

NC

FILE

28



The Public Utilities Commission of Ohio

PUCO USE ONLY		
Date Received	Case Number	Version
	EL-AGG	August 2004

14-1879-EL-AGG

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name PJ Energy, LLC
 Address 577 Oberlin Rd SW, Massillon, OH 44647
 Telephone # (330) 834-2108 Web site address (if any) PJEnergyOH.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name PJ Energy, LLC
 Address 577 Oberlin Rd SW, Massillon, OH 44647
 Telephone # (330) 834-2108 Web site address (if any) PJEnergyOH.com

A-3 List all names under which the applicant does business in North America

PJ Energy, LLC

A-4 Contact person for regulatory or emergency matters

Name Philip A. Roberson
 Title Principal

1

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business
 Technician SM Date Processed OCT 22 2014

Business address 577 Oberlin Rd SW, Massillon, OH 44647
Telephone # (330) 936-4770 Fax # (330) 837-7727
E-mail address (if any) phlr@nfm.net

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Jim Stevenson
Title VP/Sales
Business address 577 Oberlin Rd SW, Massillon, OH 44647
Telephone # (330) 388-1800 Fax # (330) 837-7727
E-mail address (if any) jstevenson@PJEnergyOH.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 577 Oberlin Rd SW, Massillon, OH 44647
Toll-free Telephone # (888) 287-3880 Fax # (330) 837-7727
E-mail address (if any) jstevenson@PJEnergyOH.com

A-7 Applicant's federal employer identification number # 452251559

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|--|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> First Energy | | | | |
| <input type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Duke Energy | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

A-10 Provide the approximate start date that the applicant proposes to begin delivering services

November 1, 2014

Exhibit A-11 "Principal Officers, Directors & Partners"

1. Philip A. Roberson
1322 Springhill Lane NE
Massillon, OH 44646
Ph: 330.833.1022
Cell: 330.936.4770

2. Jim Stevenson
3217 Malvern Ct. NW
Canton, OH 44709
Ph: 330.388.1800

Exhibit A-12 “Corporate Structure”

PJ Energy, LLC

PJ Energy is a limited liability company. PJ Energy has no affiliates or subsidiary companies.

Exhibit A-13 “Company History”

PJ Energy started doing business in 2012. PJ Energy services small and medium-sized industrial and commercial companies. We do aggregation if the customer has more than one site or meter.

Exhibit A-14 "Articles of Incorporation and Bylaws"



www.sos.state.oh.us
e-mail: busserv@sos.state.oh.us

Prescribed by:

The Ohio Secretary of State
Central Ohio: (614) 466-3910

Toll Free: 1-877-SOS-FILE (1-877-767-3453)

Expedite this Form: (Select One)

Mail Form to one of the following:

☐ Yes PO Box 1390
Columbus, OH 43216
*** Requires an additional fee of \$100 ***

☒ No PO Box 670
Columbus, OH 43216

ORGANIZATION / REGISTRATION OF LIMITED LIABILITY COMPANY

(Domestic or Foreign)

Filing Fee \$125.00

THE UNDERSIGNED DESIRING TO FILE A:

(CHECK ONLY ONE (1) BOX)

<input checked="" type="checkbox"/> (1) Articles of Organization for Domestic Limited Liability Company (115-LCA) ORC 1705	<input type="checkbox"/> (2) Application for Registration of Foreign Limited Liability Company (108-LFA) ORC 1705 (Date of Formation) _____ (State) _____
--	--

Complete the general information in this section for the box checked above.

Name PJ ENERGY, LLC

☐ Check here if additional provisions are attached

* If box (1) is checked, name must include one of the following endings: limited liability company, limited, Ltd, L.L.C., LLC, L.L.C.

Complete the information in this section if box (1) is checked.

Effective Date (Optional) _____ Date specified can be no more than 90 days after date of filing. If a date is specified, the date must be a date on or after the date of filing.
(mm/dd/yyyy)

This limited liability company shall exist for _____ PERPETUAL EXISTENCE
(Optional) (Period of existence)

Purpose (Optional) The Company is formed for purposes of conducting any lawful acts or activities permitted under Ohio Revised Code Section 1705.01 et seq., as amended.

The address to which interested persons may direct requests for copies of any operating agreement and any bylaws of this limited liability company is

(Optional)

(Name)

(Street)

(City)

NOTE: P.O. Box Addresses are NOT acceptable.

(State)

(Zip Code)

Exhibit A-14 "Articles of Incorporation and Bylaws"

Complete the information in this section if box (1) is checked Cont.

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member, manager or representative of

PJ ENERGY, LLC

(name of limited liability company)

hereby appoint the following to be statutory agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is:

KRUGLIAK, WILKINS, GRIFFITHS & DOUGHERTY CO., L.P.A.

(Name of Agent)

4771 MUNSON STREET, N.W.

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

CANTON

(City)

Ohio

(State)

44718

(Zip Code)

Must be authenticated by an authorized representative

Terry A Moore

Authorized Representative

11-15-10

Date

TERRY A. MOORE

Authorized Representative

Date

ACCEPTANCE OF APPOINTMENT

The undersigned, named herein as the statutory agent for

PJ ENERGY, LLC

(name of limited liability company)

hereby acknowledges and accepts the appointment of agent for said limited liability Company.

KRUGLIAK, WILKINS, GRIFFITHS & DOUGHERTY CO., L.P.A.

Terry A Moore
By: Terry A. Moore, Pres. (Agent's signature)

PLEASE SIGN PAGE (3) AND SUBMIT COMPLETED DOCUMENT

Exhibit A-14 "Articles of Incorporation and Bylaws"

Complete the information in this section if box (2) is checked.

The address to which interested persons may direct requests for copies of any operating agreement and any bylaws of this limited liability company is

(Name)

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

(City)

(State)

(Zip Code)

The name under which the foreign limited liability company desires to transact business in Ohio is

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

(Name)

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

(City)

Ohio

(State)

(Zip Code)

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the OHIO SECRETARY OF STATE if:

- the agent cannot be found, or
- the limited liability company fails to designate another agent when required to do so, or
- the limited liability company's registration to do business in Ohio expires or is cancelled.

REQUIRED

Must be authenticated (signed)
by an authorized representative
(See Instructions)



Authorized Representative

11-15-10
Date

TERRY A. MOORE

(Print Name)


Authorized Representative


Date

(Print Name)

Exhibit A-15 "Secretary of State"

201032001328

FILE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
11/17/2010	201032001328	ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)	125.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

CT CORPORATION SYSTEM
4400 EASTON COMMONS WAY, SUITE 125
TIMOTHY ROBERTSON
COLUMBUS, OH 43219

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jennifer Brunner

1976873

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

PJ ENERGY, LLC

and, that said business records show the filing and recording of:

Document(s)

ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

Document No(s):

201032001328



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 15th day of November,
A.D. 2010.

A handwritten signature in cursive script, appearing to read "Jennifer Brunner".

Ohio Secretary of State

Exhibit B-1 “Jurisdictions of Operation”

The State of Ohio

Exhibit B-2 "Experience & Plans"

P J Energy will only quote and/or sell electric through AEP Energy. All contracts will be between AEP Energy and the customer directly. P J Energy will receive a commission from AEP Energy if there is a successful contract arranged between AEP Retail and a customer.

Exhibit B-3 “Summary of Experience”

P J Energy will not engage in providing aggregation services.

Exhibit B-4 "Disclosure of Liabilities and Investigations"

None

- B-5** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

- B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

Exhibit C-1 “Annual Reports”

P J Energy is not a public company, therefore not required to file annual reports.

Exhibit C-2 "SEC Filings"

P J Energy is not required by the SEC to file; we are not a public company.

Exhibit C-3 "Financial Statements"

SCHEDULE C (Form 1040)

Department of the Treasury
Internal Revenue Service (99)

Profit or Loss From Business (Sole Proprietorship)

For information on Schedule C and its instructions, go to www.irs.gov/schedulec.
Attach to Form 1040, 1040NR, or 1041; partnerships generally must file Form 1065.

OMB No. 1545-0074

2013
Attachment
Sequence No. 09

Name of proprietor Philip A. Roberson	Social security number (SSN) [REDACTED]
A Energy Broker	B Enter code from instructions 221000
C PJ Energy LLC	D Employer ID number (EIN), (see instr.) 45-2251559
E	

F	(1) <input checked="" type="checkbox"/> (2) <input type="checkbox"/> (3) <input type="checkbox"/>	
G		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
H		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
I		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
J	1099?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Part I Income			
1	checked <input type="checkbox"/>	1	50,277.
2		2	
3		3	50,277.
4		4	
5	Gross profit	5	50,277.
6		6	
7	Gross income	7	50,277.

Part II Expenses		Enter expenses for business use of your home only on line 30.	
8	Advertising	8	
9		9	
10		10	
11		11	26,075.
12	Depreciation	12	
13		13	
14		14	
15		15	
16	Interest	16a	
17	Other	17	
18		18	
19		19	
20		20	
21		21	
22		22	
23		23	
24		24	
25	Utilities	25	
26		26	
27a		27a	580.
27b	Reserved for future use	27b	
28	Total expenses	28	26,655.
29		29	23,622.
30		30	

Simplified method filers only:			
31	Net profit or (loss).	31	23,622.
32		32	

LHA For Paperwork Reduction Act Notice, see the separate instructions.

Schedule C (Form 1040) 2013

320001 10-28-13

Exhibit C-4 “Financial Arrangements”

None

Exhibit C-5 “Forecasted Financial Statements”

See Exhibit C-3 “Financial Statements”

Exhibit C-6 “Credit Rating”

Not available; the Company is too small.

Exhibit C-7 "Credit Report"

None available from credit agency.

Exhibit C-8 "Bankruptcy Information"

None

Exhibit C-9 "Merger Information"

No Activity

C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Philip A. Pearson President
Signature of Applicant & Title

Sworn and subscribed before me this 17th day of October, 2014
Month Year

Jennifer Helms
Signature of official administering oath

Jennifer Helms - Notary Public
Print Name and Title

My commission expires on 9/15/2019



Jennifer Helms
Notary Public, State of Ohio
My Commission Expires 09-15-2019

AFFIDAVIT

State of OHIO :

(Town) ss.

County of STARK :

Philip A. Kolesos Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Principal (Office of Affiant) of P.S. ENERGY LLC. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Philip A. Johnson *President*
Signature of Affiant & Title

Sworn and subscribed before me this 17th day of October, 2014
Month Year

Jennifer Helms
Signature of official administering oath

Jennifer Helms - Notary Public
Print Name and Title

My commission expires on 9/15/2019



Jennifer Helms
Notary Public, State of Ohio
My Commission Expires 09-15-2019