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A NEMLC Company

T: 609.365.7072

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August 12, 2014

Public Utilities Commission of Ohio Docketing Division 13th Floor 180 East Broad Street Columbus, OH 43215-3793

> Re: In The Matter of the Renewal Application of Dynamis Energy, LLC (d/b/a United Energy Services) for Re-Certification as a Power Broker in the State of Ohio Case No. 12-2414-EL-AGG

Dear Commission Staff.

Our firm has been retained by the above captioned Licensee, Dynamis Energy LLC, to assist them with regulatory and compliance filings in the State of Ohio.

Pursuant to Chapter 4928.01 of the Ohio Revised Code and O.A.C. Ch. 4901:1-21 et seq., attached hereto please find an original and ten (10) copies of Dynamis's CRES Application for Power Brokers, including all schedules, attachments and exhibits. Please note that expurgated copies are herewith provided with all sensitive, financial information redacted or reserved.

Pursuant to Ohio Administrative Code 4901-1-24, Sections C3-C5 are designated as confidential and those attachments and exhibits are duly marked as such.

Should Commission Staff require any additional documentation, clarification, or information, please do not hesitate to contact this office at your convenience.

Respectfully Submitted,

Sean P. Morrisey NEMLC, LLC

1049 Shore Road, Suite A

Linwood, NJ 08221

T: 609.365.7072

E: smorrisey@energychoiceconsulting.com o/b/o Dynamis Energy, LLC



Original AGG Case Number	Version
12 - 2414-EL-AGG	August 2004

RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A.	RENEWAL INFORMATION
A-1	Applicant's legal name, address, telephone number, PUCO certificate number, and web site address
	Legal Name <u>Dynamis Energy</u> , <u>LLC</u> Address <u>2828 Routh Street</u> , <u>Suite 625</u> , <u>Dallas</u> , <u>TX 75201</u> PUCO Certificate # and Date Certified <u>12-567E(1) dated 10/2/2012</u> Telephone # <u>(702) 866-2689</u> Web site address (if any) <u>www.unitedenergyservices.com</u>
A-2	List name, address, telephone number and web site address under which Applicant will do business in Ohio
	Legal Name <u>Dynamis Energy</u> , <u>LLC d/b/a United Energy Services</u> Address <u>2828 Routh Street</u> , <u>Suite 625</u> , <u>Dallas</u> , <u>TX 75201</u> Telephone # <u>(702) 866-2689</u> Web site address (if any) <u>www.unitedenergyservices.com</u>
A-3	List all names under which the applicant does business in North America United Energy Services
A-4	Contact person for regulatory or emergency matters
	Name Sean P. Morrisey Title Regulatory Affairs Consultant Business address 1049 Shore Rd., Ste. A, Linwood, NJ 08221 Telephone # (609) 365-7072 Fax # (609) 297-5747 E-mail address (if any)

smorrisey@energychoiceconsulting.com

A-5	Contact person for Commission Staff use in investigating customer complaints					
	Name_Justin Bishop Title_COO					
	Business address 2828 Boyth St. 4 G. t. 627					
	Business address <u>2828 Routh Street</u> , <u>Suite 625</u> , <u>Dallas</u> , <u>TX 75201</u> Telephone #_(702) 866-2689 Fax # (702) 866-2500					
	E-mail address (if any) jbishop@unitedenergyservices.net					
A-6	Applicant's address and toll-free number for customer service and complaints					
	Customer Service address 2828 Routh Street, Suite 625, Dallas, TX 75201					
	For # (277) (07 0742					
	E-mail address (if any) customerson in G					
	- The second sec					
A-7	Applicant's federal employer identification number # 454071996					
A-8	Applicant's form of ownership (check one)					
	□ Sole Proprietorship □ Limited Liability Partnership (LLP) □ Corporation □ Partnership □ Limited Liability Company (LLC) □ Other					
	PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:					
A-9	Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.					
A-10	Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.					
В.	APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE					
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PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- **B-2** Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- **B-3** Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

 ☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5** "Disclosure of **Certification Denial, Curtailment, Suspension, or Revocation**" detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 Exhibit C-4 "Financial Arrangements," provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 Exhibit C-5 "Forecasted Financial Statements." provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 "Credit Report," provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the

Signature of Applicant & Title

Notary Public - State of Florida My Comm. Expires Jul 17, 2017 Commission & FF 036667 Bonded Through National Hotory Assn.

Sworn and subscribed before me this _

Signature of official administering oath

Print Nome of Marris (Lead Teller)

Print Name and Title

My commission expires on

07/17

AFFIDAVIT

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	F-	Flor	Flors	Florida

Ganesvilles.

County of Alachva:

Justen Brane, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the COO (Office of Affiant) of Dynamis Energy (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

- The Applicant herein, attests under penalty of false statement that all statements made in the
 application for certification renewal are true and complete and that it will amend its application while
 application is pending if any substantial changes occur regarding the information provided in the
- The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission
 of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity
 pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of
 Section 4928.06 of the Revised Code.
- The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- The Applicant herein, attests that it will comply with all state and/or federal rules and regulations
 concerning consumer protection, the environment, and advertising/promotions.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any customer complaints.

The Applicant herein, attests that it will inform the Commission of any material change to the change in contact person for such material change, including any customer complaints.

The Applicant herein, attests that it will inform the Commission of any material change to the change in contact person for Staff use in investigating facts above set forth are true and correct to the best of his/her knowledge, information, and helief and these species and Applicant to the above set forth are true and correct to the best of his/her knowledge, information, and helief and these species and Applicant to the above set forth are true and correct to the best of his/her knowledge, information, and helief and these species and Applicant to the above set forth are true and correct to the best of his/her knowledge, information, and helief and these species are contact person for Staff use in investigating the species and the species are contact person for Staff use in investigating the species are contact person for Staff use in investigating the species and the species are contact person for Staff use in investigating the species are contact person for the species are contact person for Staff use in investigating the species are contact person for Staff use in investigating the species are contact person for Staff use in investigating the species are contact person for Staff use in investigating the species are contact person for Staff use in investigating the species are contact person for species are contact person for the species are contact person for the species are contact person for spe

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects and Applicant to be able to prove the same at any hearing hereof.

YVETTE HARRIS

Notary Public - State of Florida

My Comm. Expires Jul 17, 2017

Commission & FF 030007

Bonded Through National Hotory Agen.

Year

Signature of official administering oath

My commission expires on 07/17

Exhibit A-9

Name & Address of Officers

Applicant is a privately held limited liability company with two principal members. There are no other affiliates, subsidiaries, investors or parent companies.

Michael Scott, CEO (50%) 2828 Routh Street, Suite 625 Dallas, TX 75201

Justin Bishop, COO (50%) 2828 Routh Street, Suite 625 Dallas, TX 75201

Exhibit A-10 - Corporate Structure

Applicant has no affiliates or parent companies.

Dynamis Energy, LLC

100%

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

As of the date of this filing, Dynamis is currently operating in the following jurisdictions.

- Illinois
- Ohio
- Maryland
- New Jersey
- Massachusetts
- Maine
- New Hampshire
- Pennsylvania
- Texas

Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

Executive Summary

The Applicant herein, Dynamis Energy LLC, is a foreign limited liability company engaged solely in the capacity of a electricity and natural gas Broker since its formation in December of 2011.

Below and attached please find summaries of key operating personnel responsible for the day-to-day management of its operations in the State of Ohio.

Michael Scott, Chief Executive Officer - Michael has worked in deregulated energy since 2005 as an energy consultant and broker for small business customers as well as some of the largest energy consumers in North America. Prior to co-founding United Energy Services, Michael managed both his personal book of business and was the COO of a third party sales team he developed and managed with 14 offices and over 100 agents throughout the United States. He has also worked with companies on LEED certification, lighting retrofits, Renewable Energy Credits and Green Energy procurement. Michael has his bachelor's degree from the University of Kansas.

Justin Bishop, Chief Operating Officer - Justin has worked in deregulated energy industry since 2000 in several different capacities. He has worked for a natural gas supplier, retail electric provider, consultant and broker. Prior to co-founding United Energy Services, Justin managed the direct and indirect sales channels for one of the leading energy companies in the South East. He has worked in both physical energy procurement and financial risk management. Justin has his bachelor's degree from the University of Florida and has passed the Series 3, an exam for trading commodities and futures contracts.

For customer inquiries, the Company can be reached 24-hours a day via customercare@unitedenergyservices.net or 281-882-8571 that customers can call Monday-Friday, 9am-5pm CST to have questions regarding their service answered. Additionally, Dynamis has a Customer Service department that specializes in responding to questions/concerns and will fully comply with any and all Commission rules adopted pursuant to Section 4928.10 of the Ohio Revised Code.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

Dynamis Energy ("Dynamis" or "Company") is a privately held corporation and does not have shareholders. An Annual Report to Shareholders and/or the public is not applicable.

Dynamis Energy Exhibit C

Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

As a privately held company, Applicant is not required to file with the Securities and Exchange Commission. Dynamis is not an SEC registrant, had no public issuances of debt or equity and, therefore, has no SEC reporting obligations. Quarterly and annual reports, such as 10-Ks, 8-Ks and 10-Qs are not applicable.

Dynamis Energy

Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

Applicant, Dynamis Energy, includes herein its audited financial statements for FY2012, FY2012, and unaudited statements for FY2013 as they are not yet available.

Please note that Applicant considers the attached to be proprietary, sensitive financial information, including all statements hereunder, accompanied this application.

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Dynamis Energy

Exhibit C-4 "Financial Arrangements," provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).

Applicant, Dynamis Energy has no credit agreements as a Power Broker

Dynamis Energy Exhibit C

Exhibit C-5 "Forecasted Financials." Provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

Prepared by

Justin Bishop, COO 2828 Routh Street Suite 625 Dallas, TX 75201 jbishop@unitedenergyservices.net T: 702-866-2689

CONFIDENTIAL

Dynamis Energy Exhibit C

Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

Applicant does not subscribe to the above mentioned organizations.

Exhibit C-7 "Credit Report," provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.

Applicant does not subscribe to D&B.

Dynamis Energy

Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

Dynamis Energy has not had any bankruptcy filings, reorganizations within the most recent two preceding years.

Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Dynamis Energy has not had any dissolutions, mergers or acquisitions within the five most recent years preceding the application.

Dynamis Energy

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

8/12/2014 4:55:13 PM

in

Case No(s). 12-2414-EL-AGG

Summary: Application Reseved, Public Copy of Dynamis Energy's CRES Renewal Application electronically filed by Mr. Sean P Morrisey on behalf of Dynamis Energy