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The Public Utilities Commission of Ohio

PUCO USE ONLY					
Date Received	Case Number	Version			
14-11-51	EL-AGG	August 2004			

14-1481-EL-AGG

# CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

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<b>APPLICANT INFORMATI</b>	<u>ON</u>	ت ح	72
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This PDF form is designed so that You may also download the form the APPLICANT INFORMATION Applicant's legal name, address  Legal Name CRA Energy, LLC Address 600 Steamview Drive, Perrysburg, Other Control of the Applicant of the CRA Energy, LLC Address 600 Steamview Drive, Perrysburg, Other CRA Energy	telephone number and web site	address 🔘	
Legal Name CRA Energy, LLC			ţ.
Address 600 Steamview Drive, Perrysburg, Ol	43551		
Telephone # (419) 290-4067 Web si	e address (if any) www.craenergy.com		
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	Business address 600 Streamview Driv	re, Perrysburg, OH 4	3551		
	Telephone # (419) 290-4067	Fax #	(419) 710-7849	_	
	E-mail address (if any) greg@craener	gy.com			
-5	Contact person for Commis	sion Staff use	e in investigatii	ıg customer c	omplaints
	Name Gregory S. Adams				
	Title Owner/Member				
	Business address 600 Streamview Drive	e, Perrysburg, OH 435	551		
	Telephone # (419) 290-4067	Fax #	(419) 710-7849		<del></del>
	Business address 600 Streamview Drive Telephone # (419) 290-4067 E-mail address (if any) greg@crae	energy.com		•	
-6	Applicant's address and tol	l-free numbe	r for customer	service and c	omplaints
		. O. Adama			
	Customer Service address Gregory	5. Adams			
	Toll-free Telephone #		Fax # (419) 710-7	849	
	E-mail address (if any) greg@crae	energy.com			
-7	Applicant's federal employe	er identificati	on number#_4	71652152	_
-8	Applicant's form of owners	hip (check or	ne)		
	☐ Sole Proprietorship☐ Limited Liability Partnership☐ Corporation☐	(LLP) 🛮 Lim	nership lited Liability Co er		
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	☑ Toledo Edison	☑ Residential	Commercial	■ Mercantile	☑ Industrial
	☑ Cleveland Electric Illuminating		☐ Commercial	Mercantile	☑ Industrial
	□ Duke Energy	☑ Residential	☑ Commercial		■ Industrial
	☑ Monongahela Power	☑ Residential	□ Commercial	■ Mercantile	☑ Industrial
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	z American Electric Power	<ul><li>Residential</li><li>Residential</li></ul>	Commercial Commercial	<ul><li>Mercantile</li><li>Mercantile</li></ul>	

A-10	Provide the approximate start date that the applicant proposes to begin delivering services
	November 1, 2014

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 <u>Exhibit A-11 "Principal Officers, Directors & Partners"</u> provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 <u>Exhibit A-13 "Company History,"</u> provide a concise description of the applicant's company history and principal business interests.
- A-14 Exhibit A-14 "Articles of Incorporation and Bylaws," if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 <u>Exhibit A-15 "Secretary of State,"</u> provide evidence that the applicant has registered with the Ohio Secretary of the State.

# B. <u>APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE</u>

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- **B-1** Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- **B-3** Exhibit B-3 "Summary of Experience," provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).
- **B-4** Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

  No Pyes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

# C. <u>APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE</u>

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 <u>Exhibit C-1 "Annual Reports,"</u> provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 <u>Exhibit C-8 "Bankruptcy Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 <u>Exhibit C-9 "Merger Information,"</u> provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

My commission expires on 6-6-5



# **AFFIDAVIT**

State of <u>Ohio</u>:

(Town)

County of Wood

Grego Ry 5, Adam 5, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Owner/Member (Office of Affiant) of CRA Energy, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

- The Applicant herein, attests under penalty of false statement that all statements made in the
  application for certification are true and complete and that it will amend its application while the
  application is pending if any substantial changes occur regarding the information provided in the
  application.
- 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

# 14-1681 EL AGG

# CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

# CRA Energy, LLC

# Exhibit A-11 "Principal Officers, Directors & Partners"

Gregory S. Adams Member 600 Streamview Drive Perrysburg, OH 43551

419-290-4067 (Office) 419-710-7849 (Fax)

greg@craenergy.com

100% owner of CRA Energy, LLC

## CRA Energy, LLC

## Exhibit A-12 "Corporate Structure"

CRA Energy, LLC was organized as a Limited Liability Company in the State of Ohio. CRA Energy, LLC is a sole member Ohio Limited Liability Company owned by Gregory S. Adams. CRAEnergy, LLC has one single location with an address of 600 Streamview Drive, Perrysburg, OH 43551. CRA Energy, LLC does not have any affiliates or subsidiary companies that supply retail or wholesale electricity or natural gas to end-use customers in North America.

# CRA Energy, LLC

#### Exhibit A-13 "Company History,"

CRA Energy, LLC was formed and registered with the State of Ohio on the 18<sup>th</sup> day of August 2014 by Gregory S. Adams.

CRA Energy, LLC was formed to provide energy management services and energy products to the commercial, industrial and residential sector. Markets currently served are located in Ohio and Michigan.

CRA Energy, LLC works on behalf of the customer. Our goal is to obtain a thorough understanding of each client's energy supply needs and discuss alternatives. Our desire is to present unbiased options with the ultimate goal of saving clients' money and /or provide better energy management.

Sole member, Greg Adams, has worked in the banking industry for 20 years. He has extensive experience assisting businesses evaluate options, make better choices, and succeeding. The last four years he has worked with industry experts providing utility auditing review and energy procurement services. Greg holds a Bachelor Degree in Finance from Bowling Green State University.

# CRA Energy, LLC

Exhibit A-14 "Articles of Incorporation and Bylaws"

CRA Energy, LLC's Articles of Organization is attached.



Form 533A Prescribed by:
Ohio Secretary of State
JON HUSTED
Ohio Secretary of State

Date Electronically Filed: 8/18/2014

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhioSecretaryofState.gov
Busserv@OhioSecretaryofState.gov

# Articles of Organization for a Domestic Limited Liability Company

	Fee: \$125
(1) Articles of Organization for Domestic For-Profit Limited Liability Company (115-LCA)	(2) []2Articles of Organization for Domestic Nonprofit Limited Liability Company (115-LCA)
Effective Date 8/18/2014 (The legal existence (Optional) of the articles or or	revisitions: "limited liability company," "limited," "LLC," "L.L.C.," "Itd., "or "Itd"  the of the limited liability company begins upon the filing  the a later date specified that is not more than ninety days
mm/dd/yyyy after filing)  This limited liability company shall exist for (Optional)  Purpose (Optional)	tence
exemptions. Contact the Ohio Department of Taxation and	iling with our office is not sufficient to obtain state or federal tax the Internal Revenue Service to ensure that the nonprofit al tax exemptions. These agencies may require that a purpose

Form 533A

Page 1 of 3

Last Revised: 5/14/2014

ORIGINAL APPOINTM	
The undersigned authorized member(s), manager(s) or rep	resentative(s) of
CRA ENERGY, LLC	
Name of Limited Liabili	ty Company
hereby appoint the following to be Statutory Agent upon wh or permitted by statute to be served upon the limited liability address of the agent is	
GREGORY S. ADAMS	
Name of Agent	
600 STREAMVIEW DRIVE	
Mailing Address	
PERRYSBURG	OH 43551
City	State ZIP Code
ACCEPTANCE OF	APPOINTMENT
GREGORY S. ADAMS	named herein as the statutory agent
Statutory Agent Name	
CRA ENERGY, LLC	
Name of Limi	ited Liability Company
reby acknowledges and accepts the appointment of agent for sai	id limited liability company
atutory Agent Signature	
GREGORY S. ADAMS	gnature of Behalf of Business Serving as Agent

Form 533A

Page 2 of 3

Last Revised: 5/14/2014

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

#### Required

Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

LEGALZOOM.COM, INC.	
Signature	
CHEYENNE MOSELEY	
By (if applicable)	
Print Name	
Signature	
By (if applicable)	
Print Name	
Signature	
By (if applicable)	
Print Name	

Form 533A

Page 3 of 3

Last Revised: 5/14/2014

# CRA Energy, LLC

# Exhibit A-15 "Secretary of State,"

CRA Energy, LLC's certificate of registration with the Ohio Secretary of State is attached.



DATE 08/21/2014 DOCUMENT ID 201423001633

DESCRIPTION ARTICLES OF ORGNZTN/DOM, PROFIT LIM.LIAB. CO. (LCP)

FILING 125.00

PENALTY

COPY CERT 0.00

0.00

Receipt

This is not a bill. Please do not remit payment.

LEGALZOOM.COM 101 N. BRAND BLVD **SUITE 1000** GLENDALE, CA 91203

# STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted 2319916

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

CRA ENERGY, LLC

and, that said business records show the filing and recording of:

Document(s)

Document No(s):

ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

Effective Date: 08/18/2014

201423001633



United States of America State of Ohio Office of the Secretary of State

Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 21st day of August, A.D. 2014.

Jon Hustel Ohio Secretary of State

CRA Energy, LLC

## Exhibit B-1 "Jurisdictions of Operation"

At the date of filing the is application, CRA Energy, LLC or any affiliate is not certified, licensed, registered or otherwise authorized to provide retail or wholesale electric services including aggregation services, in any jurisdiction.

## CRA Energy, LLC

#### Exhibit B-2 "Experience & Plans,"

Greg Adams has worked in the banking industry for 20 years. He has extensive experience assisting businesses evaluate options to make better decisions. The last four years he has worked with industry experts providing utility auditing review and energy procurement services. Greg holds a Bachelor Degree in Finance from Bowling Green State University.

CRA Energy, LLC will assist customers obtain competitive quotes from certified energy suppliers. CRA Energy, LLC will be compensated directly from the supplier. All invoicing, customer credit, maintenance and agreements will be provided by the certified energy suppliers. CRA Energy, LLC will work with certified energy supplier in any customer inquiry, questions, concerns or complaints.

# CRA Energy, LLC

# Exhibit B-3 "Summary of Experience"

As of this filing, CRA Energy, LLC has not been involved in providing aggregation services.

# CRA Energy, LLC

# Exhibit B-4 "Disclosure of Liabilities and Investigations"

CRA Energy, LLC does not have any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact its financial or operational status or ability to provide services it is seeking to provide.

CRA Energy, LLC

# Exhibit C-1 "Annual Reports"

CRA Energy, LLC is a single member LLC and does not create or distribute annual reports.

CRA Energy, LLC

## Exhibit C-2 "SEC Filings"

CRA Energy, LLC is a single member LLC. CRA Energy, LLC does not produce 10K/8-K filings, and is not required to file with the SEC.

# CRA Energy, LLC

## Exhibit C-3 "Financial Statements"

CRA, Energy LLC financial statements are attached in a separate sealed envelope along with "Motion for Protective Order" to suppress the confidential information.

# CRA Energy, LLC

#### Exhibit C-4 "Financial Arrangements"

CRA Energy, LLC which is wholly owned by Gregory S. Adams, has no debt. CRA Energy, LLC is a pass-through business from the supplier to the end-user, and does not rely on outside financial arrangements to conduct business of providing energy consulting.

CRA Energy, LLC

# Exhibit C-5 "Forecasted Financial Statements"

CRA Energy, LLC forecasted financial statements are attached under seal.

CRA Energy, LLC

# Exhibit C-6 "Credit Rating"

CRA Energy, LLC has been in operation less than 12 months. CRA Energy, LLC has no credit rating available from credit reporting agencies such as Dunn and Bradstreet.

**CRA Energy, LLC** 

## Exhibit C-7 "Credit Report"

CRA Energy, LLC has been in operation less than 12 months, and there is no credit rating from credit rating agencies such as Dunn and Bradstreet, to the best of its knowledge.

# CRA Energy, LLC

# Exhibit C-8 "Bankruptcy Information"

CRA Energy, LLC has not had any reorganization, protection from creditors or any other form of bankruptcy filings ever

**CRA Energy, LLC** 

# **Exhibit C-9 "Merger Information"**

CRA Energy, LLC has had no dissolutions or merger or acquisition within the five most recent years preceding this application.