

FILE



Genesis Energy International

12-2477-EL-AGG

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PUCO

September 8th, 2014

Public Utilities Commission

Docketing Division

180 East Broad Street

Columbus, OH 43215

Dear Sir or Madam:

Enclosed you will find a completed Renewal Application Package for Genesis Energy International L.L.C. along with a Motion For Protective Order. Thank you for your time and effort in reviewing our application.

Please feel free to contact me at (630) 396-8164 if you have any questions or concerns.

Sincerely,

Clayton Brust

President

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business
Technician DMD Date Processed SEP 09 2014

109 W. 22nd Street Suite 141
Lombard, IL 60148
Ph (630) 691-8700
Fax (630) 691-8774
www.genesisenergyinternational.com

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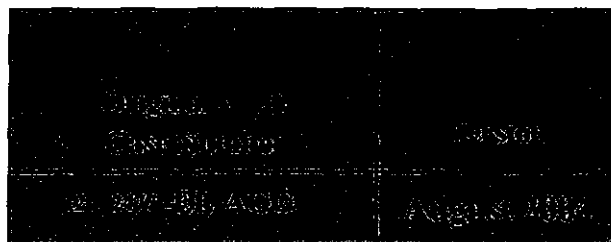
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PUCO

ORIGINAL PUBLIC REDACTED VERSION



The Public Utilities Commission of Ohio



RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Genesis Energy International
Address 100 W 22nd St Suite 141
PUCO Certificate # and Date Certified 12-573E (1) 10/11/2012
Telephone # (630) 691-8700 Web site address (if any) www.genesisenergyinternational.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Genesis Energy International
Address 100 W 22nd St Suite 141 Lombard, IL 60148
Telephone # (630) 691-8700 Web site address (if any) www.genesisenergyinternational.com

A-3 List all names under which the applicant does business in North America

Genesis Energy International

A-4 Contact person for regulatory or emergency matters

Name Clayton Brust
Title President
Business address 100 W 22nd St Suite 141 Lombard IL 60148
Telephone # (630) 396-8164 Fax # (630) 691-8774
E-mail address (if any) claytonb@genesisenergyinternational.com

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A-5 Contact person for Commission Staff use in investigating customer complaints

Name Clayton Brust
Title President
Business address 100 W 22nd St Suite 141 Lombard, IL 60148
Telephone # (630) 396-8164 Fax # (630) 691-8700
E-mail address (if any) claytonb@genesiseneryinternatio

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 100 W 22nd St Suite 141 Lombard, IL 60148
Toll-free Telephone # (630) 691-8700 Fax # (630) 691-8700
E-mail address (if any) _____

A-7 Applicant's federal employer identification number # 26-388-6737

A-8 Applicant's form of ownership (check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-9 Exhibit A-9 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-10 Exhibit A-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

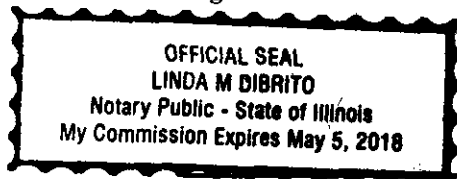
- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

[Signature] President
Signature of Applicant & Title

Sworn and subscribed before me this 8 day of SEPT, 2014
Month Year

Linda M. DiBrito
Signature of official administering oath

Linda M. DiBrito, Notary
Print Name and Title



My commission expires on 05/05/2018

AFFIDAVIT

State of Illinois :

Lombard ss.
(Town)

County of DeKalb :

Clayton Brust, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of Genesis Energy International (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

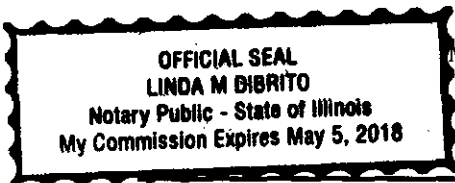
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

[Signature] President
Signature of Affiant & Title

Sworn and subscribed before me this 8 day of SEPT, 2014
Month Year

[Signature]
Signature of official administering oath

Linda M. DiBrito, Notary
Print Name and Title



My commission expires on 05/05/2018

Principal Officers, Directors, & Partners

Genesis Energy International L.L.C.

Clayton Brust

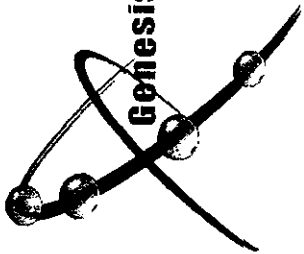
Owner

100 W. 22nd Street Suite 141 Lombard, IL 60148

Phone: 630-396-8164

Fax: 630-691-8774

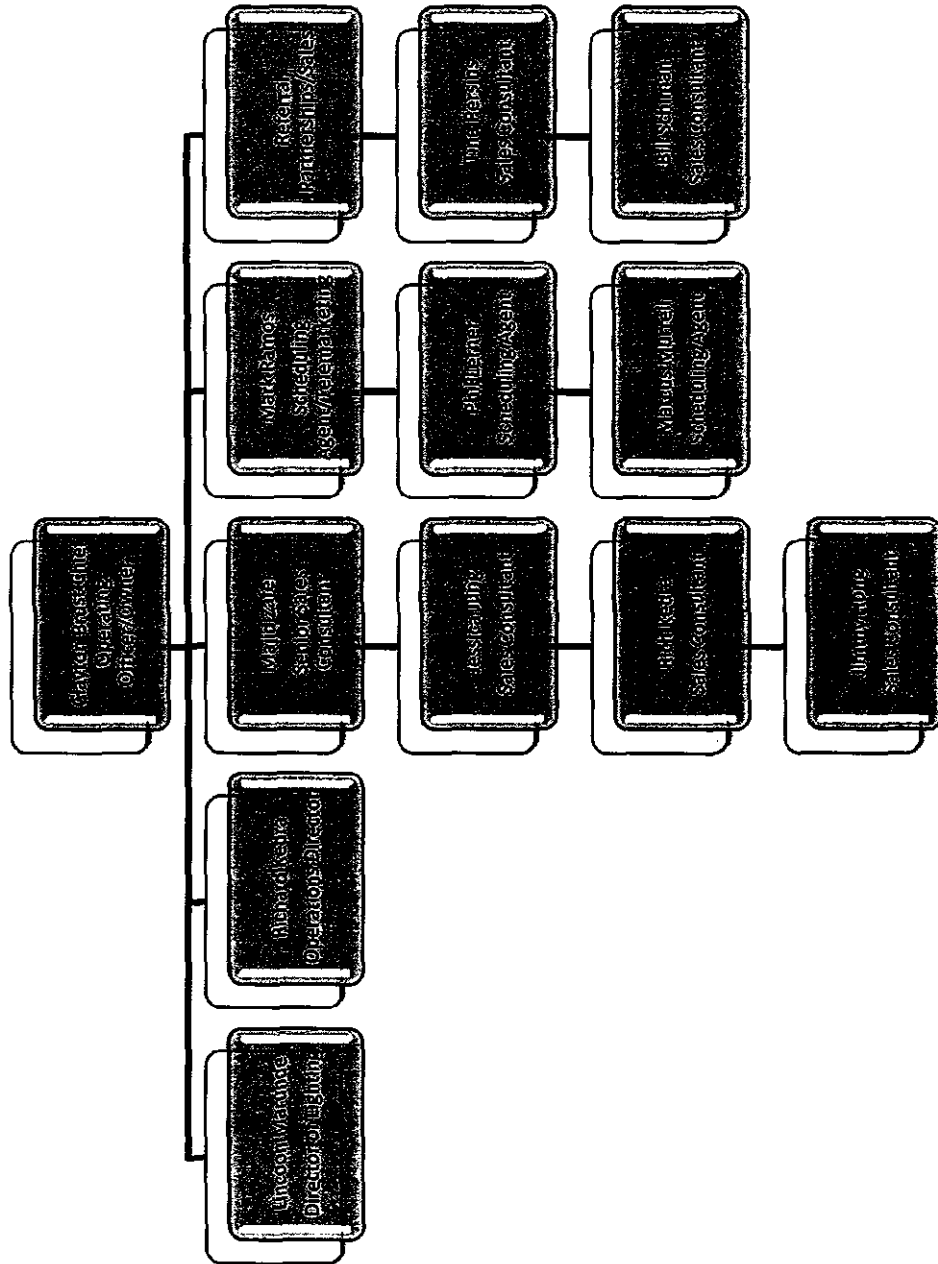
Exhibit A-10 Corporate Structure



Genesis Energy International

Attachment A-10

Genesis Energy is a single member managed LLC.



Jurisdictions of Operation

Genesis Energy International L.L.C.

1. Illinois
2. Texas
3. Ohio

Experience & Plans

Genesis Energy International L.L.C.

Not applicable. We are an electric broker and the energy companies or CRES's themselves deal with customer service, billing, complaints etc. Genesis ownership has been active in the deregulated space since 2008 in an ownership role. If a customer is to contact us regarding an issue with their electric provider we work to bring the two parties together to fix the problem vs. the customer calling the CRES's 800 customer service line. We do so by leveraging our relationships within the various electric suppliers.

Disclosure of Liabilities and Investigations

Genesis Energy International L.L.C.

Not applicable. There are no existing or past issues that could adversely impact the financial or operational status or ability to provide the services we seek to be certified to provide.

Annual Reports

Genesis Energy International L.L.C.

Not applicable because Genesis Energy International L.L.C. is a privately held L.L.C. that is single member managed.

SEC Filings

Genesis Energy International L.L.C.

Genesis Energy International L.L.C. is not required to file with the SEC because Genesis Energy International L.L.C. is a privately held company and does not trade or take ownership of any commodities.

Financial Statements

Genesis Energy International L.L.C.

This exhibit contains confidential and proprietary information and is being submitted under seal per rule 4901-1-24(D) of the Ohio Administrative Code.

Financial Arrangements

Genesis Energy International L.L.C.

Not Applicable. Genesis Energy Does not operate as a CRES it is an energy broker, therefore not needing guarantees, bank commitments, etc.

Banking Information

Banking is done through Chase Bank, 223 E Roosevelt Rd, Lombard, IL, 60148. Genesis Energy International has been operating since 2008, it is privately held and not rated by any of the major rating agencies.

Forecasted Financial Statements

Genesis Energy International L.L.C.

This exhibit contains confidential and proprietary information and is being submitted under seal per rule 4901-1-24(D) of the Ohio Administrative Code.

Credit Rating

Genesis Energy International L.L.C.

We do not have any credit rating with a major credit agency due to the fact it's not needed to broker electricity. We do not take ownership of any energy.

Credit Report

Genesis Energy International L.L.C.

We do not have any credit rating with a major credit agency due to the fact it's not needed to broker electricity. We do not take ownership of any energy. We do not pay any credit reporting agency fees to provide credit ratings.

Bankruptcy Information

Genesis Energy International L.L.C.

Genesis Energy International L.L.C. has not sought protection from creditors or any other form of bankruptcy filings made by the applicant, a parent, or affiliate organization that guarantees the obligations of the company or any officer of the company in the current year or within the two most recent years preceding the application.

Merger Information

Genesis Energy International L.L.C.

Not applicable.