants' Compilation Report**



385 W. Main Street  
Lewisville, TX 75057  
Phone: 972-317-0529  
Fax: 972-317-1823

1700 N. Weaver, Suite C  
Gainesville, TX 76240  
Phone: 940-668-2220  
Fax: 940-668-2229

**Accountant's Compilation Report**

July 26, 2013

To: The Board of Directors of  
The Lock Group  
2817 Creek View Dr  
Flower Mound, Tx 75022

We have compiled the accompanying statement of assets, liabilities and equity – income tax basis of The Lock Group (a limited liability company), as of December 31, 2012 and the related statement of revenues and expenses – cash basis for the year then ended, in accordance with Statement on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The financial statements have been prepared on the basis of accounting used by the Corporation for income tax purposes, which is a comprehensive basis of accounting other than generally accepted accounting principles. A compilation is limited to presenting, in the form of financial statements, information that is the representation of management. We have not audited or reviewed the accompanying financial statements or supplementary information and, accordingly, do not express an opinion or any other form of assurance on them.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with the applicable financial reporting framework and for designing, implementing and maintaining internal control relevant to the preparation and fair presentation of the financial statements. The objective of the financial statements is to assist management in presenting financial information in the form of financial statements without undertaking to obtain any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures (and the statement of Cash Flows) require by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusion about the Corporation's financial position, results of operations and cash flows. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The company is not a taxpaying entity for federal income tax purposes, and thus no income tax expense has been recorded in these statements. Income from the company is taxed to the members in their individual returns.

We are not independent with respect to The Lock Group.

  
Owen Lyon & Associates PC

**Serving our clients with Ethics, Integrity & Excellence**  
[www.owenlyon.com](http://www.owenlyon.com)

**The Lock Group**  
**Statement of Assets, Liabilities & Equity-Income Tax Basis**  
**As of December 31, 2012**

	<u>Dec 31, 12</u>
<b>ASSETS</b>	
<b>Current Assets</b>	
Checking/Savings	
Webstock Lock Group	78,240.72
<b>Total Checking/Savings</b>	<u>78,240.72</u>
Other Current Assets	
Unearned Income	1,425,000.00
<b>Total Other Current Assets</b>	<u>1,425,000.00</u>
<b>Total Current Assets</b>	<u>1,503,240.72</u>
<b>Fixed Assets</b>	
Autos	71,798.00
Furniture	20,122.00
Office Equipment	20,061.69
Accumulated Depreciation	-78,203.00
<b>Total Fixed Assets</b>	<u>33,786.69</u>
<b>Other Assets</b>	
Startup Costs	602.00
Accumulated Amortization	-400.00
<b>Total Other Assets</b>	<u>400.00</u>
<b>TOTAL ASSETS</b>	<u><u>1,537,427.41</u></u>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Liabilities</b>	
<b>Current Liabilities</b>	
Other Current Liabilities	
Commissions	43,285.00
<b>Total Other Current Liabilities</b>	<u>43,285.00</u>
<b>Total Current Liabilities</b>	<u>43,285.00</u>
<b>Long Term Liabilities</b>	
Class LOC	48,525.23
<b>Total Long Term Liabilities</b>	<u>48,525.23</u>
<b>Total Liabilities</b>	<u>91,790.23</u>
<b>Equity</b>	
Owner's Capital	868,364.17
Retained Earnings	301,844.84
Net Income	184,308.17
<b>Total Equity</b>	<u>1,445,517.18</u>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<u><u>1,537,427.41</u></u>

**The Lock Group**  
**Statement of Revenue & Expenses-Income Tax Basis**  
**For the Year Ended December 31, 2012**

	<u>Jan - Dec 12</u>
<b>Ordinary Income/Expense</b>	
<b>Income</b>	
Sales	1,265,745.73
<b>Total Income</b>	<u>1,265,745.73</u>
<b>Expense</b>	
Dues & Subscriptions	241.00
Advertising	24,040.77
Barbers Reimburs	3,690.61
Commissions and Fees	5,097.00
Computer Services	2,857.57
Contract Labor	9,000.00
Donations	3,333.00
Education & Training	2,273.03
Insurance	6,940.71
Legal and Professional Fees	3,845.00
License and Fees	1,915.00
Maint	2,555.25
Meals and Entertainment	13,132.61
Mgmt Fee ROE	902,611.00
Office Expenses	44,600.48
Repairs and maintenance	3,584.44
Taxes and Licenses	63.75
Telephone	10,172.94
Travel	41,393.02
<b>Total Expense</b>	<u>1,081,437.86</u>
<b>Net Ordinary Income</b>	<u>184,308.17</u>
<b>Net Income</b>	<u>184,308.17</u>