

The Public Utilities Commission of Ohio

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Case Number	Version
Case Number	
BY ODG	
00 - 1717 -EL-CRS	August 2004

RENEWAL APPLICATION FOR RETAIL GENERATION PROVIDERS AND POWER MARKETERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-11 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION A-1 Applicant intends to be renewed as: (check all that apply) ☑ Power Broker ☐Retail Generation Provider ☑Power Marketer ☐ Aggregator Applicant's legal name, address, telephone number, PUCO certificate number, and A-2 web site address Legal Name Constellation NewEnergy, Inc. Address 10 S. Dearborn Street, 50th Fl. Chicago, IL 60603 PUCO Certificate # and Date Certified Renewal 00-003E(7) November 26, 2012 Telephone #(866) 237-7693 Web site address (if any) www.constellation.com List name, address, telephone number and we b site address under which Applicant A-3 does business in Ohio Legal Name Constellation NewEnergy, Inc. Address 10 S. Dearborn Street, 50th Fl. Chicago, IL 60603 Telephone #(866) 237-7693 Web site address (if any) www.constellation.com

List all names under which the applicant does business in North America Constellation NewEnergy, Inc.
Contact person for regulatory or emergency matters
Name Lael Campbell
Title Director, Regulatory & Government Affairs
Business address 101 Constitution Ave NW, Washington, D.C., 20001
Telephone # (202) 637-0350 Fax # E-mail address (if any) lael.campbell@constellation.com
Contact person for Commission Staff use in investigating customer complaints
Name Lael Campbell
Title <u>Director, Regulatory & Government Affairs</u>
Business address 101 Constitution Ave NW, Washington, D.C., 20001
Telephone # (202) 637-0350 Fax # E-mail address (if any) lael.campbell@constellation.com
E-mail address (if any) lael.campbell@constellation.com
Applicant's address and toll-free number for customer service and complaints
Customer Service address * Please see Attachment A-7 Toll-free Telephone # Fax #
Toll-free Telephone # Fax # E-mail address (if any)
Applicant's federal employer identification number # 954714890
Applicant's form of ownership (check one)
□Sole Proprietorship □Limited Liability Partnership (LLP) □Limited Liability Company (LLC)
☐ Corporation ☐ Other
PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:
Exhibit A10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners or other similar officials.
Exhibit A-11 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America.

B. MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation," provide a 1st of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services.
- B-2 <u>Exhibit B-2 "Experience & Plans,"</u> provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.
- B-3 <u>Exhibit B-3 "Disclosure of Liabilities and Investigations,"</u> provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
 ☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5** "**Disclosure of Certification Denial, Curtailment, Suspension, or Revocation**" detailing such action(s) and providing all relevant documents.

C. <u>FINANCIAL CAPABILITY AND EXPERIENCE</u>

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 <u>Exhibit C-1 "Annual Reports,"</u> provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.
- C-6 <u>Exhibit C-6 "Credit Rating,"</u> provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 <u>Exhibit C-8 "Bankruptcy Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 <u>Exhibit C-9 "Merger Information,"</u> provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

D. TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- D-1 <u>Exhibit D-1 "Operations"</u> provide a written description of the operational nature of the applicant's business. Please include whether the applicant's operations include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.
- D-2 <u>Exhibit D-2 "Operations Expertise,"</u> given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations.
- D-3 <u>Exhibit D-3 "Key Technical Personnel,"</u> provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.
- D-4 <u>Exhibit D-4 "FERC Power Marketer License Number,"</u> provide a statement disclosing the applicant's FERC Power Marketer License number. (Power Marketers only)

Signature of Applicant and Title

Sworn and subscribed before me this 22nd day of October, 2014

Month

Year

My commission expires on 3/29/2016

<u>AFFIDAVIT</u>

State of Maryland	_:					
		Baltimore	_ ss.			
a		(Town)				
County of Baltimore City	<u>′</u> :					
Bryan Wright ,	Affiant, being	duly sworn/affi	rmed according to	law, deposes and	says that:	
He/She is the Chief Finan	ncial Offi Offi	ce of Affiant) o	f Constellation	NewEnergy, (Nam	ne of Applica	nt);
That he/she is authorized to	o and does make	this affidavit t	or said Applicant,			

- 1. The Applicant herein, attests under penalty of false statement that all statements made in the
 - application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
 - 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
 - 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
 - 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
 - 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
 - 6. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
 - 7. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
 - 8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
 - 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
 - 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of he/she expects said Applicant to be able to prove the same at an Signature of Affiant & Title	f his/her knowledge, information, and belief and that y hearing hereof.
Sworn and subscribed before me this 2nd day of 000 Month Athlune Hanley Signature of official administering oath	Year Atherica Stanley Analyst Print Name and Title
My commission expires on 3	129/2016

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Attachment A-7 Customer Service and Complaint Contact Information

Commercial & Industrial	Residential
Point of Contact: Kimberly Bookman	Point of Contact: Kari Cramer
Email:	Email:
Contract Compliance@constellation.com	ChoiceCompliance@constellation.com
Toll Free: 888-635-0827	Toll Free: 877-997-9995
Fax: (443) 213-6315	Fax: N/A
Address:	Address:
Attn: Contract Compliance	Attn: Escalated Customer Support
1221 Lamar St., Suite 750	1221 Lamar St., Suite 750
Houston, TX 77010	Houston, TX 77010

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit A-10 Principal Officers, Directors and Partners

The names, titles, addresses, and telephone numbers of the Officers and Directors of Constellation NewEnergy, Inc. are as follows:

Name	<u>Title</u>	Business Location
Joseph Nigro	Director	(1)
David C. Ellsworth	Director, Senior Vice President	(1)
Mark P. Huston	Director, President, Chief Executive	* *
Bryan Wright	Chief Financial Officer	(1)
Bruce G. Wilson	Secretary	(2)
Stacie M. Frank	Treasurer	(2)
Gary Fromer	Senior Vice President	(1)
Pancho Hall	Senior Vice President	(1)
Kevin Klages	Senior Vice President	(4)
Bruce Stewart	Senior Vice President	(1)
John Bennett	Vice President	(6)
Chris Cantone	Vice President	(6)
David Donat	Vice President	(5)
Lynn Duclos	Vice President	(1)
Charles Hanna	Vice President	(7)
Mark Harada	Vice President	(2)
Andrew Singer	Vice President	(1)
Michael D. Smith	Vice President	(1)
Kevin Watson	Vice President	(8)
Thomas Terry	Vice President Taxes	(2)
Denis Eischen	Assistant Vice President Taxes	(2)
Robert Kleczynski	Assistant Vice President Taxes	(2)
Alexander Stavrou	Assistant Vice President Taxes	(1)
Nina L. Jezic	Assistant Secretary	(3)
Lawrence Bachman	Assistant Secretary	(2)
Paul R. Bonney	Assistant Secretary	(1)
Scott N. Peters	Assistant Secretary	(2)
Kathleen Abbot	Assistant Treasurer	(2)
Shane P. Smith	Assistant Treasurer	(1)
Joshua Udler	Assistant Treasurer	(1)

^{(1) 100} Constellation Way, Baltimore MD 21202

^{(2) 10} South Dearborn Street, Chicago IL 60603

^{(3) 810 7}th Avenue, Suite 400, New York NY 10019

^{(4) 1409} Tangier Drive, Suite A, Baltimore, MD 21220

^{(5) 1331} Lamar Street, Houston, TX 77010

^{(6) 116} Huntington Ave, Suite 700, Boston, MA 02116

^{(7) 300} Exelon Way, Kennett Square, PA 19348

^{(8) 9960} Corporate Campus Dr. Suite 2000, Louisville, KY 40223

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit A-11 Corporate Structure

Constellation NewEnergy, Inc. is a wholly owned indirect subsidiary of Exelon Corporation ("Exelon").

Regulated electricity or natural gas utility affiliates:

Baltimore Gas & Electric Company Commonwealth Edison Company PECO Energy Company

Affiliates other than a regulated electricity or natural gas utility engaged in the retail or wholesale supply of electricity or natural gas:

BGE Home Products and Services, LLC

*Compass Energy Services, Inc.

*Compass Energy Gas Services, LLC

Constellation NewEnergy - Gas Division, LLC

Constellation NewEnergy Canada, Inc.

Constellation Energy Commodities Group, Inc.

Constellation Energy Commodities Group Maine LLC

Constellation Energy Commodities Group Massachusetts LLC Constellation Energy Commodities Group New Hampshire, LLC

Constellation Energy Gas Choice, Inc.

Constellation Energy Power Choice, Inc.

Exelon Generation Company, LLC

*Integrys Energy Services – Electric, LLC

*Integrys Energy Services - Natural Gas, LLC

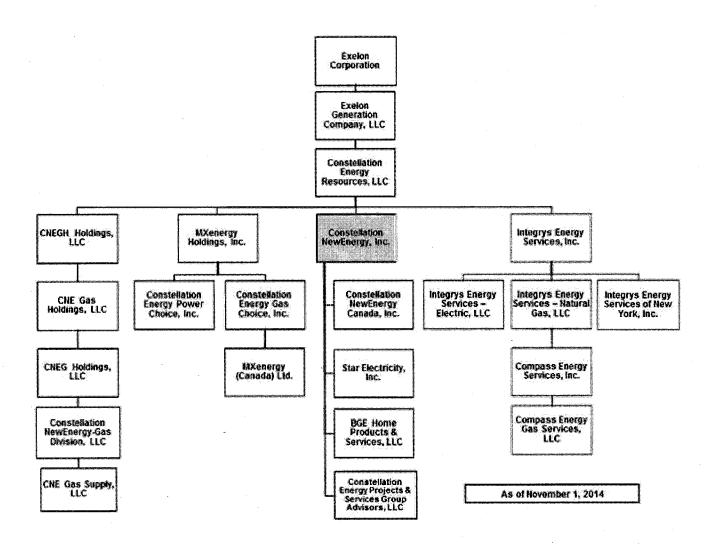
*Integrys Energy Services of New York, Inc.

Star Electricity, Inc.

^{*}As of November 1, 2014

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit A-11 Corporate Structure



APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit B-1 Jurisdictions of Operation

In the State of Ohio, Constellation NewEnergy, Inc. is currently licensed and registered in the service territories of FirstEnergyOhio, Duke, DP&L and AEP.

In addition, Constellation NewEnergy, Inc. or one of its affiliates is certified, licensed or registered to provide retail or wholesale electric services in the following jurisdictions.

California

Connecticut

Delaware

District of Columbia

Illinois

Maine

Maryland

Massachusetts

Michigan

New Hampshire

New Jersey

New York

Ohio

Ontario

Oregon

Pennsylvania

Rhode Island

Texas

Virginia

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit B-2 Experience and Plans

Constellation NewEnergy, Inc., an indirect subsidiary of Exelon Corporation, is a leading competitive supplier of electricity and energy related services to commercial, industrial, public sector, government, institutional, and residential customers throughout North America. Constellation NewEnergy, Inc. operates in all competitive energy markets throughout the United States and Canada, providing products that enable customers to effectively manage and control energy costs. Constellation NewEnergy Inc.'s regional expertise coupled with its North American presence provides customers with customized energy products and services while leveraging the assets of one of the strongest integrated energy companies in North America. Constellation NewEnergy, Inc., based in Baltimore, Maryland, serves more than 100,000 commercial, industrial, and government customers, and more than 1 million residential customers.

Since January 1, 2001, Constellation NewEnergy, Inc. has provided reliable electricity delivery, billing and customer support in Ohio. Constellation NewEnergy, Inc. will continue to meet the requirements as defined in Section 4928.10 of the Ohio Revised Code as well as all applicable CRES rules with regard to contracting customers, invoicing and billing statements, and responding to customer inquiries and complaints.

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

<u>Exhibit B-3</u> <u>Disclosures of Liabilities and Investigations</u>

There are no existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact Constellation NewEnergy, Inc.'s financial or operational status or ability to provide the services it currently provides or seeks to provide customers.

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit C-1 Annual Reports

Constellation NewEnergy, Inc. does not issue Annual Reports as a stand-alone company. Annual Reports of Constellation NewEnergy, Inc.'s ultimate parent, Exelon Corporation, can be viewed at the following weblink:

http://www.exeloncorp.com/performance/investors/financialreports.aspx

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit C-2 SEC Filings

Constellation NewEnergy, Inc. does not file 8-Ks or 10-Ks as a stand-alone company. Constellation NewEnergy, Inc.'s ultimate parent, Exelon Corporation, makes those filings with the SEC. Exelon Corporation's most recent SEC Form 10-K is dated February 14, 2014. Exelon Corporation's most recent 8-K filing is dated September 22, 2014. All Exelon Corporation's SEC filings can be found at the following weblink: http://www.exeloncorp.com/performance/investors/secfilings.aspx

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit C-3 Financial Statements

Constellation NewEnergy, Inc. does not maintain individual audited financial statements. The annual reports for Exelon Corporation contain the audited financial statements for all Exelon companies on a consolidated basis. Exelon Corporation's Annual Reports can be viewed at the following weblink: http://www.exeloncorp.com/performance/investors/financialreports.aspx

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

<u>Exhibit C-4</u> <u>Financial Arrangements</u>

This exhibit contains proprietary and confidential information and is being submitted under seal.

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit C-5 Financial Forecasts

This exhibit contains proprietary and confidential information and is being submitted under seal.



October 22, 2014

The Public Utilities Commission of Ohio Docketing Department 180 East Broad Street Columbus, OH 43215-3793

Dear Sir or Madam:

Reference is made to the requirement under Exhibit C-6 Credit Rating for the PUCO Renewal Certification Application for Retail Generation Providers and Power Marketers. Pursuant to Exhibit C-6, I am submitting this verification of ownership and financial wherewithal.

Constellation NewEnergy, Inc. ("Constellation") is a wholly owned indirect subsidiary of Exelon Generation Company, LLC ("Exelon"), a Pennsylvania company in good standing. Exelon's current senior unsecured debt rating is Baa2 (Moody's) and BBB (S&P).

Exelon will continue to maintain all appropriate credit facilities as necessary to support Constellation's business in Ohio.

Sincerely,

Bryan Wright

Chief Financial Officer

Exelon Generation Company, LLC

Bryand Whyles

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit C-7 Credit Report

Please refer to Attachment C-7 for Exelon Generation Company, LLC's Dun and Bradstreet credit report.



EXELON GENERATION COMPANY, LLC

D-U-N-S® 19-674-8938

Headquarters(Subsidiary) 300 Exelon Way,

Kennett Square, PA 19348

Phone

5A3

High

Good

Website:

www.exeloncorp.com

Business Information Report

Purchase Date: 10/22/2014 Last Update Date: 10/06/2014 Attention: credit

Executive Summary Company Info

Year Started

2000

2000

Control Year

JOHN W ROWE, MBR

Employees

CEO

3,000

HH GG FF EE OD DC CC CB BB BA 1A

Fair

Employees Here

D&B Rating

D&B Rating

Financial Strength

10 at this location

Working Capital

\$2,572,000,000

Trade Styles

215 841-4000

(SUBSIDIARY OF EXELON CORPORATION, CHICAGO,

IL);

EXELON

Sales (Financial Statement)

\$15,630,000,000

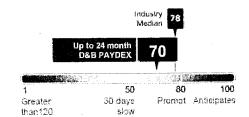
Net Worth (Financial Statement)

13,650,000,000

As of 06/30/2014

D&B PAYDEX®

Up to 24 month D&B PAYDEX



Up to 3 month D&B PAYDEX



than 120 days slow

days slow

D&B Viability Rating

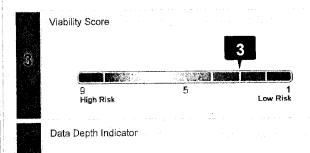
Limited

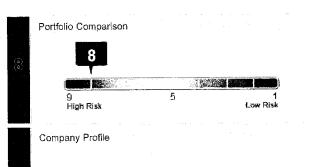
Composite Credit Appraisal

D&B Viability Rating

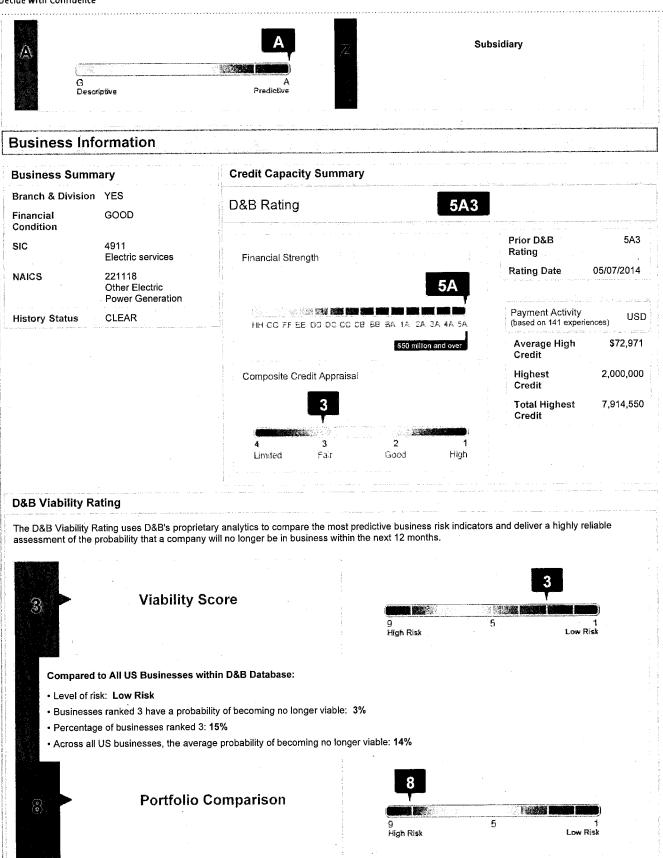


100









Compared to all Businesses within the same MODEL SEGMENT:

Model Segment: Available Financial Data



- Level of risk: High Risk
- Businesses ranked 8 within this model segment have a probability of becoming no longer viable: 2%
- Percentage of businesses ranked 8 within this model segment: 4%
- Within this model segment, the average probability of becoming no longer viable: 0.6%



Data Depth Indicator



Data Depth Indicator Details:

- √ Rich Firmographics
- ✓ Extensive Commercial Trading Activity
- ✓ Comprehensive Financial Attributes

72.

Company Profile

Subsidiary

Business History

Officers

JOHN W ROWE, MBR;

JOHN YOUNG, MBR;

CHRISTOPHER M CRANE, MBR-CEO;

MAYO A SHATTUCK III, CHM

Directors

THE OFFICER(S)

As of 10/06/2014

The Pennsylvania Secretary of State's business registrations file showed that Exelon Generation Company, LLC was registered as a Limited Liability Company on December 27, 2000.

Business started 2000 by Exelon Corporation.

RECENT EVENTS:

On January 2, 2013, sources stated that Constellation Energy Commodities Group Inc., Baltimore, MD, has completed the merger with Exelon Generation Company LLC, Kennett Square, PA, on February 1, 2013. With the merger, Exelon Generation Company LLC is the surviving entity. Further details are unavailable.

On December 21, 2010, sources stated that Exelon Generation Company, LLC, Kennett Square, PA, a wholly-owned subsidiary of Exelon Corporation, Chicago, IL, has completed its acquisition of all of the membership interests of John Deere Renewables, LLC, Johnston, IA, on December 10, 2010. With this acquisition, John Deere Renewables, LLC changed its name to Exelon Wind, LLC and will operate as a wholly-owned subsidiary of Exelon Generation Company, LLC. The purchase price was \$860 million with a provision for up to an additional \$40 million upon commencement of construction on the advanced development projects.

JOHN W ROWE. Antecedents are unknown.

JOHN YOUNG, Antecedents are unknown.

CHRISTOPHER M CRANE. Antecedents are unknown.

MAYO A SHATTUCK III. Undetermined.

AFFILIATES:

The following are related through common principals, management and/or ownership: Sithe Energies Inc , New York, NY. Started '1989'. DUNS #179188230. Operates as electric power plants. Owns 49.9% interest.

Commonwealth Edison Company, Chicago, IL. Started '2000'. DUNS #006929509. Operates as electric utility.

Peco Energy Company, Philadelphia, PA. Started '2000'. DUNS #007914468. Operates as electric and gas utility.

Government Activity Summary



Activity Summary		Possible candidate for socioeconomic program consideration			
Borrower	No	Labor Surplus Area	N/A		
Administrative Debt	Yes	Small Business	N/A		
Grantee	No	Women Owned	N/A		
Party Excluded from Federal Programs	No "	Minority Owned	N/A		
Public Company	N/A				
Contractor	Yes				
Importer/Exporter	Importer				

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

Operations Data

As of 10/06/2014

Description:

Subsidiary of EXELON CORPORATION, CHICAGO, IL started 2000 which operates as an electric utility holding company.

As noted, Exelon Corporation, DUNS 00-180-7150 is the indirect sole member of Exelon Generation Co LLC, and

reference is made to that report for information on the company and its management.s.

Provides electric services, specializing in power generation (100%).

ADDITIONAL TELEPHONE NUMBER(S): Facsimile (Fax) 215 841-3602. Toll-Free 800 483-3220.

Terms are Net 30 days. Sells to general public and commercial concerns. Territory: United States.

Nonseasonal.

Employees:

3,000 which includes partners. 10 employed here.

Facilities:

Rents premises in a building.

Location:

Central business section on well traveled street.

Industry Data

	SIC	and an internal control of the contr	NAICS		
The second	Code	Description	Code	Description	
	49119902	Generation, electric power	221118	Other Electric Power Generation	
	<u> </u>				٦

Family Tree

Parent

EXELON CORPORATION (D-U-N-S®:00-180-7150) AKA: EXELON 10 S DEARBORN ST, CHICAGO, IL 60603-2300

Divisions Domestic

EXELON GENERATION COMPANY, LLC; (D-U-N-S®:96-019-6335) AKA: EXELON GENERATION 2223B MOUNTAIN CREEK PKWY, DALLAS, TX 75211-6716 EXELON GENERATION COMPANY, LLC; (D-U-N-S®:96-045-8958) AKA: PECO ENERGY 300 EXCELON WAY #25, KENNETT SQUARE, PA 19348

Branches Domestic



Decide with Confidence

EXELON GENERATION COMPANY, LLC; (D-U-N-S®:00-359- 4087) AKA: EXELON 540 EXECUTIVE DR,	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:03-045- 0147) 5190 CHURCH ST, HOUSTON, TX 77004	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:07-848- 2416) AKA: EXELON 17475 PALMER BLVD,	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:07-848- 4172) AKA: EXELON 84 14TH AVE NE,	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:07-847- 5279) AKA: EXELON 551 GLENN AVE,
LISLE, IL 60532	1100010N, 17 17004	HOMEWOOD, IL 60430-4620	MINNEAPOLIS, MN 55413-1006	WHEELING, IL 60090- 6015
EXELON GENERATION COMPANY, LLC; (D-U-N-S®:09-301- 8617) AKA: EXELON 8900 KEYSTONE XING STE 1075, INDIANAPOLIS, IN 46240-7751	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:10-119- 2099) 11520 CRONRIDGE DR, OWINGS MILLS, MD 21117-2271	EXELON GENERATION COMPANY, LLC; (D-U-N-S@:10-165-8388) AKA: EXELON 7315 E FRONTAGE RD, SHAWNEE MISSION, KS 66204-1606	EXELON GENERATION COMPANY, LLC; (D-U-N-S@:10-387- 3241) 480 N YORK RD, BENSENVILLE, IL 60106-1606	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:12-304- 9470) AKA: EXELON 1 INDUSTRIAL HWY, CRUM LYNNE, PA 19022-1524
EXELON GENERATION COMPANY, LLC; (D-U-N-S®:12-275- 2608) AKA: EXELON GENERATION 173 ALFORD ST, CHARLESTOWN, MA 02129-1032	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:13-089- 4194) 36400 S ESSEX RD, CHICAGO, IL 60603	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:14-180- 7094) 9 SUMMER ST, MEDWAY, MA 02053- 1605	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:15-019- 8781) AKA: EXELON 500 N 3RD ST STE 801, HARRISBURG, PA 17101-1158	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:15-071- 4181) 5610 WALNUT AVE, DOWNERS GROVE, IL 60516-1061
EXELON GENERATION COMPANY, LLC; (D-U-N-S®:15-002- 0352) AKA: EXELON GENERATION 6604 E ROSEDALE ST, FORT WORTH, TX 76112-7027	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:16-709-6374) AKA: EXELON 3 LINCOLN CTR, VILLA PARK, IL 60181-4204	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:60-516-8525) AKA: EXELON 1819 E ALLEGHANY AVE, PHOENIXVILLE, PA 19453	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:60-517- 3210) AKA: EXELON GENERATION 298 LONG VIEW RD, DRUMORE, PA 17518	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:62-759- 2553) AKA: EXELON 830 SCHUYLKILL AVE, PHILADELPHIA, PA 19146-2320
EXELON GENERATION COMPANY, LLC; (D-U-N-S®:83-587- 3514) 3201 ISLAND ROAD, PHILADELPHIA, PA 19153	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:88-449- 8502) AKA: EXELON 981 BUSSE RD, ELK GROVE VILLAGE, IL 60007-2400	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:96-919- 2400) 2940 S HARDING ST, INDIANAPOLIS, IN 46225-2229	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:78-442- 4173) AKA: EXELON 101 SHILOH BLVD, ZION, IL 60099-2722	EXELON GENERATION COMPANY, LLC; (D-U-N-S®:79-253- 1191) AKA: EXELON 4401 135TH ST, MIDLOTHIAN, IL 60445-1440
Subsidiaries Domestic		impani, valdenia i a sa s	y a mango, kantan ay a sanassa sa sa a sa a sa a sa a s	magn. 1. hopping alamaga manya. 12th, ou 12 (2000). 1. hopping
EXELON NEW ENGLAND POWER MARKETING; (D-U-N-S®:09-554- 9551) 300 EXELON WAY, KENNETT SQUARE, PA 19348-2473	EXTEX RETAIL SERVICES CO LLC; (D-U-N-S®:13-149- 4440) 300 EXELON WAY, KENNETT SQUARE, PA 19348-2473	EXELON POWER CORP (D-U-N-S®:16-185- 0685) 2233 MOUNTAIN CREEK PKWY STE A, DALLAS, TX 75211- 6706	EXELON POWERLABS LLC (D-U-N-S®:60-872- 4329) 175 N CALN RD, COATESVILLE, PA 19320-2309	EXELON WIND, LLC (D-U-N-S®:79-892- 9084) 6400 NW 86TH ST, JOHNSTON, IA 50131- 2945
CEU HOLDINGS, LLC (D-U-N-S®:07-827- 4314) 100 CONSTELLATION WAY STE 1700P, BALTIMORE, MD 21202-6302	CEU PINEDALE, LLC (D-U-N-S®:07-827- 4507) 750 E PRATT ST, BALTIMORE, MD 21202-3142			
Affiliates Domestic				enan a torr etti sollinimmenen ettiman, si vilana, erintikka erinteen
COMMONWEALTH	THE WENNINGER	EXELON BUSINESS	AMERGEN ENERGY	CONSTELLATION



EDISON COMPANY; (D-U-N-S®:00-692- 9509) 440 S LASALLE ST, CHICAGO, IL 60605	COMPANY INC (D-U-N-S®:00-782- 9898) 16875 W RYERSON RD, NEW BERLIN, WI 53151-3521	SERVICES COMPANY; (D-U-N-S®:03-942- 4721) 10 S DEARBORN ST FL 37, CHICAGO, IL 60603- 2300	COMPANY, L.L.C.; (D-U-N-S®:05-379- 5998) 200 EXELON WAY, KENNETT SQUARE, PA 19348-2442	ENERGY GROUP, INC.; (D-U-N-S®:06-250- 0678) 100 CONSTELLATION WAY, BALTIMORE, MD 21202-6302
EXELON ENERGY COMPANY (D-U-N-S®:06-141- 6504) 300 EXELON WAY, KENNETT SQUARE, PA 19348-2473	UNICOM ASSURANCE COMPANY LTD; (D-U-N-S®:08-768- 1339) 10 S DEARBORN ST # 340W, CHICAGO, IL 60603- 2300	EXELON THERMAL HOLDINGS, INC; (D-U-N-S®:12-789- 3746) 200 W JACKSON BLVD STE 1310, CHICAGO, IL 60606- 6941	EXELON ENTERPRISES COMPANY LLC; (D-U-N-S®:13-182- 7516) 10 S DEARBORN ST FL 45, CHICAGO, IL 60603- 2398	EXELON ENERGY DELIVERY COMPANY, LLC; (D-U-N-S®:13-527- 2271) AKA: EXELON 10 S DEARBORN ST FL 48, CHICAGO, IL 60603- 2300
EXELON CORPORATION (D-U-N-S@:13-619- 8939) AKA: EXELON 3299 SANITORIUM RD, HOWELL, MI 48843- 7976	CIC GLOBAL, LLC (D-U-N-S®:18-512- 2173) 214 CENTERVIEW DR STE 260, BRENTWOOD, TN 37027-5274	EXELON ENERGY COMPANY (PA); (D-U-N-S®:96-442- 2547) 847 TOPAZ DR, WEST CHESTER, PA 19382-5595	EXTEX MARKETING LLC (D-U-N-S®:16-324- 7617) 2751 CENTERVILLE RD, WILMINGTON, DE 19808-1627	BRADFORD HOUSING ASSOCIATES; (D-U-N-S®:78-003- 6799) AKA: BRADFORD VILLAGE 247 COMMERCIAL ST STE A, ROCKPORT, ME 04856-5964
PECO ENERGY TRANSITION TRUST; (D-U-N-S®:36-157- 2659) 920 N KING ST, WILMINGTON, DE 19801-3361	ECPH LLC (D-U-N-S®:16-456- 1594) 2751 CENTERVILLE RD, WILMINGTON, DE 19808-1627	TAMUIN INTERNATIONAL INC (D-U-N-S®:78-904- 8928) 2751 CENTERVILLE RD, WILMINGTON, DE 19808-1627	EXELON PEAKER DEVELOPMENT LTD; (D-U-N-S®:15-749- 1668) 2751 CENTERVILLE RD, WILMINGTON, DE 19808-1627	MXENERGY HOLDINGS INC. (D-U-N-S®:79-665- 3470) 595 SUMMER ST STE 300, STAMFORD, CT 06901-1407
AV SOLAR RANCH 1, LLC (D-U-N-S®:83-221- 3339) 4601 WESTOWN PKWY STE 300, WEST DES MOINES, IA 50266-1071	HOLLOW I WOLF L P (D-U-N-S®:01-884- 0392) 9201 WOLF HOLLOW CT, GRANBURY, TX 76048-7741	WHITETAIL WIND ENERGY, LLC; (D-U-N-S®:07-847- 1405) 10 S DEARBORN ST FL 49, CHICAGO, IL 60603- 2300	COMED FINANCING III (D-U-N-S®:79-128- 0709) 37TH FLOOR 10 DEARBORN STREET, CHICAGO, IL 60690	ENEH SERVICES, LLC (D-U-N-S®:80-787- 7639) 300 EXELON WAY, KENNETT SQUARE, PA 19348-2473

This list is limited to the first 25 branches, subsidiaries, divisions and affiliates, both domestic and international. Please use the Global Family Linkage Link above to view the full listing.

Financial Statements

Banking

September 2014:

Account maintained.

December 2013:

Loans granted to low 8 figures. Now owing nothing. Matures in 1 to 5 years.

(Same bank)Loans granted to low 8 figures. Now owing nothing. Matures in 1 to 5 years.

0.00.00000	Three Years Comparative Statement			Key Business Ratios (Based on 25 establishments)					
narramente de la companya del companya de la companya del companya de la companya	Park Standard of the Proceedings of the Company of	Fiscal Consolidated Dec 31 2012 USD	Fiscal Consolidated Dec 31 2013 USD	Interim Consolidated Mar 31 2014 USD	•	This Business	Industry Median	Industry Quartile	The second section is a second
Oliver a season or discovered in a first	Curr Assets		\$6,439,000,000	\$6,189,000,000	Profitability				- Contract



Decide with Confidence

4,097,000,000	3,867,000,000	3,815,000,000
1.52	1.67	1.62
2,114,000,000	2,572,000,000	2,374,000,000
34,470,000,000	34,793,000,000	34,891,000,000
12,665,000,000	12,742,000,000	12,508,000,000
14,437,000,000	15,630,000,000	4,390,000,000
23,919,000,000	24,623,000,000	24,757,000,000
558,000,000	1,060,000,000	(185,000,000)
	1.52 2,114,000,000 34,470,000,000 12,665,000,000 14,437,000,000 23,919,000,000	1.52 1.67 2,114,000,000 2,572,000,000 34,470,000,000 34,793,000,000 12,665,000,000 12,742,000,000 14,437,000,000 15,630,000,000 23,919,000,000 24,623,000,000

Return on Sales	2.3	9.5	4
Return on Net Worth	UN	8.6	UN
Short Term Solvency			
Current Ratio	1.4	1.0	2
Quick Ratio	0.5	0.4	2
Efficiency			
Assets Sales	UN	278.3	UN
Sales / Net Working Capital	5.4	9.0	3
Utilization			
Total Liabs / Net Worth	UN	202.2	UN

Most Recent Financial Statement			
Interim Consolidated statement dated JUN	l 30 2014:		
Assets	USD	Liabilities	USD
Current Assets		Current Liabilities	
Cash	\$305,000,000	Accts Pay	\$1,508,000,000
Accts Rec	1,733,000,000	Short Term Borrowings	53,000,000
Inventory	1,200,000,000	Accruals	819,000,000
Mktble Securities	629,000,000	L.T. Liab-(1yr)	52,000,000
Restricted Cash & Cash Equivalents	88,000,000	L/T Debt-Affiliates Due Within 1 Yr	563,000,000
Accounts Receivable-Other	441,000,000	Payables To Affiliates	108,000,000
Receivables From Affiliates	67,000,000	Other Curr Liabs	1,112,000,000
Unamortized Energy Contract Assets	264,000,000	Total Current Liabilities	4,215,000,000
Other Curr Assets	1,000,000,000		
Total Current Assets	5,727,000,000		
Non Current Assets		Non Current Liabilities	
Fixt & Equip	23,743,000,000	Total Long Term Debt	6,892,000,000
Goodwill-Net	49,000,000	Total Def Credits & Other Liabs	19,665,000,000
Investments-Other	11,333,000,000	TOTAL MEMBERS EQUITY	12,465,000,000
Prepaid Pension Asset	1,888,000,000	NONCONTROLLING INTEREST	1,185,000,000
Pledged Assets-Net	402,000,000	Total Liabilities & Net Worth	44,422,000,000
Other Assets	1,280,000,000	Andrews of	
Total Assets	44,422,000,000		

As of 06/30/2014

As of 10/06/2014

From JAN 01 2014 to JUN 30 2014 sales \$8,179,000,000. Gross profit \$8,179,000,000; operating expenses \$8,379,000,000. Operating income \$(200,000,000); other income \$579,000,000; other expenses \$192,000,000; net income before taxes \$187,000,000; Federal income tax \$(1,000,000). Net income \$188,000,000.

Statement Source

Statement obtained from Securities And Exchange Commission. Prepared from books without audit.

Explanations

The net worth of this company includes intangibles; Other Current Assets consist of deferred income taxes and other; Other Assets consist of unamortized energy contract assets and other; Other Current Liabilities consist of borrowings from company, deferred income taxes, mark to market derivative liabilities, unamortized energy contract liabilities and other.

On Aug 21, 2014, this business was reclassified as a corporation.

Indicators



	s both open and closed filings found in	D&B's database on this company
Record Type	No. of Records	Most Recent Filing Date
Judgment	0	
Lien	2	02/03/2005
Suit	5	06/23/2014
UCC	10	06/18/2013

Public Filings 10 0 Bankruptcy Judgment Lien Suit UCC

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

Full Filings				
uits				
Status	Pending	Latest Info Received	06/27/2014	
Where Filed	PHILADELPHIA COUNTY COMMON PLEAS COURT, PHILADELPHIA, PA	DOCKET NO.	201400603162	
Plaintiff	NATIONWIDE MUTUAL INSURANCE COMPANY, COLUMBUS,	Status Attained	06/23/2014	
OH Defendant EXELON GENERATION CO., INC. AND OTHERS		Date Filed	06/23/2014	
Cause	COMPLAINT FOR DECLARATORY JUDGMENT	The case always that take 12 to 11 to 1884 the case Armer	gger opposited den medit noon of the Noor Confirmation of School School	
Status	Pending	Latest Info	08/17/2013	
Where Filed	PHILADELPHIA COUNTY COMMON PLEAS COURT, PHILADELPHIA, PA	DOCKET NO.	201300801571	
Plaintiff	WARD, CHARLES T, HADDONFIELD, NJ	Status Attained	08/15/2013	
Defendant	EXCELON GENERATION CO., LLC AND OTHERS	Date Filed	08/15/2013	
Cause	Negligence	and the contract of the state o	ologijaja on wakiloskilokilokilokilokilokilokilokilokilokilo	
Status	. Pending	Latest Info Received	07/06/2013	
Where Filed	PHILADELPHIA COUNTY COMMON PLEAS COURT, PHILADELPHIA, PA	DOCKET NO.	201300603881	
Plaintiff	WARD, CHARLES T, HADDONFIELD, NJ	Status Attained	06/28/2013	
Defendant	EXCELON GENERATION CO. LLC AND OTHERS	Date Filed 06/28/2013		
Cause	Negligence		one S. Samuelelane II. kan alakun Amel Mark Salah at Mark III.	
Status	Pending	Latest Info Received	05/11/2012	
Where Filed	CHESTER COUNTY PROTHONOTARY, WEST CHESTER, PA	CASE NO.	201204081CT	
Plaintiff	JAY ZUBEY	Status Attained	04/20/2012	
Defendant	EXELON GENERATION CO. LLC	Date Filed	04/20/2012	
Cause	CONTRACT			
Status	Settled	Latest Info Received	05/23/2010	
Where Filed	PHILADELPHIA COUNTY COMMON PLEAS COURT, PHILADELPHIA, PA	DOCKET NO.	200901000642	
Plaintiff	ADAMO PETERS, LLC, SCARSDALE, NY	Status Attained	05/10/2010	
Defendant	PECO ENERGY COMPANY, PHILADELPHIA, PA AND OTHERS	Date Filed	10/08/2009	
Cause	Malpractice			



If it is indicated that there are defendants other than the report subject, the lawsuit may be an action to clear title to property and does not necessarily imply a claim for money against the subject.

Liens	000 000		03/46/0005
Amount	\$226,663	Latest Info Received	03/16/2005
Status	Open	Type	State Tax
Where Filed	CHESTER COUNTY PROTHONOTARY, WEST CHESTER, PA	Status Attained	02/03/2005
Filed By	COMM OF PA	Date Filed	02/03/2005
against	EXELON GENERATION CO LLC	DOCKET NO.	05-01036
Amount	\$461	Latest Info	05/27/2003
Status	Open	Received	
Where Filed	CHESTER COUNTY PROTHONOTARY, WEST CHESTER, PA	Type	Local Tax
Filed By	TOWNSHIP OF CALN	Status Attained	05/13/2003
against	EXELON GENERATION COMPANY LLC.	Date Filed	05/13/2003
		DOCKET NO.	03 03844
A lienholder can file the be indicative of such ar	e same lien in more than one filing location. The appearance of multiple liens n occurrence.	filed by the same lienhold	ler against a debtor ma
UCC Filings		A CONTRACTOR OF THE CONTRACTOR	
Collateral	Chattel paper and proceeds	Latest Info	03/12/2013
Filing No.	2013030404481	Received	
Where Filed	SECRETARY OF STATE/UCC DIVISION, HARRISBURG, PA	Туре	Original
Secured Party	NATURAL GAS EXCHANGE INC., CALGARY	Date Filed	03/01/2013
Debtor	EXELON GENERATION COMPANY, LLC	:	No. of the Control of
Filing No.	009110439	Latest Info	06/08/2011
Original UCC Filed	09/27/2010	Received	
Date		Туре	Amendment
Where Filed	SECRETARY OF STATE/UCC DIVISION, SPRINGFIELD, IL	Date Filed	05/15/2011
Secured Party	PARKER, MARK, MONEE, IL	Original Filing No.	015626992
Debtor	COMED, VILLA PARK, IL and OTHERS		
Filing No.	009113305	Latest Info	06/17/2011
Original UCC Filed	09/27/2010	Received	ė.
Date		Туре	Amendment
Where Filed	SECRETARY OF STATE/UCC DIVISION, SPRINGFIELD, IL	Date Filed	06/01/2011
Secured Party	PARKER, MARK, MONEE, IL	Original Filing No.	015626992
Debtor	COMED, VILLA PARK, IL and OTHERS		MAARI J. Z., J. P. JALILLIAN II. JANNINNI, JARIS VIII. V
Filing No.	009115308	Latest Info	06/17/2011
Original UCC Filed Date	09/27/2010	Received Type	Amendment
Where Filed	SECRETARY OF STATE/UCC DIVISION, SPRINGFIELD, IL	Date Filed	06/10/2011
Secured Party	PARKER, MARK, MONEE, IL	Original Filing No.	015626992
Debtor	COMED, VILLA PARK, IL		
And a second of the second of	and OTHERS		annier a skale kristinia i zem argen eranniera kilik aktiva (1905 ak
Filing No.	009120071	Latest Info Received	07/20/2011
Original UCC Filed Date	09/27/2010	Туре	Amendment



Secured Party	PARKER, MARK, MONEE, IL	Original Filing No.	015626992
Debtor	COMED, VILLA PARK, IL and OTHERS	· August and a management of the statement of the stateme	manuskaterininin (St. 111 or. 111 S. 201). Iniminiminin addisses a real sec
Filing No.	009122153	Latest Info	08/04/2011
Original UCC Filed Date	09/27/2010	Туре	Amendment
Where Filed	SECRETARY OF STATE/UCC DIVISION, SPRINGFIELD, IL	Date Filed	07/21/2011
Secured Party	PARKER, MARK, MONEE, IL	Original Filing No.	015626992
Debtor	COMED, VILLA PARK, IL and OTHERS		
Filing No.	009126551	Latest Info Received	08/18/2011
Original UCC Filed Date	09/27/2010	Туре	Amendment
Where Filed	SECRETARY OF STATE/UCC DIVISION, SPRINGFIELD, IL	Date Filed	08/15/2011
Secured Party	PARKER, MARK, MONEE, IL	Original Filing No.	015626992
Debtor	COMED, VILLA PARK, IL and OTHERS	; ; ;	Non-painte communical anticlessical designation of the control of
Collateral	Vehicles	Latest Info Received	10/05/2011
Filing No.	009132782	Туре	Amendment
Original UCC Filed Date	09/27/2010	Date Filed	09/18/2011
Where Filed	SECRETARY OF STATE/UCC DIVISION, SPRINGFIELD, IL	Original Filing No.	015626992
Secured Party	PARKER, MARK, MONEE, IL		
Debtor	COMED, VILLA PARK, IL and OTHERS	I amaza santa sa Companya sa sa	house and a second a second a second and a second a second
Filing No.	009137155	Latest Info	10/21/2011
Original UCC Filed Date	09/27/2010	Туре	Amendment
Where Filed	SECRETARY OF STATE/UCC DIVISION, SPRINGFIELD, IL	Date Filed	10/08/2011
Secured Party	PARKER, MARK, MONEE, IL	Original Filing No.	015626992
Debtor	COMED, VILLA PARK, IL and OTHERS		
Filing No.	001852689	Latest Info Received	06/23/2013
Original UCC Filed Date	09/27/2010	Type	Termination
Where Filed	SECRETARY OF STATE/UCC DIVISION, SPRINGFIELD, IL	Date Filed	06/18/2013
Secured Party	PARKER, MARK, MONEE, IL	Original Filing No.	015626992
Debtor	COMED, VILLA PARK, IL and OTHERS		

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed. Additional UCC and SLJ filings for this company can be found by conducting a more detailed search in our Public Records Database.

Paydex

D&B PAYDEX®



Shows the D&B PAYDEX scores as calculated up to 3 months and up to 24 months of payment experiences.

Up to 3 month D&B PAYDEX

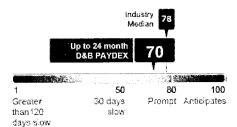
Up to 3 month D&B PAYDEX

1 50 80 100

Greater 30 days than 120 ays 5:ow

When weighted by dollar amount, payments to suppliers average 21 Days Beyond Terms. Based on payments collected over last 3 months.

Up to 24 month D&B PAYDEX



When weighted by dollar amount, payments to suppliers average 15 days beyond terms. Based on payments collected up to 24 months.

When weighted by dollar amount, the industry average is 3 DAYS BEYOND terms.

\$2,000,000

High risk of late payment (average 30 to 120 days beyond terms)

Medium risk of late payment (average 30 days or less beyond terms)

Low risk of late payment (average prompt to 30+ days sooner)

Payment Trend	up *	Total Payment Experiences	141	Highest Now Owing	\$400,000
Payments Within Terms	70%	for the HQ		Highest Past Due	\$250,000
Average High Credit	\$72,971	Total Placed for Collection	U .		

* compared to payments three months ago

Payment Summary

The Payment Summary section reflects payment information in D&B's file as of the date of this report.

There are 141 payment experiences in D&B's file, with 88 experiences reported during the last three month period. The highest Now Owes on file is \$400,000. The highest Past Due on file is \$250,000.

Largest High Credit

Top 10 Industries

Top to thansules					Days Slow (%)			
Industries	Total Received	Total Total Amounts Largest		Within Terms (%)	0-30	31-60	61-90	90+
Public finance	7	\$815,100	\$800,000	100	0	0	0	0
Misc equipment rental	5	618,000	600,000	51	0	49	. 0	0
Mfg process controls	5	305,000	250,000	52	43	5	0	0
Mfg photograph equip	5	276,750	200,000	0	99	0	1	0
Engineering services	2	2,007,500	2,000,000	100	0	0	0	0
Misc business service	2	530,000	500,000	53	47	0	0	0
Mfg pumping equipment	2	250,500	250,000	0	50	0	50	0
Mfg switchgear-boards	2	300,000	250,000	17	41	0	42	0
Radiotelephone commun	1	900,000	900,000	100	0	0	0	0
Special trade work	1	400,000	400,000	100	0	0	0	0
OTHER INDUSTRIES	79	1,405,050	200,000	50	27	7	12	4

Other Payment Categories

(Allerian Colors of the Colors	plane to a large to be a series of the first of the series	 Listen i protecté de la constituto de la caractería de la caractería. 	professional and the contract of the contract
Category	Total Received	Total Dollar Amounts	Largest High Credit
Cash Experiences	25	\$34,800	\$25,000
Payment record unknown	4	70,850	65,000
Unfavorable comments	1	1,000	1,000
Placed for Collection	0	0	0



etailed Payment	History	(Hest the Condensity of Tuest a	en sent terretakken.	summer early and a sugar	erie (1900) er er er dans Sam er Samues er dan gerk	a area e casa as e termenomento
ate Reported	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last Sale within(months)
October 2014	Ppt	\$5,000	\$100	\$0	N/A	. 1
	Ppt	2,500	0	0	N30	6-12
	Ppt	1,000	0	0	N30	6-12
**	Ppt	1,000	0	0	N30	6-12
	Ppt	500	250	0	Lease Agreemnt	
	Slow 60	100	0	0	N30	6-12
September 2014	Ppt	0	0	0	N/A	1
	Ppt	100,000	0	0	N/A	1
	Ppt	70,000	55,000	10,000	N/A	1
	Ppt	65,000	0	0	N/A	1
	Ppt	30,000	2,500	0	N/A	1
	Ppt	25,000	25,000	5,000	N/A	1
	Ppt	20,000	0	0	N/A	6-12
	Ppt	15,000	2,500	500	N/A	1
	Ppt	15,000	0	0	N/A	4-5
	Ppt	2,500	0	0	N30	6-12
	Ppt	2,500	2,500	0	N/A	
	Ppt	1,000	0	0	1 10 N30	6-12
	Ppt	1,000	1,000	0	N/A	
	Ppt	1,000	1,000	0	N30	•
	Ppt	1,000	250	0	N30	
	Ppt	1,000	1,000	0	Lease Agreemnt	· · · · · ·
	Ppt	750	0	0	. N/A	6-12
	Ppt	250	0	0	N/A	6-12
	Ppt	250	250	. 0	N30	
	Ppt	250	250	0	N/A	
	Ppt	50	0	0	N/A	2-3
	Ppt	50	0	0	N/A	2-3
	Ppt	0	0	0	N30	6-1:
	Ppt	0	0	0	N30	6-1:
	Ppt	0	0	0	N30	6-12
	Ppt-Slow 30	500,000	300,000	0	N/A	
	Ppt-Slow 30	250,000	65,000	. 0	N/A	
	Ppt-Slow 30	15,000	7,500	2,500	N/A	
	Ppt-Slow 30	1,000	0	0	N/A	4-
	Ppt-Slow 30	1,000	750	250	N/A	
	Ppt-Slow 60	60,000	0	0	N/A	6-1
	Ppt-Slow 60	40,000	35,000	25,000	N/A	
	Ppt-Slow 60	30,000	10,000	0	N30	
	Ppt-Slow 90	30,000	7,500	2,500	N30	
	Stow 30	200,000	100,000	0	N/A	2-
	Slow 30	10,000	0	0	N/A	4-
	Slow 30	10,000	. 0	0	N/A	4-
	Slow 30	2,500	0	0	N/A	4-5
	Slow 30	250	-	0	N/A	6-1:



	Slow 60-90	95,000	15,000	15,000	N/A	2-3
	Slow 90	1,000	250	0	N/A	
	Slow 90	500	0	0	N/A	4-5
	Slow 30-90+	40,000	20,000	10,000	N/A	1
	Slow 120	1,000	1,000	1,000	N/A	6-12
	(051)Bad debt	1,000	1,000	0	N/A	
	(052)Cash own option	250	250	0	. N/A	1
	(053)	0	0	0	Cash account	4-5
	(054)	0	0	0	Cash account	4-5
	(055)	0	0	0 .	Cash account	1
August 2014	Ppt	50,000	0	0	N/A	2-3
	Ppt	5,000	0	0	N/A	
	Slow 30-90	250,000	250,000	250,000	N/A	2-3
July 2014	Ppt	5,000	0	0	N/A	6-1
	Ppt	2,500	0	0	N/A	
	Ppt-Slow 30	30,000	0	0	N/A	2-
	Ppt-Slow 90	5,000	5,000	2,500	N/A	
	Slow 30	5,000	100	100	N/A	2-
	(064)Cash own option	500	0	0	Cash account	
June 2014	Ppt	750	0	0	N30	6-1
May 2014	`Ppt	7,500	. 0	0	N30	- 4-
	Ppt	500	0	0	N/A	6-1
April 2014	Ppt	1,000	0	. 0	N/A	6-1
March 2014	Ppt	750	0	0	N/A	6-
January 2014	Ppt	2,000,000	0	0	N/A	6-1
	Ppt	7,500	7,500	0	N/A	
	Ppt	1,000	0	0	N/A	. 6-
	Ppt	100	0	0	N/A	6-
November 2013	Ppt	750	750	0	. N/A	
October 2013	Disc	2,500	0	0	N/A	6-
September 2013	Ppt-Slow 120	500	0	0	N/A	6-1
August 2013	Ppt	900,000	400,000	2,500	N/A	
	Ppt	100	0	0	N30	2
	Slow 90	100,000	0	0	N/A	6-1
June 2013	Ppt	2,500	0	0	N/A	

Lines shown in red are 30 or more days beyond terms

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit C-8
Bankruptcy Information

Nothing to report.

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit C-9 Merger Information

On October 8, 2010, Constellation Energy closed a transaction to acquire CPower, a leading energy management and demand response provider managing approximately 850 megawatts of demand response capacity that can be dispatched in energy markets throughout North America. Subsequent to the acquisition of CPower, CNE integrated the assets and operations of CPower into CNE and on October 18, 2010 CPower was merged with and into CNE, with CNE as the surviving entity. On October 3, 2014 CNE signed an agreement with Comverge to sell its commercial and industrial demand response business.

On October 1, 2012, the electric supply assets of one of Constellation NewEnergy, Inc.'s corporate affiliates, Exelon Energy Company, merged with and into CNE with CNE as the surviving entity. In accordance with Ohio Administrative Code Chapter 4901:1:27-10 "Material changes in business", notice was provided to the Public Utilities Commission of Ohio. A copy of the docketed notice is available as Attachment C-9.



October 12, 2012

Ms. Barcy F. McNeal, Secretary Public Utilities Commission of Ohio 180 East Broad Street, 11th Floor Columbus, OH 43215-3793

RE: Exelon Energy Company, Case No. 02-1912-GA-CRS
Ohio Competitive Retail Natural Gas Marketer Certificate No. 02-031G(6)
Exelon Energy Company, Case No. 00-1784-EL-CRS
Ohio Competitive Retail Electric Supplier No. 00-006E(6)

Dear Ms. McCauley,

In accordance with Ohio Administrative Code Chapter 4901:1-27-10 "Material changes in business", Exelon Energy Company ("Exelon Energy") herein provides notice to the Public Utilities Commission of Ohio ("Commission") of a change in Exelon Energy's corporate structure.

On March 22, 2012, the Commission was notified that Exelon Corporation, parent company of Exelon Energy, acquired Constellation Energy Group, Inc. and its subsidiaries, including Constellation NewEnergy, Inc. ("CNE"), Constellation NewEnergy – Gas Division, LLC ("CNEG"), and Constellation Energy Gas Choice, Inc. ("CEGCI"). CNE is a licensed Competitive Retail Electric Supplier (CRES) in Ohio (Certificate No. 00-003E(6)); both CNEG and CECGI are licensed Competitive Retail Natural Gas Suppliers (CRNGS) in Ohio (Certificate Nos. 09-153G(2) and 02-021G(6), respectively). All Constellation entities are in good standing with the Commission.

On October 1, 2012, as part of the ongoing integration of the company, Exelon Energy merged with and into two of its corporate affiliates. More specifically, Exelon Energy's gas assets and operations merged with and into CNEG with CNEG as the surviving entity. At the same time, Exelon Energy's electric supply assets and operations merged with and into CNE with CNE as the surviving entity. As of October 1, 2012, Exelon Energy Company has ceased to exist. A chart showing the organizational structure after giving effect to the Change in Corporate Structure is attached hereto as Exhibit A.

Both CNE and CNEG have assumed all obligations for Exelon Energy's respective electric and natural gas activities in Ohio. However, to provide a more seamless transition for Exelon Energy's natural gas choice customers in Ohio, Exelon Energy assigned its gas choice contracts to CECGI effective September 30, 2012 on the same terms and conditions as with Exelon



October 12, 2012 Letter to Ms. Barcy F. McNeal Page 2

Energy. Exelon Energy, CNE, CNEG and CECGI provided advance notice of these transactions to all of its customers in Ohio via bill insert and direct mail pieces, copies of which are attached as Exhibit B.

The officer and director slate, management team and financial qualifications for CNE, CECGI and CNEG remain intact as previously submitted to the Commission. Also, all previously appointed points of contact for CNEG, CECGI and CNE will remain. Thus, no updates are required to this information.

In light of these changes and the fact that CNE possesses its own CRES license and both CNEG and CECGI possess their own CRNGS licenses, in the near future we will be submitting a notice of termination of Exelon Energy's CRES and CRNGS licenses.

Should you have any questions or need additional information, please do not hesitate to contact me by telephone at 410.470.3582 or via email at joseph.donovan@constellation.com.

Yours Sincerely,

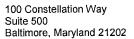
Joseph E. Donovan

Assistant General-Counsel

Exelon Business Services Corporation



EXHIBIT A TO OCTOBER 12, 2012 NOTICE OF MATERIAL CHANGE IN BUSINESS





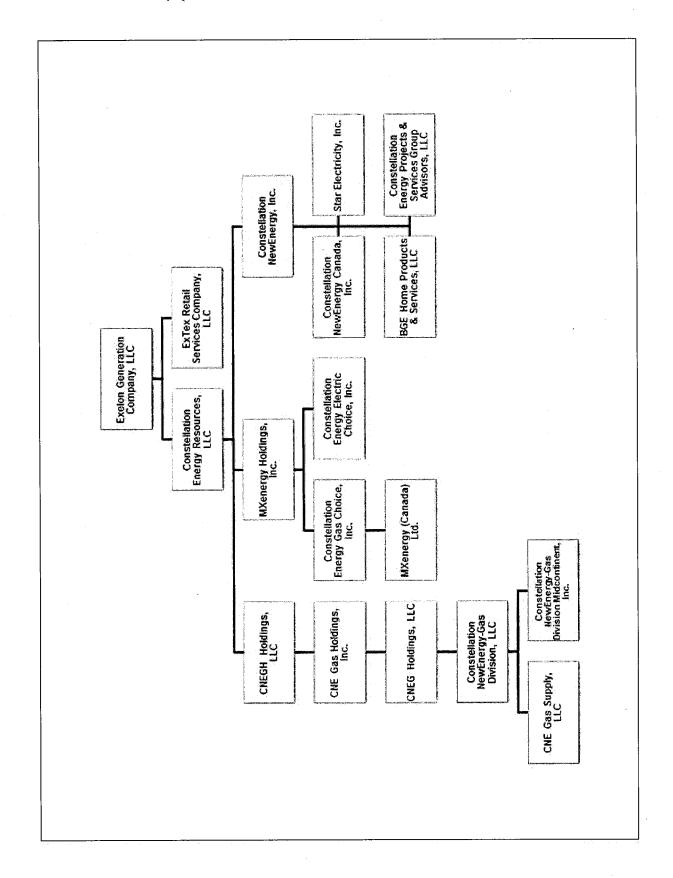




EXHIBIT B TO OCTOBER 12, 2012 NOTICE OF MATERIAL CHANGE IN BUSINESS



Exelon Energy is becoming Constellation, an Exelon Company.

Exelon Energy is pleased to announce that as a result of Exelon Corporation's recent merger with Constellation Energy we are integrating with one of the largest retail energy providers in the country. The combination provides your business access to the nation's largest and cleanest generation fleet, industry-leading products and services available in many markets throughout the U.S., and a continued priority to provide you with the highest level of service.

We appreciate your business and are committed to serving your energy needs today and in the future.

Exelon Energy 800-261-4301 Constellation Electric 888-635-0827 Constellation Gas 800-900-1982 Constellation Gas Choice 800-785-4373

We are excited to unveil the new Constellation logo which will appear on your energy bills soon.

(



Constellation.

n Exelon Company

@2012, Exelon Energy Company

8/23/2012 2:52:33 PIM

(

billinsertindd 1







September XX, 2012

Customer Name Address City, State, Zip

Dear Customer:

Exclon Corporation and Constellation Energy Group completed their merger in March 2012, creating the nation's largest competitive energy supplier, and one of the industry's cleanest and lowest-cost power generators. As part of the ongoing integration related to that merger, on October 1, 2012 Exclon Energy Company's ("Exclon Energy") retail natural gas customers will start to be served by Constellation's retail natural gas organization — Constellation NewEnergy — Gas Division, LLC ("Constellation"). We are sending this letter to you so you have advance notice of this upcoming change.

Rest assured that no changes are being made to your contract term and price as a result of this change and you will continue to deal with your current sales representative. On October 1, 2012 your Exelon Energy gas supply contract will become a Constellation contract by operation of law. Constellation hereby confirms its assumption of all obligations of Exelon Energy under your contract, including any active transaction confirmations or pricing exhibits, as of October 1, 2012. One thing that will change is our notice information. Effective October 1, 2012, any legal notices under your contract should be directed to:

Constellation New Energy — Gas Division, LLC 9960 Corporate Campus Drive, Suite 2000 Louisville, KY 40223 Attn: Contract Administration Phone: (502) 426-4500 Fax: (502) 214-6381

Due to this reorganization, there are also some logistical changes that will occur. Before your next contract renewal date your current sales representative will discuss with you the need to execute a new Constellation contract. Constellation's contract structure differs from Exclon Energy's structure. Constellation utilizes a base enabling agreement that contains terms and conditions that govern the purchase and sale of natural gas and related services. Specific transactions are then entered into and documented in "Transaction Confirmations" that attach to and are subject to the base agreement. This means that each transaction will not need a new base agreement, only a new Transaction Confirmation, which contains the details of the particular deal.

Should you desire to enter into a new transaction with Constellation at any point after October 1, 2012, Constellation's standard transaction procedures will be used. Like most gas suppliers, Constellation enters into transactions with customers via electronic transmission, written record or orally and each party is bound by the terms of a transaction at the time the parties agree to the terms. It is then Constellation's standard practice to send the customer a Transaction Confirmation containing the details of the transaction. The customer then has two (2) business days to notify Constellation if anything contained in the Transaction Confirmation is incorrect. If the customer does not object to any terms, the Transaction Confirmation shall constitute the definitive written expression of the transaction.

Constellation has a longstanding record of quality customer service, competitive pricing and product offerings like electricity, load response, solar and energy efficiency that you will now have access to as part of this transition. Please feel free to call your current Exelon Energy Sales representative with any questions or comments and to find out more about Constellation's full offering of products and solutions.

Thank you for your business in the past and we look forward to continuing our relationship.

Yours very truly,

David Mally, Vice President Retail Operations Constellation NewEnergy - Gas Division, LLC

Vandel Mally







September XX, 2012

Customer Name Address City, State, Zip

Dear Customer:

Exelon Corporation and Constellation Energy Group completed their merger in March 2012, creating the nation's largest competitive energy supplier, and one of the industry's cleanest and lowest-cost power generators. As part of the ongoing integration related to that merger, on October 1, 2012 Exelon Energy Company's ("Exelon Energy") retail electricity customers will start to be served by Constellation's retail power organization—Constellation NewEnergy, Inc. ("Constellation"). We are sending this letter to you so you have advance notice of this upcoming change.

Rest assured that no changes are being made to your contract term and price as a result of this change and you will continue to be served by your current sales representative. On October 1, 2012 your Exelon Energy electricity supply contract will become a Constellation contract by operation of law. Constellation hereby confirms its assumption of all obligations of Exelon Energy under your contract, including any active transaction confirmations, as of October 1, 2012. One thing that will change is our notice information and Customer Care telephone number, now (888) 635-0827. Effective October 1, 2012, any legal notices under your agreement should be directed to:

Constellation New Energy, Inc. c/o Contracts Administration 1221 Lamar St., Suite 750 Houston, Texas 77010 Phone: (888) 635-0827 Facsimile: (866) 470-0482

Should you desire to enter into a new electricity transaction at any point after October 1, 2012, Constellation's standard transaction procedures will be used. However, you will continue to receive the same great customer service, added value, and competitive pricing as you received from Exelon Energy in the past. In addition, you have direct access to Constellation's expanded product offerings—electricity, natural gas, load response, solar and energy efficiency programs.

Thank you for your business in the past and we look forward to continuing our relationship going forward. Please feel free to call your current Exelon Energy Sales representative with any questions or comments, and we encourage you to access the Constellation website at www.constellation.com to find out more about Constellation.

Yours very truly,

David Mally Vice President

Constellation NewEnergy, Inc.

Vandel Mally



SERVICE LIST

Local Distribution Companies

Arlington Natural Gas Company	Brainard Gas Corp.
108 South Main Street	8500 Station Street, Suite 100
PO Box 277	Mentor, OH 44060
Van Buren, OH 45889	
Columbia Gas of Ohio, Inc.	Constitution Gas Transport Co., Inc.
200 Civic Center Drive	1005 E. 3900 South
Columbus, OH 43215	Salt Lake City, UT 84124
Duke Energy Ohio	East Ohio Gas Company, The
139 East Fourth Street	1201 East 55th Street
Cincinnati, OH 45202	Cleveland, OH 44103
Eastern Natural Gas Company	Foraker Gas Company, Inc.
5 Radner Corporate Center, Suite 400	420 S. State Street
Radner, PA 19087	New Lexington, OH 43764
Glenwood Energy of Oxford, Inc.	KNG Energy, Inc.
5181 College Corner Pike	1700 Westfield Drive
Oxford, OH 45056	Findlay, OH 45840
Northeast Ohio Natural Gas Corporation	Northern Industrial Energy Development, Inc.
8500 Station Street, Suite 100	4100 Holiday Avenue NW, Suite 201
Mentor, OH 44060	Canton, OH 44718
•	,
Ohio Cumberland Gas Company	Ohio Gas Company
20718 Danville-Amity Road	200 W High Street
PO Box 230	PO Box 528
Mount Vernon, OH 43050	Bryan, OH 43506
Ohio Valley Gas Corporation	Orwell Natural Gas Company
PO Box 469	8500 Station Street, Suite 100
Winchester, IN 47394	Mentor, OH 44060
Piedmont Gas Company	Pike Natural Gas Company
159 Stonecreek Road NW	5 Radner Corporate Center, Suite 400
New Philadelphia, OH 44663	Radner, PA 19087
Sheldon Gas Company	Southeastern Natural Gas Co. of Ohio
12925 TR 50	PO Box 430
PO Box 158	Frazeysburg, OH 43822
Dunkirk, OH 45836	
Suburban Natural Gas Company	Swickard Gas Company
2626 Lewis Center Road	209 State Street
Lewis Center, OH 43035-9206	PO Box 387
	Bettsville, OH 44815
Vectren Energy Delivery of Ohio, Inc.	Verona Natural Gas Company
One Vectren Square	dba: Glenwood Energy of Verona, Inc.
Evansville, IN 47708	5181 College Corner Pike
•	Oxford, OH 45056
Waterville Gas & Oil Company	- · · · · · · · · · · · · · · · · · · ·



The state of the s		
PO Box 259		
Waterville, OH 43566-0259	·	

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit D-1 Operations

Constellation NewEnergy, Inc. ("CNE") provides electricity commodity service to residential, commercial and industrial end-use customers through Ohio's electric choice programs. Currently, CNE may purchase electricity from an affiliated company or purchase supply through the open market on short and long term contracts with power providers. CNE provides all components necessary for the delivery of electricity to the end user, including transmission and ancillary services.

Based in Chicago, Illinois, CNE's Great Lakes Region retail office provides sales, pricing, supply origination, billing, and customer support for its Ohio customers.

CNE relies primarily upon its affiliate, Exelon Generation, LLC, which has a dedicated supply, trading, and scheduling group located in Baltimore, Maryland to support the local retail offices across the country. This group consists of traders, schedulers, accountants, credit analysts and legal assistance. This group is responsible for forecasting, scheduling, credit, and trading. A 24-hour desk began operation in September 1999, and since then Constellation NewEnergy has been accessible to counterparties 24 hours a day, 365 days a year.

Procurement of supply is handled in tandem between the local retail office and the Baltimore office, combining short and long term purchases, hedges, and hourly trades. Schedulers work closely with traders who in turn work closely with local regional supply originators to efficiently deliver electricity supply in the most cost effective manner to our customers.

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit D-2 Operational Expertise

Since the inception of Constellation NewEnergy, Inc.'s ("CNE") predecessor in April 1995, CNE has become the leading competitive electricity supplier serving commercial, industrial, and governmental customers in all open and active restructured markets throughout North America. Furthermore, CNE has been an advocate for and an early participant in the opening of most newly restructured markets throughout North America. This participation typically starts with regulatory initiatives 12 to 24 months before a market fully opens.

And with this regulatory process also comes the staffing of a local CNE office. By regionally placing offices throughout the country, CNE's teams are able to develop and initiate the operational functions specific to the respective local electric distribution companies.

CNE's regional offices are fully operational prior to the first sale of competitive electricity. This approach has allowed customers to realize meaningful savings, accurate billing and reporting and knowledgeable customer service from the start.

Exhibit D-3 describes in more detail the experience of some of our key personnel.

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit D-3 Key Technical Personnel

Mark Huston – Senior VP, Exelon Corporation, Head of Retail, Constellation Mark. Huston@constellation.com 410.470.2846

A 30-year veteran of the energy utility industry, Mr. Huston oversees Constellation's industry leading retail energy business and is responsible for marketing, sales, operations, fulfillment and product development of energy solutions in support of commercial, industrial and residential customers.

Mr. Huston has overseen the development of Constellation's retail business into a national leader offering customers a range of solutions to manage energy related costs over time. He has been a leader in the retail business since 2006 and led a series of acquisitions which became the foundation for Constellation's retail business including AES NewEnergy, Alliance Energy Services, Kaztex Energy Management, Blackhawk Energy Services, StarTex Power, MXenergy and ONEOK Energy Marketing.

Mr. Huston's prior leadership positions at Constellation include: Co-President of Customer Supply overseeing competitive retail and wholesale businesses; VP of Electric Transmission & Distribution for BGE overseeing the design, engineering, construction and operations for the utility's electric system; VP Corporate Strategy & Development overseeing strategy and acquisitions. Huston began his Constellation career as a summer student/scholarship recipient in 1981 and worked as a co-operative engineering student until joining the company full time in 1986.

Huston received a bachelor's degree in mechanical engineering under the co-operative education program from the University of Maryland, College Park and a master's degree in applied management from the University of Maryland, University College.

Pancho D. Hall, Senior VP and Chief Sales Officer pancho.hall@constellation.com 410.470.1895

Pancho D. Hall is responsible for Constellation's sales of electricity and natural gas supply, load response solutions, solar and energy efficiency projects to more than 100,000 commercial, industrial and public sector customers through multiple channels including

regional sales, national accounts, public sector, solar, energy efficiency and load response teams.

Pancho Hall offers an extensive background of experience and success with a proven history. As a top-ranked leader, he successfully advanced through executive roles in operations, sales, finance and general management. Hall's passion, coupled with a polished, communicative style aids in his outright ability as a leader to dissect a business, transform and strengthen operations, energize and align teams, drive business growth and profitability, and achieve superior results. Hall has worked across wide a range of industries including telecommunications and consumer products starting his career as a mechanical engineer with General Motors and Fermi Lab, later moving to AT&T and progressing through the ranks at PepsiCo and T-Mobile.

Prior to joining Constellation, Hall was senior vice president, central area for T-Mobile. In this role, he was accountable for all T-Mobile business in the central United States, a region of nearly 10,000 employees representing \$5 billion in annual sales and nearly \$1 billion in EBITDA. Hall and his team led 500 T-Mobile branded retail stores, 900 dealer partners, 2,100 national retail store relationships, and all B2B, commercial and key account sales.

Hall's educational background includes a master of business administration from the University of Chicago, a master's degree in mechanical engineering from the University of Michigan and a bachelor's degree in mechanical engineering from the General Motors Institute. He also holds certifications from the Center for Creative Leadership and Buckley Communication and belongs to the Executive Leadership Council, Black MBA Association, and alumni associations at his alma maters.

Anne Marie Horn, Vice President, Portfolio Management annemarie.horn@constellation.com 410.468.3548

Ms. Horn joined CNE in July 1999. On behalf of CNE, Ms. Horn is responsible for monitoring the Midwest electricity markets, including both MISO and PJM.

Ms. Horn holds a B.A. in Accounting from Columbia College and an International MBA with a finance concentration from the University of South Carolina in cooperation with Vienna University of Business and Economics.

John Domagalski, Vice President, Market & Product Development john.domagalski@constellation.com 312.704.1797

Mr. Domagalski has been with CNE since 2004. In his current role, he identifies, evaluates and develops products and markets that have the potential to profitably grow the business. Previously, he was responsible for all activities related to pricing and product policies within NewEnergy's Great Lakes and Mid-Atlantic regions. His responsibilities included the timely

and accurate production of pricing proposals in connection with sales activities, and the development of pricing policies applicable across all products, services, and market segments.

Mr. Domagalski's scope of experience encompasses working as a strategy consultant advising companies on building profitable businesses and most recently as a business leader managing a team and organization through the transition to a competitive retail electric service market. Prior to joining NewEnergy, Mr. Domagalski was a strategy consultant for more than ten years with large international firms (Ernst & Young, PricewaterhouseCoopers and IBM). In particular, he worked with several incumbents and new entrants on the development of multi-product, multi-channel utility retailing businesses. Additionally, Mr. Domagalski took a sabbatical from PwC with Ofgem (the UK energy regulator) in 2003 to consider a range of issues relating to the consolidation of the UK retail energy supply market.

Mr. Domagalski has written widely on the topic of competitive trends in the utility industry as well as has spoken at conferences in the US and Europe. He is a graduate of the Kellogg School of Management's joint executive MBA program with the WHU - Otto Beisheim School of Management in Germany and received a B.S. from De Paul University *magna cum laude* in Finance.

Mark Harada, Executive Director, Regional Sales mark.harada@constellation.com 312.704.8143

In his role of Executive Director of Regional Sales for the Great Lakes & Ohio Valley Region, Mr. Harada oversees the retail energy sales & business development activities of CNE in Ohio, Illinois, Wisconsin, Michigan, Indiana, Kentucky, and Tennessee. He has over ten years of retail energy experience with large industrial and commercial customers across the Midwest. Prior to joining NewEnergy, Mr. Harada has served in various sales and marketing executive roles for Fortune 100 companies IBM, Enron Energy Services, and Electronic Data Systems Corporation, as well as running the Midwest sales, marketing, and customer service operations for GRiD Systems Corporation. Mr. Harada is a graduate of Carleton College in Northfield, Minnesota earning a B.A. in Economics.

APPLICATION FOR RENEWAL OF CERTIFICATE TO PROVIDE RETAIL ELECTRIC SERVICE PURSUANT TO CHAPTER 4928, OHIO REVISED CODE

Exhibit D-4 FERC Power Marketer License Number

Constellation NewEnergy, Inc.'s FERC Power Marketer License Number is ER901-507-001.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

10/24/2014 11:32:48 AM

in

Case No(s). 00-1717-EL-CRS

Summary: Application Renewal Application for Retail Generation Providers and Power Marketers electronically filed by Mr. Stephen M Howard on behalf of Constellation NewEnergy, Inc.