



The Public Utilities Commission of Ohio

Original AGG Case Number	Version
10 - 1081 -EL- AGG	August 2004

RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
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A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Palmer Energy Company, Inc.
Address 5577 Airport Highway, Suite 101, Toledo, Ohio 43615
PUCO Certificate # and Date Certified 10-265E(2) September 5, 2010
Telephone # (419) 539-9180 Web site address (if any) www.palmerenergy.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Palmer Energy Company, Inc.
Address 5577 Airport Highway, Suite 101, Toledo, Ohio 43615
Telephone # (419) 539-9180 Web site address (if any) www.palmerenergy.com

A-3 List all names under which the applicant does business in North America

<u>Alternative Gas & Electric Solutions</u>	<u>Growers Energy Solutions</u>
<u>CCAOSC Energy Solutions</u>	<u>Palmer Conservation Consulting</u>
<u>Chamber Energy Solutions</u>	

A-4 Contact person for regulatory or emergency matters

Name Mark R. Frye
Title President
Business address 5577 Airport Highway, Suite 101, Toledo, Ohio 43615
Telephone # (419) 539-9180 Fax # (419) 539-9185
E-mail address (if any) mfrye@palmerenergy.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Mark R. Frye
Title President
Business address 5577 Airport Highway, Suite 101, Toledo, Ohio 43615
Telephone # (419) 539-9180 Fax # (419) 539-9185
E-mail address (if any) mfrye@palmerenergy.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 5577 Airport Highway, Suite 101, Toledo, Ohio 43615
Toll-free Telephone # (877) 410-5214 Fax # (419) 539-9185
E-mail address (if any) service@palmerenergy.com

A-7 Applicant's federal employer identification number # 341323368

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.


Signature of Applicant & Title

Sworn and subscribed before me this 22nd day of July, 2014
Month Year


Signature of official administering oath


Print Name and Title

My commission expires on October 12, 2015



Andrea R. Stokes
Notary Public, State of Ohio
My Commission Exp. 10/12/2015

AFFIDAVIT

State of Ohio :

(Town) ss.

County of Lucas :

Mark R. Frye, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of Palmer Energy Co., Inc. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Mark King, President
Signature of Affiant & Title

Sworn and subscribed before me this 22nd day of July, 2014 Year
Month

Andrea Stokes
Signature of official administering oath

Andrea Stokes, Exec Asst.
Print Name and Title

My commission expires on October 12, 2015



Andrea R. Stokes
Notary Public, State of Ohio
My Commission Exp. 10/12/2015



Exhibit A-9 Principal Officers, Directors & Partners

Mark R. Frye, President
5577 Airport Highway, Suite 101
Toledo, Ohio 43615
419-539-9180

Kirkland E. Mizerek, Executive Vice President
5577 Airport Highway, Suite 101
Toledo, Ohio 43615
419-539-9180

Elaine M. Canning, Vice President
5577 Airport Highway, Suite 101
Toledo, Ohio 43615
419-539-9180

PALMER

Exhibit A-10 Corporate Structure

Palmer Energy Company, Inc. is an Ohio chartered C corporation. As listed in A-3 of the application, Palmer Energy Company has five subsidiary companies. Palmer Energy Company and the subsidiary companies do not supply retail or wholesale electricity or natural gas to companies that aggregate customers in North America.

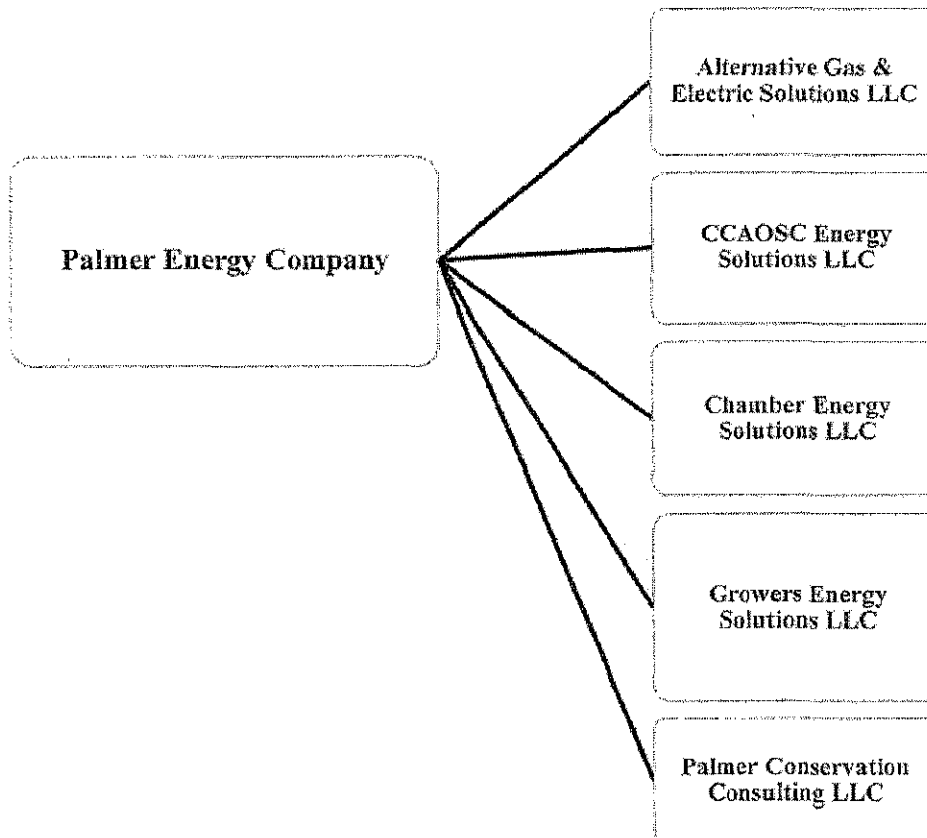




Exhibit B-1 Jurisdiction of Operations

Palmer Energy Company is certified as a Competitive Retail Electric Service Provider to provide aggregation and power broker services within the State of Ohio.



Exhibit B-2 Experience & Plans

Palmer Energy Company has been in the energy consulting business for over thirty years. As an unbiased energy advisor to its clients, Palmer Energy will continue to perform rate and budget analyses, evaluate demand interval and consumption information, review contracts, forecast future demand and consumption, author Request for Proposal's, procure energy, and make alternative program recommendations.

End-users enter into an agreement with the third party power supplier or sign a Power of Attorney with Palmer Energy Company, or one of its subsidiaries, to act on their behalf to procure power.

As the administrator for an aggregation program, Palmer Energy Company will not invoice the end-user. End-users will be invoiced by their electric utility or the third party supplier. Service calls would be made to the utility or the third party power supplier. For questions or information regarding program management, Palmer Energy Company has a toll-free telephone number and a designated e-mail address.



Exhibit B-3 Disclosure of Liabilities and Investigations

Palmer Energy Company has had no past rulings, judgments, revocations of authority or regulatory investigations that would adversely impact its financial or operational status or ability to provide services.

A complaint against Palmer Energy Company was filed with the Public Utilities Commission of Ohio on 5/21/10 by Buckeye Energy Brokers, case number 10-693-GE-CSS. In the opinion issued on 11/1/2011, Buckeye Energy Brokers failed to satisfy its burden of proof that Palmer Energy Company violated Sections 4928.08 and 4929.20, Revised Code. On 2/23/2012, the application for rehearing made by Buckeye Energy Brokers was denied.



Exhibit C-1 Annual Reports

Palmer Energy Company is a privately held company and is not required to prepare annual reports.



Exhibit C-2 SEC Filings

Palmer Energy Company is not required to file with the Securities and Exchange Commission because it is not a publicly traded company.



Exhibit C-3 Financial Statements

Filed under seal.



Exhibit C-4 Financial Arrangements

Palmer Energy Company has no guarantees, bank commitments, financial contract arrangements, or credit agreements to conduct CRES as a business activity. Palmer Energy Company's banking relationship is with Fifth Third Bank, One Seagate, Toledo, Ohio.

PALMER

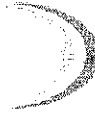


Exhibit C-5 Forecasted Financial Statements

Filed under seal.

PALMER



Exhibit C-6 Credit Rating

As of this filing, Palmer Energy Company has been assigned a credit rating of 2R2 by Dun & Bradstreet (DUNS 01-119-1467) and a credit ranking score of 41 by Experian (BIN 891998544).



Exhibit C-7 Credit Report

Experian Credit Report for Palmer Energy Company is attached.

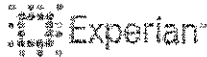


Exhibit C-7

Credit Report

For help reading this report, please review our sample report.

CreditScoreSM Report

as of 07/17/12 11:35 ET

Palmer Energy Co

Address: 241 N Superior St Ste 250
Toledo, OH 43604-1200
United States

Phone: 419-539-9180

Experian SIN: 891998544

Agent: Paul R. Francis, Cpa

Agent Address: 3286 Chanson Valley Drive
Lambertville, MI

Family Linkage:

Ultimate Parent: Palmer Energy Co
241 N Superior St Ste 250
Toledo, OH

Branches / Alternative Locations: Palmer Energy Co
2455 N Reynolds Rd
Toledo, OH

Key Personnel:

SIC Code:

NAICS Code(s):

Business Type:

Experian File Established:

Experian Years on File:

Years in Business:

Total Employees:

Owner: Mark Frye

8742-Energy Conservation & Mgmt Consult

54161203-Human Resource Consulting
Svcs

Corporation

January 1991

21 Years

More than 21 Years

5

Sales:

\$500,000 - \$999,999

Filing Data Provided by:

Michigan

Date of Incorporation:

03/26/1984

Current Days Beyond Terms (DBT): 0

Predicted DBT for 09/12/2012: 1

Average Industry DBT: 11

Payment Trend Indicator: Stable

Lowest 6 Month Balance: \$100

Highest 6 Month Balance: \$17,100

Current Total Account Balance: \$100

Highest Credit Amount Extended: \$30,600

Median Credit Amount Extended: \$15,300

Payment Tradelines (see charts):

4

UCC Filings:

1

*** Businesses Scoring Worse:**

41%

☒ **Bankruptcies:**

0

☒ **Liens:**

0

☒ **Judgments Filed:**

0

☒ **Collections:**

0

Credit Summary

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Credit Ranking Score: 41



The objective of the Credit Ranking Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

Key Score Factors:

- Number of current commercial accounts.
- Number of commercial inquiries in last 6 months.
- Number of recently active commercial accounts.

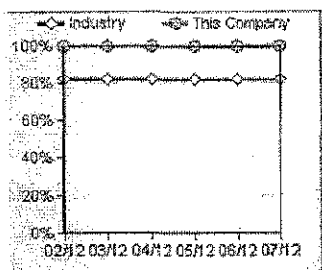
Recommended Action: Medium Risk

Payment Summary

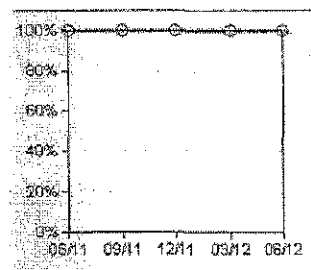
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Monthly Payment Trends

Quarterly Payment Trends



*Percentage of on-time payments by month.



*Percentage of on-time payments by quarter.

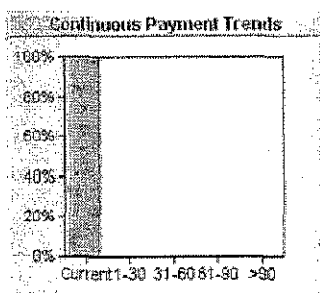
Exhibit C-7
Credit Report

Monthly Payment Trends - Recent Activity

Date	Balance	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
02/12	\$17,100	100%	0%	0%	0%	0%
03/12	\$15,700	100%	0%	0%	0%	0%
04/12	\$15,100	100%	0%	0%	0%	0%
05/12	\$13,000	100%	0%	0%	0%	0%
06/12	\$11,600	100%	0%	0%	0%	0%
07/12	\$10,300	100%	0%	0%	0%	0%

Quarterly Payment Trends - Recent Activity

Date	Balance	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
06/11	\$20,400	100%	0%	0%	0%	0%
09/11	\$13,100	100%	0%	0%	0%	0%
12/11	\$15,700	100%	0%	0%	0%	0%
03/12	\$13,700	100%	0%	0%	0%	0%
06/12	\$11,000	100%	0%	0%	0%	0%

Insufficient information to produce
Newly Reported Payment Trends
chart.Insufficient information to produce
Combined Payment Trends
chart.

*Continuous distribution with DBT.

Number of Accounts: 3
Present Balance: \$100
Highest Balance: \$30,600Number of Accounts: 0
Present Balance: \$0
Highest Balance: \$0Number of Accounts: 3
Present Balance: \$100
Highest Balance: \$30,600

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Exhibit C-8 Bankruptcy Information

Palmer Energy Company, along with its officers and subsidiary companies, has never filed for reorganization or bankruptcy protection.



Exhibit C-9 Merger Information

Palmer Energy Company has not been dissolved, merged, or acquired in the past five years.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

8/5/2014 9:32:22 AM

in

Case No(s). 10-1081-EL-AGG

Summary: Application Renewal Application for Aggregators/Power Brokers electronically filed by Mr. Stephen M Howard on behalf of Palmer Energy Company, Inc.