

FILE



The Public Utilities Commission of Ohio

12-2613-EL-AGG

Original AGG Case Number	Version
12-2613-EL-AGG	August 2004

34

## RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.

### A. RENEWAL INFORMATION

- A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name ABA Energy Partners Inc.  
 Address 9435 Waterstone Blvd Suite #140, Cincinnati Oh 45249  
 PUCO Certificate # and Date Certified 12-580E (1) Oct 27, 2012  
 Telephone # (513) 445-4962 Web site address (if any) http://abaenergypartners.com/

- A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name same as above  
 Address \_\_\_\_\_  
 Telephone # \_\_\_\_\_ Web site address (if any) \_\_\_\_\_

- A-3 List all names under which the applicant does business in North America

Same as A-1  
 \_\_\_\_\_  
 \_\_\_\_\_

- A-4 Contact person for regulatory or emergency matters

Name Annabelen Hemelgarn  
 Title Vice President  
 Business address 9435 Waterstone Blvd Suite #140, Cincinnati Oh 45249  
 Telephone # (513) 340-1729 Fax # (513) 672-9458  
 E-mail address (if any) annabelen@abaenergypartners.com

PUCO

2014 SEP -9 PM 12:28

RECEIVED-DOCKETING DIV

This is to certify that the images appearing are an  
 accurate and complete reproduction of a case file  
 document delivered in the regular course of business  
 technician DM Date Processed SEP 09 2014

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name Annabelen Hemelgarn  
Title Vice President  
Business address 9435 Waterstone Blvd Suite #140, Cincinnati Oh 45249  
Telephone # (513) 445-4962 Fax # (513) 672-9458  
E-mail address (if any) annabelen@abaenergypartners.co

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 9435 Waterstone Blvd Suite #140, Cincinnati Oh 45249  
Toll-free Telephone # \_\_\_\_\_ Fax # (513) 672-9458  
E-mail address (if any) sales@abaenergypartners.com

**A-7 Applicant's federal employer identification number # 46-0709010**

**A-8 Applicant's form of ownership (check one)**

- |  |  |
|--|--|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                     |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation              | <input type="checkbox"/> Other _____                     |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

**A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.**

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.**

**B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.**

- B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

- B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

- B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

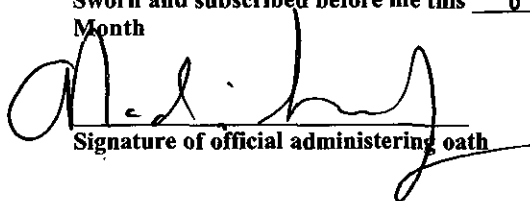
PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.
- C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3** **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

 VICE-PRESIDENT  
Signature of Applicant & Title

Sworn and subscribed before me this 8 day of Sept, 2014  
Month Year

  
Signature of official administering oath

Nadia Shurkhay, Loan Officer  
Print Name and Title  
**NADIA SHURKHAY**  
**NOTARY PUBLIC, STATE OF OHIO**  
**OHIO COUNTY**  
My Commission Expires 8/14/2016

My commission expires on \_\_\_\_\_

# AFFIDAVIT

State of Ohio :

Mason ss.  
(Town)

County of Warren :

ANNABELEN HEMELGARN

, Affiant, being duly sworn/affirmed according to law, deposes and says that:

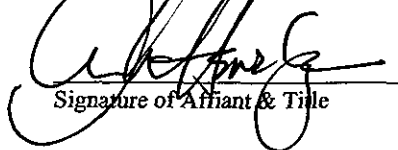
He/She is the VICE - PRESIDENT (Office of Affiant) of ABA ENERGY PARTNERS, INC. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

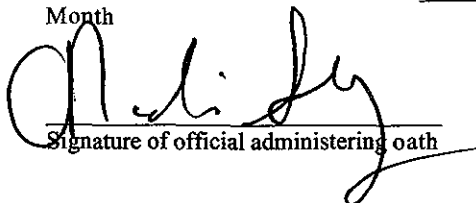
1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

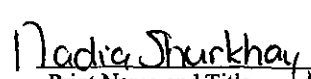
11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

 **VICE PRESIDENT**  
Signature of Affiant & Title

Sworn and subscribed before me this 8 day of Sept., 2014  
Month Year

  
Signature of official administering oath

 **Loan Officer**  
Print Name and Title  
**NADIA SHURKHAY**  
**NOTARY PUBLIC, STATE OF OHIO**  
**OHIO COUNTY**  
My Commission Expires 9/14/2016

My commission expires on \_\_\_\_\_  
My Commission Expires 9/14/2016

Exhibit A-9 "Principal Officers, Directors & Partners"

ABA Energy Partners Inc.

*April Wood, President*

4474 Raynor Court

Mason, Ohio 45040

Phone: 513-207-4338

*Annabelen Hemelgarn, Vice President*

4138 Grasmere Run

Mason, Ohio 45040

Phone: 513-518-9033

## Exhibit A-10 "Corporate Structure"

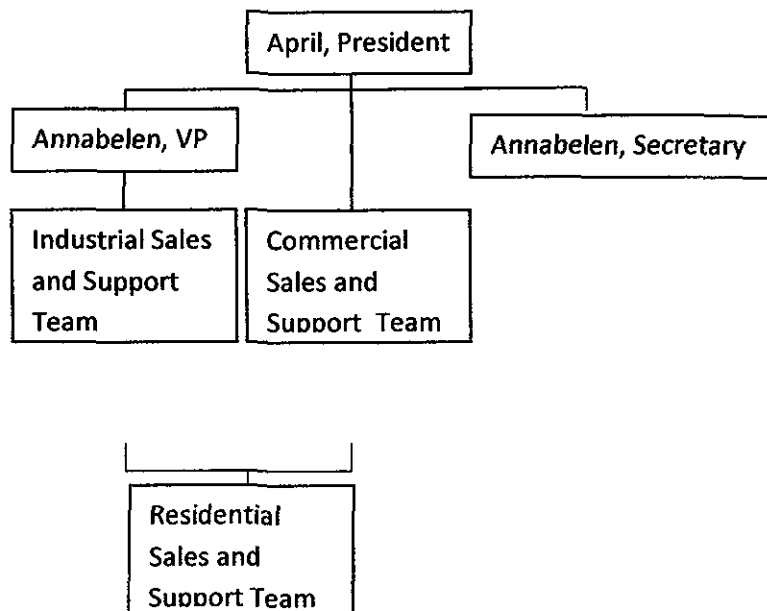
### ABA Energy Partners Inc.

ABA Energy Partners Inc. will be lead by the management team of April Wood and Annabelen Hemelgarn.

ABA Energy Partners Inc. will function as a broker and aggregator for energy suppliers with a focus on Residential, Commercial, Mercantile and Industrial segments in the competitive retail electric service market. Sales and customer support teams will specialize in these market segments. *ABA Energy Partners will not take title to the power.*

April will oversee activities related to commercial customers and sales, as well as shared responsibility for residential.

Annabelen will oversee purchasing and accounting and oversee activities related to industrial customers and sales. Annabelen will also be responsible for marketing and promotional activities, as well as shared responsibility for residential.





**Exhibit B-1 "Jurisdiction of Operation"**

**ABA Energy Partners Inc.**

ABA Energy Partners Inc has only been in business since 2012. As such, they are currently certified only in Ohio for both power and gas.

## Exhibit B-2 "Experience and Plans"

### ABA Energy Partners Inc.

#### **Management Experience**

ABA Energy Partners Inc. will be lead by the management team of April Wood and Annabelen Hemelgarn.

April grew up in Ohio. She has been an entrepreneur and business owner, excelling in sales and marketing management for over sixteen years. April's entrepreneurial experience includes managing multi-million dollar accounts for clients such as Indy Car, Cincinnati Bengals, Cincinnati Reds, Prasco and Proctor & Gamble. April has experience in the energy industry as an independent business owner working with Xoom Energy and Connect One Energy in the electric and gas markets.

Annabelen is a graduate of Purdue University and University of Cincinnati. Annabelen holds a Bachelors of Science degree in food engineering from Purdue University and Masters in Design from University of Cincinnati, College of Design, Architecture and Planning. Annabelen has worked as an engineer for companies such as Frito Lay, designing efficient processes for food production. Annabelen has taught classes at Miami University and University of Cincinnati. She has been an entrepreneur and business owner for over fifteen years, doing marketing, design and promotionals for such clients as Penske Racing, Hobart Corporation and Children's Hospital. Annabelen also has experience working with Xoom Energy in the gas market.

#### **Plans**

ABA Energy Partners Inc will contract and partner with power/gas suppliers to broker power/gas in an effort to facilitate the most cost effective power/gas supply to the consumer. We will work with the customer to assess their power/gas needs, educate them on the Competitive Gas Retail Supplier program and Ohio's Natural Gas Choice Program, shop the market via agreements with various suppliers and provide the most cost effective solution for the customer's needs.

Pursuant to ORC Section 4928.10, ABA Energy Partners Inc. will procure competitive pricing from electric/gas suppliers for the consumer. Documentation will be given to the customer prior to entering into an agreement.

Documentation included in the pricing and cost analysis will contain the supply agreement from the various suppliers shopped. This will include any applicable switching fees, terms and conditions of pricing and service, conditions under which a consumer may rescind a contract without penalty as well as the terms identifying how consumers may switch or terminate service.

### Exhibit B-3 "Disclosure of Liabilities and Investigations"

#### ABA Energy Partners Inc.

ABA Energy Partners Inc. has no existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations or other matter that could adversely impact financial, operational status or the ability to provide power broker / aggregator services.

**Exhibit C-1 "Annual Reports"**

**ABA Energy Partners Inc.**

The following pages are copies of the Annual Reports submitted.

We filed ONLY power under Certification 12-580E for year ending in 2012.

There were two Annual Reports submitted for year ending in 2013,

Certification 12-580E and 13-288G



# Public Utilities Commission

Annual Report to the Commission  
for Competitive Retail Electric  
Service

☒ AGGREGATOR  
GOVERNMENTAL AGGREGATOR  
POWER MARKETER

RETAIL ELECTRIC GENERATION PROVIDER  
☒ POWER BROKER

Certification No. 12-580E

## Annual Report for the Year ending December 31, 2012 of ABA ENERGY PARTNERS INC

Address:

9435 Waterstone Blvd  
Cincinnati, OH 45249

Website URL:

abaenergypartners.com

Filed by:

**Annabelen Hemelgarn**  
**(513) 445-4962**

Changes: This company did not have any changes in name(s), principal address, legal status, ownership, corporate structure or operations during the 2012 reporting year.

Annual Report filings and instructions are available at:

[www.puco.ohio.gov/puco/docketing/](http://www.puco.ohio.gov/puco/docketing/)

Customer Class	Sales (kWh)	Earnings(\$)
Residential	0	\$0
Commercial	0	\$0
Industrial	0	\$0
Other	0	\$0
<b>Total</b>	<b>0</b>	<b>\$0</b>

**Instructions:**

This information is used for PUCO annual assessment purposes pursuant to Section 4905.10, Revised Code. The reporting company shall report its intrastate gross earnings for the provision of retail services (e.g. Retail Electric Generation, Broker, Marketer, Governmental Aggregator) for which it is subject to certification by the PUCO under Section 4928, Revised Code. In addition, power providers please provide all corresponding sales of kilowatt hours of electricity. Sales of kilowatt hours of electricity are deemed to occur at the meter of the retail customer.

The reporting company shall maintain supporting and/or subsidiary records to separately record receipts and sales of electricity derived from operations other than in Ohio. Information presented herein is subject to audit by the PUCO.

IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE IS DIRECTED TO THE APPROPRIATE  
PERSON AT THE CORRECT ADDRESS, PLEASE COMPLETE THE FOLLOWING.

**Docketing Contact Information**

annabelen@abaenergypartners.com

Email

Annabelen Hemelgam

Vice President

Name

Title

9435 Waterstone Blvd #140 Cincinnati, Oh 45249

Address

(513) 445-4962

Phone Number (including Area Code)

**Fiscal Contact Information**

annabelen@abaenergypartners.com

Email

Annabelen Hemelgam

Vice President

Name

Title

9435 Waterstone Blvd Cincinnati, OH 45249

Address

(513) 445-4962

Phone Number (including Area Code)



# Public Utilities Commission

## Annual Report to the Commission for Competitive Retail Electric Service

☒ AGGREGATOR

GOVERNMENTAL AGGREGATOR

POWER MARKETER

RETAIL ELECTRIC GENERATION PROVIDER

☒ POWER BROKER

Certification Number: 12-580E

PUCOID Number: 350915

## Annual Report for the Year ending December 31, 2013 of ABA Energy Partners Inc

Address:

9435 Waterstone Blvd  
Cincinnati, OH 45249

Website URL:

abaenergypartners.com

Filed by:

**Annabelen Hemelgarn**  
**(513) 340-1729**

Changes: One of the partners has left the company. Only April Wood and Annabelen Hemelgarn own company now.

Annual Report filings and instructions are available at:

[www.puco.ohio.gov/puco/docketing/](http://www.puco.ohio.gov/puco/docketing/)



Customer Class	Sales (kWh)	Earnings(\$)
Residential	0	\$0
Commercial	0	\$70,269
Industrial	0	\$0
Other	0	\$0
<b>Total</b>	<b>0</b>	<b>\$70,269</b>

**Instructions:**

This information is used for PUCO annual assessment purposes pursuant to Section 4905.10, Revised Code. The reporting company shall report its intrastate gross earnings for the provision of retail services (e.g. Retail Electric Generation, Broker, Marketer, Governmental Aggregator) for which it is subject to certification by the PUCO under Section 4928, Revised Code. In addition, power providers please provide all corresponding sales of kilowatt hours of electricity. Sales of kilowatt hours of electricity are deemed to occur at the meter of the retail customer.

The reporting company shall maintain supporting and/or subsidiary records to separately record receipts and sales of electricity derived from operations other than in Ohio. Information presented herein is subject to audit by the PUCO.

IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE IS DIRECTED TO THE APPROPRIATE  
PERSON AT THE CORRECT ADDRESS, PLEASE COMPLETE THE FOLLOWING.

**Docketing Contact Information**

annabelen@abaenergypartners.com

Email

Annabelen Hemelgam

Vice President

Name

Title

9435 Waterstone Blvd. Suite 140 Cincinnati, OH 45249

Address

(513) 445-4962

Phone Number (including Area Code)

**Fiscal Contact Information**

annabelen@abaenergypartners.com

Email

Annabelen Hemelgam

Vice President

Name

Title

9435 Waterstone Blvd. Suite 140 Cincinnati, OH 45249

Address

(513) 445-4962

Phone Number (including Area Code)



# Public Utilities Commission

Annual Report to the Commission  
for Competitive Retail Natural Gas  
Service Providers

- ☐ RETAIL NATURAL GAS SUPPLIER
- ☐ RETAIL NATURAL GAS MARKETER
- ☒ RETAIL NATURAL GAS BROKER
- ☒ RETAIL NATURAL GAS AGGEGATOR
- ☐ OTHER :

Certification Number: 13-288G

PUCOID Number: 350915

**Annual Report for the Year ending December 31, 2013**  
**of**  
**ABA Energy Partners Inc**

Address:

9435 Waterstone Blvd  
Cincinnati, OH 45249

Website URL:

[abaenergypartners.com](http://abaenergypartners.com)

Filed by:

**Annabelen Hemelgarn**  
**(513) 340-1729**

Changes: One of the partners has left the company. Only April Wood and Annabelen Hemelgarn own company now.

Annual Report filings and instructions are available at:

[www.puco.ohio.gov/puco/docketing/](http://www.puco.ohio.gov/puco/docketing/)

Schedule 1 is used for PUCO annual assessment purposes pursuant to Section 4905.10, Revised Code. The reporting company shall maintain supporting and/or subsidiary records to separately record revenues derived from total operations and from its Ohio intrastate operations. Information presented herein is subject to audit by the PUCO.

For the purpose of this report, sales of natural gas are deemed to occur at the meter of a retail customer.

**SCHEDULE: 1**

<b>STATEMENT OF INTRASTATE SALES AND REVENUES *</b>			
		<b>Sales (Mcf)</b>	<b>Revenues (\$)</b>
1	Choice Program Retail Sales	0	\$0
2	Choice Program Aggregation Sales	0	\$0
3	Total Natural Gas Sales (1 + 2 )	0	\$0

\* The information reported on this form should refer only to those sales and revenues for which certification pursuant to Section 4929.20, Revised Code, is required. Natural gas Standard Choice Offer (SCO) providers should include such sales and revenues as part of Choice Program Retail Sales.

IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE IS DIRECTED TO THE APPROPRIATE  
PERSON AT THE CORRECT ADDRESS, PLEASE COMPLETE THE FOLLOWING.

**Docketing Contact Information**

annabelen@abaenergypartners.com

Email

Annabelen Hemelgarn

Vice President

Name

Title

94 Cincin, Oh 45249

Address

(513) 445-4962

Phone Number (including Area Code)

**Fiscal Contact Information**

annabelen@abaenergypartners.com

Email

Annabelen Hemelgarn

Vice President

Name

Title

9435 Waterstone Blvd. Suite 140 Cincinnati, OH 45249

Address

(513) 445-4962

Phone Number (including Area Code)

**Exhibit C-2 "SEC Filings"**

**ABA Energy Partners Inc.**

**Exhibit C2 is not applicable as ABA Energy Partners Inc. is a privately owned company.**

### **Exhibit C-3 "Financial Statements"**

#### **ABA Energy Partners Inc.**

ABA Energy Partners Inc. has only been in business since 2012, and didn't show a profit until 2013. The following pages are the last 2 years financial statements.

PL Statement  
ABA Energy Partners, Inc.  
2012

Income	January	February	March	April	May	Jun	July	Aug	Sep	Oct	Nov	Dec	Income
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0 TOTAL</b>
<b>Expenses</b>													
education	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
advertiseme	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
phone/ cell	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Internet	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
open acct	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
security	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
office rental	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
training	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
travel	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
supplies	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
postage	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
parking	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
printing/imag	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
subscriptions	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
computer/we	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
utilities	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
certifications	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
service needed	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
gifts	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
entertainment	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Loan													
<b>TOTAL</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$153.15</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$908.00</b>

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**P/L Statement**  
**ABA Energy Partners Inc**  
**Jan 1 - Dec 31, 2013**

**Income**

Direct Energy (Electricity)	70,268.96
Volunteer Energy (gas)	
Direct Energy (Residential)	
CAPITAL	1,100.00
LOAN TO COMPANY	270.00
Total Income	71,638.96

**Expenses**

MEETINGS	203.86
LEGAL/PROFESSIONAL FEES	1,529.05
COMMISSIONS	10,652.61
TRAVEL	1,737.79
EXPENSE REPORT	404.98
INTERNET	461.56
ADVERTISING	400.91
SUPPLIES	1,291.83
COMPUTERS/HARDWARE	1,068.82
OFFICE SPACE	1,382.85
SOFTWARE	32.02

**Total Expense** 19,166.28

**NET INCOME** **52,472.68**

**disbursement to owners** **44,076.44**

**Exhibit C-4 "Financial Arrangements"**

**ABA Energy Partners Inc.**

**Exhibit C4 is not applicable as ABA Energy Partners Inc. will be acting as a power broker and will not take title.**

## Exhibit C-5 "Forecasted Financial Statements"

### ABA Energy Partners Inc.

Having been in business since 2012, ABA Energy Partners Inc. has had to reevaluate the Financial Forecast. Net profit was reached by the second year, but the rate of our growth was not as much as we had thought. We will be testing other avenues to increase sales, that we hope to improve our profits. Through a combination of a proven business model, a strong management team, and this comprehensive energy business plan to guide the organization, ABA Energy Partners Inc. will be long lasting, profitable business.

<i>General Assumptions</i>			
	2014	2015	2016
Plan Month	1	2	3
Current Interest Rate	10.00%	10.00%	10.00%
Long-term Interest Rate	10.00%	10.00%	10.00%
Tax Rate	30.00%	30.00%	30.00%
Other	0	0	0

#### Forecasted Profit and Loss

<i>Pro Forma Profit and Loss</i>			
	Year 1	Year 2	Year 3
Sales	\$105,000	\$154,354	\$181,625
Direct Cost of Sales	\$50,000	\$75,675	\$90,812
Other Costs of Sales	0	\$0	\$0
Total Cost of Sales	\$100,000	\$77,177	\$90,812
Gross Margin	\$55,000	\$77,177	\$90,812
Gross Margin %	50.00%	50.00%	50.00%
Expenses			
Payroll	\$38,000	\$45,600	\$54,720
Sales and Marketing and Other Expenses	\$800	\$800	\$800
Depreciation	\$800	\$800	\$800
Professional Services	\$4,000	\$6,000	\$6,000
Utilities	\$2,400	\$2,400	\$2,400
Insurance	\$2,400	\$2,400	\$2,400
Payroll Taxes	\$4,050	\$4,500	\$4,950
Other	\$1800	\$1,800	\$1,800
Total Operating Expenses	\$54,250	\$64,300	\$73,870
Profit Before Interest and Taxes	\$750	\$12,877	\$16,942
EBITDA	\$2,750	\$14,877	\$18,942
Interest Expense	\$0	\$0	\$0
Taxes Incurred	\$225	\$3863	\$5082
Net Profit	\$2,975	\$11,014	\$13,860
Net Profit/Sales	2.83%	7.14%	7.63%

# Exhibit C-5 "Forecasted Financial Statements"

## ABA Energy Partners Inc.

### Forecasted Cash Flow

<i>Pro Forma Cash Flow</i>				
		Year 1	Year 2	Year 3
Cash Received				
Cash from Operations				
Cash Sales		\$105,000	\$154,354	\$181,625
Subtotal Cash from Operations		\$105,000	\$154,354	\$181,625
Additional Cash Received				
Sales Tax, VAT, HST/GST Received		\$0	\$0	\$0
New Current Borrowing		\$0	\$0	\$0
New Other Liabilities (interest-free)		\$0	\$0	\$0
New Long-term Liabilities		\$0	\$0	\$0
Sales of Other Current Assets		\$0	\$0	\$0
Sales of Long-term Assets		\$0	\$0	\$0
New Investment Received		\$0	\$0	\$0
Subtotal Cash Received		\$105,000	\$154,354	\$181,625
Expenditures		Year 1	Year 2	Year 3
Expenditures from Operations				
Cash Spending		\$55,000	\$77,177	\$90,812
Bill Payments		\$54,250	\$64,300	\$73,870
Subtotal Spent on Operations		\$109,250	\$141,477	\$164,682
Additional Cash Spent				
Sales Tax, VAT, HST/GST Paid Out		\$0	\$0	\$0
Principal Repayment of Current Borrowing		\$0	\$0	\$0
Other Liabilities Principal Repayment		\$0	\$0	\$0
Long-term Liabilities Principal Repayment		\$0	\$0	\$0
Purchase Other Current Assets		\$0	\$0	\$0
Purchase Long-term Assets		\$0	\$0	\$0
Dividends		\$0	\$0	\$0
Subtotal Cash Spent		\$109,250	\$141,477	\$164,682
Net Cash Flow		-\$4,250	\$12,877	\$16,943
Cash Balance		-\$4,250	\$ 8,627	\$29,820

# Exhibit C-5 "Forecasted Financial Statements"

## ABA Energy Partners Inc.

### Forecasted Balance Sheet

<i>Pro Forma Balance Sheet</i>				
		Year 1	Year 2	Year 3
<b>Assets</b>				
Current Assets				
Cash		-\$4,250	\$ 8,627	\$29,820
Other Current Assets		\$0	\$0	\$0
Total Current Assets		-\$4,250	\$ 8,627	\$29,820
Long-term Assets				
Long-term Assets		\$5,000	\$9,000	\$9,000
Accumulated Depreciation		\$1,800	\$3,600	\$5,400
Total Long-term Assets		\$3,200	\$5,400	\$3,600
Total Assets		(\$1,050)	\$14,027	\$33,420
<b>Liabilities and Capital</b>		Year 1	Year 2	Year 3
Current Liabilities				
Accounts Payable		\$2,795	\$6,169	\$6,898
Current Borrowing		\$0	\$0	\$0
Other Current Liabilities		\$0	\$0	\$0
Subtotal Current Liabilities		\$2,795	\$6,169	\$6,898
Long-term Liabilities		\$0	\$0	\$0
Total Liabilities		\$2,795	\$6,169	\$6,898
Paid-in Capital		\$20,000	\$20,000	\$20,000
Retained Earnings		(\$19,595)	\$2,219	\$24,894
Earnings		(\$4,250)	\$ 8,627	\$29,820
Total Capital		(\$3,845)	\$30,846	\$74,714
Total Liabilities and Capital		(\$1,050)	\$14,027	\$33,420
<b>Net Worth</b>		(\$3,845)	\$30,846	\$74,714

Prepared by:

Annabelen Hemelgarn

9435 Waterstone Blvd Suite 140

Cincinnati, OH 45249

Phone: 513-445-4962

Email: annabelen@abaenergypartners.com

**Exhibit C-6 "Credit Rating"**

**ABA Energy Partners Inc.**

**ABA Energy Partners Inc. has been in business since 2012. And as such, just got a Dun and Bradstreet DUNS number: 032085519 with a GOLD member status.**

Dun & Bradstreet  
CREDIT RATING



CINCINNATI, OH ABA ENERGY PARTNERS

PRODUCTS RESOURCES MY ACCOUNT



Search by business, phone, or DUNS TALK TO A CREDIT ADVISOR  
1-800-280-0780

## ABA ENERGY PARTNERS

GOLD MEMBER

Photos

9435 Waterstone Blvd Ste 140 Cincinnati, OH 45249  
(513) 445-4962

[Get Directions](#)



### Business info



Founded

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Incorporated

Ohio

Annual Revenue

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Employee Count

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Industries

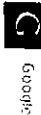
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Contacts

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### VIEW ADDITIONAL DATA

Credit Review



Google



Facebook.com



Yellowpages.com



Bing.com



Yellowpages.com



MerchantCircle



TripAdvisor.com



Twitter.com



AOL



D&B



MapQuest



Yahoo! Local



App Store



Google Play

### Company Summary

Aba Energy Partners is incorporated in Ohio.

VERIFIED Status:

UNVERIFIED

X

Address:

UNVERIFIED

X

LAST VERIFIED:

--

X

Phone:

UNVERIFIED

X

Payment Method:

UNVERIFIED

X

[VIEW FULL REPORT](#) →

### Payments Accepted

### Hours

NO DATA

Sunday	9:00 <sup>AM</sup> - 5:00 <sup>PM</sup>
Monday	9:00 <sup>AM</sup> - 5:00 <sup>PM</sup>
Tuesday	9:00 <sup>AM</sup> - 5:00 <sup>PM</sup>
Wednesday	9:00 <sup>AM</sup> - 5:00 <sup>PM</sup>

**Exhibit C-7 "Credit Report"**

**ABA Energy Partners Inc.**

ABA Energy Partners Inc. has been in business since 2012. And as such, just got a Dun and Bradstreet DUNS number: 032085519 with a GOLD member status. We have not had any credit report collected to date.



**Exhibit C-8 "Bankruptcy Information"**

**ABA Energy Partners Inc.**

ABA Energy Partners Inc. has not had any reorganizations, protection from creditors, or any other form of bankruptcy filings, including parent or affiliate organizations.

**Exhibit C-9 "Merger Information"**

**ABA Energy Partners Inc.**

ABA Energy Partners Inc. is a start-up company and has not been party to any dissolution, merger or acquisition.