

**PUBLIC UTILITIES COMMISSION OF OHIO***Certified as a Competitive Retail Electric Service Provider*

Certificate Number:

**14-874E (1)**

Issued Pursuant to Case Number(s):

**14-1486-EL-AGG**

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **AUI Associates, Inc.** whose office or principal place of business is located at **1122 Nottingham Drive, West Chester, PA 19380-4055** to provide **aggregation and power broker** services within the State of Ohio effective **September 25, 2014**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **September 29, 2014**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Barcy F. McNeal, Secretary

Tanowa M. Troupe, Acting Secretary

Felecia D. Burdett, Acting Secretary

**Certificate Expires: September 25, 2016**

This is to certify that the image appearing is an accurate and complete reproduction of a readable document delivered in the regular course of business.  
Technician Sam Date Processed 9/30/14

## CRES AUTOMATIC CASE ACTION FORM

Case No. **14-1486-EL-AGG**

Date Sent: **September 26, 2014**

Effective Date of Certificate: **September 25, 2014**

Certificate Expires: **September 25, 2016**

Company Name and Company Name d/b/a: **AUI Associates, Inc.**

☐ Renewal

### Action Needed:

☒ Issue Certificate Number to: **AUI Associates, Inc.** at (address): **1122 Nottingham Drive, West Chester, PA 19380-4055**

☒ Certified To Provide the Following Services:

- ☐ Retail Generation
- ☒ Aggregation
- ☐ Power Marketer
- ☒ Power Broker
- ☐ Governmental Aggregation

☐ Renew Certificate No.            to

☐ Revise Certificate No.            to (check all applicable):

☐ Reflect name change from            to

☐ Reflect address change from            to

☐ Add new service offering to certificate:

- ☐ Retail Generation
- ☐ Aggregation
- ☐ Power Marketer
- ☐ Power Broker
- ☐ Governmental Aggregation

☐ Correct Administrative Error

☐ Reflect Change of Ownership to:

☐ Cancel Certificate No.

☐ Protect Un-redacted copies until

☐ Close Case File, Case Withdrawn at Applicant's Request

☐ Close Case File

**CASE NUMBER:** 14-1486-EL-AGG  
**CASE DESCRIPTION:** AUI ASSOCIATES INC  
**DATE OF SERVICE:** 9/26/2014  
**DOCUMENT SIGNED ON:** 9/29/2014

**Sign Here:** 

**APPLICANT****PARTY OF RECORD****ATTORNEY**

AUI ASSOCIATES, INC  
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WEST CHESTER, PA 19380-4055  
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NONE