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SECUREENERGYSOLUTIONS.COM

September 5, 2014

Public Utilities Commission of Ohio
Docketing Division
180 East Broad St.
Columbus, OH 43215-3793

Re: Certification Application For Aggregators/Power Brokers

To Whom it May Concern,

Secure Energy Solutions, LLC hereby submits the included Certification Application For Aggregators/Power Brokers for The Commissions' review and acceptance. Please note that Secure Energy Solutions has been previously licensed by The Public Utilities Commission of Ohio (Certificate No. 12-504E), however failed to submit its Renewal Application in a timely manner, which resulted in an expired certificate. Currently, Secure Energy Solutions does not serve customers within the state of Ohio, and has not and will not render services in Ohio until the acceptance of this Certification Application is complete.

With this submission, we believe we have met the requirements of The Commission to successfully complete our application. Should any further information be required, please contact me directly at (413)733-2571 Ext. 223, or mschmidt@sesenergy.org

Sincerely,

Mike Schmidt
Director of Operations
Secure Energy Solutions
12-14 Somers Rd.
East Longmeadow, MA 01028
(800) 655-9818 Ext. 223

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14-1594-EL-AGG

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Technician SMO Date Processed SEP 11 2014

12-14 SOMERS RD.
EAST LONGMEADOW, MA 01028
PHONE: (800) 655-9818
FAX: (413) 733-2574



The Public Utilities Commission of Ohio

Filing Instructions for Aggregators/Power Brokers

I. Where To File: Applications should be sent to: Public Utilities Commission of Ohio, Docketing Division , 180 East Broad Street, Columbus Ohio 43215-3793.

II. What To File: Applicant must submit one original notarized application signed by a principal officer and three copies including all exhibits, affidavits, and other attachments. All attachments, affidavits, and exhibits should be clearly identified. For example, Exhibit A-12 should be marked "Exhibit A-12 'Corporate Structure.'" All pages should be numbered and attached in a sequential order.

III. Which Forms To File: Entities other than governmental aggregators that will aggregate customers or provide power-brokering services must file a "**Certification Application for Aggregators/Power Brokers**" form. Governmental aggregators must file an "**Certification Application for Governmental Aggregators**" form. If an aggregator will provide power marketing and/or retail electric generation services in addition to aggregation and power brokering services, it must file an "**Certification Application for Retail Generation Providers, Power Marketers, and Power Brokers**" form. The following definitions are provided to assist applicants in determining which form(s) to file:

Aggregation - combining the electric load of multiple retail customers through an agreement with the customers or formation of a governmental aggregation pursuant to Section 4928.20 of the Revised Code for the purpose of purchasing retail electric generation service on an aggregated basis.

Aggregator - a person who contracts with customers to combine the customers' electric load for the purpose of purchasing retail electric generation service on an aggregated basis. The term does not include a governmental aggregator.

Governmental Aggregator - the legislative authority of a municipal corporation, the board of township trustees of a township, or a board of county commissioners of a county that aggregates the citizens of a municipal corporation, township, or unincorporated areas of a county in accordance with Section 4928.20 of the Revised Code for the purpose of purchasing retail electric generation service on an aggregated basis.

Power Broker - a person who assumes the contractual and legal responsibility for the sale and/or arrangement for the supply of retail electric generation service to a retail customer without taking title to the power supplied.

Power Marketer - a person who assumes the contractual and legal responsibility for the sale and provision of retail electric generation service to a retail customer who had title to the electric power provided at some point during the transaction.

IV. Application Form: The application is available on the Commission's web site, www.puco.ohio.gov or directly from the Commission at: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus Ohio 43215-3793.

V. Confidentiality: If any of an applicant's answers require the applicant to disclose what the applicant believes to be privileged or confidential information not otherwise available to the public, the applicant should designate at each point in the application that the answer requires the applicant to disclose privileged and confidential information. Applicant must fully support its request to maintain confidentiality for the information it believes to be confidential or proprietary in a motion for protective order filed pursuant to Rule 4901-1-24 of the Ohio Administrative Code.

VI. Commission Process for Approval: An application for certification shall be made on forms approved and supplied by the Commission. The applicant shall complete the appropriate application form in its entirety and supply all required attachments, affidavits, and evidence of capability specified by the form at the time an application is filed. The Commission certification process begins when the Commission's Docketing Division receives and time/date stamps the application. An incomplete application may be suspended or rejected. An application that has been suspended as incomplete may cause delay in certification.

The Commission may approve, suspend, or deny an application within 30 days. If the Commission does not act within 30 days, the application is deemed automatically approved on the 31st day after the official filing date. If the Commission suspends the application, the Commission shall notify the applicant of the reasons for such suspension and may direct the applicant to furnish additional information. The Commission shall act to approve or deny a suspended application within 90 days of the date that the application was suspended. Upon Commission approval, the applicant shall receive notification of approval and a numbered certificate that specifies the service(s) for which the applicant is certified and the dates for which the certificate is valid.

Unless otherwise specified by the Commission, a competitive retail electric service provider's certificate is valid for a period of two years, beginning and ending on the dates specified on the certificate. The applicant may renew its certificate in accordance with Rule 4901:1-24-09 of the Ohio Administrative Code.

CRES (competitive retail electric service) providers shall inform the Commission of any material change to the information supplied in a certification application within thirty days of such material change in accordance with Rule 4901:1-24-10 of the Ohio Administrative Code.

VII. Contractual Arrangements for Capability Standards: If the applicant is relying upon contractual arrangements with a third-party(ies) to meet any of the certification requirements, the applicant must provide with its application all of the following:

- The legal name of the party(ies) it is contracting with;
- A statement that a valid contract exists between the applicant and the third-party(ies);
- A detailed summary of the contract(s) including all services provided thereunder;
- The documentation and evidence to demonstrate the contracting entity's capability to meet the requirements as if the contracting entity was the applicant.

VIII. Questions: Questions regarding filing procedures should be directed to
CRES@puc.state.oh.us.

IX. Governing Law: The certification/renewal of competitive retail electric suppliers is governed by Chapter 4901:1-24 of the Ohio Administrative Code, Chapter 4901:1-21 of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.



The Public Utilities Commission of Ohio

PUCO USE ONLY		
Date Received	Case Number	Version
1/4/11	1511	EL-AGG August 2004

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name Secure Energy Solutions, LLC
Address 12-14 Somers Rd. East Longmeadow, MA 01028
Telephone # (413) 733-2571 Web site address (if any) www.secureenergysolutions.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Secure Energy Solutions, LLC
Address 12-14 Somers Rd. East Longmeadow, MA 01028
Telephone # (413) 733-2571 Web site address (if any) www.secureenergysolutions.com

A-3 List all names under which the applicant does business in North America

Secure Energy Solutions, LLC
SES Secure Energy Solutions, LLC

A-4 Contact person for regulatory or emergency matters

Name Erik Ness
Title Vice President and General Counsel

Business address 12-14 Somers Rd. East Longmeadow, MA 01028
Telephone # (413) 733-2571 Ext. 238 Fax # (413) 733-2574
E-mail address (if any) eness@sesenergy.org

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Christopher A. Duby
Title President
Business address 12-14 Somers Rd. East Longmeadow, MA 01028
Telephone # (413) 733-2571 Ext. 211 Fax # (413) 733-2574
E-mail address (if any) cduby@sesenergy.org

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 12-14 Somers Rd. East Longmeadow, MA 01028
Toll-free Telephone # (800) 655-9818 Fax # (413) 733-2574
E-mail address (if any) customerservice@sesenergy.org

A-7 Applicant's federal employer identification number # 11-3776111

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|--|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> First Energy | | | | |
| <input type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Duke Energy | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

- A-10** Provide the approximate start date that the applicant proposes to begin delivering services

October 1, 2014 or A.S.A.P.

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11** **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12** **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☐ No ☒ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Signature of Applicant & Title

Sworn and subscribed before me this _____ day of _____, _____
Month Year

Signature of official administering oath

Print Name and Title

My commission expires on _____

AFFIDAVIT

State of MASSACHUSETTS

E. LONGMEADOW ss.
(Town)

County of HAMPDEN :

CHRISTOPHER DUBY, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the PRESIDENT (Office of Affiant) of SECURE ENERGY SOLUTIONS, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

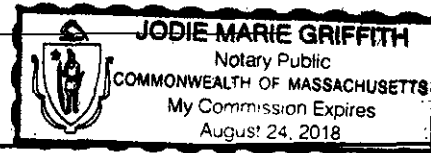
[Signature] President
Signature of Affiant & Title

Sworn and subscribed before me this 29 day of Aug, 2014
Month Year

[Signature]
Signature of official administering oath

Jodie Marie Griffith
Print Name and Title

My commission expires on



The Commonwealth of Massachusetts
On this 24 day of Aug, 2014,
before me, the undersigned Notary Public, personally appeared
Christopher Dubey
proved to me through satisfactory evidence of identification, which were Driver's License
to be the person whose name is signed on the preceding or attached document who swore
or affirmed to me that the contents of the document are truthful and accurate to the best of
his/her knowledge and belief.

[Signature]
JODIE MARIE GRIFFITH Notary Public
My Commission Expires August 24, 2018

Exhibit A-11 "Principal Officers, Directors & Partners"

1. Christopher A. Duby
President
12-14 Somers Rd.
East Longmeadow, MA 01028
413-733-2571 Ext. 211
2. Kevin R. Mattson
Chief Financial Officer
12-14 Somers Rd.
East Longmeadow, MA 01028
413-733-2571 Ext. 212
3. Joseph S. Fusco
Vice President of Sales
12-14 Somers Rd.
East Longmeadow, MA 01028
413-733-2571 Ext. 215



EXHIBIT A-12 "CORPORATE STRUCTURE"

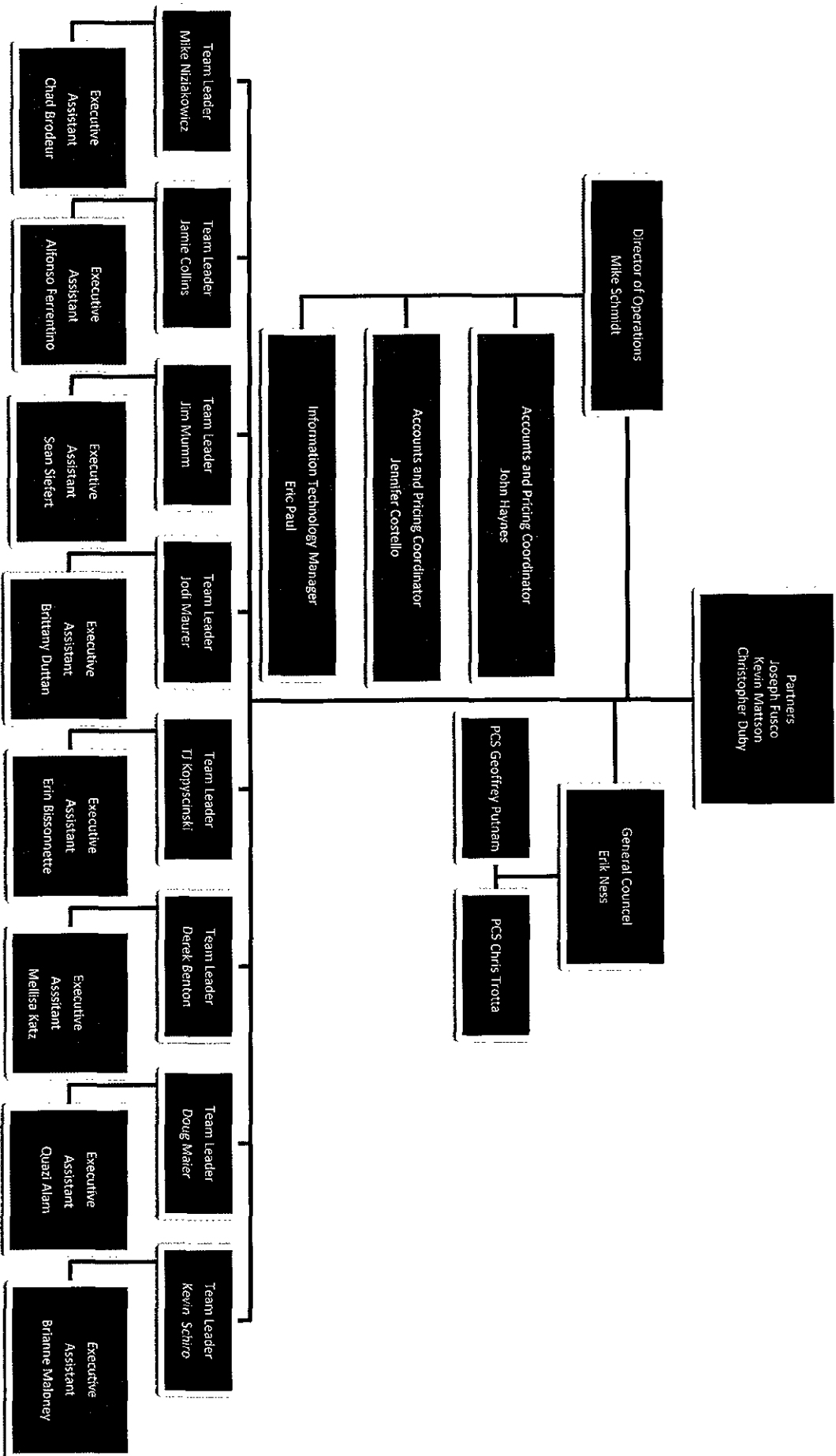


Exhibit A-13 “Company History”

Secure Energy Solutions was founded in January of 2006 by Christopher Duby (President) and Kevin Mattson (CFO), with considerable support from Joe Fusco, Vice-President of Sales. SES opened its first office in 2007, located in Springfield Massachusetts. Secure Energy Solutions offers competitive supply options of both electricity and natural gas to commercial, industrial and governmental facilities, as well as electric supply options to residents of Connecticut only. In 2010 Secure Energy Solutions relocated the central office and established a fully operational satellite office in Montville New Jersey which serves the Mid-Atlantic region. Our central office is now rooted in East Longmeadow Massachusetts.

Secure Energy Solutions currently carries a staff of 21 full-time, and 4 part-time employees, with a fully operational administrative staff in addition to sales and management staff.

EXHIBIT A-14 "ARTICLES OF INCORPORATION AND
BYLAWS" Pg. 1

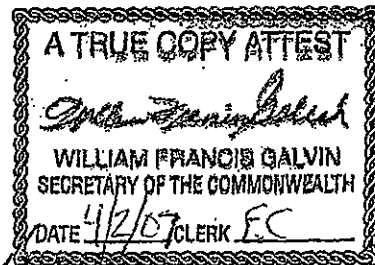
THE COMMONWEALTH OF MASSACHUSETTS

I hereby certify that, upon examination of this document, duly submitted to me, it appears
that the provisions of the General Laws relative to corporations have been complied with,
and I hereby approve said articles; and the filing fee having been paid, said articles are

deemed to have been filed with me on:

January 26, 2006 3:20 PM

William Francis Galvin



WILLIAM FRANCIS GALVIN

Secretary of the Commonwealth

ACTION OF THE ORGANIZER

OF

SECURE ENERGY SOLUTIONS, LLC

The undersigned, being the sole organizer of Secure Energy Solutions, LLC; organized in the State of Massachusetts, hereby takes the following action:

Adoption of Operating and Member Control Agreement

RESOLVED, that the form of the Operating and Member Control Agreement is hereby adopted.

FURTHER RESOLVED, that the Operating and Member Control Agreement shall be inserted in the Company's record book.

Admission of Members

RESOLVED, that the admission as Members of the Company of the persons named as Members of the Company in that form of Operating and Member Control Agreement in exchange for the contributions described in said Agreement, is hereby ratified and approved.

Election of Managers

RESOLVED, that the following named persons are elected to the manager positions, which shall be referred to as offices, set forth opposite their respective names, such persons immediately to assume the discharge of their respective duties and to hold office for the period provided by the Operating and Member Control Agreement:

Christopher Duby, President

Christopher Duby, Secretary

Kevin Mattson, Treasurer

Kevin Mattson, Vice President

Banking Resolutions

RESOLVED, that the managers of the Company are hereby authorized and directed to designate a banking facility for the Company;

EXHIBIT A-14 "ARTICLES OF INCORPORATION AND BYLAWS"

Ps. 3

and

Organization Fees

RESOLVED, that the Treasurer (or other manager as determined by the managers or members) is authorized to pay all fees and expenses incident to and necessary for the organization of the Company.

Assumption and Reimbursement

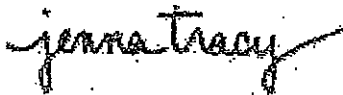
RESOLVED, that any obligations and liabilities that Members have entered on behalf of, or for the benefit of, the Company prior to its formation, whether entered into personally or in the name of the Company, are hereby ratified and assumed by the Company, and the Company agrees to perform under the terms and conditions specified in written documents representing the obligation.

FURTHER RESOLVED, that Members may request reimbursement for any such documented expenses incurred by any of them personally prior to formation.

Resignation of Organizer

The undersigned organizer resigns as organizer of Secure Energy Solutions, LLC, effective as of the date of formation of said limited liability company by the State of Massachusetts.

Executed on the 2nd of February, 2006



Jenna Tracy, Organizer

EXHIBIT A-15 " SECRETARY OF STATE "



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
08/07/2009	200921800744	REG. OF FOR. PROFIT LIM. LIAB. CO. (LFP)	125.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

SECURE ENERGY SOLUTIONS
146 CHESTNUT ST. SUITE 400
SPRINGFIELD, MA 01103

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jennifer Brunner

1875081

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

SECURE ENERGY SOLUTIONS, LLC

and, that said business records show the filing and recording of:

Document(s)

REG. OF FOR. PROFIT LIM. LIAB. CO.

Document No(s):

200921800744



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 6th day of August, A.D. 2009.

Ohio Secretary of State

Exhibit B-1 “Jurisdictions of Operation”

Secure Energy Solutions is currently registered, licensed and operating in the following states:

1. Connecticut
2. Delaware
3. Illinois
4. Florida
5. Massachusetts
6. Maryland
7. Maine
8. Michigan
9. New Hampshire
10. New Jersey
11. New York
12. Oregon
13. Pennsylvania
14. Rhode Island
15. Texas
16. Washington, D.C.

Exhibit B-2 “Experience & Plans”

Secure Energy Solutions, LLC is a broker/aggregator who does not take ownership of the power. Secure Energy Solutions, LLC currently contracts power through a number of competitive suppliers including *Constellation New Energy, Direct Energy, Discount Power Inc, NextEra Energy, Liberty Power, Hess, Suez, Noble Americas and TransCanada*.

Secure Energy Solutions uses a consultative approach to inform commercial, industrial & governmental customers about their options and current market conditions. The services we provide include reviewing energy load profiles, building competitive bidding situations, and also aggregating customers to pool their energy loads to achieve buying power. Secure Energy Solutions will not be taking ownership of the power, hence Secure Energy Solutions will not be collecting or remitting payment to either the customer, or Local Distribution Companies. The LDC and/or Supplier will provide billing services to the customer to collect and remit gross receipts tax to the State.

Secure Energy Solutions offers a full-time administrative staff whose duties include providing customer service. We have a toll-free number, (800) 655-9818 in which our customers may utilize during normal business hours. Each of our employees distributes business cards which also lists an office telephone number, personal telephone number as well as an email address which are all monitored daily. Furthermore, we have made it practice to mail within 3 days of contract a copy of the agreement in conjunction with a welcome letter which confirms the rate, term and Supplier information. The terms of each agreement explicitly details the rescission period, termination procedures, supplier contact information and individual account information.

Exhibit B-3 “Summary of Experience” PAGE 1

Industry Experience:

Christopher A. Duby, Kevin Mattson and Joseph Fusco all have extensive industry experience that stretches across many facets of running an energy brokerage. Their experience includes building strong relationships with competitive energy suppliers, working in many deregulated markets across the United States, building strong relationships with Local Distribution Companies, training new employees, and attaining government licensing/approvals. As of today, these three partners have over 30 years of combined industry experience

One of the most important things needed to become a successful broker/aggregator is to have the suppliers to sell for. Currently Secure Energy Solutions has relationships with over 15 energy suppliers. A few examples of the suppliers Secure Energy Solutions works with are Constellation New Energy, TransCanada, Integrys, Hess, Direct Energy, Horizon Energy, and Liberty Energy. Secure Energy Solutions plans to continue to grow our list of competitive energy suppliers and stay current with the products available.

All three partners of Secure Energy Solutions have worked in several deregulated markets across the United States. Some of those markets would include Duquesne Light (PA), Detroit Edison (MI), National Grid (MA, RI, NH & NY), United Illuminating, Connecticut Light and Power (CT), NSTAR and Western Mass Electric. This experience working across all of these markets has been very similar. The goal is to always find an opportunity where it makes sense for energy customers to lock in. Once an opportunity in any market is identified, our goal is to educate the customer about his or her options.

In order to facilitate our customers in an efficient manner, we need to build strong relationships with the Local Distribution Companies. In most markets across the United States, suppliers require special information about specific customers. Example: In Massachusetts, large customers have a special meter that calculates their interval data. Suppliers require this information before they can give us a price. Our goal is to facilitate the customer to the very best of our ability. That being said, we need to maintain healthy relationships with the Local Distribution Companies.

Secure Energy Solutions has a continuous training program that keeps even the most seasoned veteran current and sharp. With the energy market changing and suppliers always rolling out new products, it is a necessity to always train our sales staff. To accomplish this task we currently run a one hour meeting every Monday morning. In addition to that we also have a weekly one on one meeting with each and every sales person.

EXHIBIT B-3 "SUMMARY OF EXPERIENCE" PAGE 2

Currently, Secure Energy Solutions is licensed and doing business in the seven states mentioned in exhibit B-1 (MA, CT, NH, RI, ME, NJ, & PA). We service Electric and Natural gas customers throughout these States. It is extremely important to keep the governing agencies informed of any material change and to stay current by renewing in a timely fashion. Our company has always paid close attention to these details and plan to continue doing so.

In closing, Secure Energy Solutions has become one of the elite in this industry by working hard to maintain good relationships with everyone we deal with. That means customers, suppliers, Secretary of States, governing agencies and our employees. With hard work and integrity on our side, we have become the number one broker in New England and number six across the nation, according to Constellation New Energy in calendar year 2008. The partners and staff of Secure Energy Solutions is ready to start educating the customers located in the Ohio territory.

Exhibit B-4 “Disclosure of Liabilities and Investigations”

Secure Energy Solutions has had no regulatory issues regarding its ability to provide services.

EXHIBIT B-6 "DISCLOSURE OF CERTIFICATION DENIAL,
CURTAILMENT, SUSPENSION, OR REVOCATION"

August 12, 2014

PAGE 1

Company Name: SECURE ENERGY SOLUTIONS LLC
Company Address: 12-14 SOMERS RD., E LONGMEADOW, MA 01028
Case No(s): 12-1006-EL-AGG

Re: Notice of Expiration of Certificate No. 12-504E (1)
Certificate Expiration Date: April 16, 2014

To Whom It May Concern:

This letter serves to provide notice that the above-listed certificate issued by the Public Utilities Commission of Ohio pursuant to the Ohio Revised Code, expired on the above-listed date, and the corresponding case(s) listed above will be closed by the Commission's Docketing Division.

As the above certificate has expired, the listed company must cease providing regulated service under the above certificate and notify any Ohio jurisdictional customer served thereunder that it is no longer operating in Ohio. Any company or company officer that continues to provide Ohio jurisdictional service under an expired certificate without Commission authorization may be assessed a civil forfeiture of not more than \$10,000 per day for each violation or failure to comply with a Commission order pursuant to Section 4905.54 of the Ohio Revised Code.

Questions regarding this notice should be directed to Chuck Stockhausen of the Commission's Reliability and Service Analysis Division, Service Monitoring and Enforcement Department, at 614-728-5049.

EXHIBIT B-6 "DISCLOSURE OF CERTIFICATION DENIAL, CURTAILEMENT,
SUSPENSION, OR REVOCATION"



Public Utilities
Commission

John R. Kasich, Governor
Todd A. Snitchler, Chairman

PAGE 2

Commissioners

Steven D. Lesser
Asim Z. Haque
Lynn Slaby
M. Beth Trombold

February 10, 2014

SECURE ENERGY SOLUTIONS LLC
12-14 SOMERS RD
EAST LONGMEADOW, MA 01028

Notice of Pending Expiration of PUCO Certification

Company Name: SECURE ENERGY SOLUTIONS LLC
Certification Case No.: 12-1006-EL-AGG
Certificate Expiration Date: 4/16/2014

To Whom It May Concern:

This letter serves to provide notice that the above-listed certificate issued by the Public Utilities Commission of Ohio pursuant to the Ohio Revised Code, is due to expire on **4/16/2014**.

Rule 4901:1-24-09 O.A.C. requires renewal applications to be filed no less than 30 and no more than 60 days **prior** to the certificate expiration date. If the listed company wishes to continue to operate under the above certificate, a renewal application must be filed **at least 30 days prior** to the expiration date.

If the listed company has missed the 30-day deadline but the certificate has yet to expire, a motion to extend must be filed in the existing case by an attorney licensed to practice law in Ohio. A renewal application should be filed at the same time.

If the certificate has expired and the company wishes to continue operations in Ohio, a new certificate application must be filed. A motion requesting an extension of the original certificate and requesting to operate during pendency of the new certification application must be filed by an attorney licensed to practice law in Ohio. If you have additional questions you may call the Commission representative listed below.

Any company or company officer that continues to provide Ohio jurisdictional service under an expired certificate without Commission authorization may be assessed a civil forfeiture pursuant to Section 4905.54 of the Ohio Revised Code.

Questions regarding this notice should be directed to Chuck Stockhausen of the Commission's Reliability and Service Analysis Division, Service Monitoring and Enforcement Department, at chuck.stockhausen@puc.state.oh.us or at 614-728-5049.

Sincerely,



Chuck Stockhausen
Utility Specialist II



**Public Utilities
Commission**

John R. Kasich, Governor
Todd A. Snitchler, Chairman

Commissioners

Cheryl Roberto
Steven D. Lesser
Andre T. Porter
Lynn Slaby

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number:

12-504E (1)

Issued Pursuant to Case Number(s):

12-1006-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **Secure Energy Solutions, LLC** whose office or principal place of business is located at **12-14 Somers Rd., East Longmeadow, MA 01028** to provide **aggregation and power broker** services within the State of Ohio **effective April 16, 2012.**

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **April 19, 2012**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary
Betty McCauley, Acting Secretary
Tanowa M. Troupe, Acting Secretary

Certificate Expires: April 16, 2014

EXHIBIT C-1 “ANNUAL REPORTS”

Secure Energy Solution is an independently owned company and does not have shareholders.

Exhibit C-2 “SEC FILINGS”

Secure Energy Solution is an independently owned company and does not have shareholders. Therefore a 10k/8k is not required.

EXHIBIT C-4 " FINANCIAL ARRANGEMENTS "



Tower Square
1500 Main Street
PO Box 15209
Springfield, MA 01115-5209
T: 413.787.2700
F: 413.736.1944
nuvobank.com

June 12, 2014

RE: Secure Energy Solutions, LLC

To whom it may concern:

Please be advised that Secure Energy Solutions, LLC currently has a secured low seven figure credit facility to fund operations cash requirements, with an available credit limit of a moderate six figure. Their current deposit relationship maintains a Year to Date average medium six figure balance. The relationship is handled in a satisfactory matter.

If you require any further confirmation please contact me directly at (413) 787-2716.

Sincerely,

A handwritten signature in black ink, appearing to read "Jackalyn H. Guenette". The signature is stylized with a large, looped "J" and a cursive "G".

Jackalyn H. Guenette
Loan Operations Specialist

Exhibit C-8 “Bankruptcy Information”

Secure Energy Solutions has no reorganizations, protection from creditors or any other form of bankruptcy filings made by Secure Energy Solutions or affiliate organization that guarantees the obligations of Secure Energy Solutions or any officer of Secure Energy Solutions in the current year or within the two most recent years preceding the application.

C-9 “Merger Information”

Secure Energy Solutions has no dissolutions or merger or acquisition within the five most recent years preceding the application.