



The Public Utilities Commission of Ohio

Original AGG Case Number	Version
12 - 2733 -EL-AGG	August 2004

RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name National Power Source LLC
Address PO Box 347, Buffalo NY 14231
PUCO Certificate # and Date Certified _____
Telephone # (888) 480-5492 Web site address (if any) www.nationalpowersource.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name National Power Source LLC
Address PO Box 347, Buffalo NY 14231
Telephone # (888) 480-5492 Web site address (if any) www.nationalpowersource.com

A-3 List all names under which the applicant does business in North America

National Power Source LLC _____

A-4 Contact person for regulatory or emergency matters

Name Michael Riester
Title President
Business address PO Box 347, Buffalo NY 14231
Telephone # (888) 480-5492 Fax # (888) 443-3938
E-mail address (if any) mriester@nationalpowersource.co

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Michael Riester
Title President
Business address PO Box 347, Buffalo NY 14231
Telephone # (888) 480-5492 Fax # (888) 443-3938
E-mail address (if any) mriester@nationalpowersource.co

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address PO Box 347, Buffalo NY 14231
Toll-free Telephone # (888) 480-5492 Fax # (888) 443-3938
E-mail address (if any) mriester@nationalpowersource.co

A-7 Applicant's federal employer identification number # 205073371

A-8 Applicant's form of ownership (check one)

- | | |
|--------------------------------------------------------------|---------------------------------------------------------------------|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

National Power Source

Exhibit A-9 "Principal Officers, Directors and Partners

Michael Riester
President
PO Box 347
Buffalo, NY 14231
(888) 480-5492

National Power Source

Exhibit A-10 "Corporate Structure"

National Power Source LLC

This is the only entity that provides energy consulting or brokering services.

National Power Source

Exhibit B-1 “Jurisdiction of Operation”

National Power Source is licensed in the state of Maryland.

National Power Source

Exhibit B-2 "Experience & Plans"

National Power Source has experience consulting with natural gas and electricity end users for the purpose of contracting with third party suppliers. We have done work in New York, Illinois, California, Michigan, Oklahoma, Kansas and other states. We do not contract with these clients or bill them directly for our services. A fee is attached to the price that is contracted for from a third party supplier and the fee is then collected and remitted to National Power Source by the third party supplier. National Power Source power source never receives title to the commodity or any of the transportation contracts associated with the delivery of the commodity. National Power Source represents many of the natural gas and electricity retail providers and the client contracts directly with the selected suppliers for all services and commodities.

National Power Source maintains a toll free number for our clients to call if there are any complaints. We also provide on site meetings to respond to any complaints and update the clients on the status of their contracts with third party suppliers.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

National Power Source

Exhibit B-3 “Disclosure of Liabilities and Investigations”

There are none.

National Power Source

Exhibit C-1 “Annual Reports”

National Power Source is not a public company and does not have shareholders. Annual reports are not required.

National Power Source

Exhibit C-2 “SEC Filings”

National Power Source is not a public company. SEC filings are not required.


- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.


Signature of Applicant & Title

President

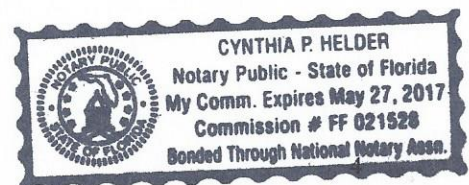
Sworn and subscribed before me this 7 day of November, 2013
Month Year


Signature of official administering oath


Print Name and Title

My commission expires on 5/27/17

NYDL 109-349317



National Power Source

Exhibit C-4 “Financial Arrangements”

National Power Source will not be a competitive retail electric supplier and will not have any contractual or financial obligation to take ownership or deliver any commodity.

National Power Source

Exhibit C-5 “Forecasted Financial Statements”

National Power Source will not have a CRES operation.

National Power Source

Exhibit C-6 "Credit Rating"

None available

National Power Source

Exhibit C-7"Credit Report"

None available

National Power Source

Exhibit C-8"Bankruptcy Information"

The have never been any bankruptcy filings.

National Power Source

Exhibit C-9”Merger Information”

There are none

AFFIDAVIT

State of New York:

Amherst ss.
(Town)

County of ERIE :

Michael Riester, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of National Power source^{LLC} (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Michael J. Prater

Signature of Affiant & Title

Sworn and subscribed before me this 30th day of October, 2014
Month Year

Daniel Ezra Saper
Signature of official administering oath

Daniel Ezra Saper, Notary Public
Print Name and Title

DANIEL EZRA SAPER
Lic. #01SA6252789
Notary Public-State of New York
Qualified in ERIE COUNTY
My Commission Expires DECEMBER 12, 2015

My commission expires on Dec. 12, 2015

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

10/30/2014 4:09:22 PM

in

Case No(s). 12-2733-EL-AGG

Summary: Application Renewal Application for Power Brokers License electronically filed by Mr. Michael Riester on behalf of National Power Source LLC