The Public Utilities Commission of Ohio

TELECOMMUNICATIONS FILING FORM

(Effective: 01/20/2011)

This form is intended to be used with most types of required filings. It provides check boxes with rule references for the most common types of filings. It does not replace or supersede Commission rules in any way.

In the Matter of the Application of Windstream Ohio, Inc.)
)
to revise Lifeline Surcharge Recovery rate)
)

TRF Docket No. 90-_____

Case No. 11 - 3235 -**TP** - ATA

NOTE: Unless you have reserved a Case #, leave the "Case No" fields BLANK.

Name of Registrant(s) Windstream Ohio, Inc.
DBA(s) of Registrant(s) _____
Address of Registrant(s) 4001 Rodney Parham Road, Little Rock, Arkansas 72212
Company Web Address www.Windstream.com
Regulatory Contact Person(s) Katherine Hoagland Phone 585-340-2709 Fax _____
Regulatory Contact Person's Email Address katherine.hoagland@windstream.com
Contact Person for Annual Report Sandra Blade Phone 501-748-6728
Address (if different from above) _____
Consumer Contact Information Yvette Gadson Phone 704-814-2564
Address (if different from above) _____
Motion for protective order included with filing? ☐ Yes ☒ No
Motion for waiver(s) filed affecting this case? ☐ Yes ☒ No [Note: Waivers may toll any automatic timeframe.]

Notes:

Section I and II are Pursuant to Chapter 4901:1-6 OAC.
Section III – Carrier to Carrier is Pursuant to 4901:1-7 OAC, and Wireless is Pursuant to 4901:1-6-24 OAC.
Section IV – Attestation.

(1) Indicate the Carrier Type and the reason for submitting this form by checking the boxes below.

(2) For requirements for various applications, see the identified section of Ohio Administrative Code Section 4901 and/or the supplemental application form noted.

(3) Information regarding the number of copies required by the Commission may be obtained from the Commission's web site at www.puco.ohio.gov under the docketing information system section, by calling the docketing division at 614-466-4095, or by visiting the docketing division at the offices of the Commission.

(4) An Incumbent Local Exchange Carrier (ILEC) offering basic local exchange service (BLES) outside its traditional service area should choose CLEC designation when proposing to offer BLES outside its traditional service area or when proposing to make changes to that service.

All Filings that result in a change to one or more tariff pages require, at a minimum, the following exhibits.

Exhibit	Description:
A	The tariff pages subject to the proposed change(s) as they exist before the change(s)
B	The Tariff pages subject to the proposed change(s), reflecting the change, with the change(s) marked in the right margin.
C	A short description of the nature of the change(s), the intent of the change(s), and the customers affected.
D	A copy of the notice provided to customers, along with an affidavit that the notice was provided according to the applicable rule(s).

Section I – Part I - Common Filings

Carrier Type ☐ **Other** (explain below)	☒ **For Profit ILEC**	☐ **Not For Profit ILEC**	☐ **CLEC**
Change terms & conditions of existing BLES	☐ ATA *1-6-14(H)* (Auto 30 days)	☐ ATA *1-6-14(H)* (Auto 30 days)	☐ ATA *1-6-14(H)* (Auto 30 days)
Introduce non-recurring charge, surcharge, or fee to BLES			☐ ATA *1-6-14(H)* (Auto 30 days)
Introduce or Increase Late Payment	☐ ATA *1-6-14(I)* (Auto 30 days)	☐ ATA *1-6-14(I)* (Auto 30 days)	☐ ATA *1-6-14(I)* (Auto 30 days)
Revisions to BLES Cap.	☐ ZTA *1-6-14(F)* (0 day Notice)		
Introduce BLES or expand local service area (calling area)	☐ ZTA *1-6-14(H)* (0 day Notice)	☐ ZTA *1-6-14(H)* (0 day Notice)	☐ ZTA *1-6-14(H)* (0 day Notice)
Notice of no obligation to construct facilities and provide BLES	☐ ZTA *1-6-27(C)* (0 day Notice)	☐ ZTA *1-6-27(C)* (0 day Notice)	
Change BLES Rates	☐ TRF *1-6-14(F)* (0 day Notice)	☐ TRF *1-6-14(F)(4)* (0 day Notice)	☐ TRF *1-6-14(G)* (0 day Notice)
To obtain BLES pricing flexibility	☐ BLS *1-6-14 (C)*(1)(c) (Auto 30 days)		
Change in boundary	☐ ACB *1-6-32* (Auto 14 days)	☐ ACB *1-6-32* (Auto 14 days)	
Expand service operation area			☐ TRF *1-6-08(G)(0 day)*
BLES withdrawal			☐ ZTA *1-6-25(B)* (0 day Notice)
Other* *(explain)* Lifeline Recovery Surcharge Increase			

Section I – Part II – Customer Notification Offerings Pursuant to Chapter 4901:1-6-7 OAC

Type of Notice	**Direct Mail**	**Bill Insert**	**Bill Notation**	**Electronic Mail**
☐ 15-day Notice	☐	☐	☐	☐
☐ 30-day Notice	☐	☐	☐	☐
Date Notice Sent:				

Section I – Part III –IOS Offerings Pursuant to Chapter 4901:1-6-22 OAC

IOS	**Introduce New**	**Tariff Change**	**Price Change**	**Withdraw**
☐ IOS	☐	☐	☐	☐

Section II – Part I – Carrier Certification - Pursuant to Chapter 4901:1-6-08, 09 & 10 OAC

Certification	ILEC (Out of Territory)	CLEC	Telecommunications Service Provider Not Offering Local	CESTC	CETC
* See Supplemental form	☐ ACE *1-6-08* * (Auto 30- day)	☐ ACE *1-6-08* *(Auto 30 day)	☐ ACE *1-6-08* *(Auto 30 day)	☐ ACE *1-6-10* (Auto 30 day)	☐ UNC*1-6-09* *(Non-Auto)

*Supplemental Certification forms can be found on the Commission Web Page.

Section II – Part II – Certificate Status & Procedural

Certificate Status	ILEC	CLEC	Telecommunications Service Provider Not Offering Local
Abandon all Services		☐ ABN *1-6-26* (Auto 30 days)	☐ ABN *1-6-26* (Auto 30 days)
Change of Official Name *	☐ ACN *1-6-29(B)* (Auto 30 days)	☐ ACN *1-6-29(B)* (Auto 30 days)	☐ CIO *1-6-29(C)* (0 day Notice)
Change in Ownership *	☐ ACO *1-6-29(E)* (Auto 30 days)	☐ ACO *1-6-29(E)* (Auto 30 days)	☐ CIO *1-6-29(C)* (0 day Notice)
Merger *	☐ AMT *1-6-29(E)* (Auto 30 days)	☐ AMT *1-6-29(E)* (Auto 30 days)	☐ CIO *1-6-29(C)* (0 day Notice)
Transfer a Certificate *	☐ ATC *1-6-29(B)* (Auto 30 days)	☐ ATC *1-6-29(B)* (Auto 30 days)	☐ CIO *1-6-29(C)* (0 day Notice)
Transaction for transfer or lease of property, plant or business *	☐ ATR *1-6-29(B)* (Auto 30 days)	☐ ATR *1-6-29(B)* (Auto 30 days)	☐ CIO *1-6-29(C)* (0 day Notice)

*** Other exhibits may be required under the applicable rule(s). ACN, ACO, AMT, ATC, ATR and CIO applications see the 4901:1-6-29 Filing Requirements on the Commission's Web Page for a complete list of exhibits.**

Section III – Carrier to Carrier (Pursuant to 4901:1-7), and Wireless (Pursuant to 4901:1-6-24)

Carrier to Carrier	ILEC	CLEC
Interconnection agreement, or amendment to an approved agreement	☐ NAG *1-7-07* (Auto 90 day)	☐ NAG *1-7-07* (Auto 90 day)
Request for Arbitration	☐ ARB *1-7-09* (Non-Auto)	☐ ARB *1-7-09* (Non-Auto)
Introduce or change c-t-c service tariffs,	☐ ATA *1-7-14* (Auto 30 day)	☐ ATA *1-7-14* (Auto 30 day)
Request rural carrier exemption, rural carrier suspension or modification	☐ UNC*1-7-04 or 05* (Non-Auto)	
Changes in rates, terms & conditions to Pole Attachment, Conduit Occupancy and Rights-of-Way.	☐ UNC *1-7-23(B)* (Non-Auto)	
Wireless Providers See 4901:1-6-24	☐ RCC [Registration & Change in Operations]	☐ NAG [Interconnection Agreement or

Section IV. – Attestation

Registrant hereby attests to its compliance with pertinent entries and orders issued by the Commission.

AFFIDAVIT

Compliance with Commission Rules

I am an officer/agent of the applicant corporation, Windstream Communications (Name), and am authorized to make this statement on its behalf.

Please Check ALL that apply:

☒ I attest that these tariffs comply with all applicable rules for the state of Ohio. I understand that tariff notification filings do not imply Commission approval and that the Commission's rules as modified and clarified from time to time, supersede any contradictory provisions in our tariff. We will fully comply with the rules of the state of Ohio and understand that noncompliance can result in various penalties, including the suspension of our certificate to operate within the state of Ohio.

☐ I attest that customer notices accompanying this filing form were sent to affected customers, as specified in Section II, in accordance with Rule 4901:1-6-7, Ohio Administrative Code.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on (Date) July 28, 2014 at (Location) Fairport, NY 14450

*(Signature and Title) Katherine Hoagland (Date) July 28, 2014

- *This affidavit is required for every tariff-affecting filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.*

VERIFICATION

I, Katherine Hoagland verify that I have utilized the Telecommunications Filing Form for most proceedings provided by the Commission and that all of the information submitted here, and all additional information submitted in connection with this case, is true and correct to the best of my knowledge.

*(Signature and Title) Katherine Hoagland, Regulatory Analyst III (Date) July 28, 2014

**Verification is required for every filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.*

Send your completed Application Form, including all required attachments as well as the required number of copies, to:

Public Utilities Commission of Ohio
Attention: Docketing Division
180 East Broad Street, Columbus, OH 43215-3793
Or
Make such filing electronically as directed in Case No 06-900-AU-WVR

EXHIBIT

A

S2. GENERAL REGULATIONS

S2.5 Liability of the Company (Continued)

S2.5.4 Ownership of and Errors in Telephone Directories

The Telephone Company assumes no liability whatsoever for damages accruing from errors or omissions in the making or printing of the directory. The Telephone Company will not be party to controversies arising between subscribers or others as a result of listings published in the directory.

S2.5.5 Defacement of Premises

The Company is not liable for any defacement of, or damage to, the premises of a customer resulting from the furnishing of service or the attachment of the instruments, apparatus and associated wiring furnished by the Company on such premises, or by the installation or removal thereof, when such defacement or damage is not the result of negligence of employees of the Company.

S2.5.6 Handling of Consumer Complaints

The Company will comply with the rules regarding the handling of consumer complaints as set forth in O.A.C. 4901:1-6-30.

S2.6 Telecommunications Relay Services (TRS)

Customers will be assessed a charge per line per month to fund the Telecommunication Relay Services for the State of Ohio in accordance with Section 4905.84 and Section 4901:1-6-36 of the Revised Code. This charge shall in no event exceed the per end user line (or equivalent) assessment of the Public Utilities Commission of Ohio levied upon the Company.

S2.7 Lifeline Recovery Surcharge

Incumbent Local Exchange Carriers (ILECs), in accordance with Section 4927.13 (D) of the Revised Code, may recover from end users any Lifeline service discounts that are not recovered through state or federal funding or whose recovery is prohibited by law. In accordance with 4901:1-6-19(P) O.A.C., ILECs may recover these discounts through a customer billing surcharge on retail customers, excluding those with Lifeline service.

The Company's Lifeline Recovery Surcharge is calculated to recover the difference between the Company's Lifeline prices and the Company's standard retail service prices, minus any portion of the price differences that are recovered through federal or state funding. The Company will update this calculation at least once per year in accordance with 4901:1-6-19 (R) O.A.C.

The Lifeline Recovery Surcharge is imposed on each residence, nonresidence, and payphone access line, other than Lifeline service. For purposes of application of this surcharge, access lines are defined as facilities, which provide access to and from the telecommunications network for toll service and for local calling. Not included in this definition are remote call forwarding and Company official accounts.

	Monthly Charge	
Lifeline Recovery Surcharge, per line:	$ 0.07	(R)

EXHIBIT

B

S2. GENERAL REGULATIONS

S2.5 Liability of the Company (Continued)

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	Monthly Charge	
Lifeline Recovery Surcharge, per line:	$ 0.08	I

EXHIBIT

C

This tariff revision is being filed to increase the Lifeline Recovery Surcharge rate from $0.07 to $0.08 pursuant to the PUCO Commission Case No. 11-1325-TP-ATA.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

7/29/2014 9:00:09 AM

in

Case No(s). 11-3235-TP-ATA

Summary: Tariff Tariff filing to revise the Lifeline Recovery Surcharge electronically filed by Ms. Katherine A Hoagland on behalf of Windstream Ohio, Inc.